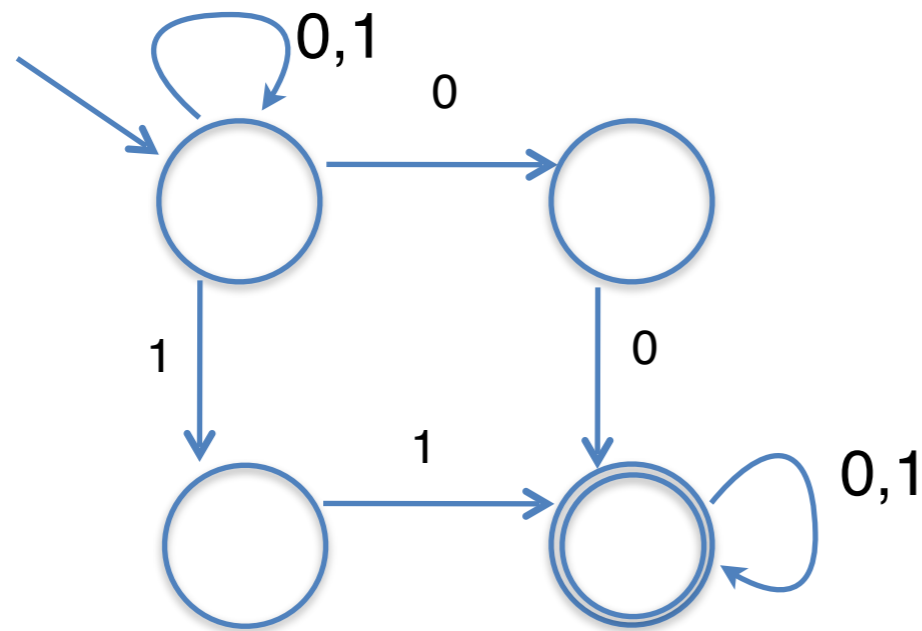


NFA/DFA, Relation to Regular Languages

Lecture 6

NFA recap

- Last lecture, we saw these objects called NFAs...



- Like DFA, but with a weird transition function: choices!
- DFA is a special case of NFA (how?)



NFA recap

- Last lecture, we saw these objects called NFAs...

3 models for (Regular) Languages:

Regular Expression

DFA

NFA



NFA recap



Kleene's Theorem

Regular Expression

=

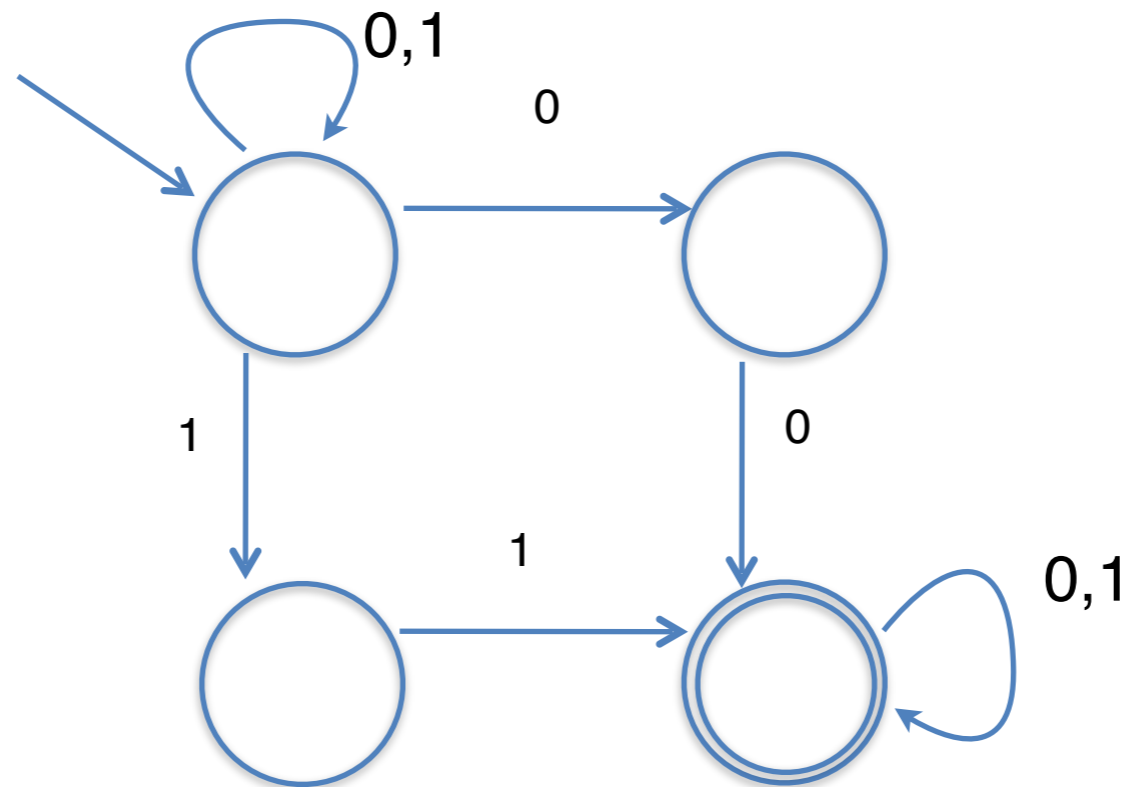
DFA

=

NFA

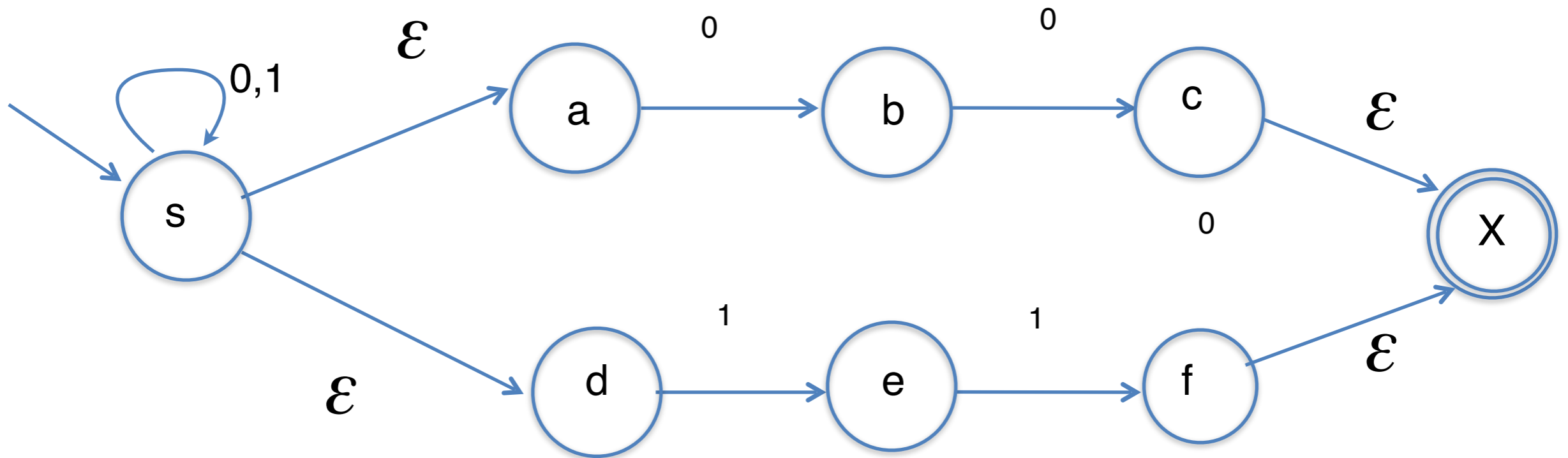
NFA+ ϵ : Formally

- I want to be able to change my state without consuming input



NFA+ ϵ : Formally

- I want to be able to change my state without consuming input



- On input 10001?



NFA+ ϵ : Formally

$$N = (\Sigma, Q, \delta, s, A)$$

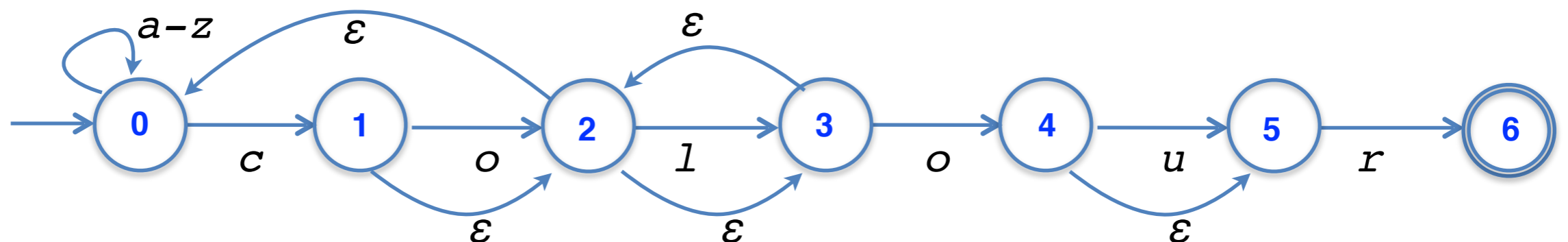
Σ : alphabet Q : state space s : start state A : set of accepting states

$$\delta : Q \times \{\Sigma \cup \epsilon\} \rightarrow \mathcal{P}(Q)$$

We say $q \xrightarrow{w}_N p$ if $\exists a_1, \dots, a_t \in \Sigma \cup \{\epsilon\}$ and $q_1, \dots, q_{t+1} \in Q$, such that $w = a_1 \dots a_t$, $q_1 = q$, $q_{t+1} = p$, and $\forall i \in [1, t]$, $q_{i+1} \in \delta(q_i, a_i)$

$$L(N) = \{ w \mid s \xrightarrow{w}_N p \text{ for some } p \in A \}$$

e.g., $\delta(\mathbf{1}, o) = \{\mathbf{2}\}$, $\delta(\mathbf{1}, x) = \emptyset$, $\delta(\mathbf{1}, \epsilon) = \{\mathbf{2}\}$.



NFA+ ϵ : Formally

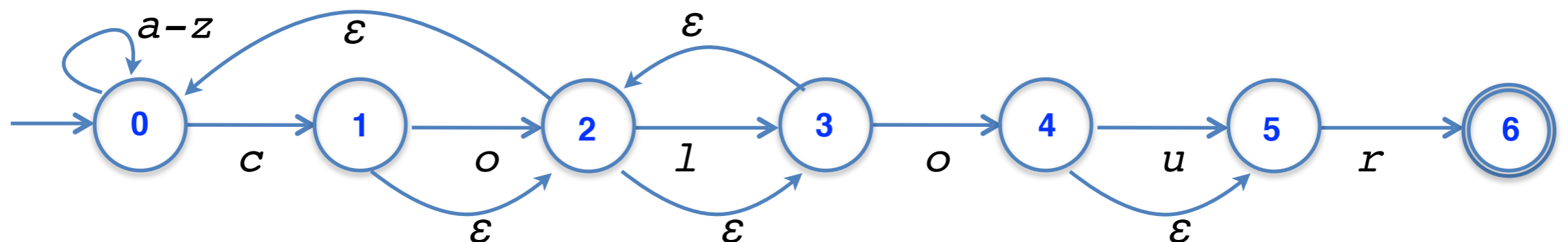
We define the ϵ -reach of a state p :

- p itself
- any state q such that $r \xrightarrow{\epsilon}_N q$ for some r in the ϵ -reach of p

Means that there is a sequence of ϵ -transitions from p to q

e.g., $\delta(\mathbf{1}, o) = \{\mathbf{2}\}$, $\delta(\mathbf{1}, x) = \emptyset$, $\delta(\mathbf{1}, \epsilon) = \{\mathbf{2}\}$.

ϵ -reach($\{\mathbf{1}\}$) = $\{\mathbf{1}, \mathbf{2}, \mathbf{3}, \mathbf{0}\}$



Get rid of nothing

Can modify any NFA N , to get an NFA N_{new} without ε -moves

$$N_{\text{new}} = (\Sigma, Q_{\text{new}}, \delta_{\text{new}}, s_{\text{new}}, A_{\text{new}})$$

$$Q_{\text{new}} = Q$$

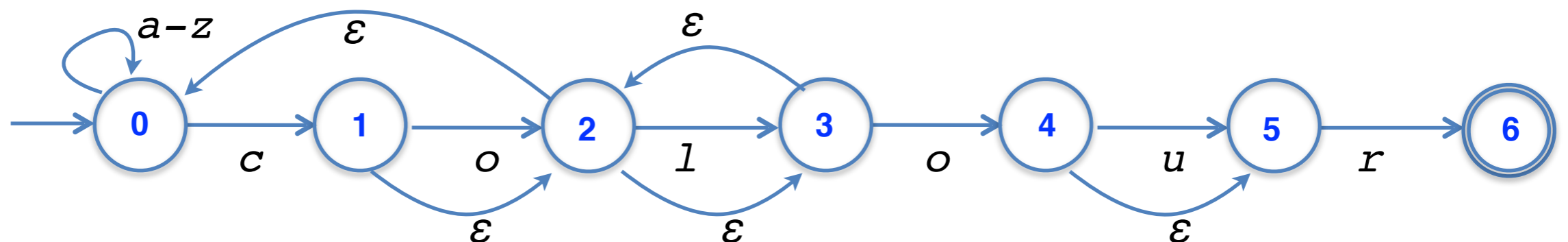
$$s_{\text{new}} = s$$

$$A_{\text{new}} = \{q \mid \varepsilon\text{-reach}(q) \text{ includes a state in } A\}$$

$$\{p \mid q \xrightarrow{a}_N p\}$$

$$\delta_{\text{new}}(q, a) = \bigcup_{p \in \varepsilon\text{-reach}(q)} \delta(p, a)$$

$$\text{e.g.: } \delta_{\text{new}}(\mathbf{1}, \mathbf{o}) = \{\mathbf{0}, \mathbf{2}, \mathbf{3}, \mathbf{4}, \mathbf{5}\}$$



Get rid of nothing

Can modify any NFA N , to get an NFA N_{new} without ϵ -moves

$$N_{\text{new}} = (\Sigma, Q_{\text{new}}, \delta_{\text{new}}, s_{\text{new}}, A_{\text{new}})$$

$$Q_{\text{new}} = Q$$

$$s_{\text{new}} = s$$

$$A_{\text{new}} = \{q \mid \epsilon\text{-reach}(q) \text{ includes a state in } A\}$$

