# CS 225 

## Data Structures

February 23 - BBST Range Search G Carl Evans

## Range-based Searches

Balanced BSTs are useful structures for range-based and nearest-neighbor searches.

Q: Consider points in 1D: $\mathbf{p}=\left\{\mathbf{p}_{1}, \mathbf{p}_{2}, \ldots, \mathbf{p}_{n}\right\}$.
...what points fall in [11, 42]?

Ex:


## Range-based Searches

Q: Consider points in 1D: $p=\left\{p_{1}, p_{2}, \ldots, p_{n}\right\}$.
...what points fall in [11, 42]?

Ex:


## Red-Black Trees in C++

iterator std::map<K, V>::lower_bound( const K \& ); iterator std::map<K, V>::upper_bound( const K \& );

## Range-based Searches

Consider points in 2D: $p=\left\{p_{1}, p_{2}, \ldots, p_{n}\right\}$.

Q: What points are in the rectangle:
[ $\left.\left(x_{1}, y_{1}\right),\left(x_{2}, y_{2}\right)\right]$ ?

Q: What is the nearest point to $\left(\mathbf{x}_{1}, \mathrm{y}_{1}\right)$ ?


Range-based Searches
Consider points in 2D: $p=\left\{p_{1}, p_{2}, \ldots, p_{n}\right\}$.

Tree construction:


Nearest Neighbor - k-d Tree


Nearest Neighbor - demo



Nearest Neighbor - demo



Nearest Neighbor - demo



## Nearest Neighbor - demo

Backtracking: start recursing backwards -- store "best" possibility as you trace back



Nearest Neighbor - demo



## Nearest Neighbor - demo

On ties, use smallerDimVal to determine which point remains curBest

## query $=(6,3)$ <br> $(2,3) \quad(4,7)(8,1)(9,8)$



Nearest Neighbor - demo



Nearest Neighbor - demo



BEST: $(5,4)$

## B-Tree Motivation

In Big-O we have assumed uniform time for all operations, but this isn't always true.

However, seeking data from the cloud may take $40 \mathrm{~ms}+$.
...an $\mathrm{O}(\lg (\mathrm{n}))$ AVL tree no longer looks great:


## BTree Design Motivations

Knowing that we have large seek times for data, we want to:

## BTree (of order m)

| -3 | 8 | 23 | 25 | 31 | 42 | 43 | 55 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $m=9$ |  |  |  |  |  |  |  |

Goal: Minimize the number of reads!
Build a tree that uses


## BTree Insertion

A BTrees of order $\mathbf{m}$ is an $m$-way tree:

- All keys within a node are ordered
- All leaves contain hold no more than m-1 keys.



## BTree Insertion

When a BTree node reaches $\mathbf{m}$ keys:


## BTree Recursive Insert



## BTree Recursive Insert

| 23 | 42 |
| :--- | :--- |
| $m=3$ |  |


| -3 | 8 |
| :--- | :--- | :--- |$\quad$| 25 | 31 |
| :--- | :--- | :--- |$\quad$| 43 |
| :--- |
| 55 |

## BTree Visualization/Tool

https://www.cs.usfca.edu/~galles/visualization/BTree.html

## Btree Properties

A BTrees of order $\mathbf{m}$ is an m-way tree:

- All keys within a node are ordered
- All leaves contain no more than m-1 keys.
- All internal nodes have exactly one more child than keys
- Root nodes can be a leaf or have [2, m] children.
- All non-root, internal nodes have [ceil(m/2), m] children.
- All leaves are on the same level


## BTree



## BTree Search



## BTree Search



## BTree Analysis

The height of the BTree determines maximum number of _____ possible in search data.
...and the height of the structure is: $\qquad$ .

Therefore: The number of seeks is no more than $\qquad$ .
...suppose we want to prove this!

## BTree Analysis

In our AVL Analysis, we saw finding an upper bound on the height (given $\mathbf{n}$ ) is the same as finding a lower bound on the nodes (given h).

We want to find a relationship for BTrees between the number of keys ( $\mathbf{n}$ ) and the height ( $\mathbf{h}$ ).

## BTree Analysis

## Strategy:

We will first count the number of nodes, level by level.
Then, we will add the minimum number of keys per node ( $\mathbf{n}$ ).
The minimum number of nodes will tell us the largest possible height (h), allowing us to find an upper-bound on height.

## BTree Analysis

The minimum number of nodes for a BTree of order $m$ at each level:
root:
level 1:
level 2:
level 3:
level h :

## BTree Analysis

The total number of nodes is the sum of all of the levels:

## BTree Analysis

The total number of keys:

## BTree Analysis

The smallest total number of keys is:

So an inequality about $\mathbf{n}$, the total number of keys:

Solving for $\mathbf{h}$, since $\mathbf{h}$ is the number of seek operations:

## BTree Analysis

Given $\mathbf{m}=101$, a tree of height $\mathbf{h}=4$ has:

Minimum Keys:

Maximum Keys:

