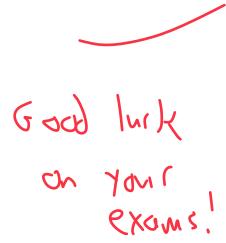
# Data Structures Review

CS 225 Brad Solomon December 8, 2025





#### Announcements

Fill out FLEX Evaluation!

Interested in being a CA? Apply now!

https://opportunities.cs.illinois.edu/courses/positions/

### Material covered here is not only material in class!

Represents only an attempt to provide some helpful resources.

Brad's suggested review strategies:

1) Go through lecture content (focus on review slides)

If there's material you don't remember fully, rewatch lecture

2) Go through practice exams once

If there's material you are struggling with, focus efforts here

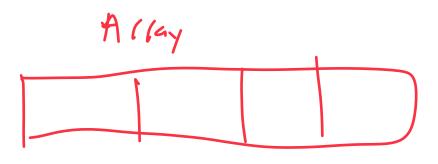
3) Review course assignments

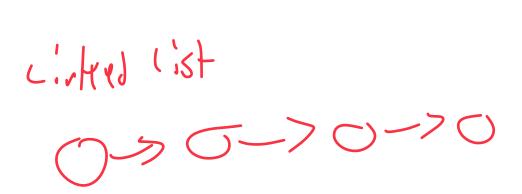
Don't look at your solution until after attempting it from scratch

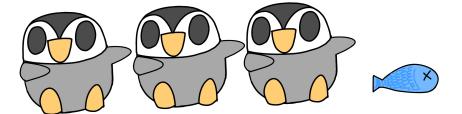
Exam 0 content

7 (ook bark at most assignments

### Lists







### **List Implementation**

### September 10 (Quack Lecture)



	Singly Linked List	Array
Look up <u>arbitrary</u> location  (3) (a) (a) a(1/5)	$\bigcirc(\vee)$	0(1)
Insert after <b>given</b> element	0(1)	O(n)
Remove after <b>given</b> element	0(1)	$\bigcirc$ $(\land)$
Insert at <b>arbitrary</b> location	Find is 014 Med is 011) (a)	() (1) Find is 0(1) Mad is 0(1)
Remove at <b>arbitrary</b> location	Q(n)	O(n)
Search for an input value	0(1)	Q(N)
	(heal)	· · · · · · · · · · · · · · · · · ·

### Lists

#### November 7 (Review Lecture)



#### The not-so-secret underlying implementation for many things

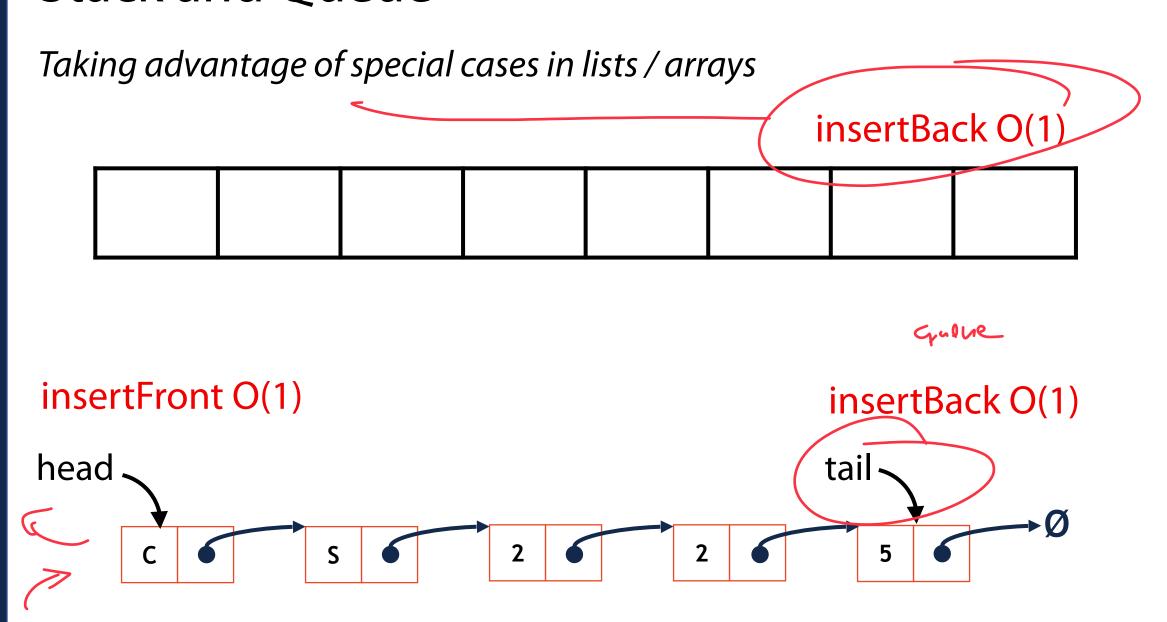
	Singly Linked List	Array
Look up <b>arbitrary</b> location	0(n)	0(1)
Insert after <b>given</b> element	0(1)	0(n)
Remove after <b>given</b> element	0(1)	0(n)
Insert at <b>arbitrary</b> location	O(n)	0(n)
Remove at <b>arbitrary</b> location	0(n)	0(n)
Search for an input value	0(n)	0(n)