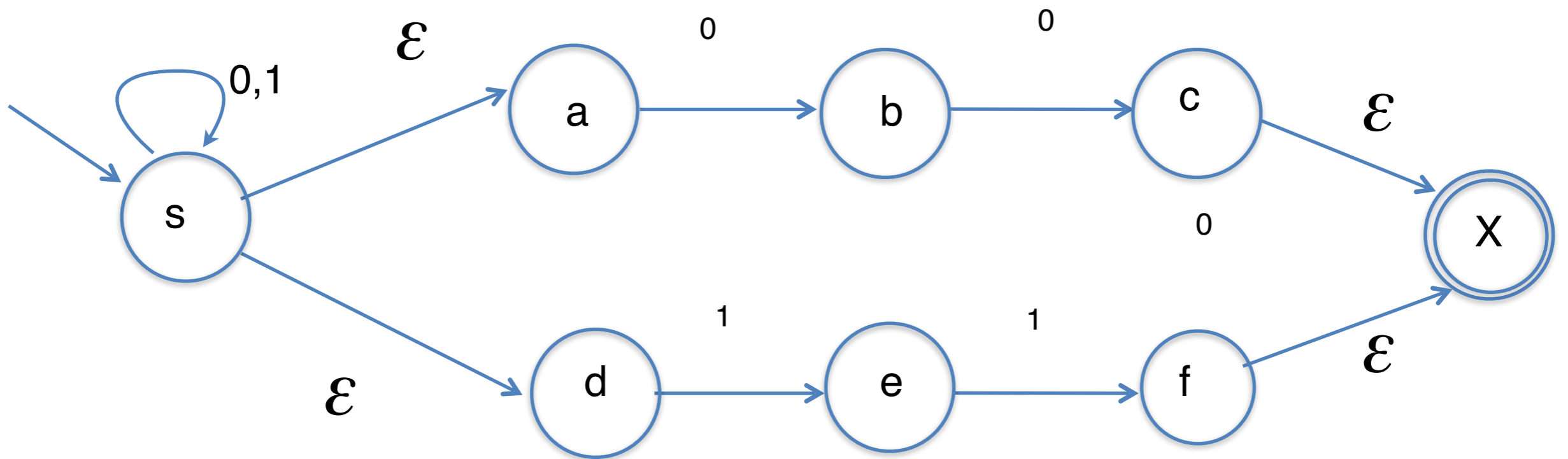
$$\{p \mid q \xrightarrow{a}_N p\}$$

$$\delta_{\text{new}}(q, a) = \cup_{p \in \epsilon\text{-reach}(q)} \delta(p, a)$$

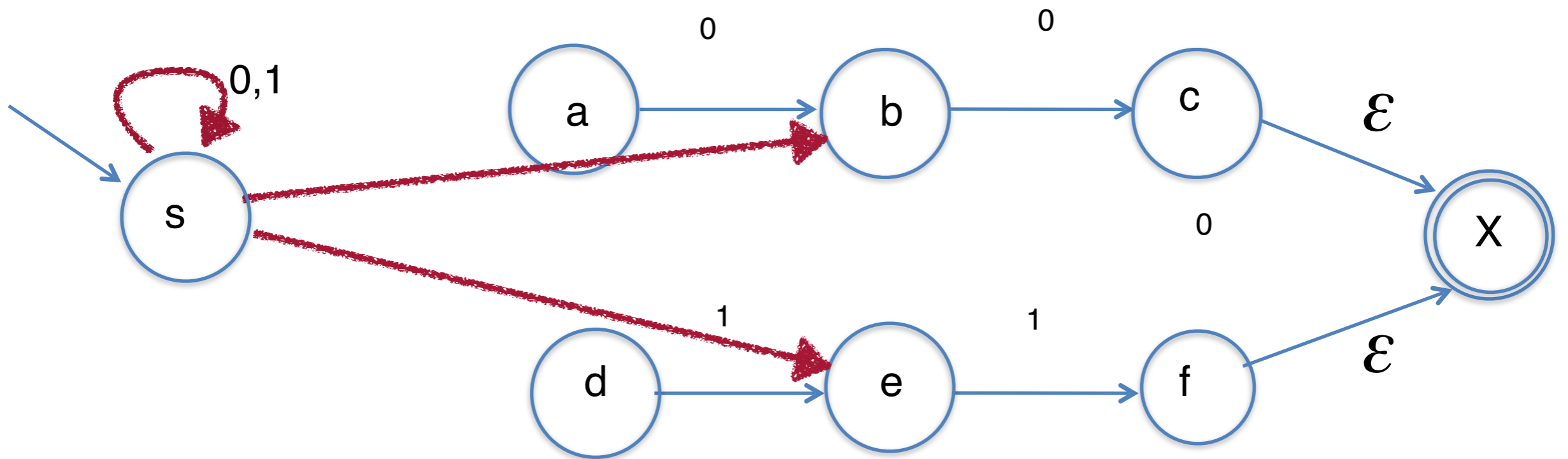
Theorem: $L(N) = L(N_{\text{new}})$



NFA+ ϵ : Formally



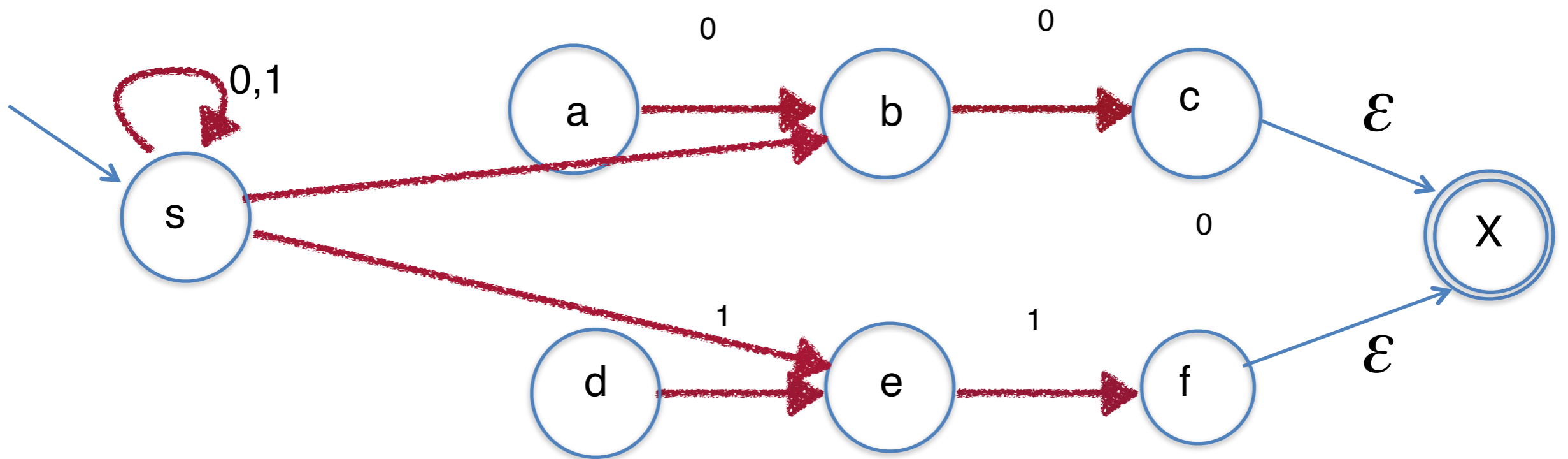
NFA- ϵ



$$\delta_{\text{new}}(q, a) = \cup_{p \in \epsilon\text{-reach}(q)} \delta(p, a)$$



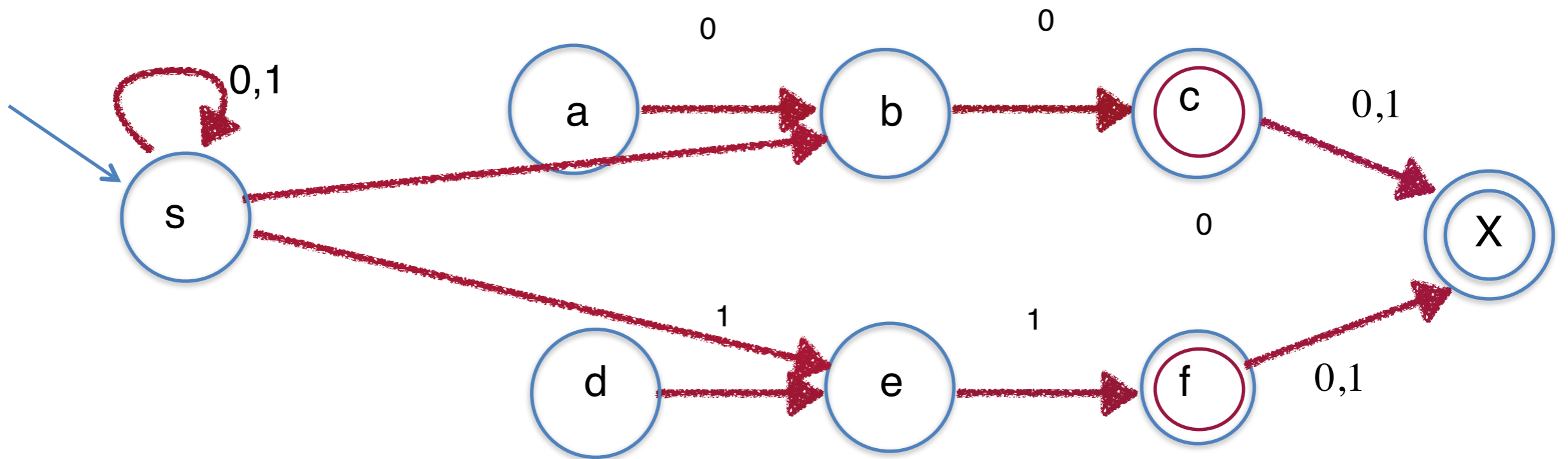
NFA- ϵ



$$\delta_{\text{new}}(q, a) = \cup_{p \in \epsilon\text{-reach}(q)} \delta(p, a)$$



NFA- ϵ

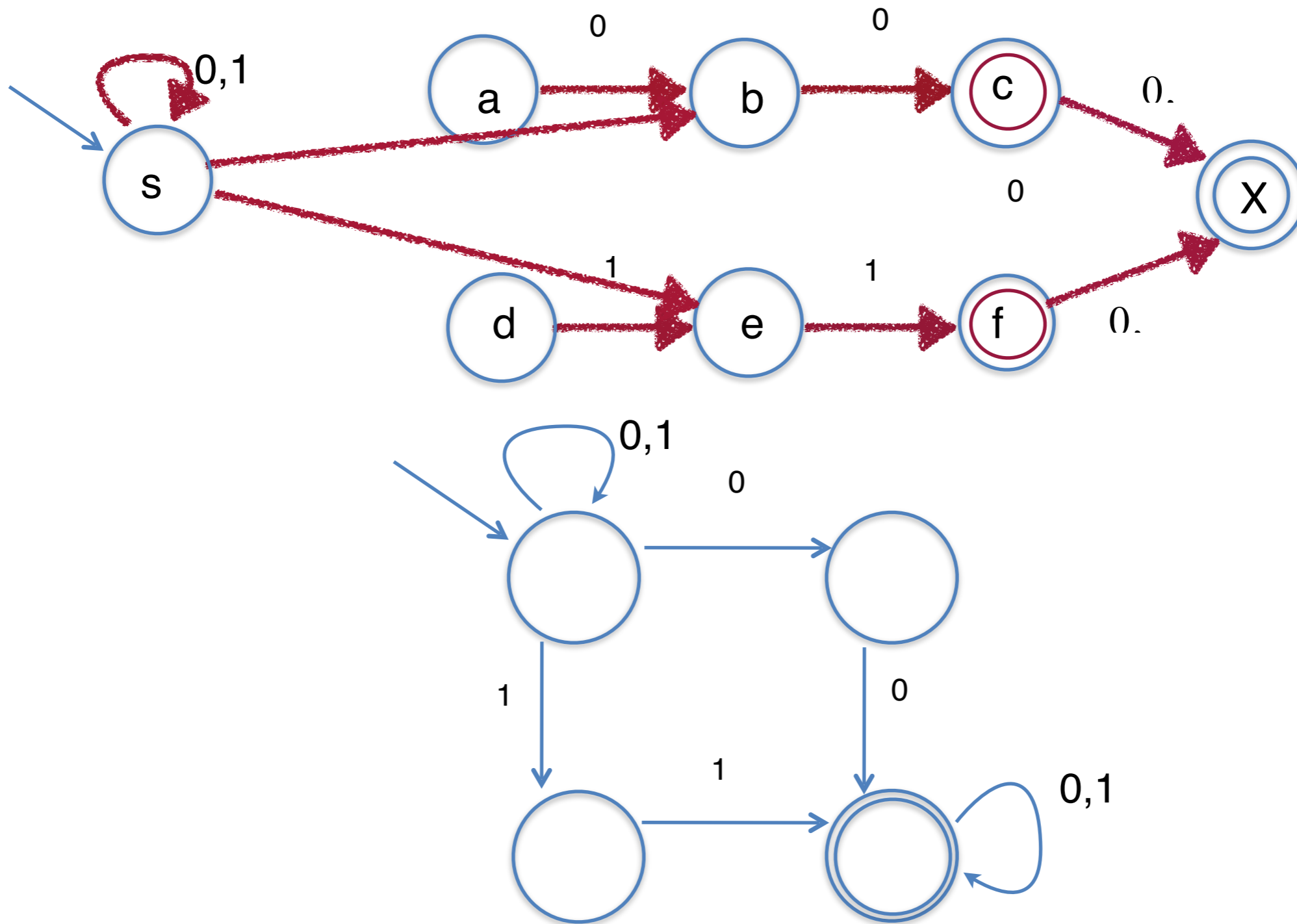


$$\delta_{\text{new}}(q, a) = \cup_{p \in \epsilon\text{-reach}(q)} \delta(p, a)$$



NFA- ϵ

- Same NFA!

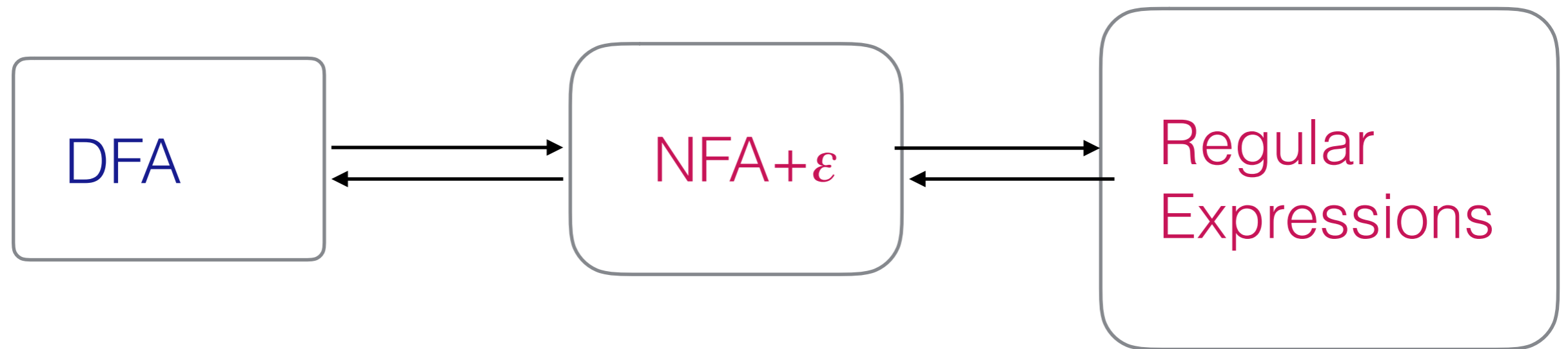


Kleene's theorem

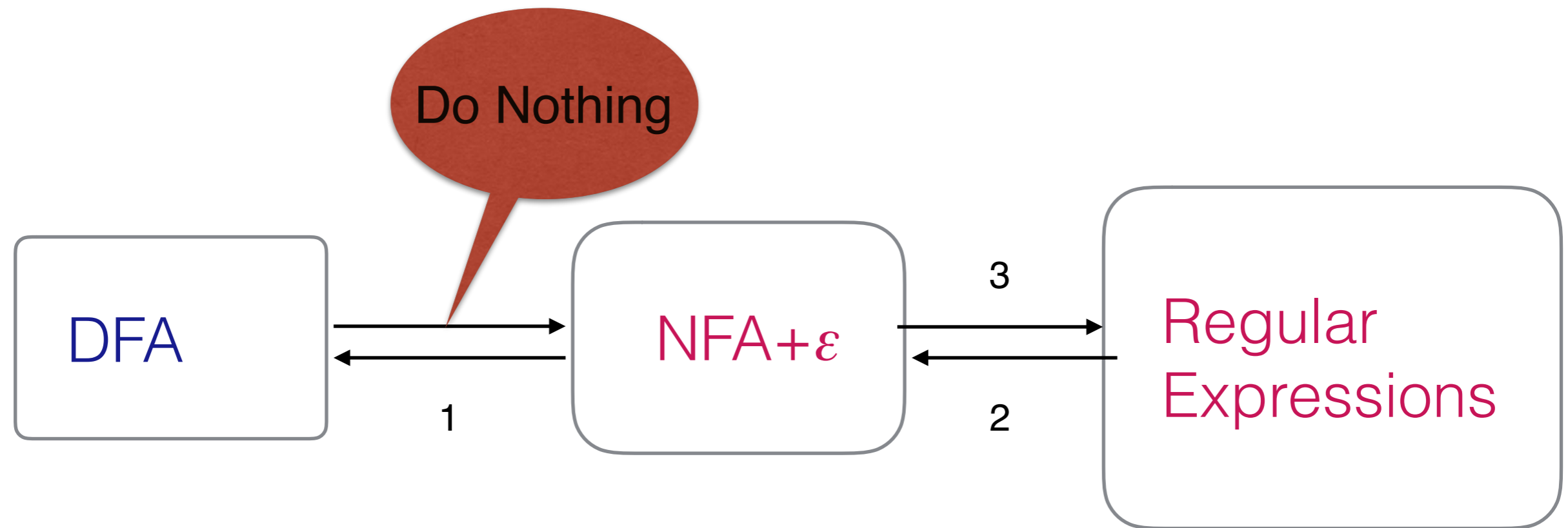


Theorem: A language L can be described by a regular expression if and only if L is the language accepted by a DFA.

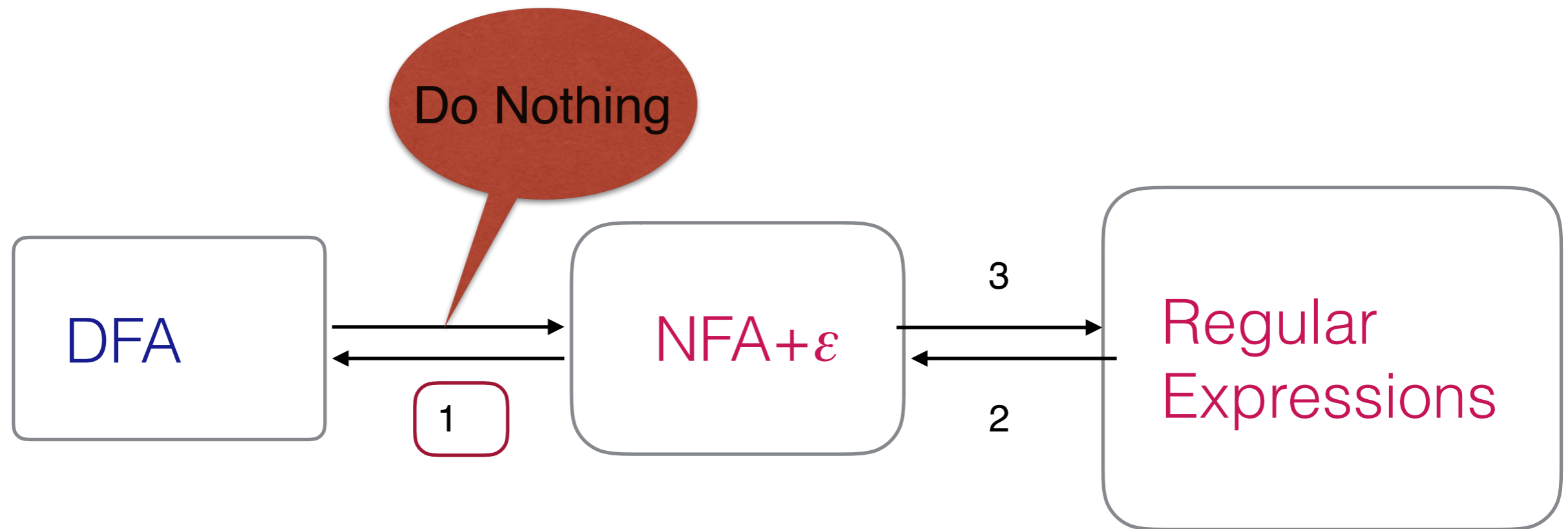
Kleene's theorem



Kleene's theorem



Kleene's theorem

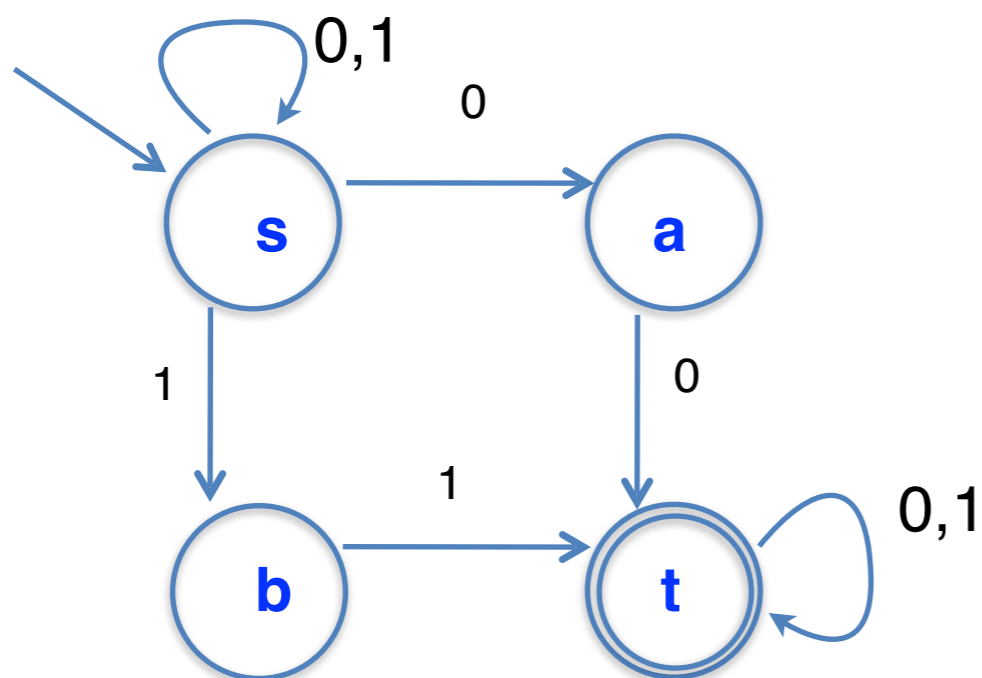


DFA from NFA (aka the subset construction)

NFA: $N = (\Sigma, Q, \delta, s, A)$

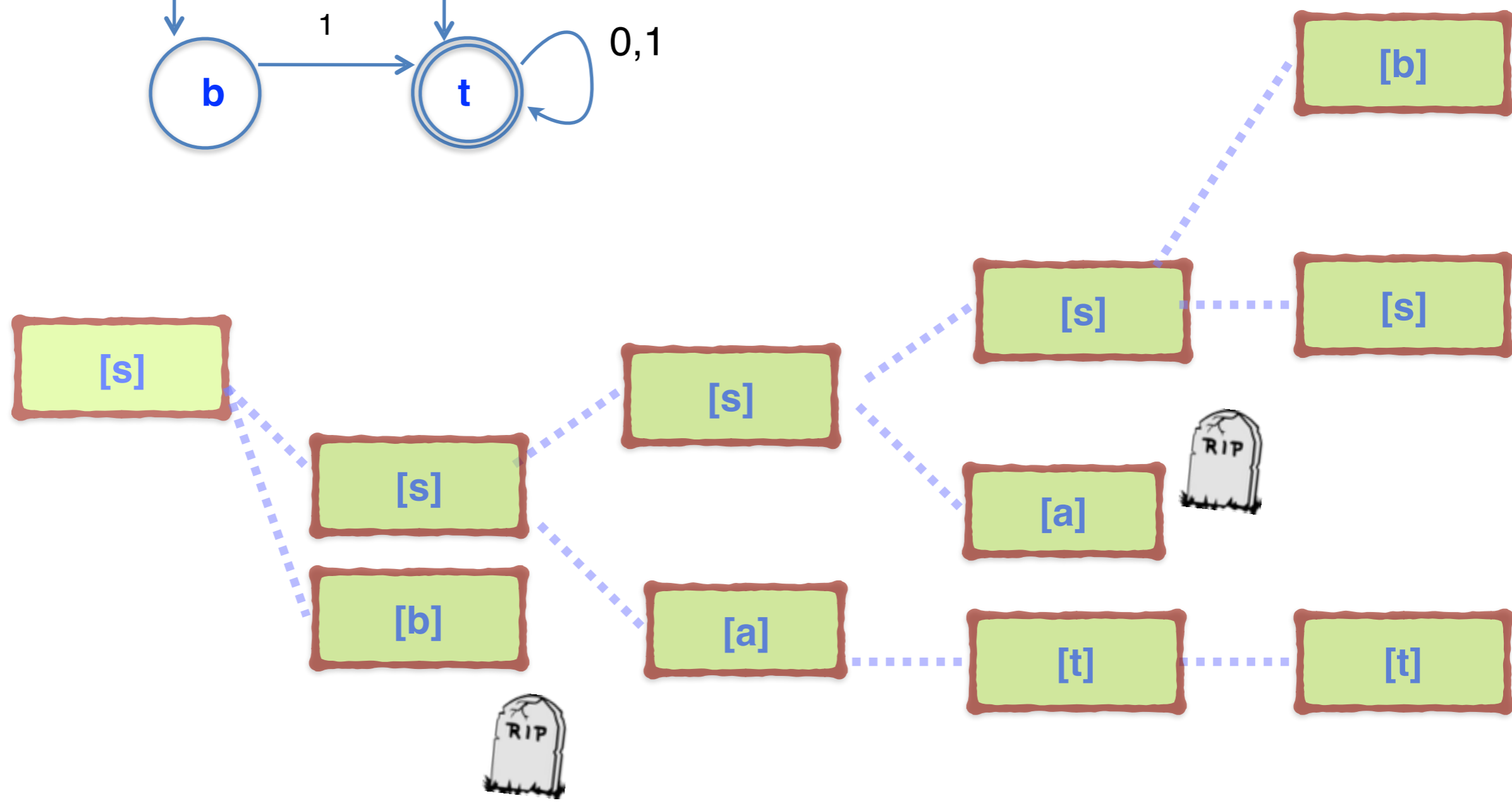
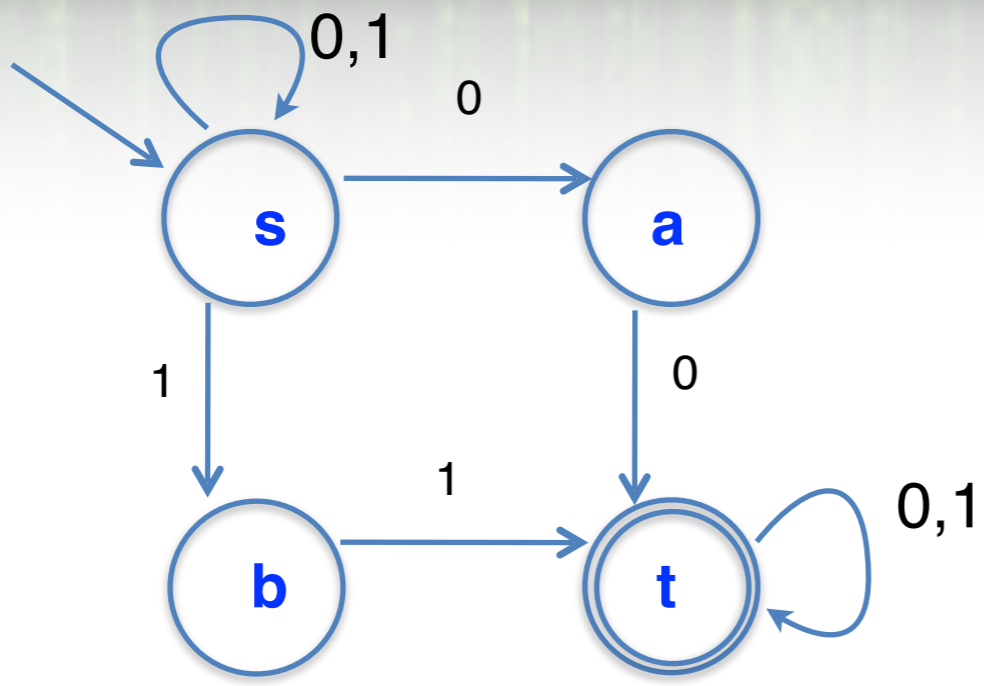
$$\delta : Q \times \Sigma \rightarrow \mathcal{P}(Q)$$

