



Data Structures and Algorithms

Probability in Computer Science

CS 225
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Randomization in Algorithms

1. Assume input data is random to estimate average-case performance
2. Use randomness inside algorithm to estimate expected running time
3. Use randomness inside algorithm to approximate solution in fixed time



Average-Case Analysis: BST

Let $S(n)$ be the average **total internal path length** over all BSTs that can be constructed by uniform random insertion of n objects

Claim: $S(n)$ is $O(n \log n)$

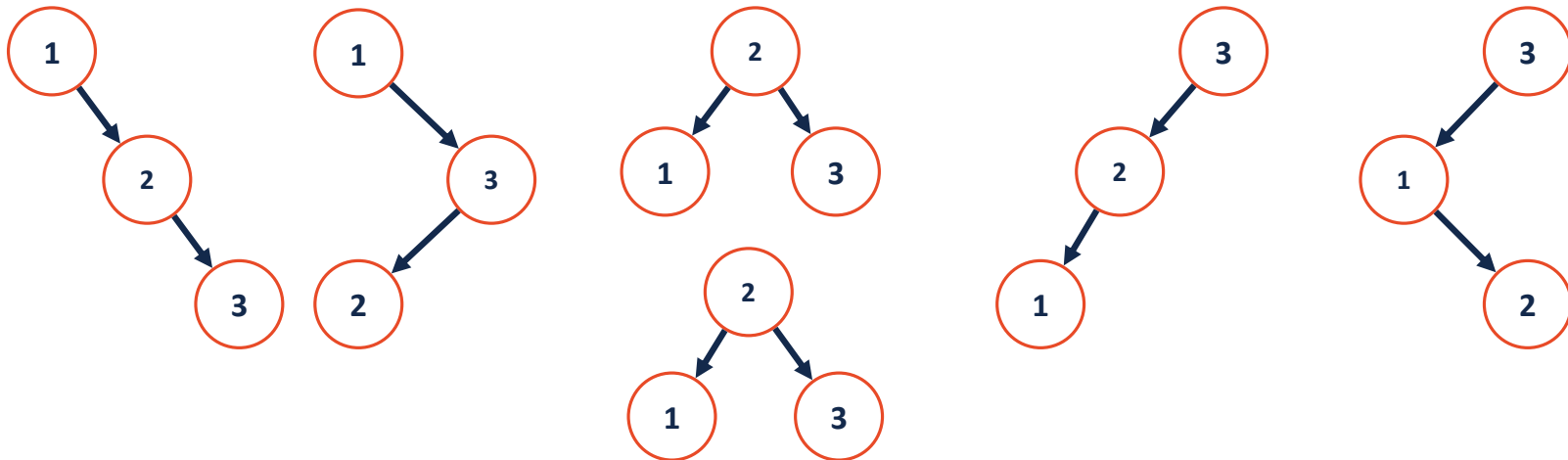
N=0:

N=1:

Average-Case Analysis: BST

Let $S(n)$ be the average **total internal path length** over all BSTs that can be constructed by uniform random insertion of n objects

N=3:





Average-Case Analysis: BST

Let $S(n)$ be the average **total internal path length** over all BSTs that can be constructed by uniform random insertion of n objects

Let $0 \leq i \leq n - 1$ be the number of nodes in the left subtree.

Then for a fixed i , $S(n) = (n - 1) + S(i) + S(n - i - 1)$



Average-Case Analysis: BST

Let $S(n)$ be the **average** total internal path length **over all BSTs** that can be constructed by uniform random insertion of n objects

$$S(n) = (n - 1) + \frac{1}{n} \sum_{i=0}^{n-1} S(i) + S(n - i - 1)$$

Average-Case Analysis: BST

$$S(n) = (n - 1) + \frac{2}{n} \sum_{i=1}^{n-1} S(i)$$

$$S(n) = (n - 1) + \frac{2}{n} \sum_{i=1}^{n-1} (ci \ln i)$$

$$S(n) \leq (n - 1) + \frac{2}{n} \int_1^n (cx \ln x) dx$$

$$S(n) \leq (n - 1) + \frac{2}{n} \left(\frac{cn^2}{2} \ln n - \frac{cn^2}{4} + \frac{c}{4} \right) \approx cn \ln n$$



Average-Case Analysis: BST

Summary: All operations are on average $O(\log n)$

Randomness:

Assumptions:

Expectation Analysis: Randomized Quicksort

6	1	0	3	7	9	2	4
---	---	---	---	---	---	---	---

1	0	3	2	4	9	6	7
---	---	---	---	---	---	---	---

1	0	3	2	4	9	6	7
---	---	---	---	---	---	---	---

1	0	2	3	4	6	7	9
---	---	---	---	---	---	---	---

1	0	2	3	4	6	7	9
---	---	---	---	---	---	---	---

0	1	2	3	4	6	7	9
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Expectation Analysis: Randomized Quicksort

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1	0	3	2	4	9	6	7
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1	0	2	3	4	6	7	9
---	---	---	---	---	---	---	---

0	1	2	3	4	6	7	9
---	---	---	---	---	---	---	---

0	1	2	3	4	5	6	7
---	---	---	---	---	---	---	---

0	1	2	3	4	5	6	7
---	---	---	---	---	---	---	---

0	1	2	3	4	5	6	7
---	---	---	---	---	---	---	---

...

0	1	2	3	4	5	6	7
---	---	---	---	---	---	---	---

Expectation Analysis: Randomized Quicksort

In **randomized quicksort**, the selection of the pivot is random.

Claim: The expected comparisons is $O(n \log n)$ *for any input!*

Let X be the total comparisons and X_{ij} be an **indicator variable**:

$$X_{ij} = \begin{cases} 1 & \text{if } i\text{th object compared to } j\text{th} \\ 0 & \text{if } i\text{th object not compared to } j\text{th} \end{cases}$$

Then...



Key Ideas

1. Never compare X_i with X_i
2. Never compare X_i and X_j more than once



Expectation Analysis: Randomized Quicksort

Claim: $E[X_{ij}] = \frac{2}{j-i+1}$

Base Case: (N=2)

Expectation Analysis: Randomized Quicksort

Claim: $E[X_{i,j}] = \frac{2}{j-i+1}$ **Induction:** Assume true for all inputs of $< n$





Expectation Analysis: Randomized Quicksort

$$E[X] = \sum_{i=1}^n \sum_{j=i+1}^n E[X_{ij}]$$

$$E[X_{ij}] = \frac{2}{j-i+1}$$

Expectation Analysis: Randomized Quicksort

$$E[X] = \sum_{i=1}^n \sum_{j=i+1}^n E[X_{ij}] \qquad E[X_{ij}] = \frac{2}{j-i+1}$$

$$E[X] = \sum_{i=1}^n 2 \left(\frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n-i+1} \right)$$

$$E[X] = \sum_{i=1}^n 2(H_{n-1} - 1) \leq 2n \cdot H_n \leq 2n \ln n$$



Expectation Analysis: Randomized Quicksort

Summary: Randomized quick sort is $O(n \log n)$ regardless of input

Randomness:

Assumptions:



Probabilistic Accuracy: Fermat primality test

Pick a random a in the range $[2, p - 2]$

If p is prime and a is not divisible by p , then $a^{p-1} \equiv 1 \pmod{p}$

But... ***sometimes*** if n is composite and $a^{n-1} \equiv 1 \pmod{n}$



Probabilistic Accuracy: Fermat primality test

	$a^{p-1} \equiv 1 \pmod{p}$	$a^{p-1} \not\equiv 1 \pmod{p}$
p is prime		
p is not prime		



Probabilistic Accuracy: Fermat primality test

Let's assume $\alpha = .5$

First trial: $a = a_0$ and prime test returns 'prime!'

Second trial: $a = a_1$ and prime test returns 'prime!'

Third trial: $a = a_2$ and prime test returns 'not prime!'

Is our number prime?

What is our **false positive** probability? Our **false negative** probability?

Probabilistic Accuracy: Fermat primality test



Summary: Randomized algorithms can also have fixed (or bounded) runtimes at the cost of probabilistic accuracy.

Randomness:

Assumptions:



Types of randomized algorithms

A **Las Vegas** algorithm is a randomized algorithm which will always give correct answer if run enough times but has no fixed runtime.

A **Monte Carlo** algorithm is a randomized algorithm which will run a fixed number of iterations and may give the correct answer.



Next Class: Randomized Data Structures

Sometimes a data structure can be **too ordered / too structured**

Randomized data structures rely on **expected** performance

Randomized data structures 'cheat' tradeoffs!