**Special Cases:** 

insertFront

insertBack (not full)

### Stack and Queue November 7 (Review Lecture)



Stack ADT September 10 (Quacks Lecture)

• [Order]: 

| AST | AST



• [Implementation]: Trivially as vector for LL vestident

• [Runtime]: ( ) ( ) \*

# if allay is full, amortized still says oll)

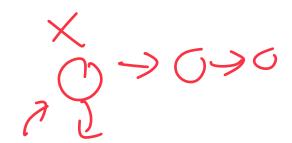
#### Stack ADT

• [Order]: LIFO (Last in first out)



• [Implementation]: Array (such as std::vector)

Linked List also works using insert / remove Front



• [Runtime]: O(1) Push and Pop

If using array,  $O(1)^*$  if we need to resize.

### Queue ADT



• [Order]: Fish out

• [Implementation]: Trivially as LL W/ Circular glueur as array

• [Runtime]: (1) \* When array amortized (1)

September 12 (Queue-Iterator Lecture)

### **Queue ADT**

• [Order]: FIFO (First In First Out)



Flast



• [Implementation]: Circular Queue as Array

Linked List also works using removeFront / insertBack or views

• [Runtime]: O(1)

The actual iterator is defined as a class **inside** the outer class:

1. It must be of base class std::iterator

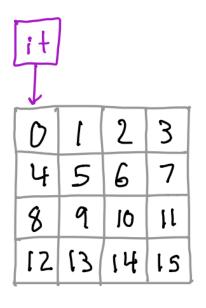
2. It must implement at least the following operations:

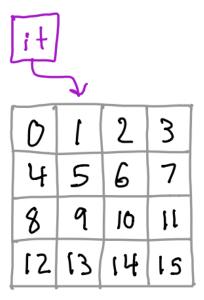
Iterator& operator ++() & yet next

const T & operator \*() ( feferie

bool operator !=(const Iterator &) (onpon iterator bool objects

### Iterators (225 Webpage Resources)





	it J			
	0	[	2	3
<b>&gt;</b>	Ч	5	6	7
	8	9	10	11
	[2	13	14	١s



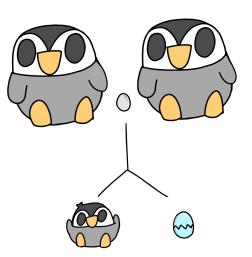


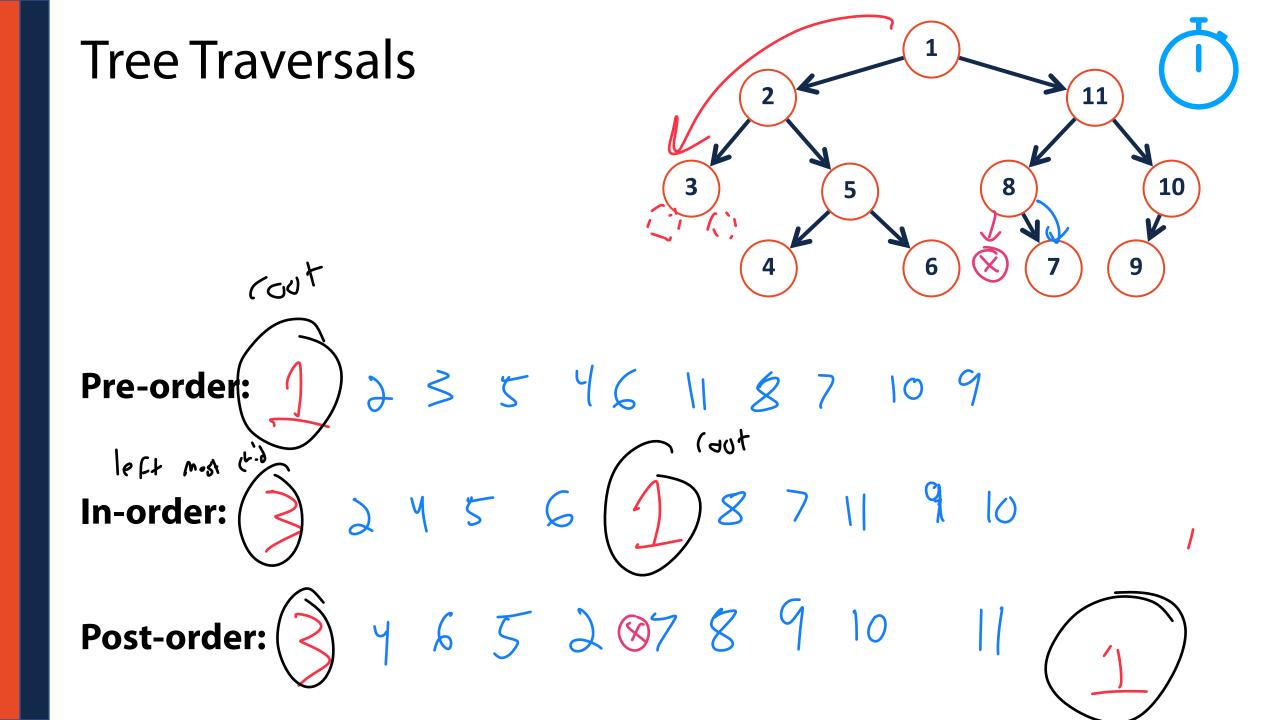


https://courses.grainger.illinois.edu/cs225/fa2024/resources/iterators/

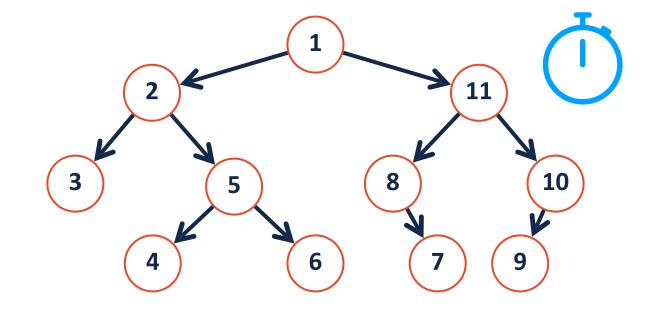
### **Trees**

Labs in this section great for reviewing fundamentals





#### **Tree Traversals**



**Pre-order:** 1, 2, 3, 5, 4, 6, 11, 8, 7, 10, 9

**In-order:** 3, 2, 4, 5, 6, 1, 8, 7, 11, 9, 10

**Post-order:** 3, 4, 6, 5, 2, 7, 8, 9, 10, 11, 1

### Depth First Search

#### Max size of stack ≈ Height of Tree

#### Explore as far along one path as possible before backtracking

Make a stack initialized with root

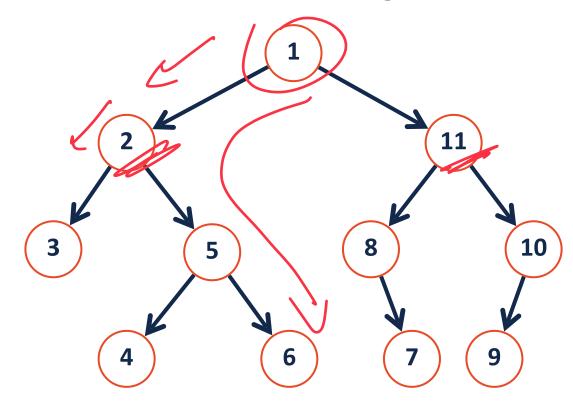
While stack isn't empty:

Pop top element (as tmp)

Print tmp

Push tmp->right to stack

Push tmp->left to stack



Stack: 1, 11, 2, 5, 3, 6, 4, 10, 8, 7, 9

Print: 1, 2, 3, 5, 4, 6, 11, 8, 7, 10, 9

#### **Breadth First Search**

#### Max size of queue ≈ Width of Tree

#### Fully explore depth i before exploring depth i+1

Make a queue initialized with root

While queue isn't empty:

Dequeue front element (as tmp)

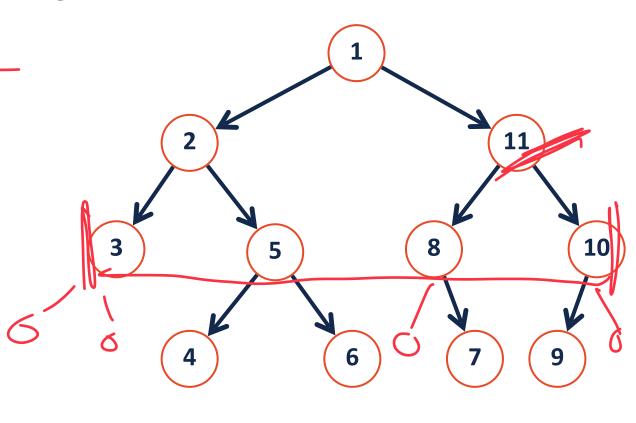
Print tmp

Enqueue tmp->left

Enqueue tmp->right

Queue: 1, 2, 11 3, 5, 8, 10, 4, 6, 7, 9

Print: 1, 2, 3, 5, 4, 6, 11, 8, 7, 10, 9



### **BST Find**

7 7 7 8 9 9 9

find (66)