assume no
 ϵ -moves





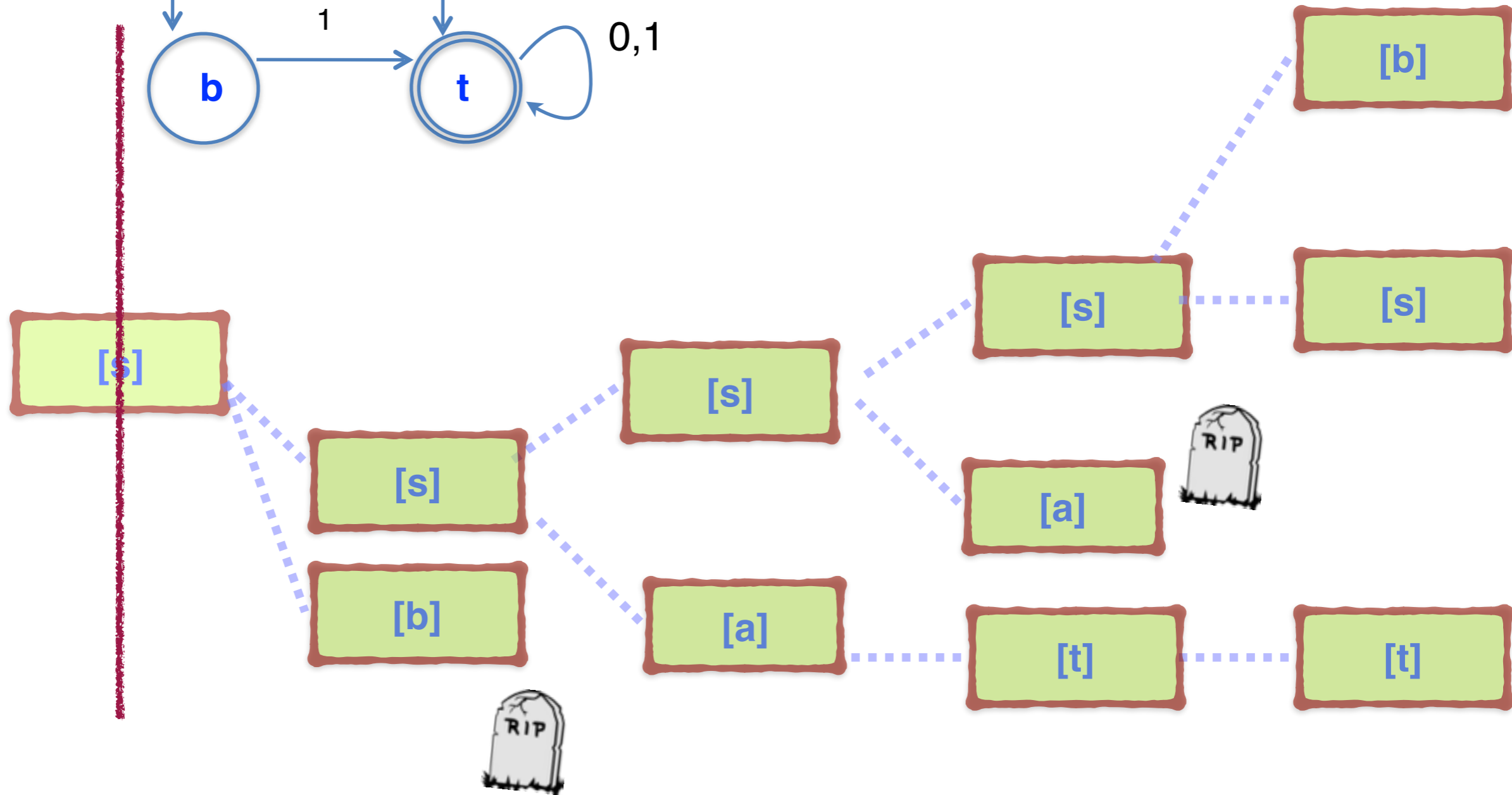
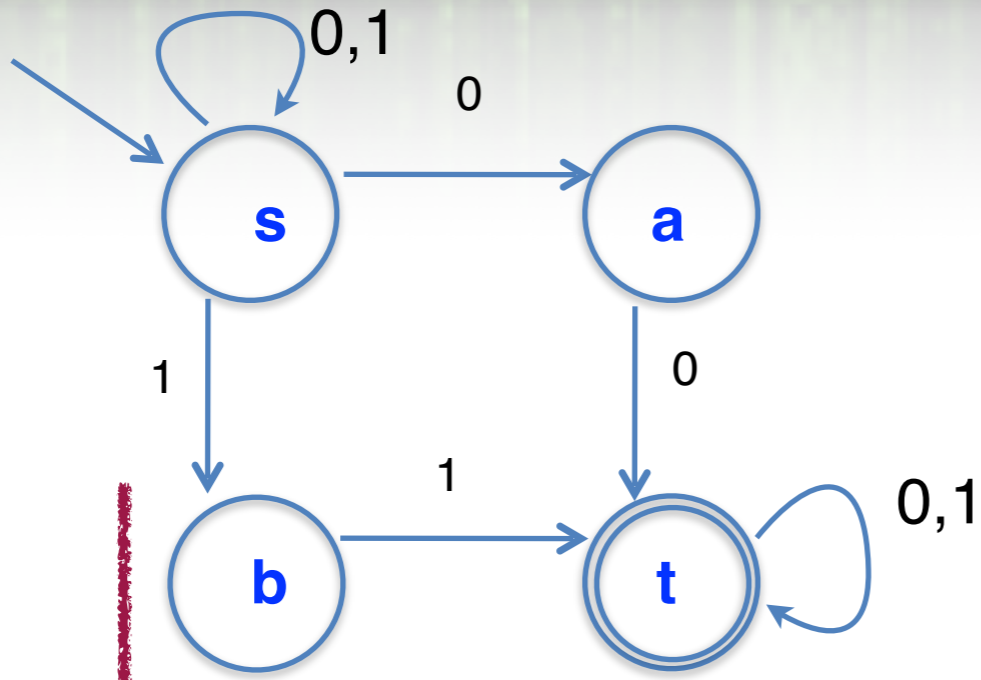
NFA



▼ 1001 1001 1001 1001 1001



NFA



1001

1001

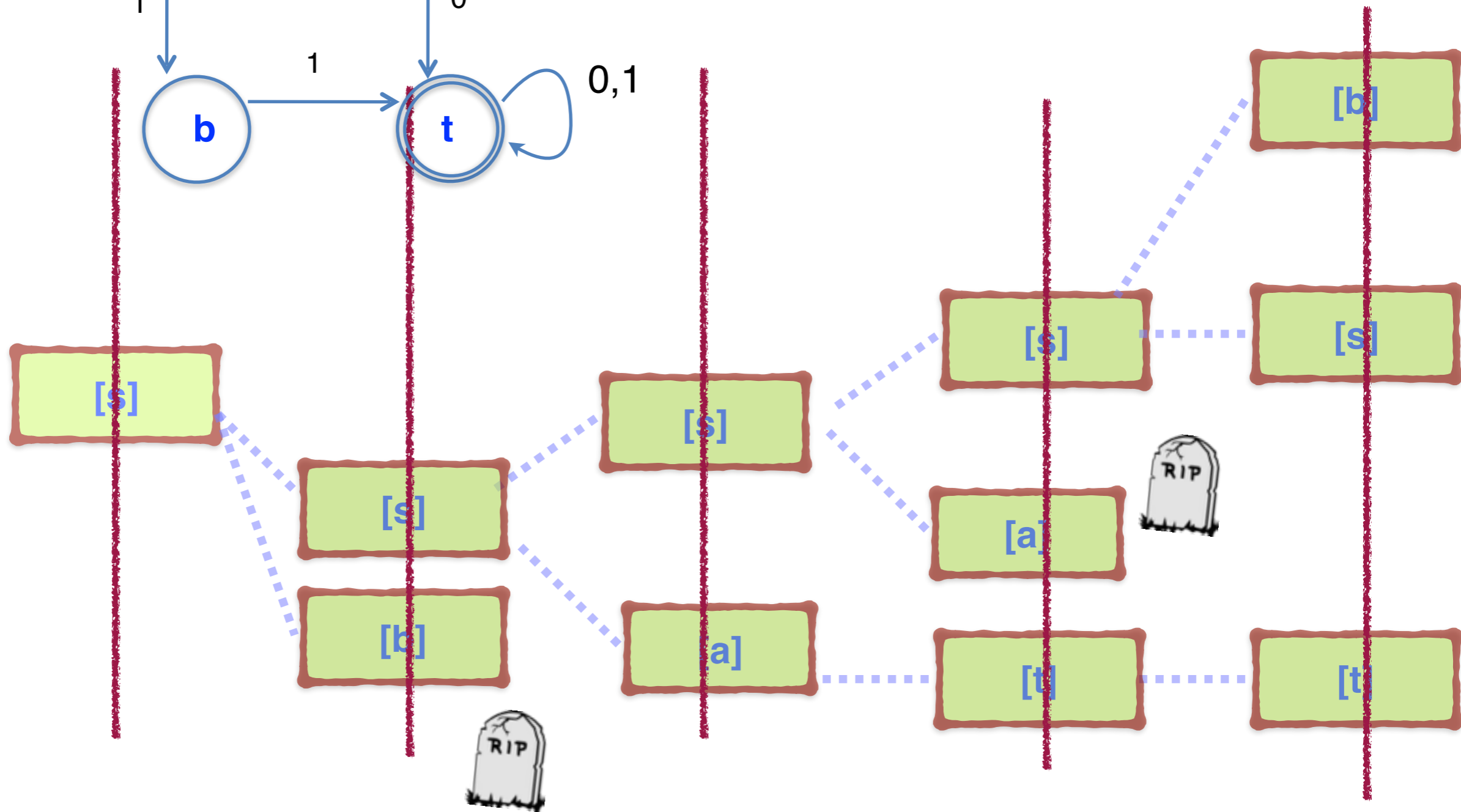
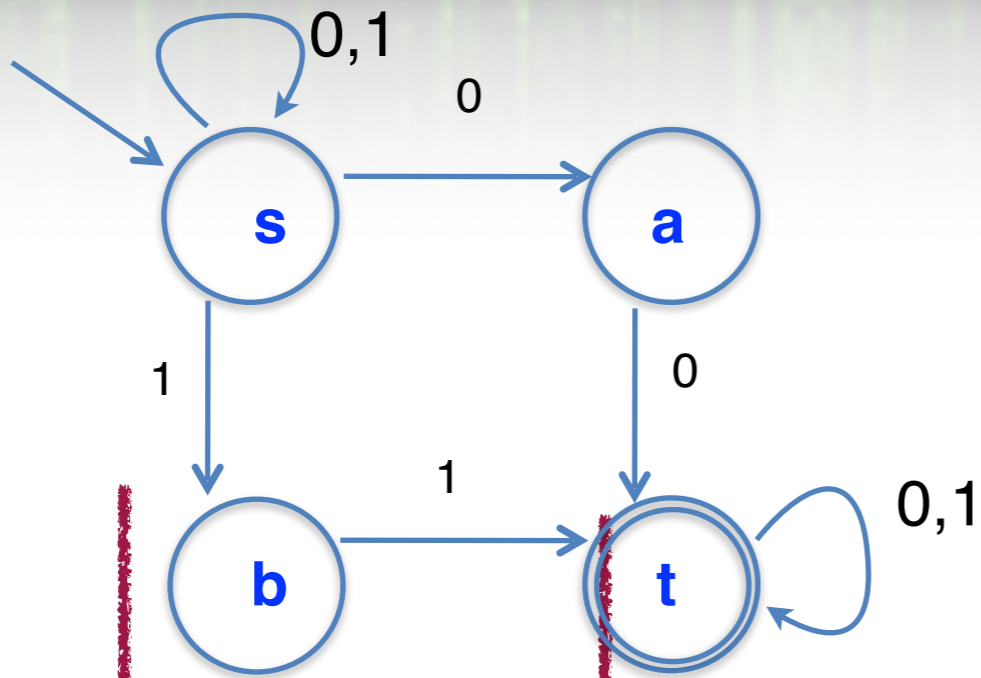
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NFA



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NFA to DFA

NFA: $N = (\Sigma, Q, \delta, s, A)$

$$\delta : Q \times \Sigma \rightarrow \mathcal{P}(Q)$$

assume no
 ϵ -moves

DFA: $M_N = (\Sigma, Q', \delta', s', A')$

$$Q' = 2^Q = \mathcal{P}(Q)$$

$$s' = \{s\}$$

Deterministic state is now a set of
(non-deterministic) states

$$A' = \{\text{all subsets } P \text{ of } Q \text{ s.t. } P \cap A \neq \emptyset\}$$

Theorem : $L(N) = L(M_N)$

$$\delta' : \mathcal{P}(Q) \times \Sigma \rightarrow \mathcal{P}(Q)$$

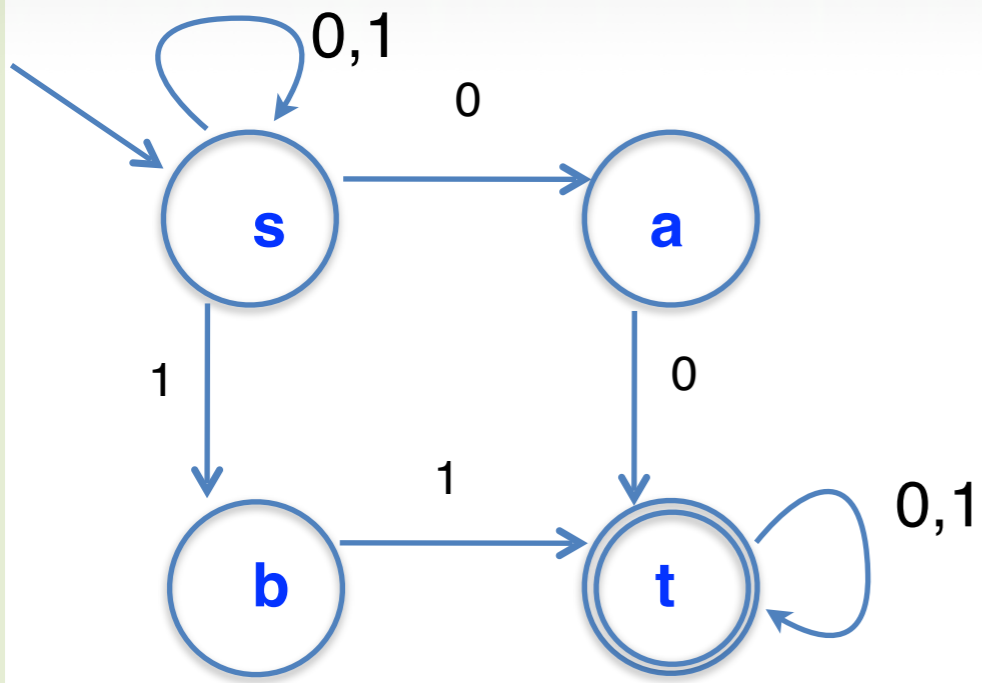
$$\delta'(P, a) = \bigcup_{q \in P} \delta(q, a)$$



