# String Algorithms and Data Structures Z-values and the Z-algorithm 

CS 199-225
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## A_naive reflection



Material Understood
$\square$
Optional task for faster algorithm

## A_zval due today!

A_zalg will build off of a_zval (and include a second chance at search!)
Correct character counting is key (same as last week)

## Exact Pattern Matching w/ Z-algorithm



Find instances of $P$ in $T$
'instances': An exact, full length copy

The Z-value $\left[Z_{i}(S)\right]$
Given a string $S, Z_{i}(S)$ is the length of the longest substring in $S$, starting at position $i>0$, that matches a prefix of $S$.

0123456789
S: ABCDABCDAB

$$
Z_{4}(S)=
$$

S: C GCGA? ? ? ? ?

$$
Z_{5}(S)=3
$$

S: A ? ? ? ? ? ? ? ? ?

$$
Z_{1}(S)=7
$$

## Z-value Pattern Matching

P: TT T: СТ TA

S: T T \$ C T T A
$Z(S):[-, 1,0,0,2,1,0]$

Z-value search pseudo-code

1. Concatenate ( $S=P \$ T$ )
2. Calculate Z-values for S
3. For $\mathbf{i}<\mathbf{0}$, match if $\boldsymbol{Z}_{\boldsymbol{i}}=|\mathbf{P}|$

Match is not at i , but instead at
T[i-|P|-1]

## End-of-class brainstorm

What information does a single $Z$-value tell us?
If I know $Z_{i-1}(S)$, can I use that information to help me compute $Z_{i}(S)$ ?

## The Z-value (Take 2)

Given a string $S, Z_{i}(S)$ is the length of the longest substring in $S$, starting at position $i>0$, that matches a prefix of $S$.
$Z_{i} \neq 0$ means that my substring $\left(i, Z_{i}\right)$ matches my prefix $\left(0, Z_{i}\right)$

The characters after my substring and prefix must not match!

| $S:$ T TC G T TA G C G |  |  |  |  |  | $Z_{4}=2$ |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | ... |
|  |  | X |  |  |  | Y |  |  |  |  |

## The Z-Algorithm

Assume we've computed $Z_{0}, \ldots, Z_{i-1}$ and need to calculate $Z_{i}$
Case 1: We know nothing about the characters at S[i]

$$
Z_{1}=?
$$

| 0 | 1 | 2 | 3 | 4 | 5 | 7 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | A | A | A | B | B | B | B |
| A | A | A | A | B | B | B | B |

Case 2: We know something about the characters at S[i]

$$
Z_{2}=?
$$

| 0 | 1 | 2 | 3 | 4 | 5 | 7 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | A | A | A | B | B | B | B |
| A | A | A | A | B | B | B | B |

## The Z-Algorithm

$$
\begin{aligned}
& Z_{1}=3 \\
& Z_{2}=?
\end{aligned}
$$

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | A | A | A | B | B | B | B |
| A | A | A | A | B | B | B | B |

We track our current knowledge of $S$ using three values: $i, r, l$
$i$, the current index position being calculated
$r$, the index of the rightmost character which has ever been matched
$l$, the index of $Z$-value which $r$ belongs too

The Z-Algorithm
$i$, the current index $=$
$r$, the furthest match char $=$
$l$, the furthest reaching $Z$-value $=$


The Z-Algorithm
Start
$i$, the current index $=$
$r$, the furthest match char $=$
$l$, the furthest reaching $Z$-value $=$

| - | 1 | 0 | 3 | 4 | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 1 | 2 |  |  |  |  |  |
| A | A | B | B | A | A | B | A |
| A | A | B | B | A | A | B | A |

The Z-Algorithm
Start
$i$, the current index $=$
$r$, the furthest match char $=$
$l$, the furthest reaching $Z$-value $=$

| - | 1 | 0 | 0 |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| A | A | B | B | A | A | B | A |
| A | A | B | B | A | A | B | A |

The Z-Algorithm
$i$, the current index $=$
$r$, the furthest match char $=$
$l$, the furthest reaching $Z$-value $=$

| - | 1 | 0 | 0 | 3 |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| A | A | B | B | A | A | B | A |
| A | A | B | B | A | A | B | A |

The Z-Algorithm
Start
$i$, the current index $=$
$r$, the furthest match char $=$
$l$, the furthest reaching Z -value $=$

| - | 1 | 0 | 0 | 3 | 1 |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| A | A | B | B | A | A | B | A |
| A | A | B | B | A | A | B | A |

