

## Announcements

1. MP Mosaics EC due Today!
2. Exam 2 Grades In Process
3. Extra Credit Survey due Wednesday
  - a. 10 more people to hit threshold!



Extra Credit Survey!



Join Code: **225**

**Warm-Up Question:** What is the minimum number of nodes needed to make an AVL tree with height 3?



# AVL Tree Analysis

## Learning Objectives

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1. Prove the upper bound on an AVL Tree's Height
2. Enumerate the runtime of Insert, Find, Remove, and Traverse for every  
Data Structure Seen so far



# AVL Tree Analysis

**We know:** insert, remove and find runs in:  $O(h)$ .

**We will show that:**  $h$  is  $O(\log n)$ .

Goal: Find the lowest upper bound, big O, on the maximum height of an AVL tree given the number of nodes.  $f(n) \rightarrow h$



# Big O - Lowest Upper Bound

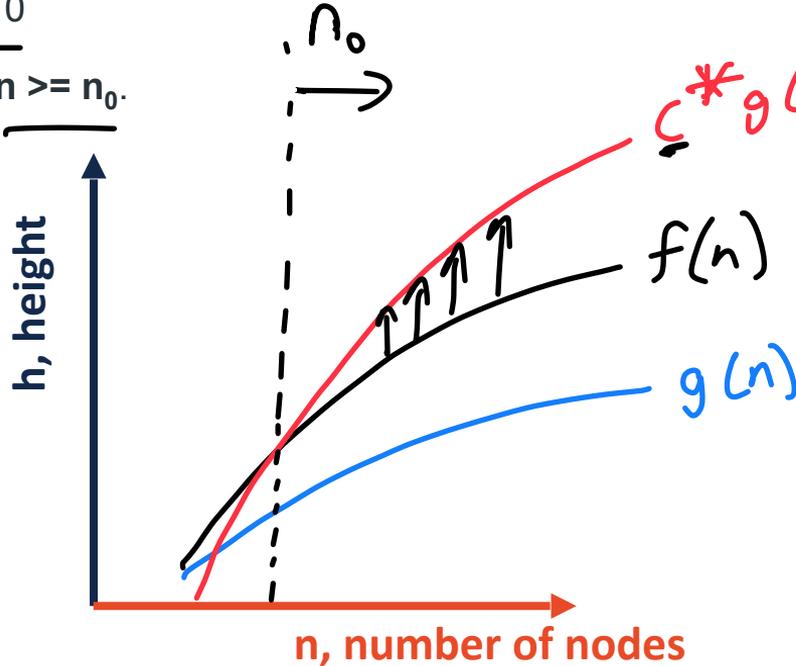
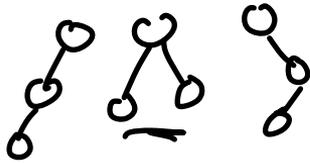
**Definition of big-O:** Given two functions  $f(n)$  and  $g(n)$ , we say that  $f(n)$  is  $O(g(n))$  if there exist constants  $c > 0$  and  $n_0 \geq 0$

such that  $f(n) \leq c \cdot g(n)$  for all  $n \geq n_0$ .

...or, with pictures:

Q: What is max h of AVL tree given n

n	h
0	1
1	1
2	2
3	3



$g(n)$  is an upper bound on  $f(n)$



# New Goal

Goal: Find the lowest upper bound, big O, on the maximum height of an AVL tree given the number of nodes. ← Old Inputs