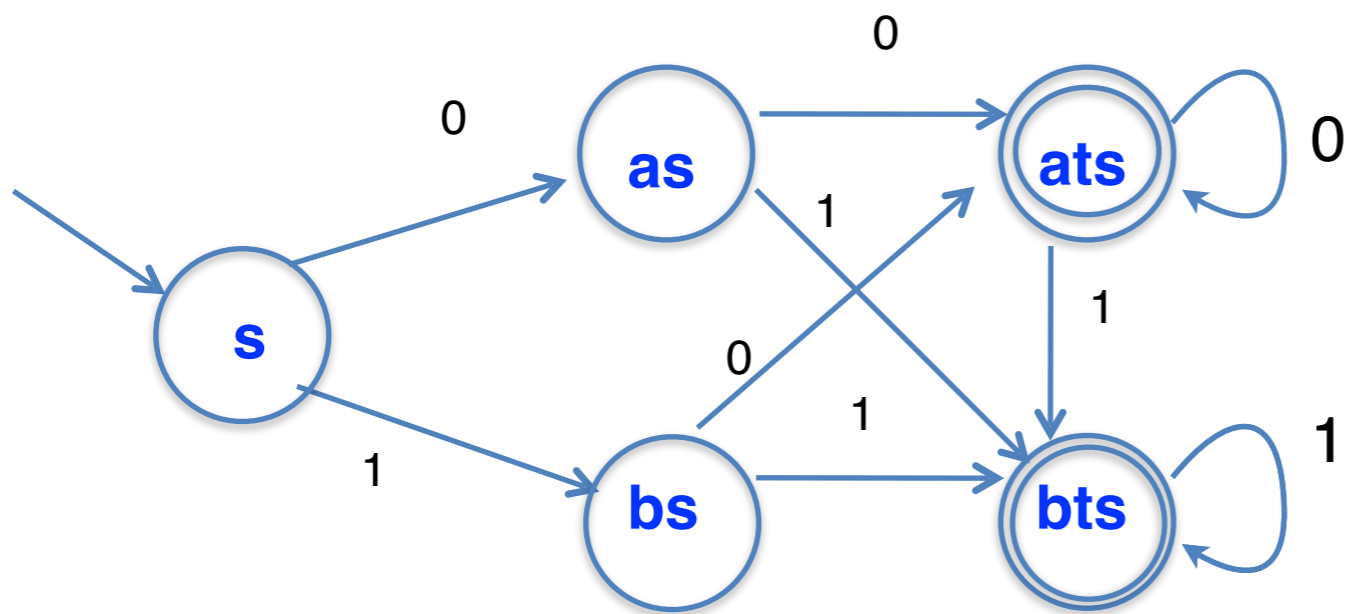
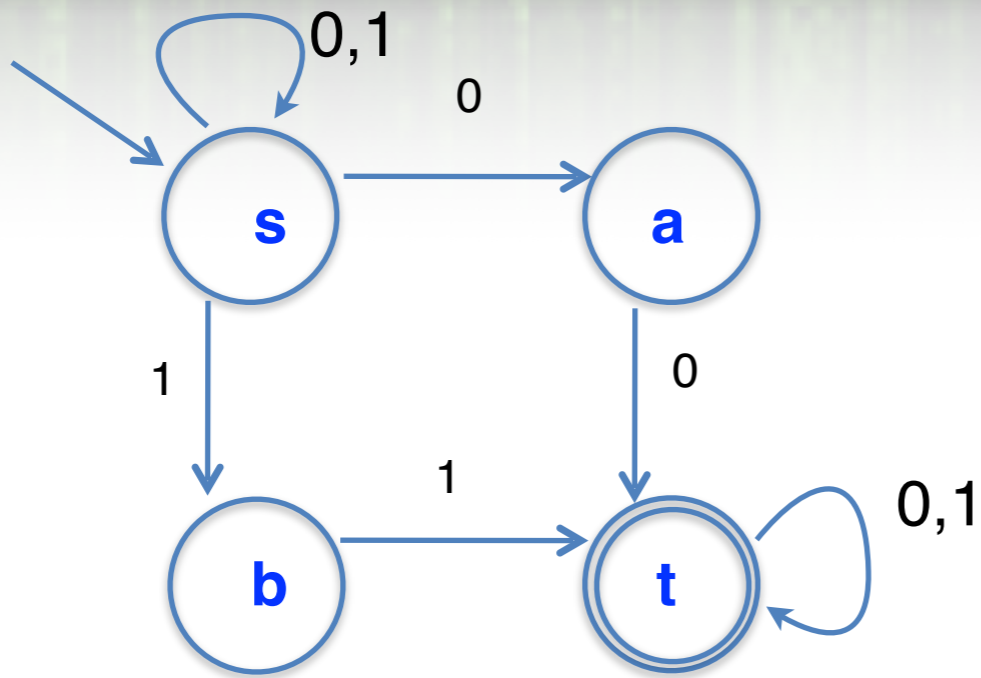
NFA to DFA



- There are too many states in this DFA, more than necessary.
- Construct the DFA incrementally instead, by performing BFS on the DFA graph.
- Prepare a table as follows



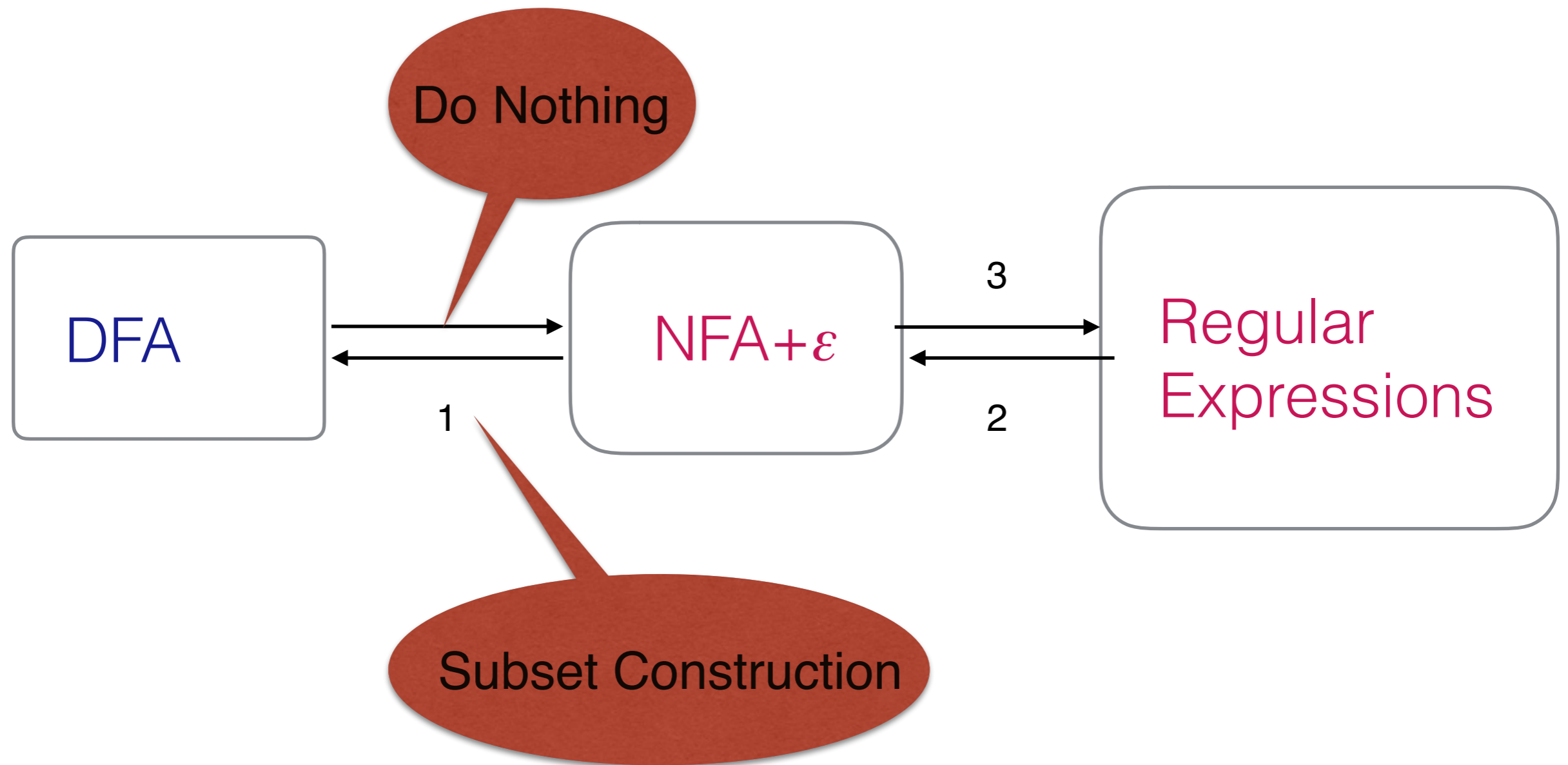
P	ϵ	$\delta'(P,0)$	$\delta'(P,1)$	$q' \in A'$
s	s	as	bs	No
as	as	ats	bs	No
bs	bs	as	bts	No
ats	ats	ats	bts	Yes
bts	bts	ats	bts	Yes



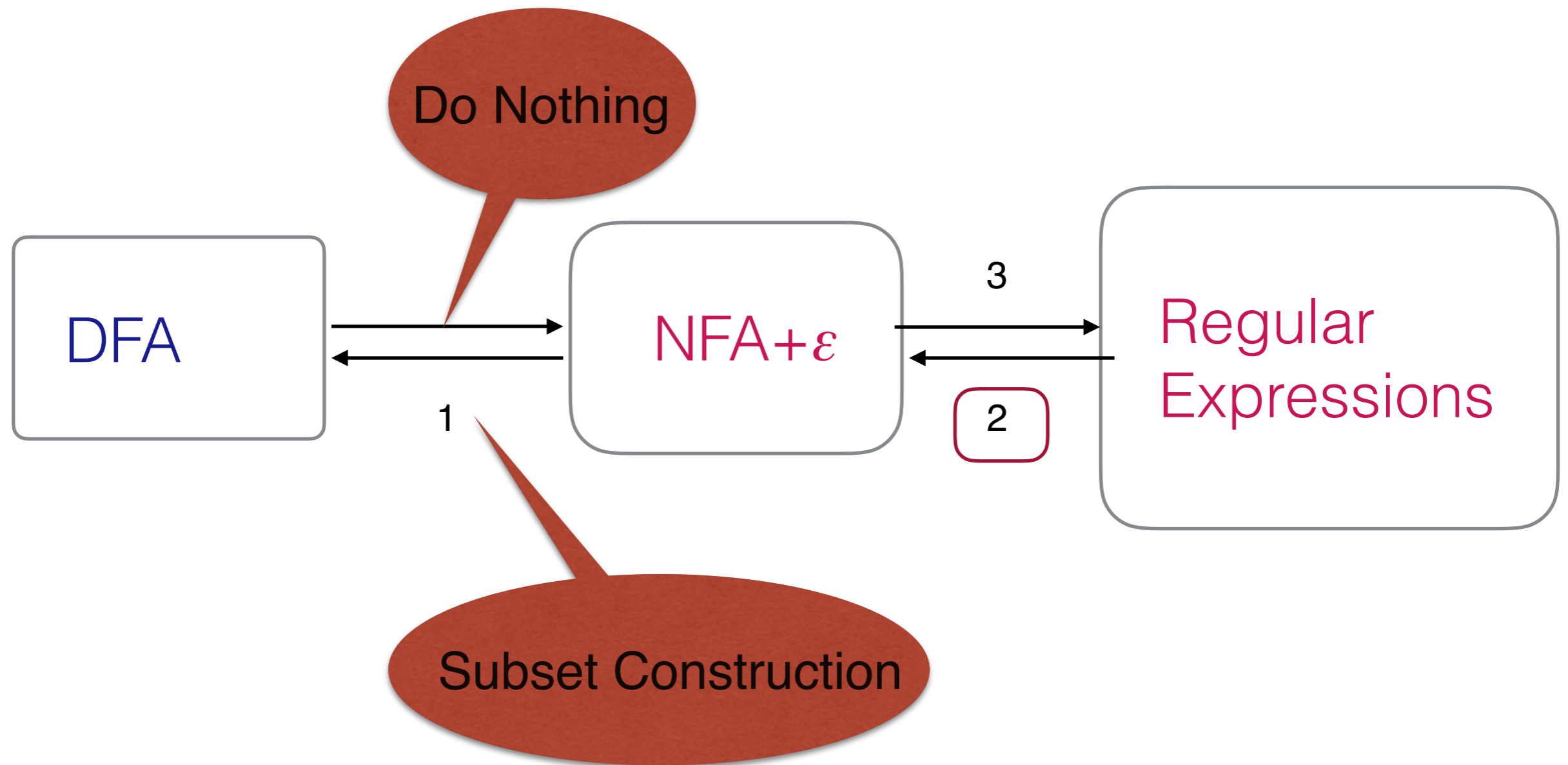
P	ϵ	$\delta'(P,0)$	$\delta'(P,1)$	$q' \in A'$
s	s	as	bs	No
as	as	ats	bs	No
bs	bs	as	bts	No
ats	ats	ats	bts	Yes
bts	bts	ats	bts	Yes



Kleene's theorem



Kleene's theorem



NFAs from Regular Languages

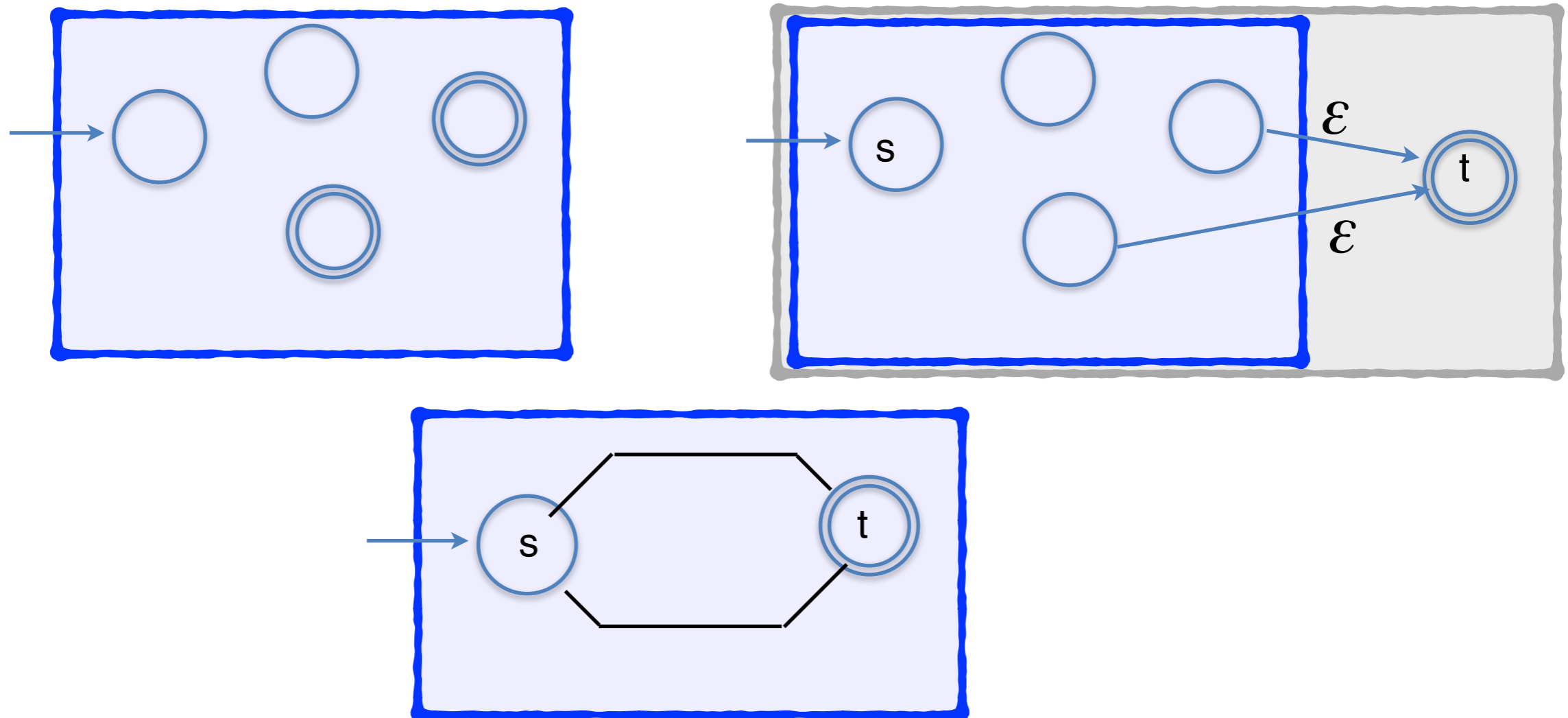
Theorem (Thompsons Algorithm): Every regular language is accepted by an NFA.

We will show how to get from regular expressions to NFA+ ϵ , *but in a particular way. One accepting state only!*



Single Final State Form

Can compile a given NFA so that there is only one final state (and there is no transition out of that state)



NFAs from Regular Languages

Theorem : Every regular language is accepted by an NFA.

Proof: Recall definition of Regular Language.

Atomic expressions (Base cases)

\emptyset	$L(\emptyset) = \emptyset$
w for $w \in \Sigma^*$	$L(w) = \{w\}$

Inductively defined expressions

(r_1+r_2)	$L(r_1+r_2) = L(r_1) \cup L(r_2)$
(r_1r_2)	$L(r_1r_2) = L(r_1)L(r_2)$
(r^*)	$L(r^*) = L(r)^*$



NFAs from Regular Languages

Theorem : Every regular language is accepted by an NFA.

Proof: Recall definition of Regular Language.

Base Case 1: $L = \emptyset$

What is a NFA for L ?

NFAs from Regular Languages

Theorem : Every regular language is accepted by an NFA.

Proof: Recall definition of Regular Language.

Base Case 1: $L = \emptyset$

What is a NFA for L ?



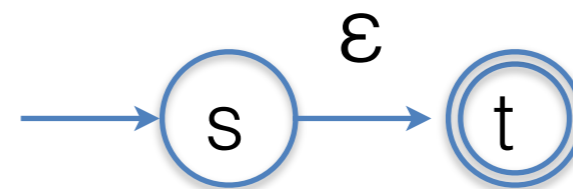
NFAs from Regular Languages

Theorem : Every regular language is accepted by an NFA.

Proof: Recall definition of Regular Language.

Base Case 2: $L = \{\epsilon\}$

What is a NFA for L ?



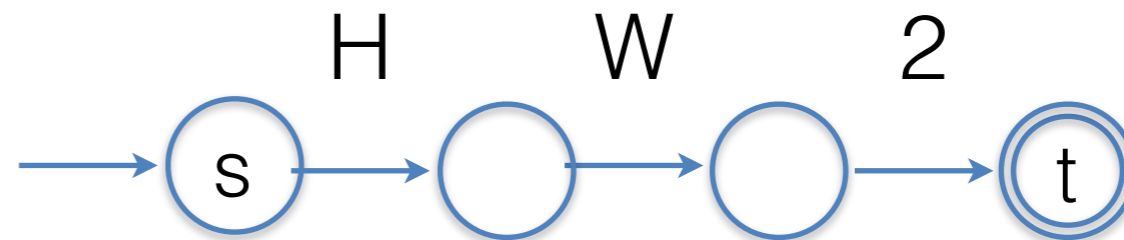
NFAs from Regular Languages

Theorem : Every regular language is accepted by an NFA.

Proof: Recall definition of Regular Language.

Base Case 3: $L = \{a\}$, some string in Σ^* (e.g. HW2)

What is a NFA for L?



NFAs from Regular Languages

Theorem : Every regular language is accepted by an NFA.

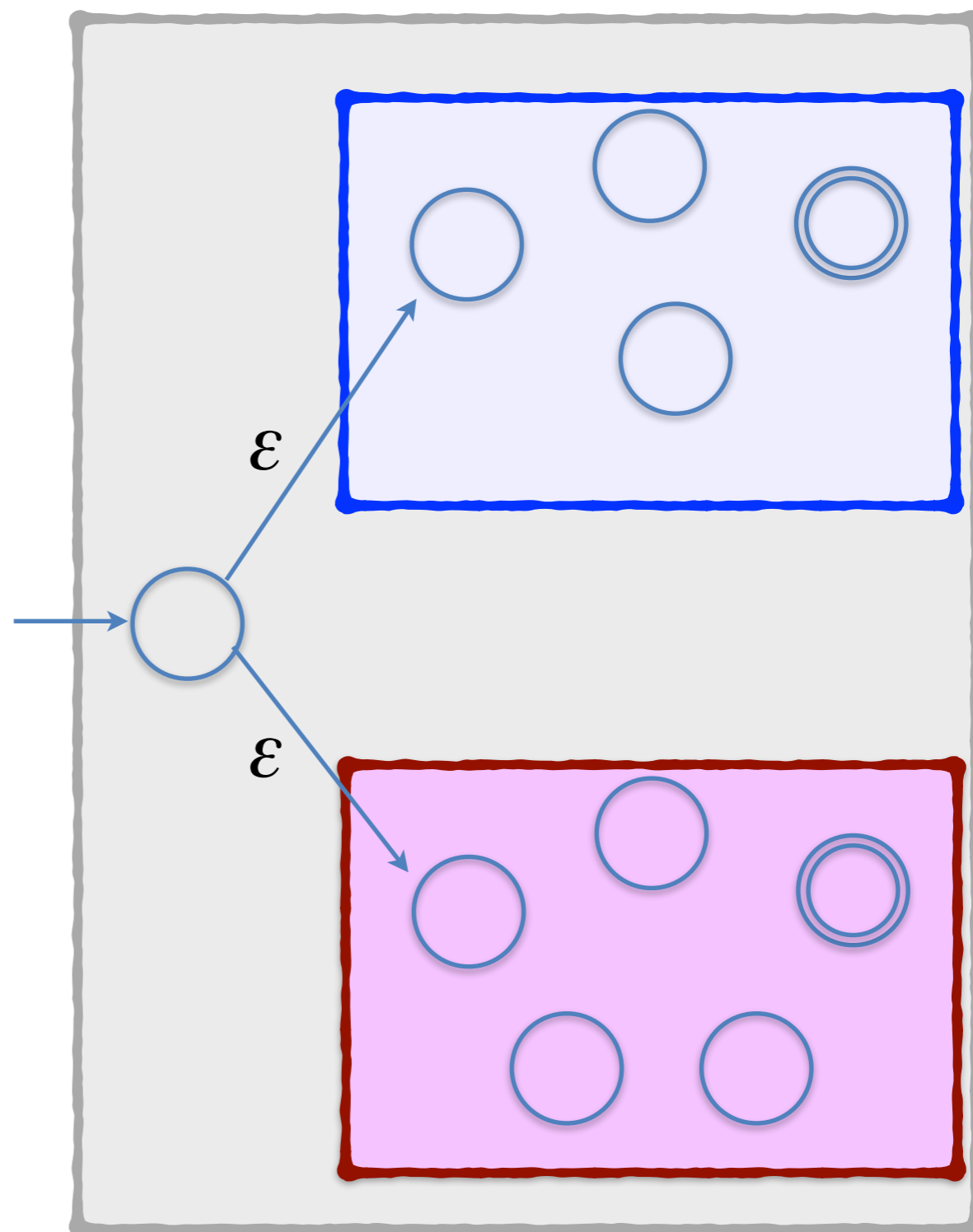
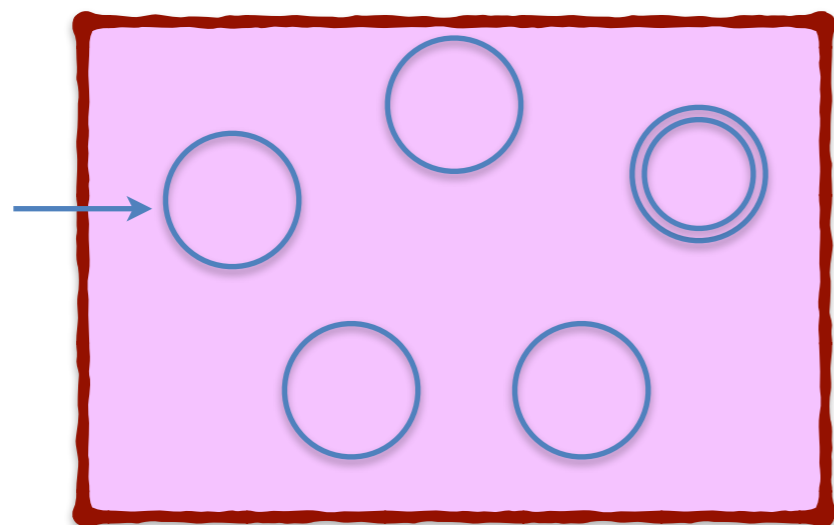
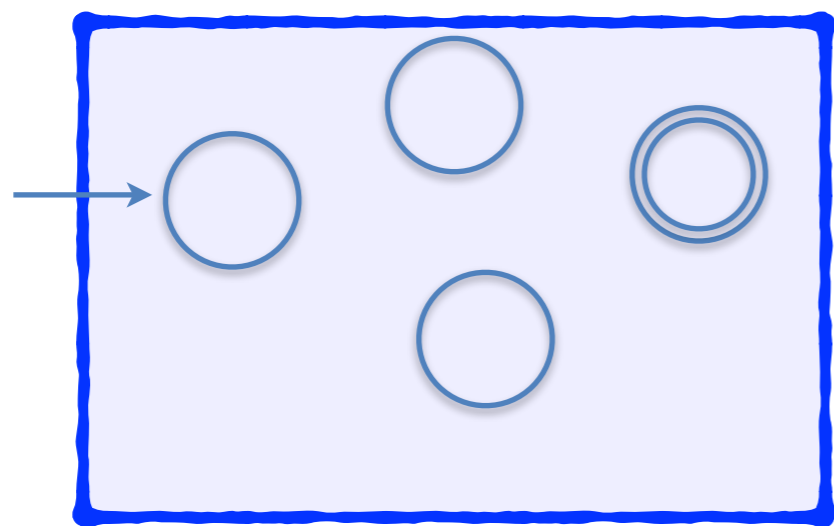
Proof: Recall definition of Regular Language.

Inductive case 1: $L = A \cup B$

What is a NFA for L ?



Closure Under Union



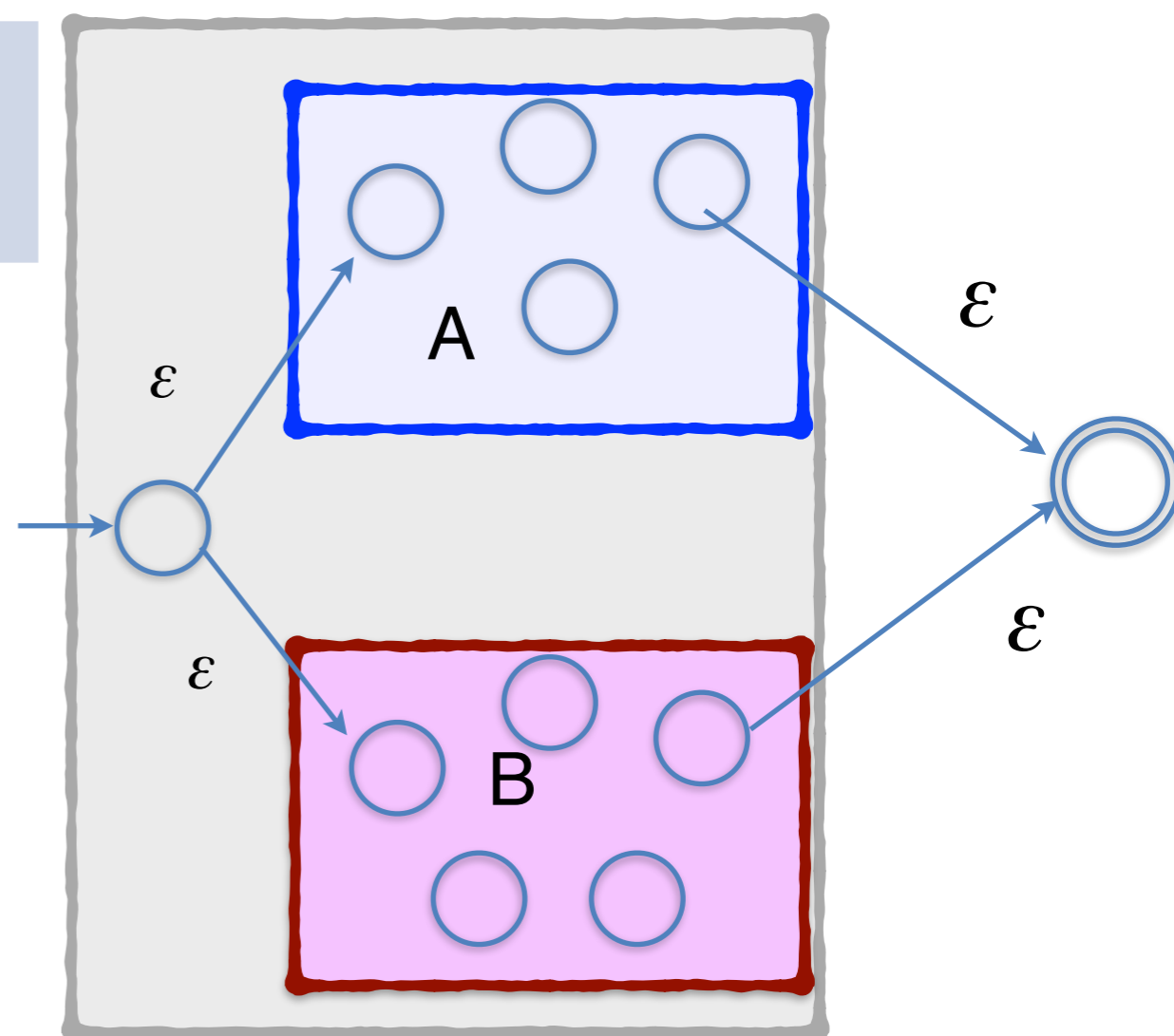
NFAs from Regular Languages

Theorem : Every regular language is accepted by an NFA.

Proof: Recall definition of Regular Language.

Inductive case 1: $L = A \cup B$

What is a NFA for L ?



NFAs from Regular Languages

Theorem : Every regular language is accepted by an NFA.

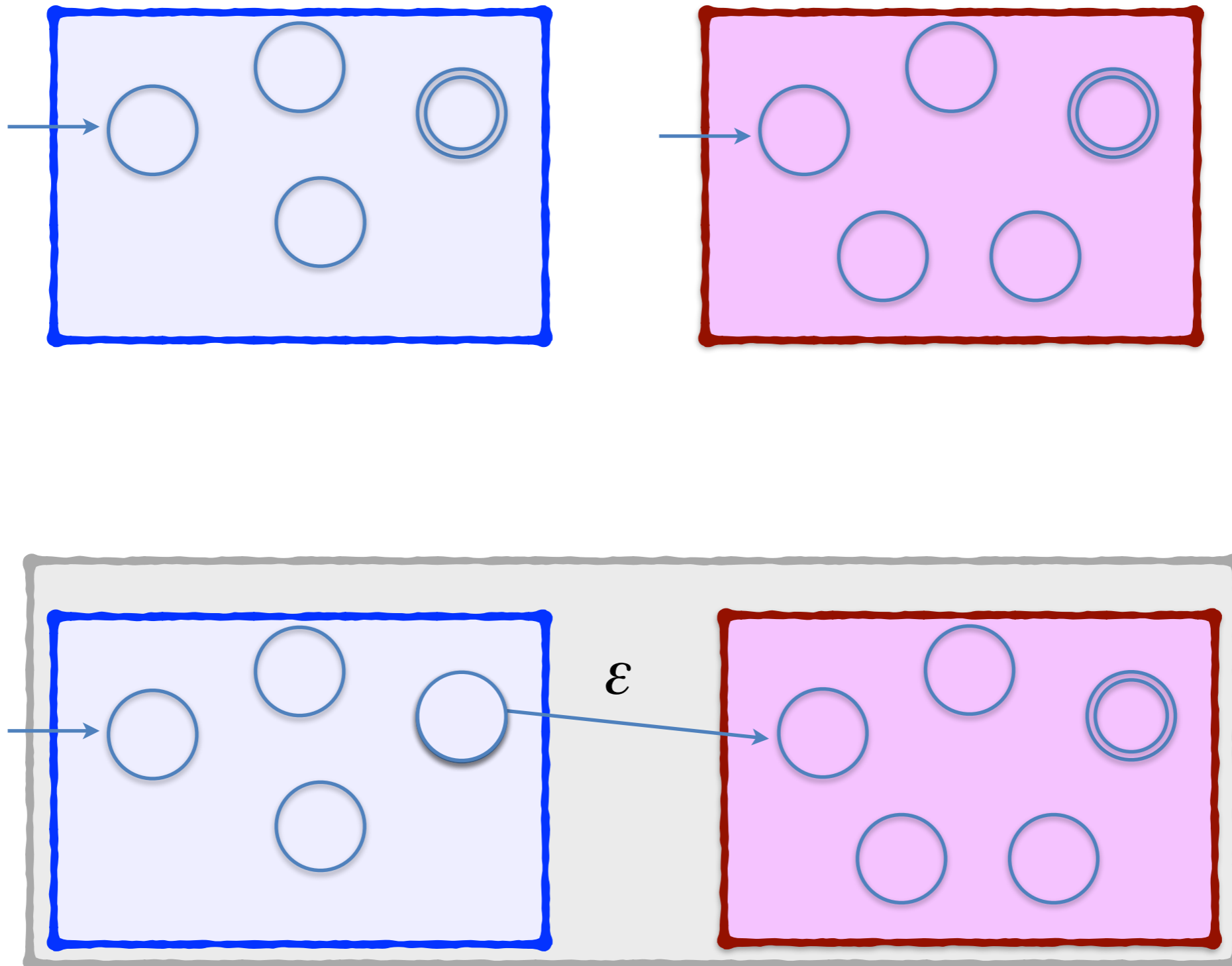
Proof: Recall definition of Regular Language.

