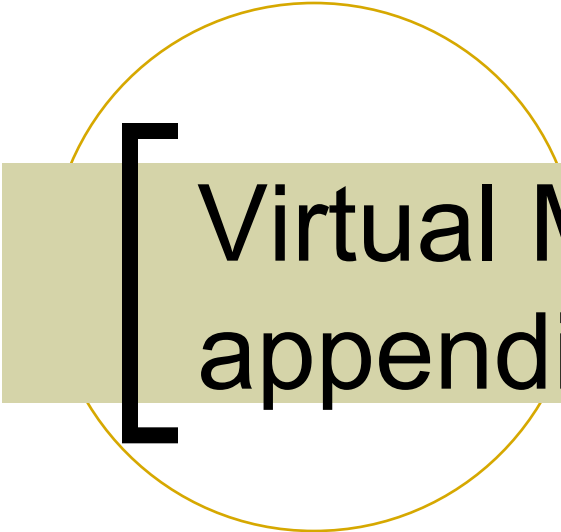




Virtual Memory: appendix



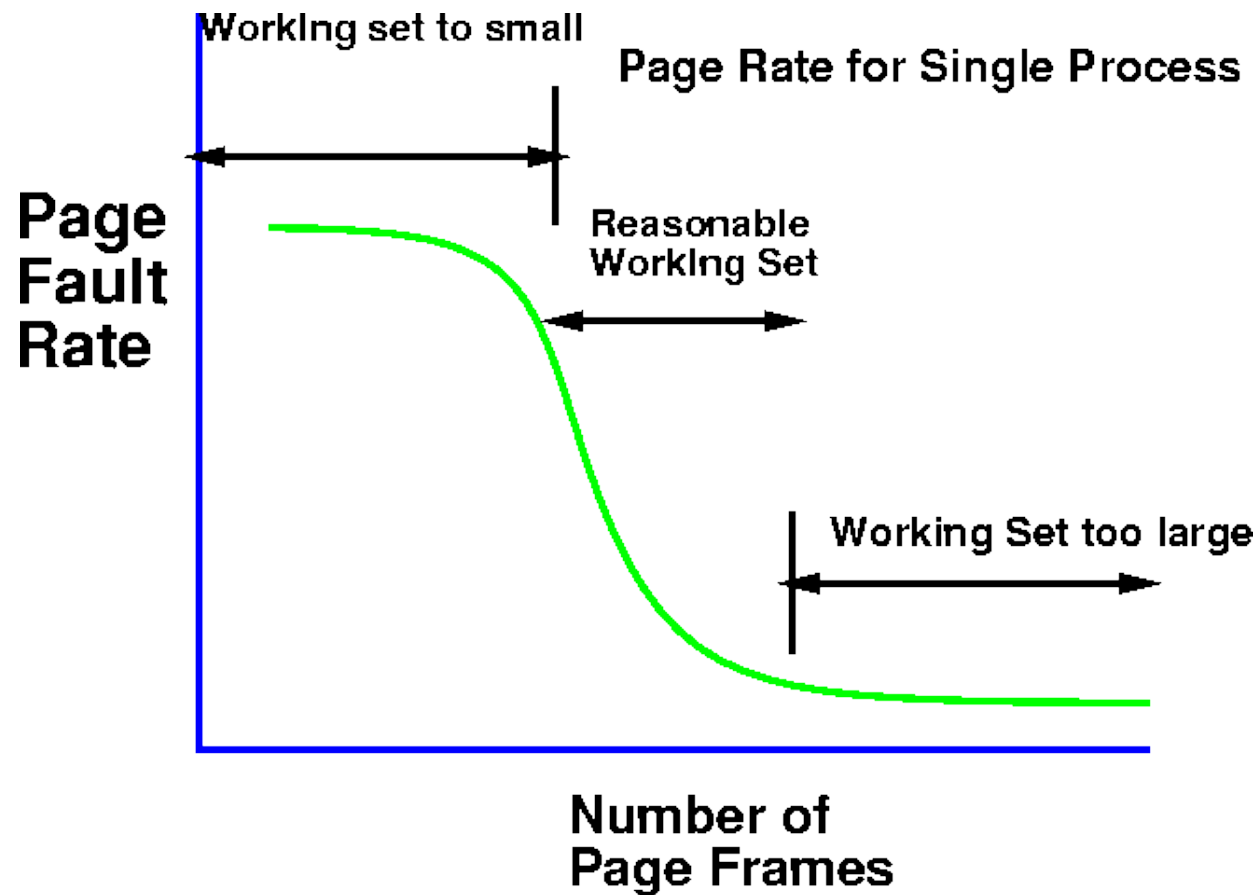
Page Fault Frequency (PFF) algorithm

■ Approximation of pure Working Set

- Assume that working set strategy is valid; hence, properly sizing the resident set will reduce page fault rate.
- Let's focus on process fault rate rather than its exact page references
- If process page fault rate increases beyond a maximum threshold, then increase its resident set size.
- If page fault rate decreases below a minimum threshold, then decrease its resident set size
- ➔ Without harming the process, OS can free some frames and allocate them to other processes suffering higher PFF



Page Fault Frequency Working Set



[Exploiting Locality]

- Temporal locality
 - Memory accessed recently tends to be accessed again soon
- Spatial locality
 - Memory locations near recently-accessed memory is likely to be referenced soon



[Exploiting Locality]

- Locality helps reduce the frequency of page faults
 - Once something is in memory, it should be used many times
- Page fault rate depends on many things
 - The amount of locality and reference patterns in a program
 - The page replacement policy
 - The amount of physical memory and application memory footprint



[Page Replacement Strategies]

- OPT
 - Evict page that won't be used for the longest time in the future
- Random page replacement
 - Choose a page randomly
- FIFO - First in First Out
 - Replace the page that has been in primary memory the longest
- LRU - Least Recently Used
 - Replace the page that has not been used for the longest time
- LFU - Least Frequently Used