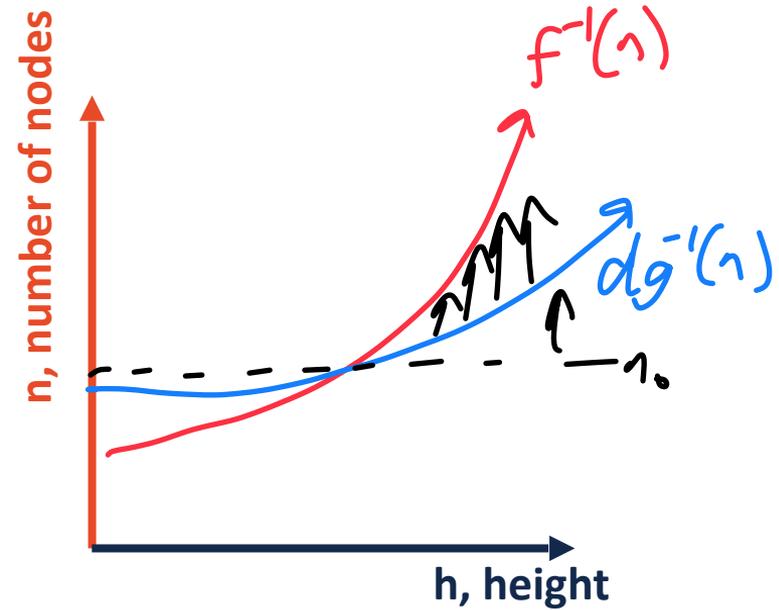
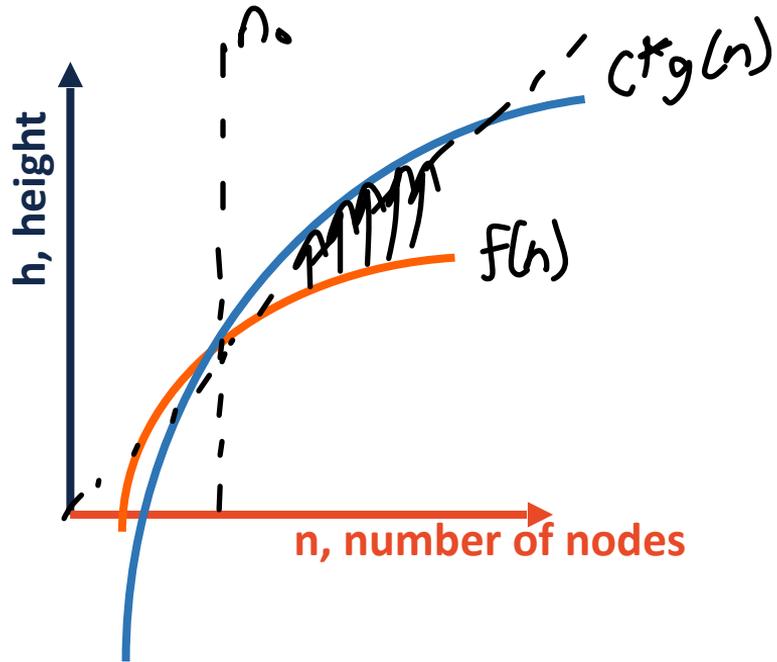
New Goal: Find the highest lower bound on the minimum number of nodes in an AVL tree given the height,  $N(h)$