Inductive case 2: $L=AB$

What is a NFA for L ?



Closure Under Concatenation



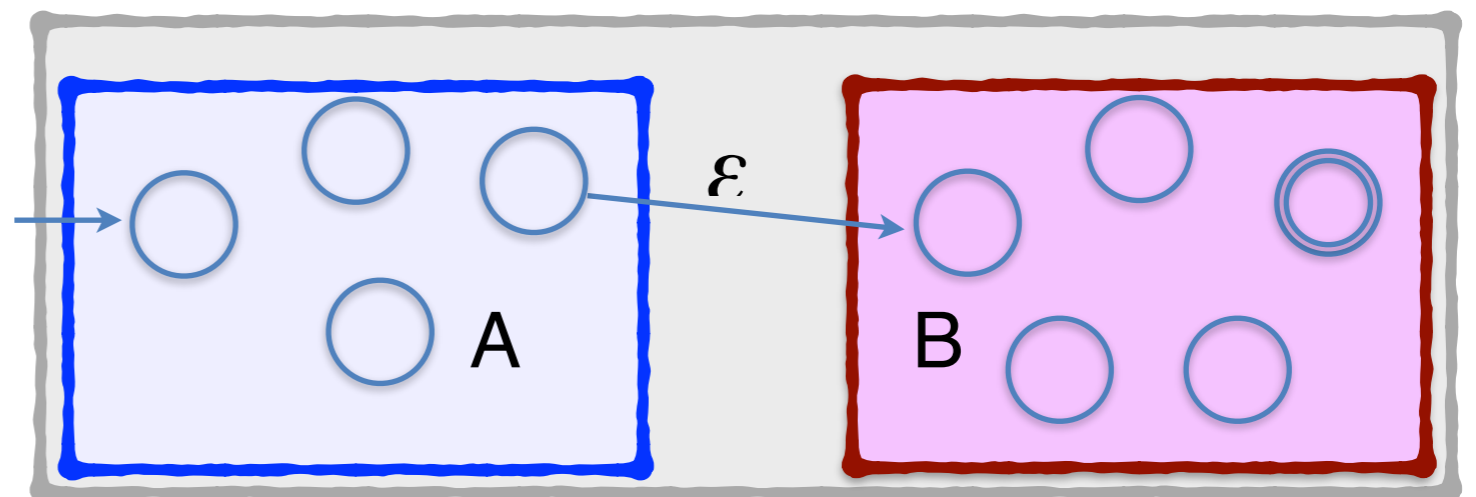
NFAs from Regular Languages

Theorem : Every regular language is accepted by an NFA.

Proof: Recall definition of Regular Language.

Inductive case 2: $L=AB$

What is a NFA for L ?



NFAs from Regular Languages

Theorem : Every regular language is accepted by an NFA.

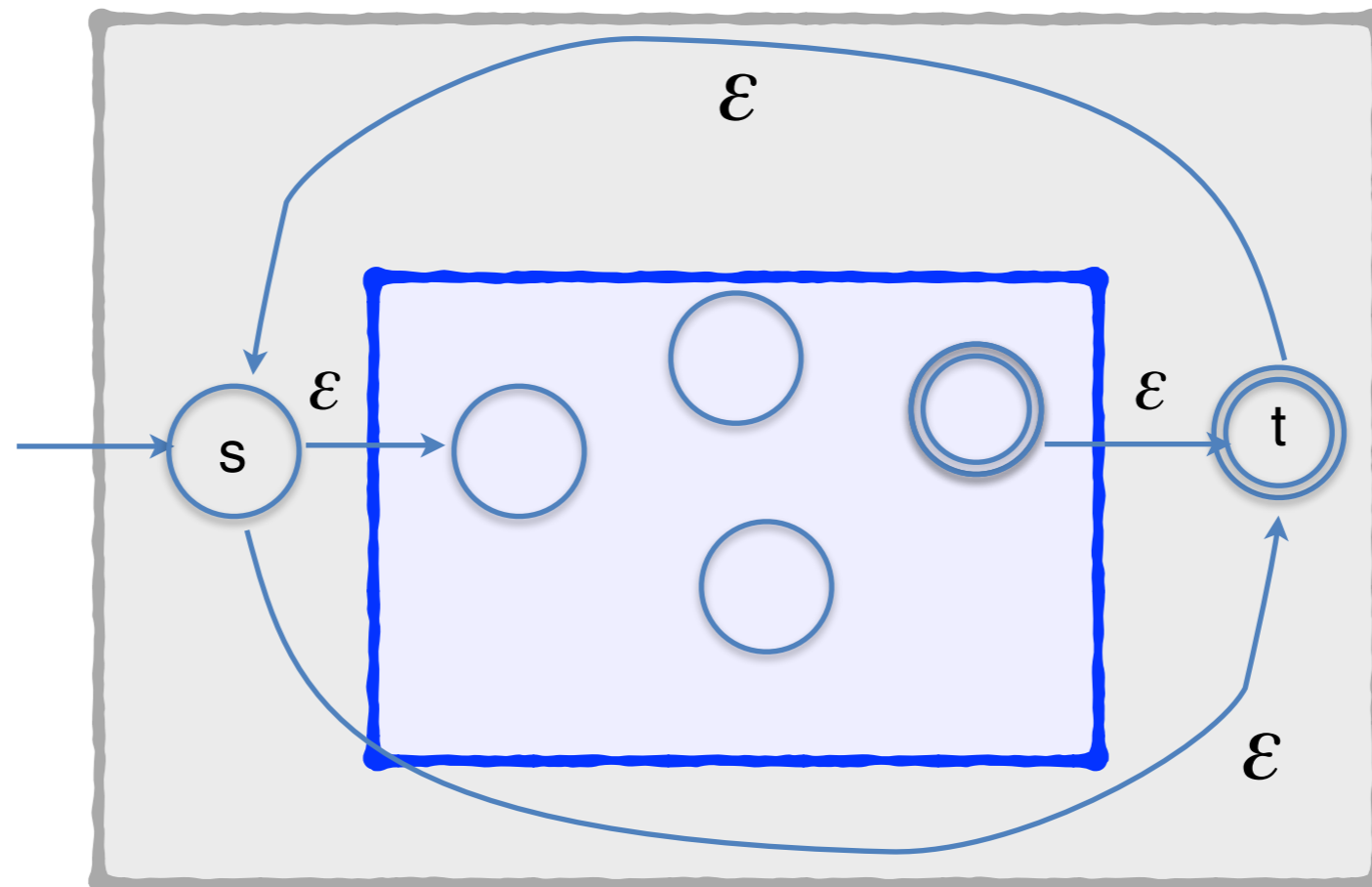
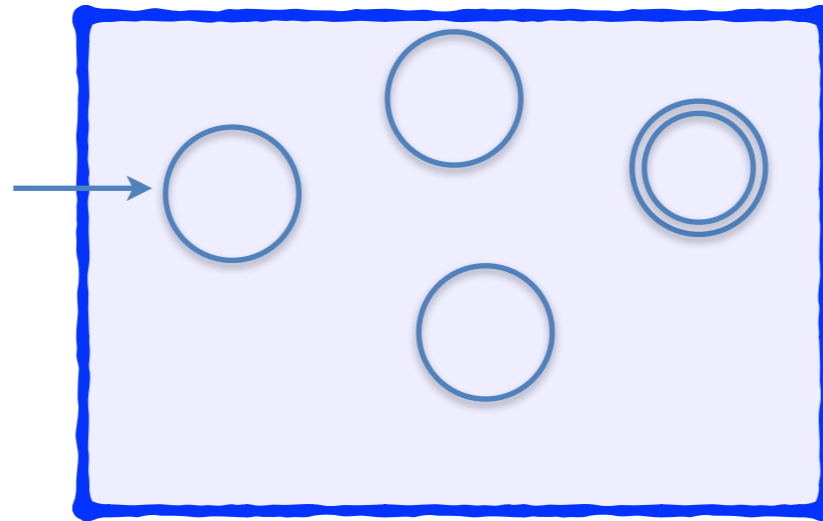
Proof: Recall definition of Regular Language.

Inductive case 3: $L=A^*$

What is a NFA for L ?



Closure Under Kleene Star



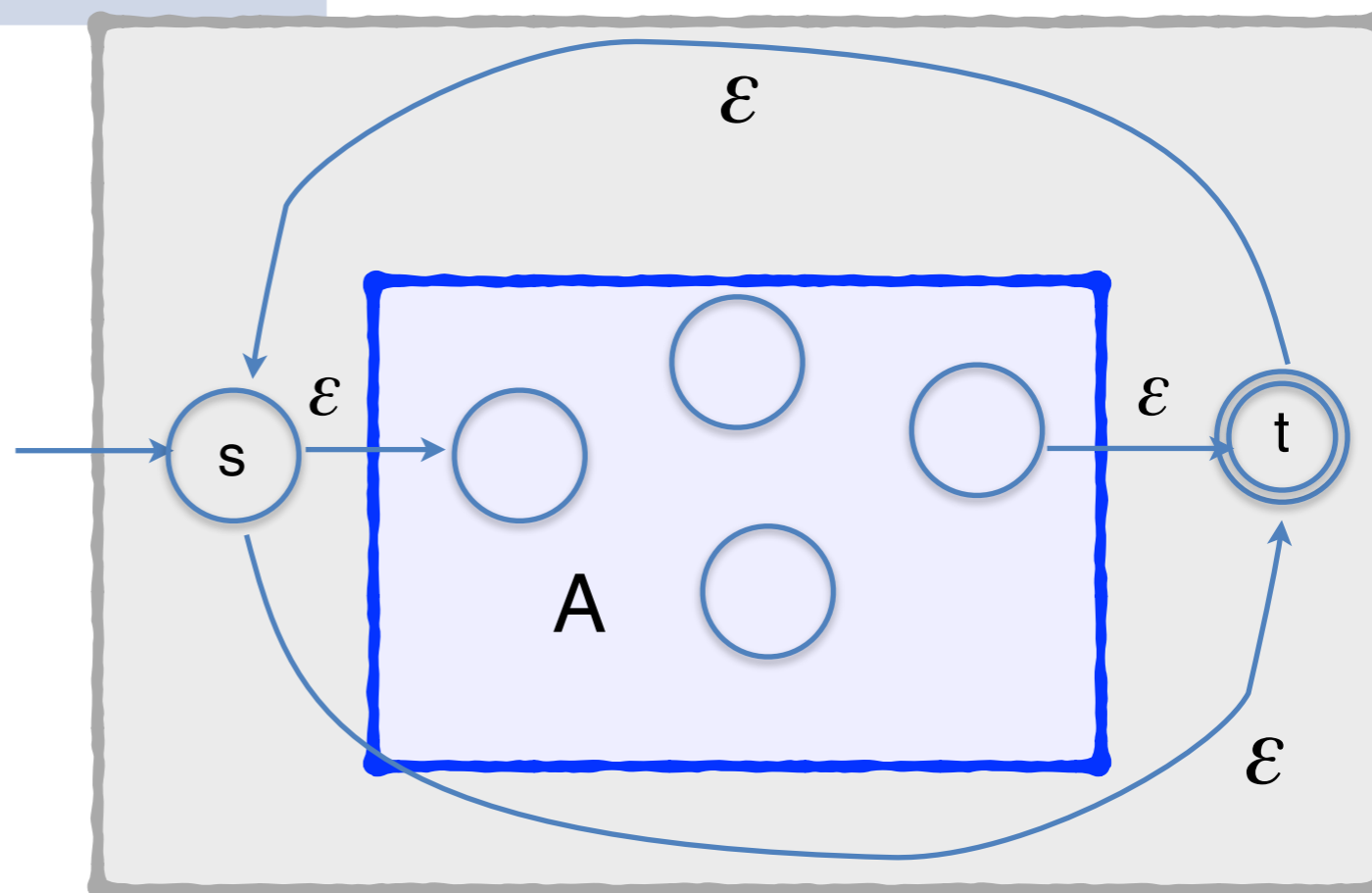
NFAs from Regular Languages

Theorem : Every regular language is accepted by an NFA.

Proof: Recall definition of Regular Language.

Inductive case 3: $L=A^*$

What is a NFA for L ?



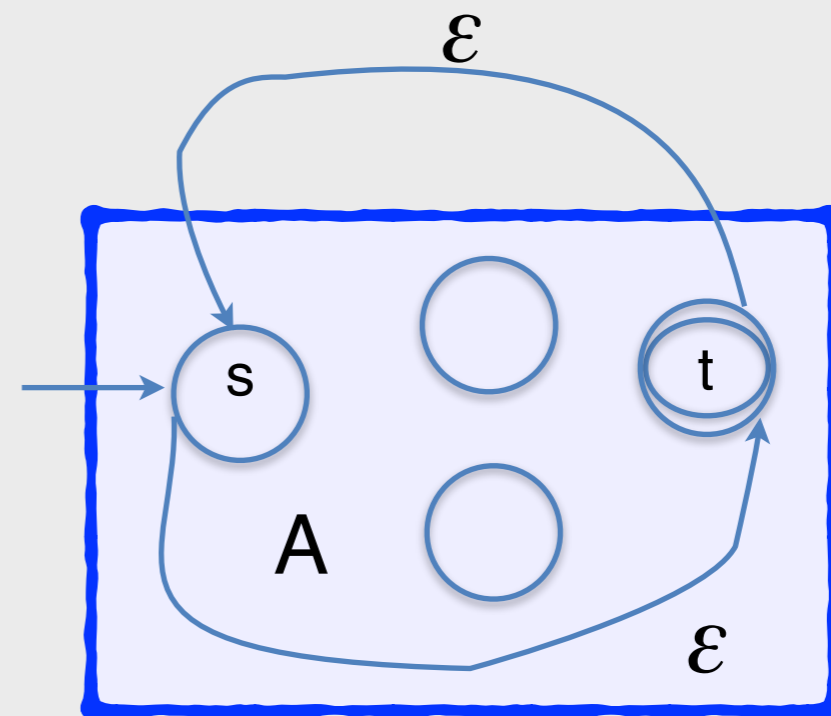
NFAs from Regular Languages

Theorem : Every regular language is accepted by an NFA.