 - Replace the page that is used least often
- NRU - Not Recently Used
 - An approximation to LRU.
- Working Set
 - Keep in memory those pages that the process is actively using.



[Page Replacement Strategies]

■ The Optimal Algorithm

- Among all pages in frames, evict the one that has its next access farthest into the future
- Can prove formally this does better than any other algorithm
- OPT is useful as a “yardstick” to compare the performance of other (implementable) algorithms against
- Realistic?



The Optimal Page Replacement Algorithm

■ Idea

- Select the page that will not be needed for the longest time in the future

Time		0	1	2	3	4	5	6	7	8	9	10
Requests			c	a	d	b	e	b	a	b	c	d
Page Frames	0	a	a	a	a							
	1	b	b	b	b							
	2	c	c	c	c							
	3	d	d	d	d							

Page faults

X



The Optimal Page Replacement Algorithm

- Idea:
 - Select the page that will not be needed for the longest time in the future

Time		0	1	2	3	4	5	6	7	8	9	10
Requests			c	a	d	b	e	b	a	b	c	d
Page Frames	0	a	a	a	a	a	a	a	a	a	a	
	1	b	b	b	b	b	b	b	b	b	b	
	2	c	c	c	c	c	c	c	c	c	c	
	3	d	d	d	d	d	e	e	e	e	e	
Page faults							X				X	



The Optimal Page Replacement Algorithm

- Idea:
 - Select the page that will not be needed for the longest time in the future

Time		0	1	2	3	4	5	6	7	8	9	10
Requests			c	a	d	b	e	b	a	b	c	d
Page	0	a	a	a	a	a	a	a	a	a	a	a
Frames	1	b	b	b	b	b	b	b	b	b	b	b
	2	c	c	c	c	c	c	c	c	c	c	c
	3	d	d	d	d	d	e	e	e	e	e	d
Page faults							x				x	



The Optimal Page Replacement Algorithm

- Problems?
 - Can't know the future of a program
 - Can't know when a given page will be needed next
 - The optimal algorithm is unrealizable



