# Discussion 5: More on non-deterministic finite automatas

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## Questions on homework 4?

Any questions? Complaints, etc?

## 1 Non-regular languages

#### 1.1 $L(0^n 1^n)$

**Lemma 1.1** The language  $L_1 = \{0^n 1^n \mid n \geq 0\}$  is not regular.

Proof: We remind the reader that we already saw that the language  $L(a^nb^n) = \{a^nb^n \mid n \geq 0\}$  is not regular. As such, assume for the sake of contradiction that  $L_1$  is regular, and consider the homomorphism h(0) = a and h(1) = b. Since regular languages are closed under homomorphism, and  $L_1$  is regular, it follows that  $h(L_1)$  is regular. However,  $h(L_1)$  is the language

$$h(L_1) = \left\{h(\mathbf{0})^n h(\mathbf{1})^n \ \middle| \ n \geq 0 \right\} = \left\{\mathbf{a}^n \mathbf{b}^n \ \middle| \ n \geq 0 \right\} = L(\mathbf{a}^n \mathbf{b}^n),$$

which is a contradiction, since  $L(\mathbf{a}^n\mathbf{b}^n)$  is not regular.

## 1.2 L(#a + #b = #c)

Let  $\Sigma = \{1, 2, 3\}$ , consider the following language

$$L_2 = \left\{ x \mid \#_{\mathbf{a}}(x) + \#_{\mathbf{b}}(x) = \#_{\mathbf{c}}(x) \right\},$$

where  $\#_h(x)$  is the number of times the character h appears in x, for  $h = \mathtt{a}, \mathtt{b}, \mathtt{c}$ .

#### 1.2.1 Direct proof

**Lemma 1.2** The language 
$$L_2 = \left\{ x \mid \#_{\mathtt{a}}(x) + \#_{\mathtt{b}}(x) = \#_{\mathtt{c}}(x) \right\}$$
, is not regular.

*Proof:* Assume for the sake of contradiction, that  $L_2$  is regular, and let  $M = (Q, \Sigma, \delta, q_0, F)$  be a DFA that accepts it. For  $i \geq 0$ , let  $q_i = \delta(q_0, \mathbf{a}^i)$  be the state M is in, after reading the string  $\mathbf{a}^i$  (i.e., a string of length i made out of  $\mathbf{a}\mathbf{s}$ ). We claim that if  $i \neq j$ , then  $q_i \neq q_j$ . Indeed, If there are  $i \neq j$  such that  $q_i = q_j$ , then we have that M accepts the string  $\mathbf{c}^j$ , if we

start from  $q_j$ , since M accepts the string  $\mathbf{a}^j \mathbf{c}^j$ . But then, it must be that M accepts  $\mathbf{a}^i \mathbf{c}^j$ . Indeed, after M reads  $\mathbf{a}^i$  it is in state  $q_i = q_j$ , and we know that it accepts  $\mathbf{c}^j$ , if we start from  $q_i$ . But this is a contradiction, since  $\mathbf{a}^i \mathbf{c}^j \notin L_2$ , for  $i \neq j$ .

This implies that M has an infinite number of states, which is of course impossible.

#### 1.2.2 By closure properties

Here is another proof of Lemma 1.2.

*Proof:* Assume, for the sake of contradiction, that  $L_2$  is regular. Then, since regular languages are closed under intersection, and the language  $a^*c^*$  is regular, we have that  $L_3 = L_2 \cap a^*c^*$  is regular. But  $L_3$  is clearly the language

$$L_3 = \left\{ \mathtt{a}^n \mathtt{c}^n \; \middle| \; n \geq 0 \right\},$$

which is not regular. Indeed, if  $L_3$  was regular then  $f(L_3)$  would be regular (by closure under homomorphism), which is false by Lemma 1.1, where  $f(\cdot)$  is the homomorphism mapping f(a) = 0 and  $f(b) = \epsilon$  and f(c) = 1.

#### 1.3 Not too many as please

Lemma 1.3 The language

$$L_4 = \left\{ \mathbf{a}^n x \mid n \geq 1, x \in \left\{ \mathbf{a}, \mathbf{b} \right\}^*, \text{ and } x \text{ contains at most } 2n \ \mathbf{a}'s \right\}..$$

is not regular.

We first provide a direct proof.

Proof: Assume for the sake of contradiction that  $L_4$  is regular, and let  $M = (Q, \Sigma, \delta, q_0, F)$  be a DFA accepting  $L_4$ . Let  $q_i$  be the state that M arrives to after reading the string  $\mathbf{a}^i\mathbf{b}$ . Now, the word  $\mathbf{a}^ib\mathbf{a}^{2i} \in L_4$ , and as such,  $\delta(q_i, \mathbf{a}^{2i})$  is an accepting state. Similarly,  $\mathbf{a}^ib\mathbf{a}^{2j} \notin L_4$  if j > i, since this string has too many as in its second part. As such  $\delta(q_i, \mathbf{a}^{2j})$  is not accepting if j > i.

We claim that because of that  $q_i \neq q_j$  for any j > i. Indeed,  $\delta(q_i, \mathbf{a}^{2j})$  is not an accepting state, but  $\delta(q_j, \mathbf{a}^{2j})$  is an accepting state. Thus  $q_i \neq q_j$ , but this implies that M has an infinite number of states, a contradiction.

#### 1.3.1 Proof using the pumping lemma

Using the pumping lemma we can show that  $L_4$  is not regular.

Proof: By contradiction, if  $L_4$  is regular, then it must satisfy the pumping lemma. Let p be the pumping length guaranteed by pumping lemma. Looking at definition of  $L_4$ , the word  $\mathbf{a}^p \mathbf{b} \mathbf{a}^{2p} \in L_4$ . Now we must have this decomposition:  $\mathbf{a}^p \mathbf{b} \mathbf{a}^{2p} = xyz$ , where  $|y| \neq 0$  and  $|xy| \leq p$ , such that for all  $k \geq 0$ ,  $s_k = xy^kz \in L_4$ . But it is easy to see  $s_0 \notin L$ . Indeed,  $s_0$  has a run of p - |y| < p of as in its prefix, but after the b, it has a string of length 2p made out of p, which is not in p. A contradiction.

# 1.4 A Trick Example (Optional)

Consider the following language.

$$L_7 = \left\{ wxw^R \mid w, x \in \{0, 1\}^+ \right\}.$$

Is it regular or not? It seems natural to think that it is not regular. However, it is in fact regular. Indeed,  $L_7$  is the set of all strings where the first and last character are the same, which is definitely a regular language.