Proof: Recall definition of Regular Language.

Inductive case 3: $L=A^*$

Why not?

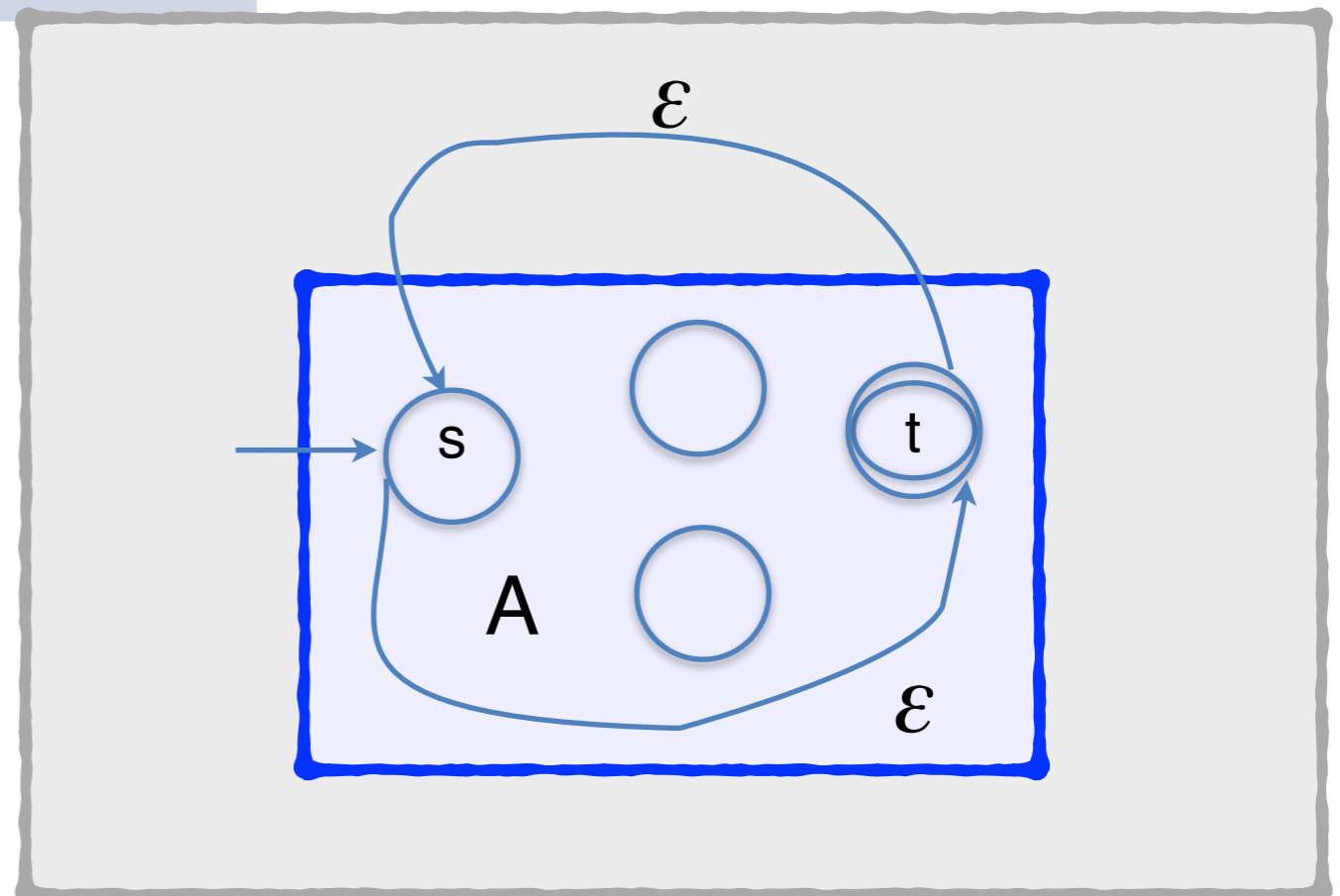
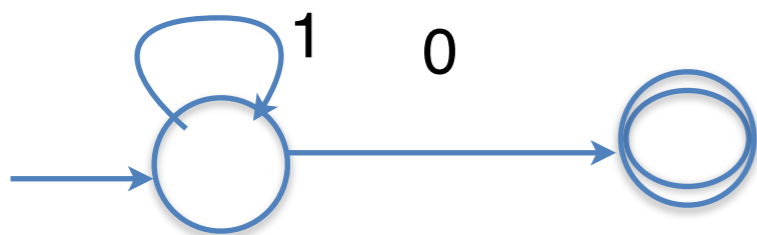


NFAs from Regular Languages

Theorem : Every regular language is accepted by an NFA.

Proof: Recall definition of Regular Language.

Inductive case 3: $L=A^*$

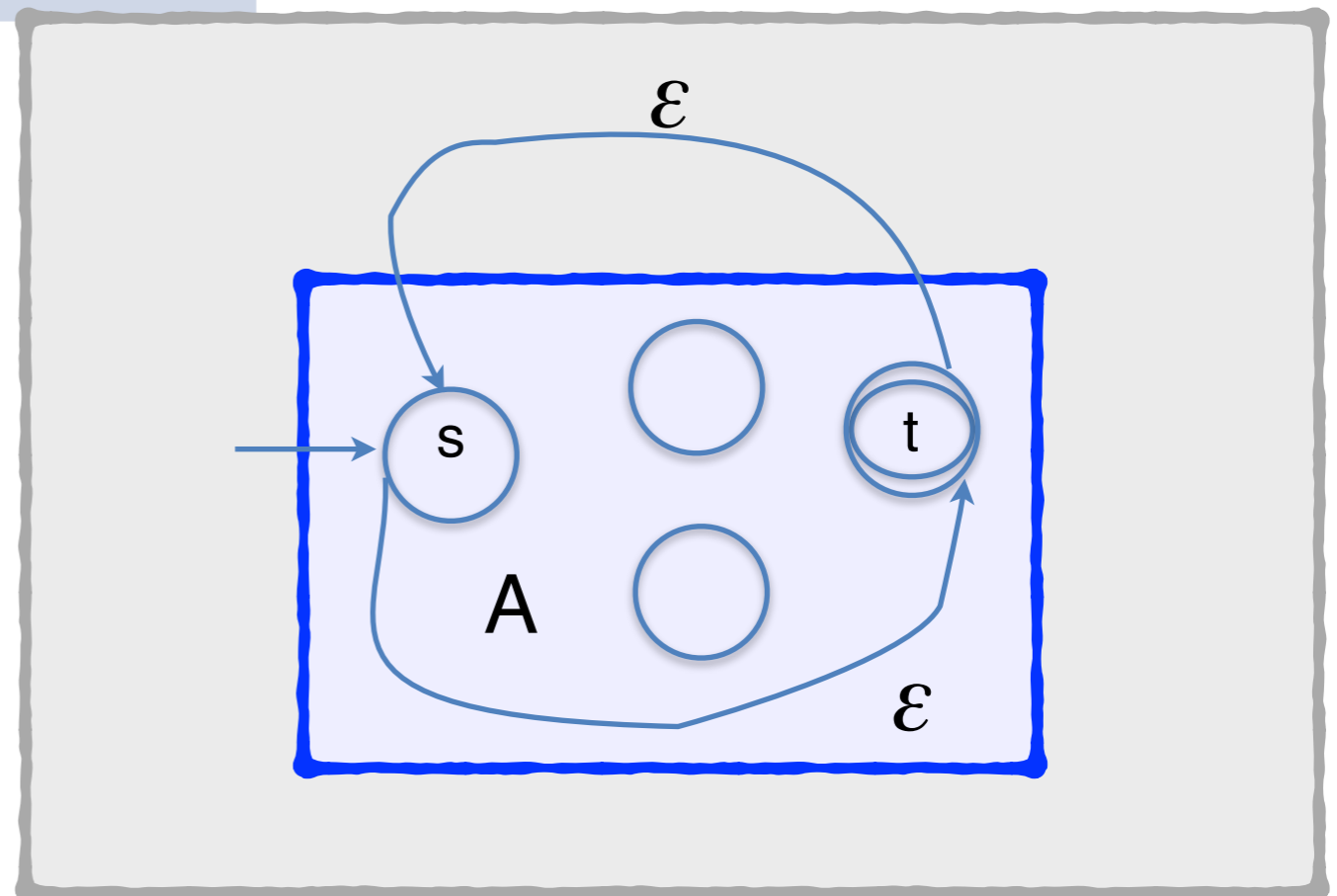
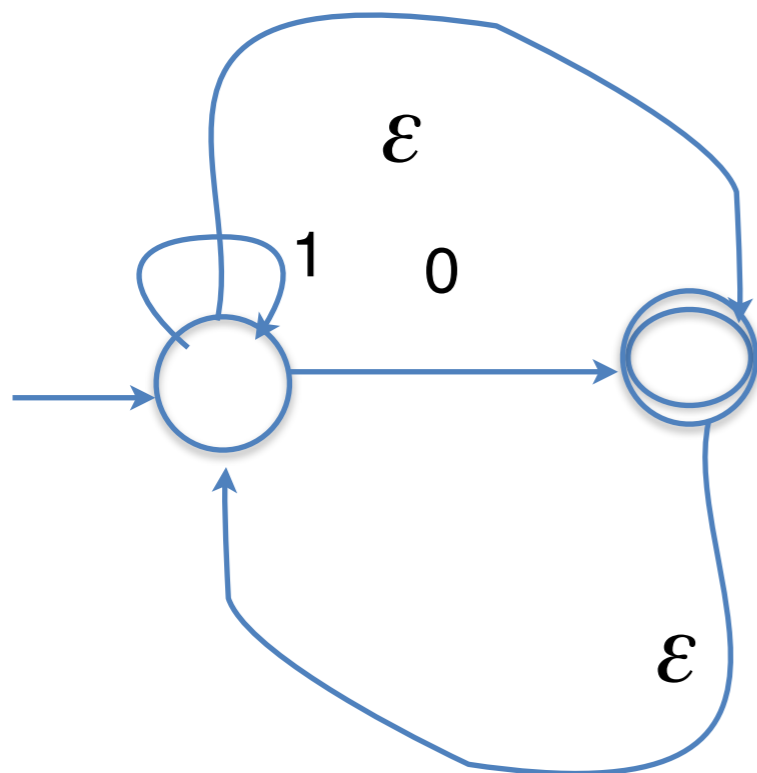


NFAs from Regular Languages

Theorem : Every regular language is accepted by an NFA.

Proof: Recall definition of Regular Language.

Inductive case 3: $L=A^*$



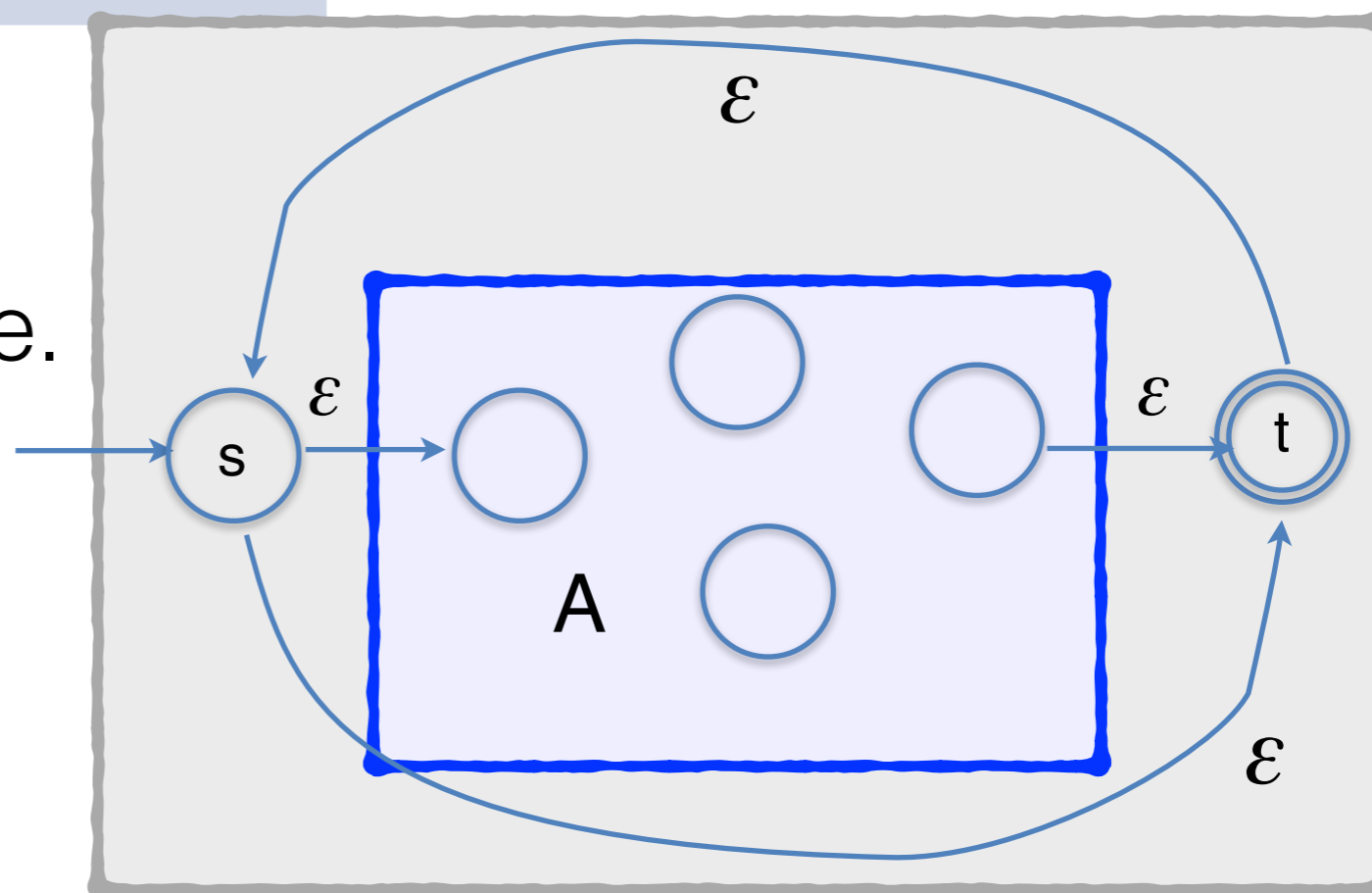
NFAs from Regular Languages

Theorem : Every regular language is accepted by an NFA.

Proof: Recall definition of Regular Language.

Inductive case 3: $L=A^*$

I need the new start state.



NFAs & Regular Languages

Example : L given by regular expression $(10+1)^*$