FIFO Page Replacement Algorithm

- Always replace the oldest page
- Example: Memory system with 4 frames

Time		0	1	2	3	4	5	6	7	8	9	10
Requests			c	a	d	b	e	b	a	b	c	a
Page Frames	0	a		a	a	a						
	1	b				b						
	2	c	c	c	c	c						
	3	d			d	d						

Page faults

x



FIFO Page Replacement Algorithm

- Always replace the oldest page
- Example: Memory system with 4 frames

Time		0	1	2	3	4	5	6	7	8	9	10
Requests			c	a	d	b	e	b	a	b	c	a
Page	0	a		a	a	a	a	a	a	a		
Frames	1	b				b	b	b	b	b		
	2	c	c	c	c	e	e	e	e	e		
	3	d			d	d	d	d	d	d		
Page faults							x				x	



FIFO Page Replacement Algorithm

- Always replace the oldest page
- Example: Memory system with 4 frames

Time		0	1	2	3	4	5	6	7	8	9	10
Requests			c	a	d	b	e	b	a	b	c	a
Page Frames	0	a		a	a	a	a	a	a	a	c	
	1	b				b	b	b	b	b	b	
	2	c	c	c	c	c	e	e	e	e	e	
	3	d			d	d	d	d	d	d	d	
Page faults							x				x	x



FIFO Page Replacement Algorithm

- Always replace the oldest page
- Example: Memory system with 4 frames

Time		0	1	2	3	4	5	6	7	8	9	10
Requests			c	a	d	b	e	b	a	b	c	a
Page Frames	0	a		a	a	a	a	a	a	a	c	c
	1	b				b	b	b	b	b	b	b
	2	c	c	c	c	c	e	e	e	e	e	e
	3	d			d	d	d	d	d	d	d	a
Page faults							x				x	x



FIFO Page Replacement Algorithm

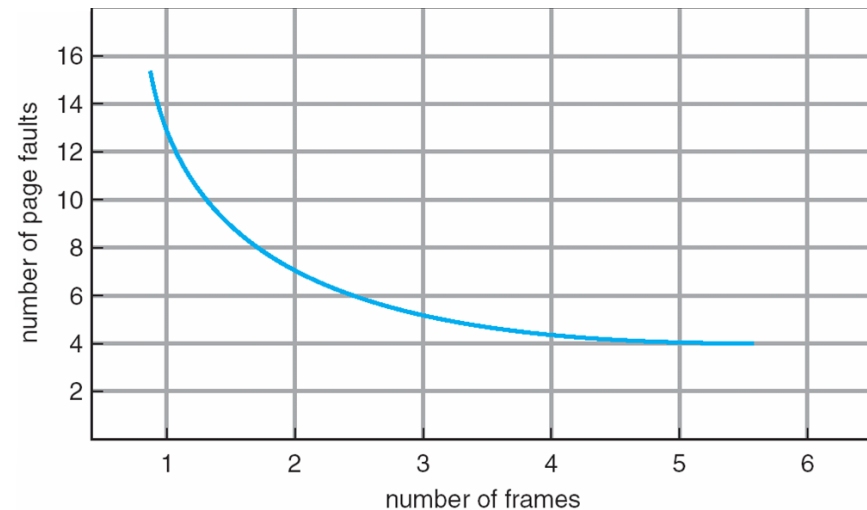
- Why might FIFO be good?
 - Maybe the page allocated very long ago isn't used anymore
- Why might FIFO not be so good?
 - Doesn't consider locality of reference!
 - The oldest page may be needed again soon
 - Some page may be important throughout execution

Belady's anomaly: Performance of an application might get worse as physical memory increases!!!



