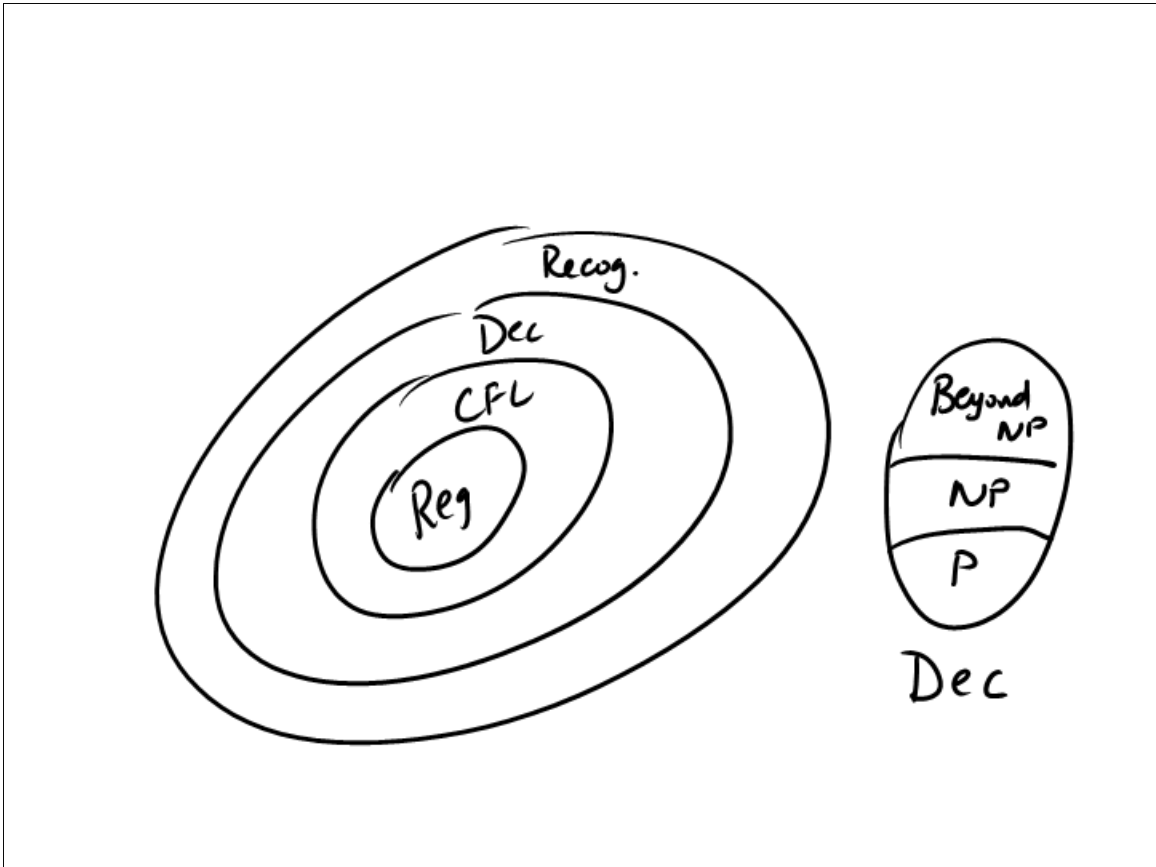
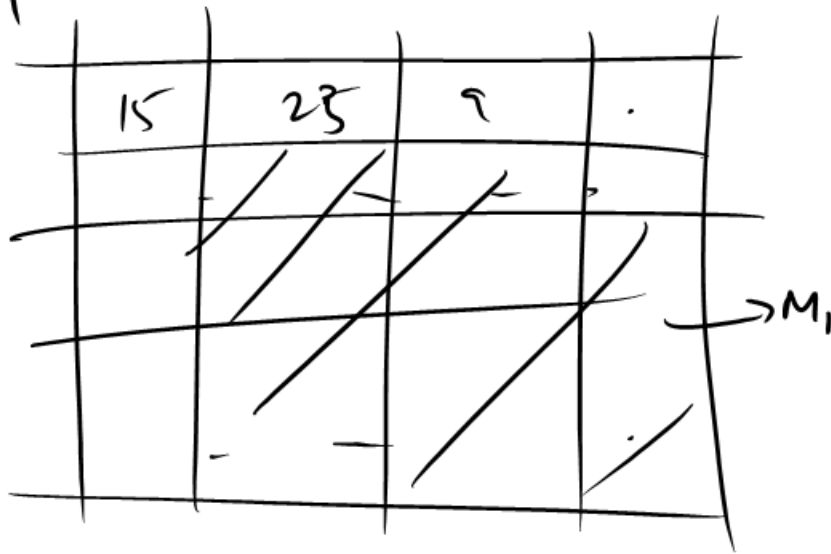


## Complexity theory

- P & NP
- NP-completeness
- Cook-Levin theorem



M



Alg I: Determinant "co-factor expansion"  $15 M_1$  Time ( $O(n!)$ )  
Gaussian elimination:  $O(n^3)$ ...

$O(2^n)$  is way worse  
than a  $O(n^3)$   
algm.

Polynomial time : Solvable fast  
in real life.

↳ Most "natural" computation models  
don't differentiate problems in  
P-time.

RAM  $\hookrightarrow$  TM  
 $t \hookrightarrow t^2$

$P$  : The class of problems  
(languages) that can be  
decided by Turing machines  
working in time  $O(n^k)$   
for some  $k$ .  
↳ fixed

$$P = \bigcup_{k \geq 0} \text{TIME}(n^k)$$

## Problems that can be solved in P-time

- $S \rightarrow t$  reachability in graphs.  $\in P$   
linear time
- CFL membership  $\in P$   
 $O(n^3)$  time
- Sorting  $\in P$   
 $O(n \log n)$  time  
... with comparison model.
- Substring searching  
(grep)
- $\vdots$

## Cook-Levin & NP

NP - class of problems (languages) that can be solved by nondet TM in poly time.



Given a graph  $G=(V,E)$   
 & a number  $k$   
 does  $G$  have a clique of size  $k$ ?



clique of size 4

no clique of size 5.

CLIQUE =  $\{ \langle G=(V,E), k \rangle \mid G \text{ has a clique of size } k \}$

Naïveté TM can solve this in time  $O(n \cdot k^2)$

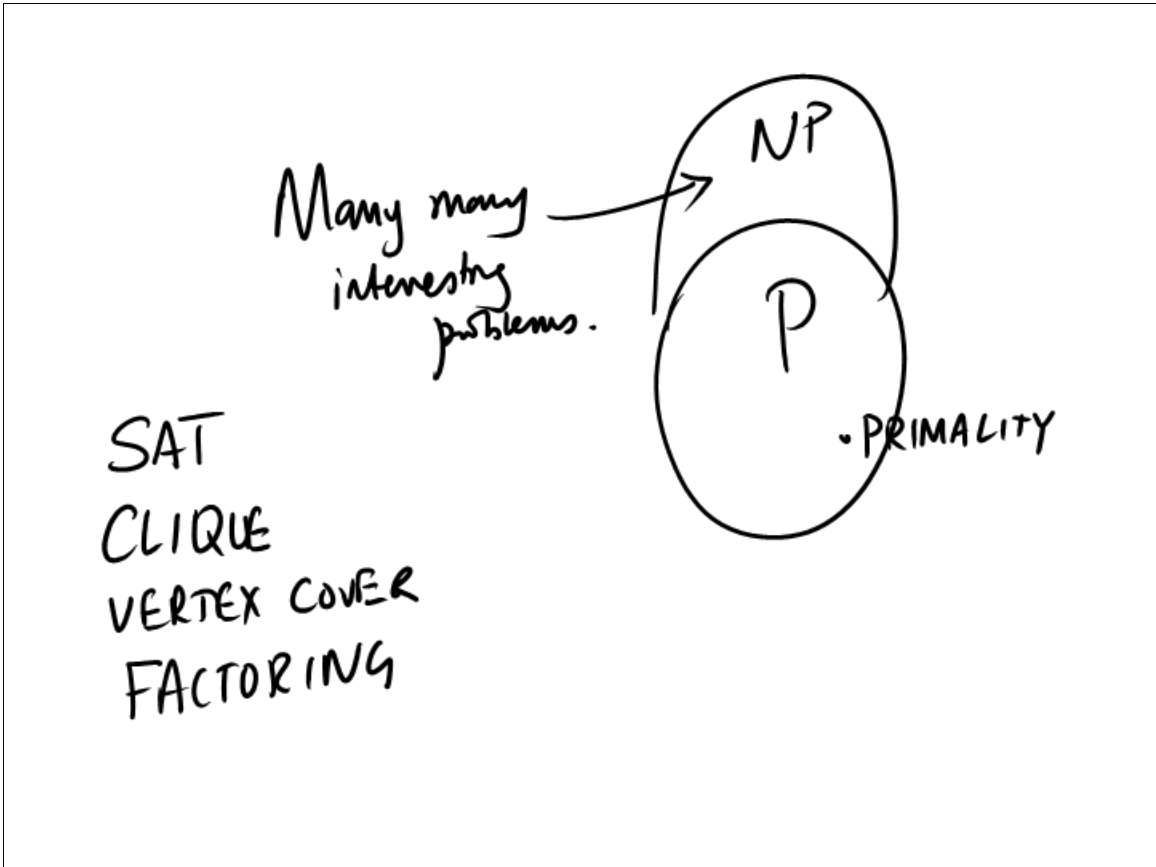


An NTM can "guess" a witness  
and verify whether the witness  
implies that  $w \in L$  in poly-time.