A recursive function based around value of root:

Base Case: If root is null, return root

Let tmp = root->key()

tmp == query, return root

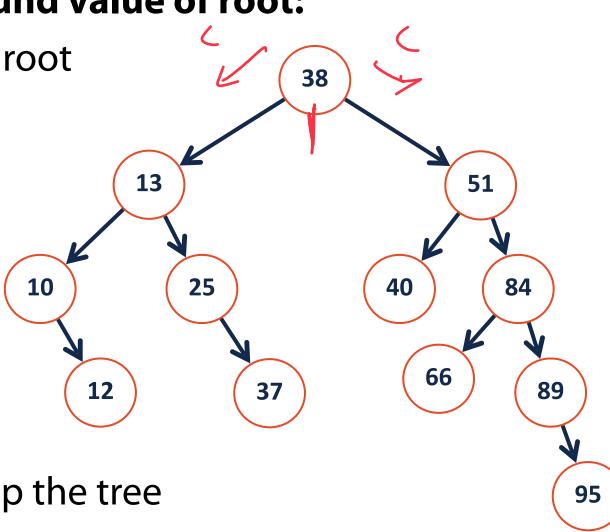
#### **Recursion:**

tmp < query, recurse right</pre>

tmp > query, recurse left

#### **Combining:**

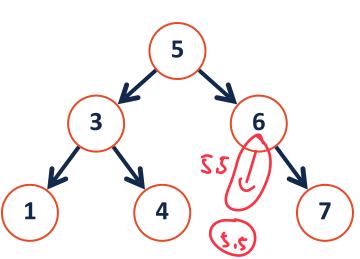
Return the recursive value back up the tree



```
template<typename K, typename V>
         TreeNode *&
                             find(TreeNode *& root, const K & key) {
 6 // Base Case
 7 if(root == nullptr || root->key == key) {
       return root;
10
11 // Recursive Step ("Combining step" is 'return')
12 if (root->key > key) {
       return _find(root->left, key);
13
14 }
15
16 return find(root->right, key);
17
18
19 }
20
21
22
23
```

```
1 template<typename K, typename V>
2
3 void _insert(const K & key, const V & val) {
4
5    return _insert(root, key, val);
6 }
7
```

```
template<typename K, typename V>
 3 void insert(TreeNode *& root, const K & key, const V & val) {
  TreeNode *& tmp = _find(root, key); Reference to pointer!
  tmp = new treeNode(key, val);
10
11
12
13 }
14
15
16
```



## BST Analysis – Running Time

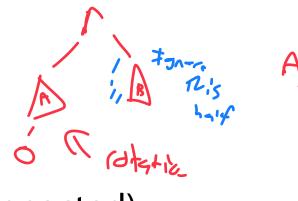
Operation	BST Worst Case	
find	$O(h) \neq O(n)$	
insert	O(h) = O(n)	
remove	O(h) = O(n)	
traverse	O(n)	

### **AVL** Rotations

RightLeft Right Left LeftRight Root Balance: Child Balance:

#### **AVL** Rotations

Four kinds of rotations: (L, R, LR, RL)



1. All rotations are local (subtrees are not impacted)

2. The running time of rotations are constant O(1)

3. The rotations maintain BST property

Goal: AVL tree will be balanced

y this will make height bounded by log(n)

### **AVL Tree Analysis**



For an AVL tree of height h:

Find runs in: O(h)

Insert runs in:  $\bigcirc(h)$ 

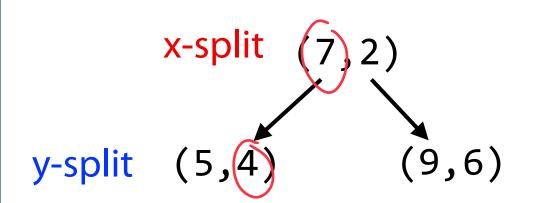
Remove runs in:

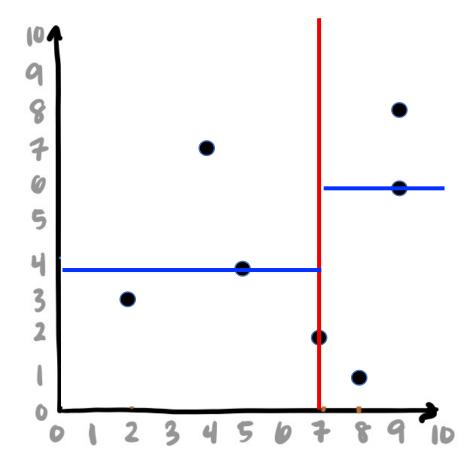
Guarantee:

Claim: The height of the AVL tree with n nodes is:

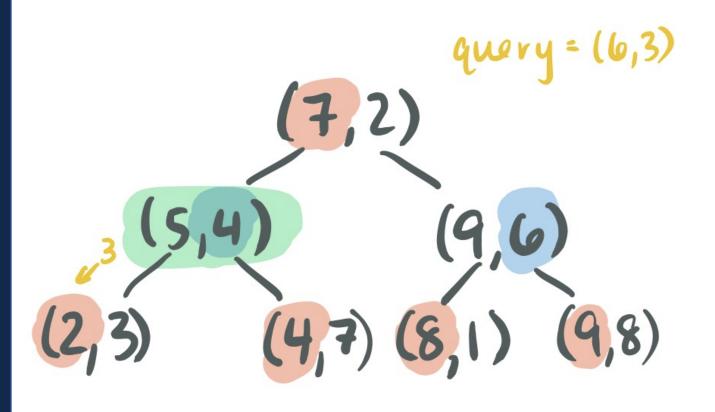
A **k-d tree** is similar but splits on points:

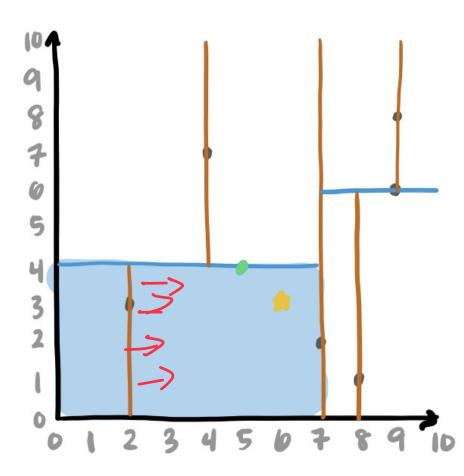
$$(7,2), (5,4), (9,6), (4,7), (2,3), (8,1), (9,8)$$



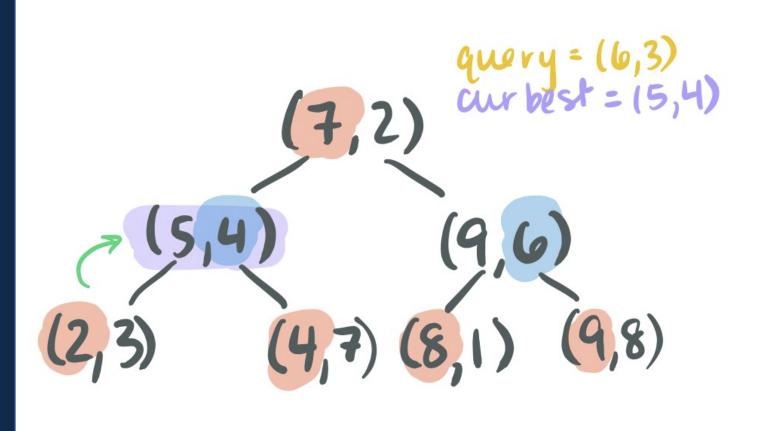


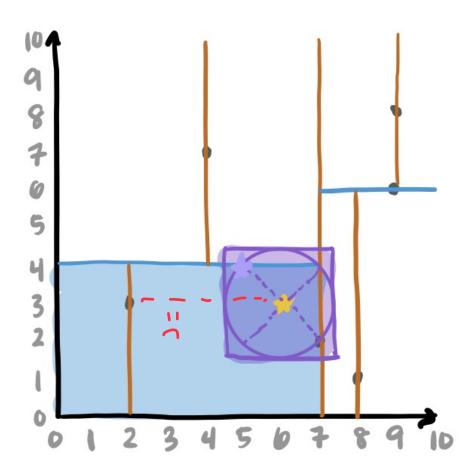
Search by comparing query and node in single alternating dimension





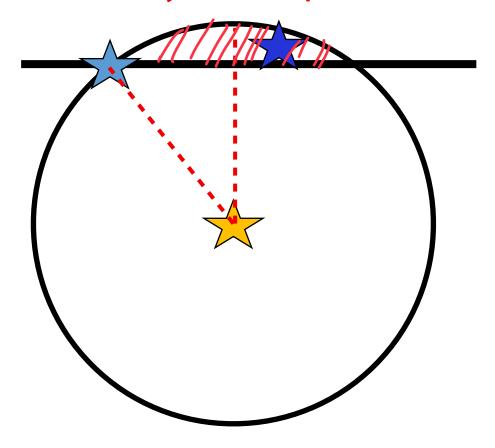
**Backtracking:** start recursing backwards -- store "best" possibility as you trace back