[Belady's Anomaly]

- Given a reference string, it would be natural to assume that
 - The more the total number of frames in main memory, the fewer the number of page faults



- Not true for some algorithms!
 - E.g., for FIFO



[Belady's Anomaly]

- Consider FIFO page replacement
 - Look at this reference string
 - 012301401234
 - Case 1:
 - 3 frames available
 - Case 2:
 - 4 frames available



[Belady's Anomaly]

FIFO with 3 page frames

	0	1	2	3	0	1	4	0	1	2	3	4
Youngest Page												
Oldest Page												

FIFO with 4 page frames

	0	1	2	3	0	1	4	0	1	2	3	4
Youngest Page												
Oldest Page												



[Belady's Anomaly]

FIFO with 3 page frames

		0	1	2	3	0	1	4	0	1	2	3	4
Youngest Page		0	1	2	3	0	1	4	4	4	2	3	3
			0	1	2	3	0	1	1	1	4	2	2
Oldest Page				0	1	2	3	0	0	0	1	4	4
		P	P	P	P	P	P	P			P	P	



[Belady's Anomaly]

FIFO with 3 page frames

		0	1	2	3	0	1	4	0	1	2	3	4
Youngest Page		0	1	2	3	0	1	4	4	4	2	3	3
			0	1	2	3	0	1	1	1	4	2	2
Oldest Page				0	1	2	3	0	0	0	1	4	4
		P	P	P	P	P	P	P			P	P	

FIFO with 4 page frames

		0	1	2	3	0	1	4	0	1	2	3	4
Youngest Page		0	1	2	3	3	3	4	0	1	2	3	4
			0	1	2	2	2	3	4	0	1	2	3
Oldest Page				0	1	1	1	2	3	4	0	1	2
					0	0	0	1	2	3	4	0	1
		P	P	P	P			P	P	P	P	P	P



[Belady's Anomaly]

FIFO with 3 page frames

		0	1	2	3	0	1	4	0	1	2	3	4
Youngest Page		0	1	2	3	0	1	4	4	4	2	3	3
			0	1	2	9 page faults				1	4	2	2
Oldest Page				0	1	2	3	0	0	0	1	4	4
		P	P	P	P	P	P	P			P	P	

FIFO with 4 page frames

		0	1	2	3	0	1	4	0	1	2	3	4	
Youngest Page		0	1	2	3	3	3	4	0	1	2	3	4	
			0	1	2	10 page faults					0	1	2	3
Oldest Page				0	1	1	1	2	3	4	0	1	2	
					0	0	0	1	2	3	4	0	1	
		P	P	P	P			P	P	P	P	P	P	



Least Recently Used Algorithm (LRU)

- Keep track of when a page is used
- Replace the page that has been used least recently
 - Keep track of when pages are referenced to make a better decision
 - Use past behavior to predict future behavior
 - LRU uses past information
 - OPT uses future information
- Not optimal
- Does not suffer from Belady's anomaly



Least Recently Used Algorithm (LRU)

- Keep track of when a page is used
- Replace the page that has been used least recently (farthest in the past)

Time		0	1	2	3	4	5	6	7	8	9	10
Requests			c	a	d	b	e	b	a	b	c	d
Page	0	a										
Frames	1	b										
	2	c										
	3	d										

Page faults



Least Recently Used Algorithm (LRU)

- Keep track of when a page is used
- Replace the page that has been used least recently (farthest in the past)

Time		0	1	2	3	4	5	6	7	8	9	10
Requests			c	a	d	b	e	b	a	b	c	d
Page	0	a	a	a	a							
Frames	1	b	b	b	b							
	2	c	c	c	c							
	3	d	d	d	d							

Page faults

x



Least Recently Used Algorithm (LRU)

- Keep track of when a page is used
- Replace the page that has been used least recently (farthest in the past)

Time		0	1	2	3	4	5	6	7	8	9	10
Requests			c	a	d	b	e	b	a	b	c	d
Page	0	a	a	a	a	a	a	a	a	a		
Frames	1	b	b	b	b	b	b	b	b	b		
	2	c	c	c	c	c	e	e	e	e		
	3	d	d	d	d	d	d	d	d	d		
Page faults							x				x	