The Z-Algorithm
$i$, the current index $=$
$r$, the furthest match char $=$
$l$, the furthest reaching Z -value $=$

| - | 1 | 0 | 0 | 3 | 1 | 0 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| A | A | B | B | A | A | B | A |
| A | A | B | B | A | A | B | A |

The Z-Algorithm
$i$, the current index $=$
$r$, the furthest match char $=$
$l$, the furthest reaching Z -value $=$

| - | 1 | 0 | 0 | 3 | 1 | 0 | 1 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| A | A | B | B | A | A | B | A |
| A | A | B | B | A | A | B | A |

The Z-Algorithm

$$
\begin{aligned}
& Z_{2}=2 \\
& Z_{3}=?
\end{aligned}
$$

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | A | A | A | B | B | B | B |
| A | A | A | A | B | B | B | B |

We track our current knowledge of $S$ using three values: $i, r, l$
$i$ gets updated every iteration (as we compute $Z_{i}$ )
$r$ gets updated when $Z_{i}>0$ AND $r_{\text {new }}>r_{\text {old }}$
$l$ gets updated whenever $r$ is updated (it stores the index of $r^{\prime} s \mathrm{Z}$-value)

## The Z-Algorithm

| 0 | 1 | 2 | 3 | 4 | 5 | 7 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | A | A | B | B | A | A | A |
| A | A | A | B | B | A | A | A |

The values of $i, r, l$ tell us how much work we need to do to compute $Z_{i}$
Case 1: $i>r$
$\mathrm{Ex}: i=1, r=0, l=0$
We must compute $Z_{i}$ explicitly!

## The Z-Algorithm

| 0 | 1 | 2 | 3 | 4 | 5 |  | 6 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | A | A | B | B | A | A | A |
| A | A | A | B | B | A | A | A |

The values of $i, r, l$ tell us how much work we need to do to compute $Z_{i}$
Case 1: $i>r$
$\mathrm{Ex}: i=5, r=2, l=1$
We must compute $Z_{i}$ explicitly!

## The Z-Algorithm

| 0 | 1 | 2 | 3 | 4 | 5 | 6 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | A | A | B | B | A | A | A |
| A | A | A | B | B | A | A | A |

The values of $i, r, l$ tell us how much work we need to do to compute $Z_{i}$
Case 2: $i \leq r$
$\mathrm{Ex}: i=6, r=7, l=5$
To find $Z_{6}$, we can save time by looking up the value $\qquad$

## The Z-Algorithm

| 0 | 1 | 2 | 3 | 4 | 5 | 6 |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | B | C | B | B | A | B | C |  |
| A | B | C | B | B | A | B | C |  |

The values of $i, r, l$ tell us how much work we need to do to compute $Z_{i}$
Case 2: $i \leq r$
$\mathrm{Ex}: i=6, r=7, l=5$
To find $Z_{6}$, we can save time by looking up the value $\qquad$

## The Z-Algorithm

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | A | B | A | A | A | B | C |
| A | A | B | A | A | A | B | C |

The values of $i, r, l$ tell us how much work we need to do to compute $Z_{i}$
Case 2: $i \leq r$
Ex: $i=4, r=4, l=3$
To find $Z_{4}$, we can save time by looking up the value $\qquad$

## The Z-Algorithm

Let $l=0, r=0$, for $i=[1, \ldots,|S|-1]$ :
Compute $Z_{i}$ using irl:
Case $1(i>r)$ : Compute explicitly; update irl
Case $2(i \leq r)$ :
Use previous Z-values to avoid work
Explicitly compute only 'new' characters
How can we tell the difference between cases?

The Z-Algorithm $i=5, r=7, l=4$

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | B | C | D | A | B | C | D |
| A | B | C | D | A | B | C | D |

Let $\beta$ be the characters from $i$ to $r$ (inclusive).
What is $|\beta|$ in terms of $i, r, l$ ?
Let $k$ be the $Z$-value index we want to look up.
What is $k$ in terms of $i, r, l$ ?

The Z-Algorithm $i=5, r=7, l=4$

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | B | C | D | A | B | C | D |
| A | B | C | D | A | B | C | D |

Case 2a: $i \leq r, Z_{k}<|\beta|$
$|\beta|=\ldots, k=, Z_{k}=$
$Z_{i}=$

The Z-Algorithm $i=5, r=7, l=4$


Case 2a: $i \leq r, Z_{k}<|\beta|$
$Z_{l}$ tells us that $\beta$ matches earlier.