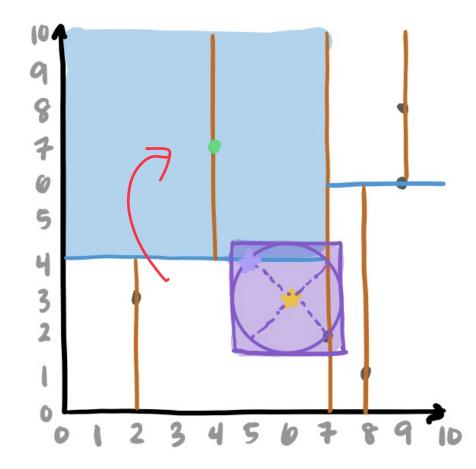




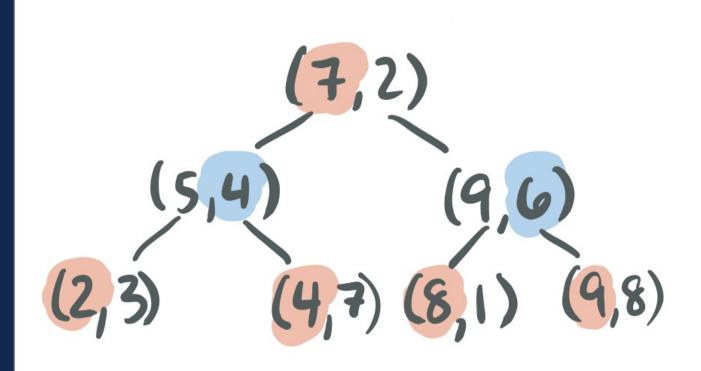
May have to recursively check other branches of tree — why?

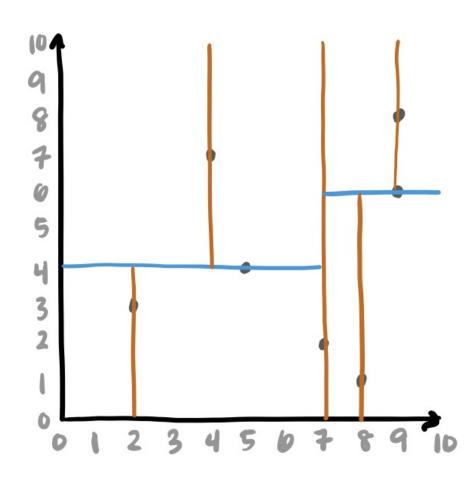
Potentially better point in this small area











### **BTree Properties**

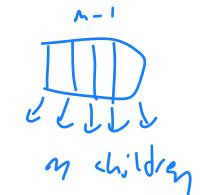
A BTrees of order m is an m-ary tree and by definition:

- All keys within a node are ordered
- All nodes contain no more than **m-1** keys.
- All internal nodes have exactly one more child than keys

Root nodes can be a leaf or have \_\_\_\_\_ children

All non-root, internal nodes have \_\_\_\_\_\_ children.

All leaves in the tree are at the same level.

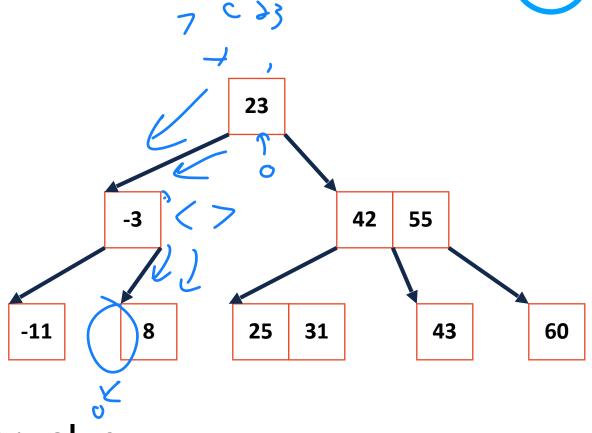


#### BTree Find

Base Case:

If root is empty, return

If leaf, do array find() and return



Find(7

Recursive Step:

Array find() for match or first greater value

Recurse on appropriate child

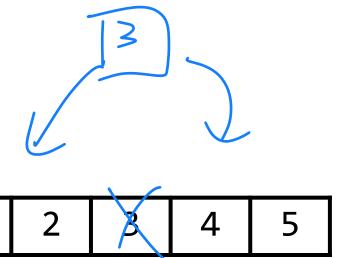
**Tip:** Index of first greater value is index of child we want to visit!

#### BTree Insertion

M = 5

When we hit **M** items, split into three nodes!