Least Recently Used Algorithm (LRU)

- Keep track of when a page is used
- Replace the page that has been used least recently (farthest in the past)

Time		0	1	2	3	4	5	6	7	8	9	10
Requests			c	a	d	b	e	b	a	b	c	d
Page	0	a	a	a	a	a	a	a	a	a	a	
Frames	1	b	b	b	b	b	b	b	b	b	b	
	2	c	c	c	c	c	e	e	e	e	e	
	3	d	d	d	d	d	d	d	d	d	c	
Page faults							x				x	x



Least Recently Used Algorithm (LRU)

- Keep track of when a page is used
- Replace the page that has been used least recently (farthest in the past)

Time		0	1	2	3	4	5	6	7	8	9	10
Requests			c	a	d	b	e	b	a	b	c	d
Page	0	a	a	a	a	a	a	a	a	a	a	a
Frames	1	b	b	b	b	b	b	b	b	b	b	b
	2	c	c	c	c	e	e	e	e	e	e	d
	3	d	d	d	d	d	d	d	d	d	c	c
Page faults							x				x	x



[Least Recently Used Issues]

- Implementation
 - Use time of last reference
 - Update every time page accessed (use system clock)
 - Page replacement - search for oldest time
 - Use a stack
 - On page access : remove from stack, push on top
 - Victim selection: select page at bottom of stack
- Problems or limitations?



[Least Recently Used Issues]

■ Implementation

- Use time of last reference
 - Update every time page accessed (use system clock)
 - Page replacement - search for smallest time
- Use a stack
 - On page access : remove from stack, push on top
 - Victim selection: select page at bottom of stack

■ Problems or limitations?

- Both approaches require large processing overhead, more space, and hardware support
- 32-bit timestamp would double size of PTE



[Least Recently Used Issues]

- 3 frames of physical memory
- Run this for a long time with LRU page replacement:

```
while true
    for (i = 0; i < 4; i++)
        read from page i
```

- Q1: What fraction of page accesses are faults?
 - None or almost none
 - About 1 in 4
 - About 2 in 4
 - About 3 in 4
 - All or almost all
- Q2: How well does OPT do?



[Least Recently Used]

- 3 frames of physical memory
- Run this for a long time with LRU page replacement:

```
while true
    for (i = 0; i < 4; i++)
        read from page i
```

Time		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
Requests		0	1	2	3	0	1	2	3	0	1	2	3	0	1	2
Page	0															
Frames	1															
	2															

Page faults



[Least Recently Used]

- 3 frames of physical memory
- Run this for a long time with LRU page replacement:

```
while true
    for (i = 0; i < 4; i++)
        read from page i
```

Time Requests		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
		0	1	2	3	0	1	2	3	0	1	2	3	0	1	2
Page Frames	0	0	0	0	3	3	3	2	2	2	1	1	1	0	0	0
	1		1	1	1	0	0	0	3	3	3	2	2	2	1	1
	2			2	2	2	1	1	1	0	0	0	3	3	3	2
Page faults		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X



[Least Recently Used Issues]

- 3 frames of physical memory
- Run this for a long time with LRU page replacement:

```
while true
    for (i = 0; i < 4; i++)
        read from page i
```

- Q1: What fraction of page accesses are faults?
 - None or almost none
 - About 1 in 4
 - About 2 in 4
 - About 3 in 4
 - All or almost all
- Q2: How well does OPT do?