The Z-Algorithm $i=5, r=7, l=4$


Case 2a: $i \leq r, Z_{k}<|\beta|$
$Z_{l}$ tells us that $\beta$ matches earlier. $Z_{k}$ tells us how much matches the prefix.

The Z-Algorithm $i=5, r=7, l=4$


Case 2a: $i \leq r, Z_{k}<|\beta|$
$Z_{l}$ tells us that $\beta$ matches earlier. $Z_{k}$ tells us how much matches the prefix.
Because $Z_{k}<|\beta|, Z_{i}=$

The Z-Algorithm $i=4, r=4, l=3$

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | A | B | A | A | A | B | C |
| A | A | B | A | A | A | B | C |

Case 2b: $i \leq r, Z_{k}=|\beta|$
$|\beta|=\ldots, k=\ldots, Z_{k}=$
$Z_{i}=$

The Z-Algorithm $i=5, r=6, l=4$


Case 2b: $i \leq r, Z_{k}=|\beta|$
$Z_{l}$ tells us that $\beta$ matches earlier.

The Z-Algorithm $i=5, r=6, l=4$


Case 2b: $i \leq r, Z_{k}=|\beta|$
$Z_{l}$ tells us that $\beta$ matches earlier.
$Z_{k}$ tells us how much matches the prefix... but not everything!

The Z-Algorithm $i=5, r=6, l=4$


Case 2b: $i \leq r, Z_{k}=|\beta|$

We have all the same info as before but we have unseen characters!

Because $Z_{k}=|\beta|, Z_{i}=$

The Z-Algorithm $i=3, r=5, l=1$

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | A | A | A | A | A | B | C |
| A | A | A | A | A | A | B | C |

Case 2c: $i \leq r, Z_{k}>|\beta|$
$|\beta|=\ldots, k=, Z_{k}=$
$Z_{i}=$

The Z-Algorithm $i=3, r=5, l=1$


Case 2c: $i \leq r, Z_{k}>|\beta|$
$Z_{l}$ tells us that $\beta$ matches earlier.

The Z-Algorithm $i=3, r=5, l=1$


Case 2c: $i \leq r, Z_{k}>|\beta|$
$Z_{l}$ tells us that $\beta$ matches earlier. $Z_{k}$ tells us how much matches the prefix.
What do we know about yellow?

The Z-Algorithm

$$
i=3, r=5, l=1
$$



Case 2c: $i \leq r, Z_{k}>|\beta|$
$Z_{l}$ tells us that $\beta$ matches earlier. $Z_{k}$ tells us how much matches the prefix.
$Z_{l}$ also tells us that yellow and green can't be equal!

The Z-Algorithm

$$
i=3, r=5, l=1
$$



Case 2c: $i \leq r, Z_{k}>|\beta|$
$Z_{l}$ tells us that $\beta$ is our prefix. $Z_{k}$ is also a previously computed prefix.
Because $Z_{k}>|\beta|, Z_{i}=$

## The Z-Algorithm

Let $l=0, r=0$, for $i=[1, \ldots,|S|-1]$ :
Compute $Z_{i}$ using irl:
Case $1(i>r)$ : Compute explicitly; update irl
Case $2(i \leq r)$ :

$$
\begin{aligned}
& \text { 2a: }\left(Z_{k}<|\beta|\right): Z_{i}=Z_{k} \\
& \text { 2b: }\left(Z_{k}=|\beta|\right): Z_{i}=Z_{k}+\operatorname{explicit(r+1);~\text {update}irl} \\
& \text { 2c: }\left(Z_{k}>|\beta|\right): Z_{i}=|\beta|
\end{aligned}
$$

## Assignment 3: a_zalg

## Learning Objective:

Construct the full Z-algorithm and measure its efficiency

Demonstrate use of Z-algorithm in pattern matching

Due: February 14th 11:59 PM
Consider: Our goal is $\theta(|P|+|T|)$. Does Z-alg search match this?

## Next week:

If I gave you the pattern I was interested in ahead of time, what could you pre-compute to speed up search?

Ex: I'm going to try to look up the word 'arrays' - but you don't know what text l'm going to search through.