- 1) Create new parent node w/ median value
- 2) Split existing array into ~M/2 partitions

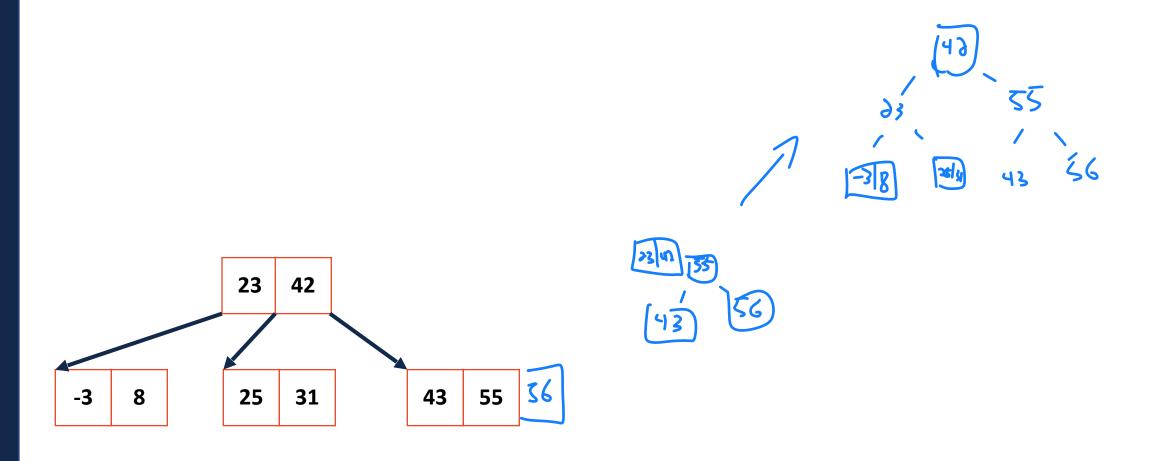


- Insert(1)
- Insert(2)
- Insert(3)
- Insert(4)
- Insert(5)
- Insert(6)
- Insert(7)
- Insert(8)

### BTree Recursive Insert

Insert(56), 
$$M = 3$$

Insert always starts at a leaf but can propagate up repeatedly.



### Final thoughts on Trees

Trees have a large space of **possible coding questions** 

We hit **tree iterators** multiple times...

You saw tree constructors of unusual shapes...

You've seen trees on previous exams...

## Heap

Taking advantage of special cases in lists / arrays

## **Array List (Pointer implementation)**

T\* Start T\* Size T\* Capacity 6 20 16 25 14 12 11 0 12 14 11 size\_t Start size\_t Capacity

**Array List (Index implementation)** 

size\_t Size

O(1) lookup

O(1)\* insertBack

O(1) swap

# (min)Heap

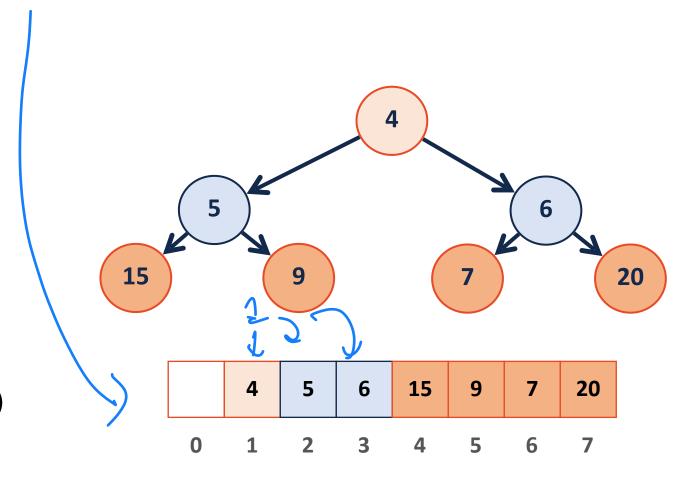
By storing as a complete tree, can avoid using pointers at all!