[OPT]

- 3 frames of physical memory
- Run this for a long time with LRU page replacement:

```
while true
    for (i = 0; i < 4; i++)
        read from page i
```

Time		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
Requests		0	1	2	3	0	1	2	3	0	1	2	3	0	1	2
Page	0															
Frames	1															
	2															

Page faults



[OPT]

- 3 frames of physical memory
- Run this for a long time with LRU page replacement:

```
while true
    for (i = 0; i < 4; i++)
        read from page i
```

Time		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
Requests		0	1	2	3	0	1	2	3	0	1	2	3	0	1	2
Page Frames	0	0	0	0	0	0	0	0	0	0	1	1	1	1	1	1
	1		1	1	1	1	1	2	2	2	2	2	2	2	2	2
	2			2	3	3	3	3	3	3	3	3	3	0	0	0

Page faults **x** **x** **x** **x** **x** **x** **x** **x** **x** **x** **x** **x** **x** **x** **x** **x**



[LRU Approximation Algorithms]

- Not used recently/Not recently used (NUR/NRU)
- Accessed Bit in each page table entry
 - With each page, associate a bit, initially = 0
 - When page is accessed, bit is set to 1
 - Victim Selection
 - Any page with reference bit == 0, if one exists.
 - BUT: we do not know order of use



[LRU Approximation Algorithms]

- Additional Accessed Bits Algorithm
 - Use the PTE accessed bit and a small counter per page (2 or 3 bits in PTE)
 - Periodically (say every 100 msec), scan all physical pages. For each page:
 - If not accessed recently, (PTE accessed bit == 0),
`counter++`
 - If accessed recently (PTE accessed bit == 1),
`counter = 0`
 - Clear the PTE accessed bit in either case!



[LRU Approximation Algorithms]

- Additional Accessed Bits Algorithm
 - Counter will contain the number of scans since the last reference to this page
 - PTE that contains the highest counter value is the least recently used
 - So, evict the page with the highest counter



[Approximate LRU]

time
↓

0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

*Accessed pages
in blue*

0	1	1	1	0	0	1	1	0	1	0	1	1	0	0	0
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

*Increment counter
for untouched pages*

0	1	1	1	0	0	1	1	0	1	0	1	1	0	0	0
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

*Accessed pages
in blue*

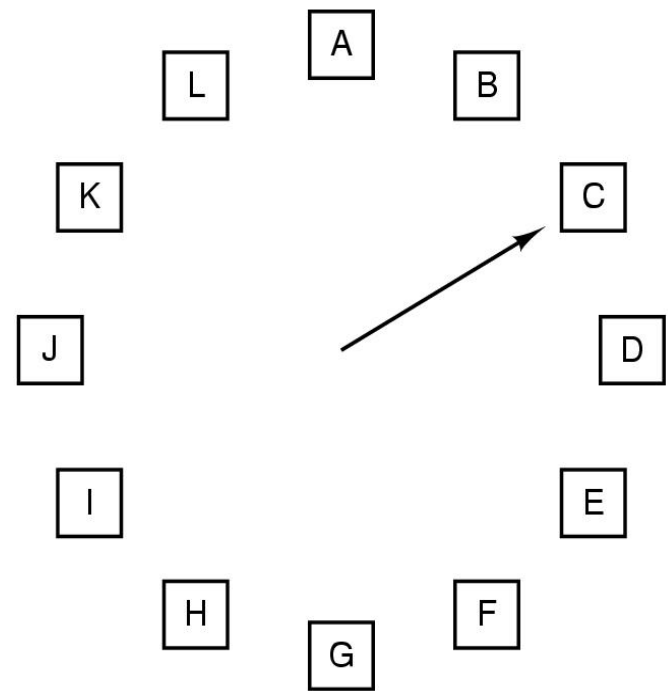
0	2	0	0	0	1	2	2	0	0	1	0	2	1	0	0
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

*Highlighted pages have
the highest counter
value and can be
evicted.*



[Clock Algorithm]

- Treats page frames allocated to a process as a circular buffer
- Set accessed bit on access
- Pointer (clock) sweeps over page frames
 - Look for victim page with accessed bit unset
 - If bit is set, clear it and move on to next page
 - Replace pages that haven't been referenced for one complete clock revolution



Clock Algorithm

- “Clock pointer” scans over page frames
 - Clock pointer loops around when it gets to end of circular buffer
- If PTE accessed bit == 1, clear bit and advance pointer to give it a second-chance
- If PTE accessed bit == 0, evict this page
 - No need for a counter in the PTE!

