# Algorithms and Data Structures for Data Science lab_huffman 

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## Learning Objectives

Review fundamentals of binary trees

Experience using data structures for data compression

Practice more open-ended coding problems

## Optimal Storage Costs

Achieving an optimal storage cost for a dataset is often important
Let's use strings as an accessible example!
What is the minimum bits needed to encode the message:

| Char | Binary | 'feed me more food' |
| :---: | :---: | :---: |
| f | 000 |  |
| e | 001 |  |
| d | 010 |  |
| m | 100 |  |
| $r$ | 011 |  |
| - | 101 |  |
| ، ${ }^{\text {d }}$ | 110 |  |

## Optimal Storage Costs

Using three bits per character, we have 51 bits total. But can we do better?
'feed me more food'
If we think about our input as a sorted list of frequencies, yes!
r:1|d:2|f:2|m:2|o:3|'SPACE':3|e:4

## Using binary trees for string encoding

Lets define a tree with the following:
The keys are individual characters
The values are the frequencies of those characters

```
class bstNode:
    def __init__(self, key, val, left=None, right=None):
        self.key = key
        self.val = val
        self.left = left
        self.right = right
```

|  | Key | A | B | C |
| ---: | :---: | :---: | :---: | :---: |
| Value | D |  |  |  |
|  | 7 | 5 | 2 | 4 |
|  |  |  |  |  |



## Binary Tree encoding

Given the following two trees, how might we define an encoding?


## Binary Tree encoding

How did we produce this encoding?


Char Binary

| A | 1 |
| :---: | :---: |
| B | 00 |
| C | 010 |
| D | 011 |



| Char | Binary |
| :---: | :---: |
| A | 00 |
| B | 01 |
| C | 10 |
| D | 11 |

## Binary Tree encoding

The path from root to leaf defines our encoding, but which tree is best?


| Char | Binary |
| :---: | :---: |
| A | 1 |
| B | 00 |
| C | 010 |
| D | 011 |

Going left $=0$
Going right $=1$


## Binary Tree encoding

If my frequencies are $\{A: 7|B: 5| C: 2 \mid D: 4\}$, which tree was better?


| Char | Binary |
| :---: | :---: |
| A | 1 |
| B | 00 |
| C | 010 |
| D | 011 |



Char Binary
A 00
B 01

C $\quad 10$
D
11

## Building the Huffman Tree

The Huffman Tree is the tree with the optimal total path length for a given set of characters and their frequencies.

Step 1: Calculate the frequency of every character in text and order by increasing frequency. Store in a queue (a sorted list).

Input: 'feed me more food'
$\mathrm{r}: 1|\mathrm{~d}: 2| \mathrm{f}: 2|\mathrm{~m}: 2| \mathrm{o}: 3 \mid$ 'SPACE' $: 3 \mid \mathrm{e}: 4$

## Building the Huffman Tree

Step 2: Build a tree from the bottom up. Start by taking the two least frequent characters and merge them (create a parent node). Store the merged characters in a new queue.

Input:
r:1|d:2|f:2|m:2|o:3|'SPACE':3|e:4

## Building the Huffman Tree

Step 2: Build a tree from the bottom up. Start by taking the two least frequent characters and merge them (create a parent node). Store the merged characters in a new queue.

## Input:

r:1|d:2|f:2|m:2|o:3|'SPACE':3|e:4

## Output:

Single: $\mathrm{f}: 2|\mathrm{~m}: 2| \mathrm{o}: 3 \mid$ 'SPACE' :3|e:4


Merged: rd: 3

## Building the Huffman Tree

Step 3: Repeatedly merge the minimum two items (while considering both single characters and merged characters).

Input:
Single: f:2|m:2|o:3|'SPACE':3|e:4
Merged: rd: 3

## Building the Huffman Tree

Step 3: Repeatedly merge the minimum two items (while considering both single characters and merged characters).

## Input:

Single: $\mathrm{f}: 2|\mathrm{~m}: 2| \mathrm{o}: 3 \mid$ 'SPACE' :3|e:4
Merged: rd: 3

## Output:

Single: o:3|'SPACE' : $3 \mid \mathrm{e}: 4$
Merged: rd : $3 \mid \mathrm{fm}: 4$


## Building the Huffman Tree

Step 3: Repeatedly merge the minimum two items (while considering both single characters and merged characters).

Input:
Single: o:3|'SPACE' : $3 \mid \mathrm{e}: 4$
Merged: rd:3|fm:4

## Building the Huffman Tree

Step 3: Repeatedly merge the minimum two items (while considering both single characters and merged characters).

## Input:

Single: o:3|'SPACE' : $3 \mid \mathrm{e}: 4$
Merged: rd : $3 \mid \mathrm{fm}: 4$

## Output:

Single: e: 4
Merged: rd: 3 | fm : 4 | o'SPACE': 6


## Building the Huffman Tree

Step 3: Repeatedly merge the minimum two items (while considering both single characters and merged characters).

## Input:

Single: e: 4
Merged: rd: $3|\mathrm{fm}: 4| \mathrm{o}{ }^{\prime}$ SPACE' : 6

## Output:

## Single:

Merged: fm : 4|o'SPACE' : 6|rde: 7


## Building the Huffman Tree

Step 3: Repeatedly merge the minimum two items (while considering both single characters and merged characters).

## Input:

## Single:

Merged: fm : 4 | o'SPACE' 6 |rde : 7

## Output:

Single:
Merged: rde: 7|fmo'SPACE' : 10


## Building the Huffman Tree

Step 4: Stop when there is only a single item in either queue.

## Input:

Single:
Merged: rde: 7|fmo'SPACE' : 10

## Output:

Single:
Merged: rdefmo'SPACE' : 17


## Encoding using the Huffman Tree

The path through the tree defines each individual character's encoding!

| Char Binary |
| :---: | :---: |
| f |
| e |
| d |
| m |
| o |



## Encoding using the Huffman Tree

The path through the tree defines each individual character's encoding!

| Char | Binary |
| :---: | :---: |
| f | 100 |
| e | 01 |
| d | 001 |
| m | 101 |
| r | 000 |
| o | 110 |
| ، | 111 |



## Decoding using the Huffman Tree

We can decode by walking through the tree using 0 s and 1 s as instructions!
Input: 100010100111110101

## Output:



## Assignment Tips

Your assignment is to implement just encoding. Decoding is for fun.

1. Create a method to find the smallest bstNode (by frequency)
```
getSmallest(single, merged)
```

2. Build a Huffman Tree based on an input string
```
buildHuffman(instring)
```

3. Given a Huffman Tree, build a dictionary of all the characters encodings
buildEncoder(node, code, outDict)