ch

## NTM for CLIQUE . $(G=(V,E), k)$

- Let  $S = \emptyset$  ;  $i = 0$  ;  $j = 0$
  - While  $(i < k+1)$  {
    - Consider  $j$ 'th vertex ;
    - nondet. decide {
      - throw  $v_j$  into  $S$
      - $i++$ ;
    - OR
    - skip
- If  $|S| = k$ , check if  $S$  forms a clique
  - If it does, accept
  - else reject



NP-complete problems ←


SAT is NP-complete.

What this means:

If  $SAT \in P$  then  $NP \subseteq P$ , i.e.  $P=NP$ .

If  $P \neq NP$ ,  $SAT \notin P$ .

BTW, factoring is not known to be NP-complete.

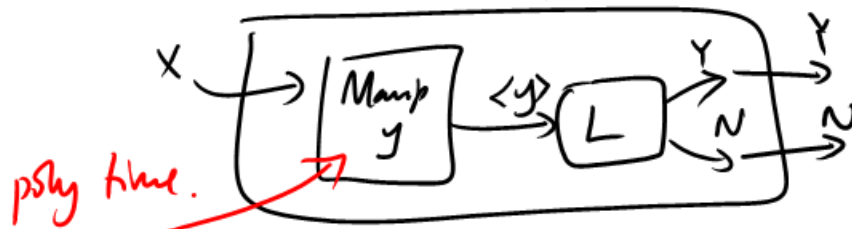


## Practical .

If you were given a problem,  
if you could show it to  
be NP-complete,  
your boss would be  
happy with a slow  
algorithm .

A language/problem is in NP if there is a NTM that solves it in poly-time.

A language  $L$  is NP-hard if every problem in NP reduces to  $L$  in poly-time.



$L$  is NP-complete if

- a)  $L$  is in NP &
- b)  $L$  is NP-hard

---

## SAT

Boolean formula :  $\wedge, \vee, \neg$

$$(x \wedge y) \vee (z \wedge x \wedge \neg y) \vee ((x \wedge y) \vee (y \wedge z))$$

$$\text{SAT} = \{ \varphi \in \text{BoolForm} \mid \varphi \text{ is satisfiable} \}$$

$\varphi$  is satisfiable

if there  
true

is some values for  
vars in  $\varphi$  that  
makes  $\varphi$   
evaluate to T.



## Why is SAT in NP?

NP machine:

- "Guess" values of T/F for each variable.
- Evaluate formula  $\phi$  on these values (inside-out, evaluating sub-exp).  
... this takes only P-time.
- If  $\phi$  evaluates to T, accept else reject.

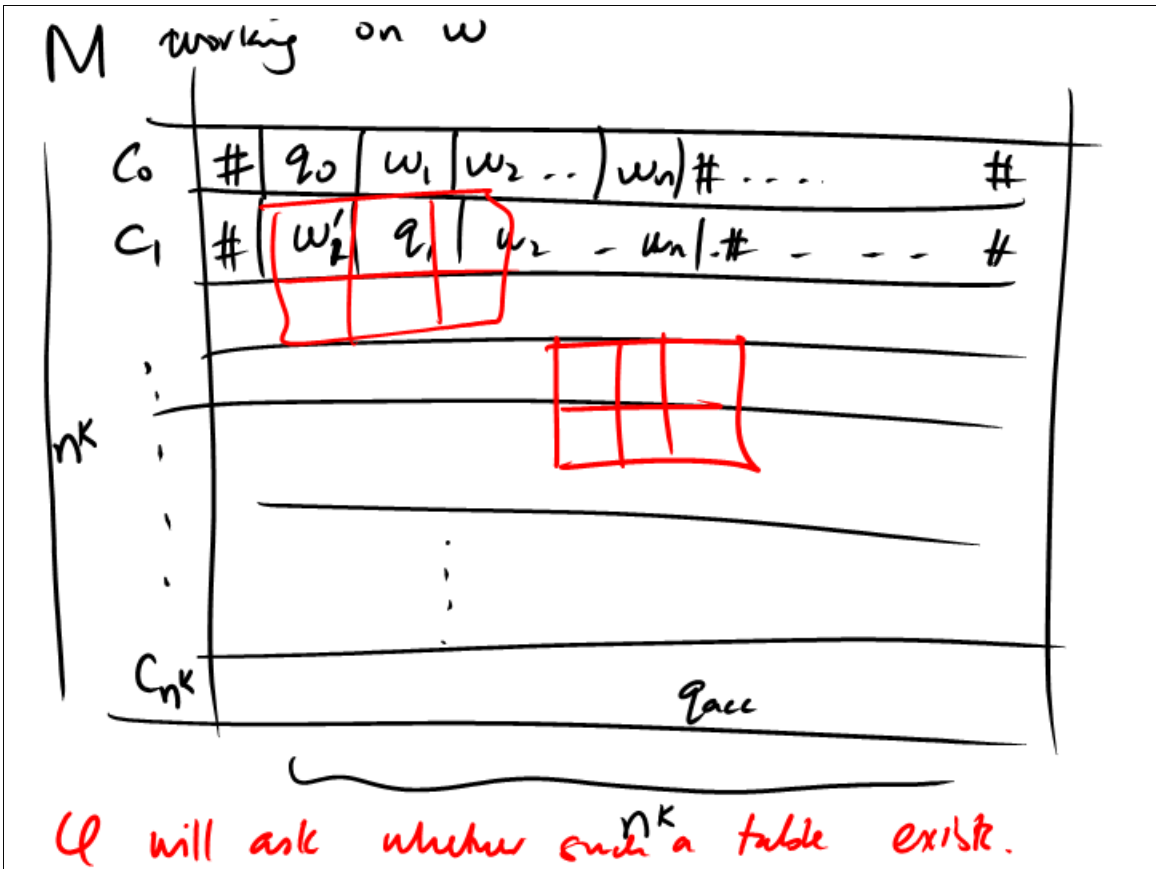
SAT is NP-hard.

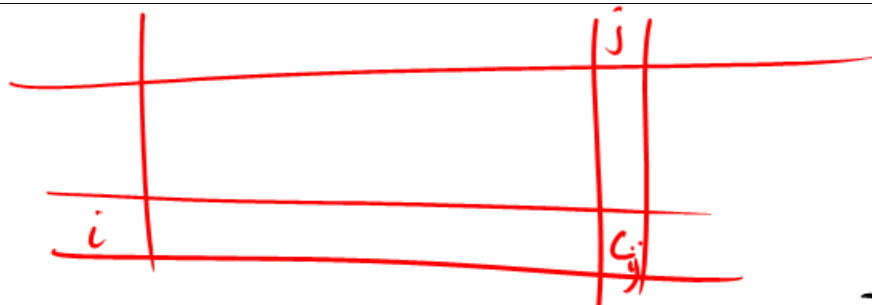
i.e. every problem  $L$  in NP  
poly-time reduces to SAT.

Let  $L$  be in NP

Then there is a NTM working  
in time  $O(n^k)$  that  
decides  $L$ .

Given  $M, w$   
↳ NFM working in  $O(n^k)$  time.  
I will construct a Bool formula  $\phi$ .  
s.t  $M$  acc  $w$  iff  $\phi$  is satisfiable.  
 $\phi$  must be poly in  $|w|$ ,  
and constructed in poly-time.





$C_{ij}$  can have a symbol in  $T \cup Q$ .

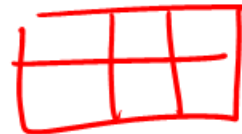
$$x_{i,j,s} = T \Leftrightarrow C_{ij} \text{ has symbol } s$$

$\mathcal{C}$  :  $\mathcal{C}_{\text{valid}}$  — every config has only one state & then rest are  $T$ .

$\wedge \mathcal{C}_{\text{start}}$  — first config is  $\#q_0 w \# \dots \#$

$\wedge \mathcal{C}_{\text{end}}$  — last config must be an acc. config.

$\wedge \mathcal{C}_{\text{move}}$  — look at each window



$|\mathcal{C}|$  polynomial in  $n$   
( $O(n^k)$ )

So SAT is NP-complete

---

↖  
Cook-Levin theorem  
1971

a	b	c
	b	

either

q	a	b
a'	q'	b

or


$$\delta(q, a) = \left\{ \begin{array}{l} (q', R) \\ (q'', L) \end{array} \right\}$$