↑  
New Output

← New Input



# Invert and Find Highest Lower Bound

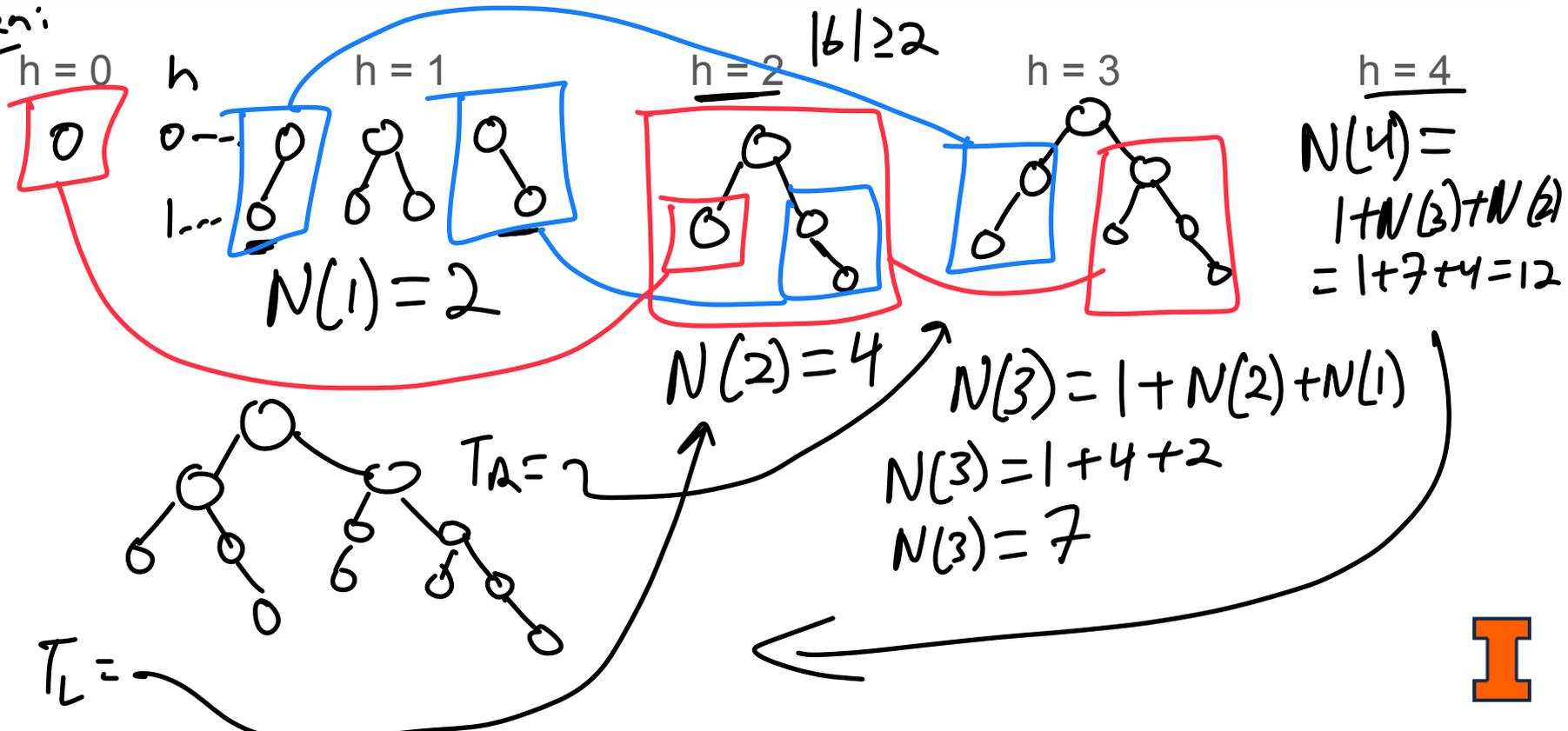


$N(h)$  - # of nodes at minimum in an AVL of height  $h$



## Small Examples

Given:



# Recurrence

$$1 \quad \underline{N(h)} = 1 + N(h-1) + N(h-2)$$

$$2 \quad N(h) > 1 + N(h-2) + N(h-2)$$

$$3 \quad N(h) > 2 * N(h-2)$$

$$4 \quad N(h) > 2 * 2 * N(h-4)$$

$$5 \quad N(h) > 2^{h/2}$$

$$N_h \geq \underline{N(h)} > 2^{h/2}$$

$$N_h > 2^{h/2}$$

$$N(h-1) > N(h-2)$$

$$, \quad N(h-2) > 2 * N(h-4)$$

$N_h$  - # of nodes in any AVL  
w/ height  $h$

$$\log(N_h) > \log(2^{h/2})$$

$$\log(N_h) > h/2$$

$$\underline{2 \log(N_h) > h}$$

$$h = O(\log n)$$



# Conclusion

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$$h = O(\log(n))$$



# Summary

## AVL Trees

Max height:  $\underline{1.44 * \log(n)}$

Rotations: *Always rotate when an imbalance is found  
Find is faster, because its shorter*

## Red-Black Trees

Max height:  $2 * \log(n)$

Constant number of rotations on insert, remove, and find

*Insert/remove faster*



	<u>Unsorted Array</u>	Sorted Array	Unsorted List	Sorted List	Binary Tree	BST	<del>AVL</del>
Find	$O(n)$	$O(\log n)$	$O(n)$	$O(n)$	$O(n)$	$O(h) = O(n)$	$O(h) = O(\log n)$
Insert	$O^*(1) / O(n)$	$O(n)$	$O(1)$	$O(n)$	$O(1)$	$O(h) = O(n)$	$O(h) = O(\log n)$
Remove	$O(n)$	$O(n)$	$O(n)$	$O(n)$	$O(n)$	$O(h) = O(n)$	$O(h) = O(\log n)$
Traverse	$O(n)$	$O(n)$	$O(n)$	$O(n)$	$O(n)$	$O(n)$	$O(n)$

Corrections/Clarifications  
post lecture



	Unsorted Array	Sorted Array	Unsorted List	Sorted List	Binary Tree	BST	AVL
<b>Find</b>	$O(n)$	$O(\log n)$	$O(n)$	$O(n)$	$O(n)$	$O(h)/O(n)$	$O(h)/O(\log n)$
<b>Insert</b>	$O(n)/O^*(1)$	$O(n)$	$O(1)$	$O(n)$	$O(1)$	$O(h)/O(n)$	$O(h)/O(\log n)$
<b>Remove</b>	$O(n)$	$O(n)$	$O(n)$	$O(n)$	$O(n)$	$O(h)/O(n)$	$O(h)/O(\log n)$
<b>Traverse</b>	$O(n)$	$O(n)$	$O(n)$	$O(n)$	$O(n)$	$O(n)$	$O(n)$