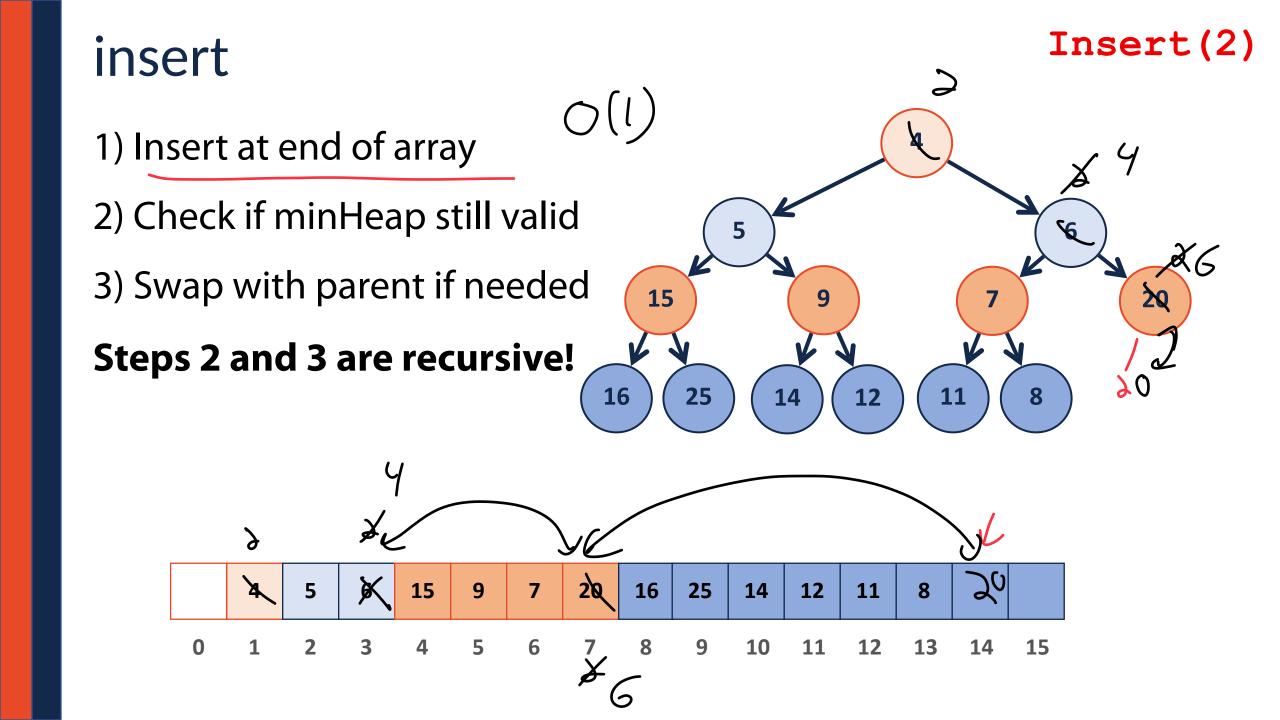
If index starts at 1:

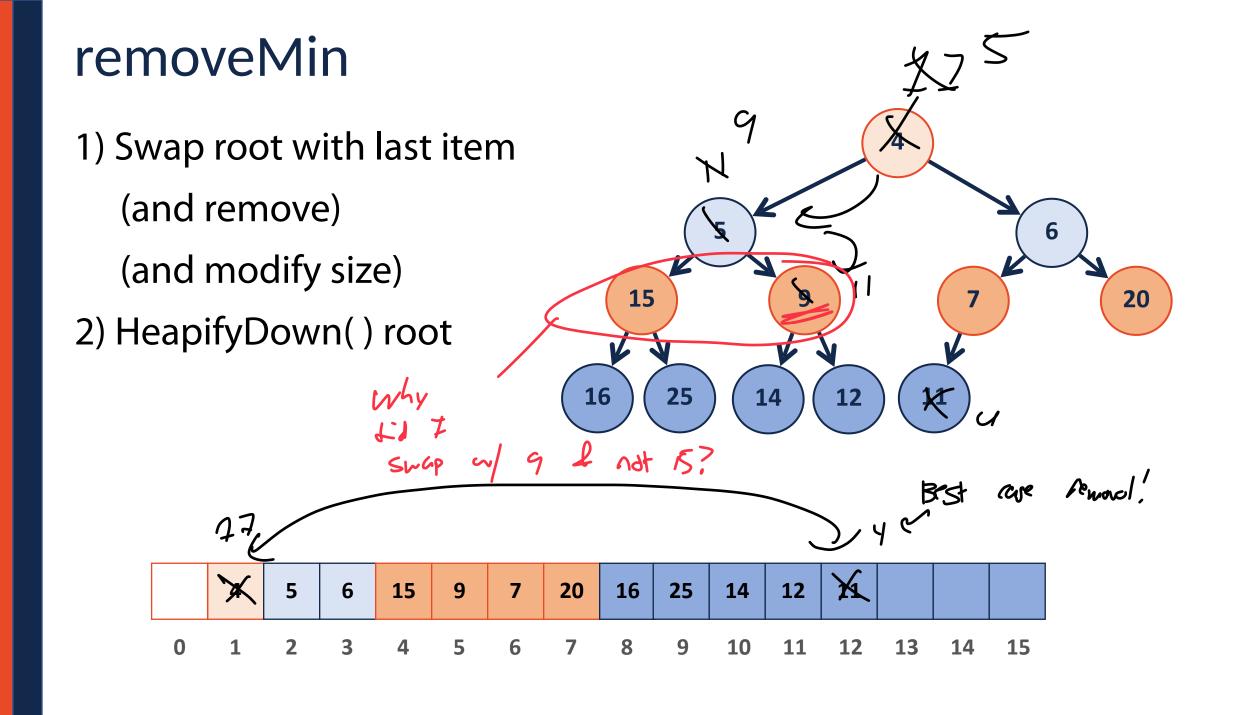
leftChild(i): 2i

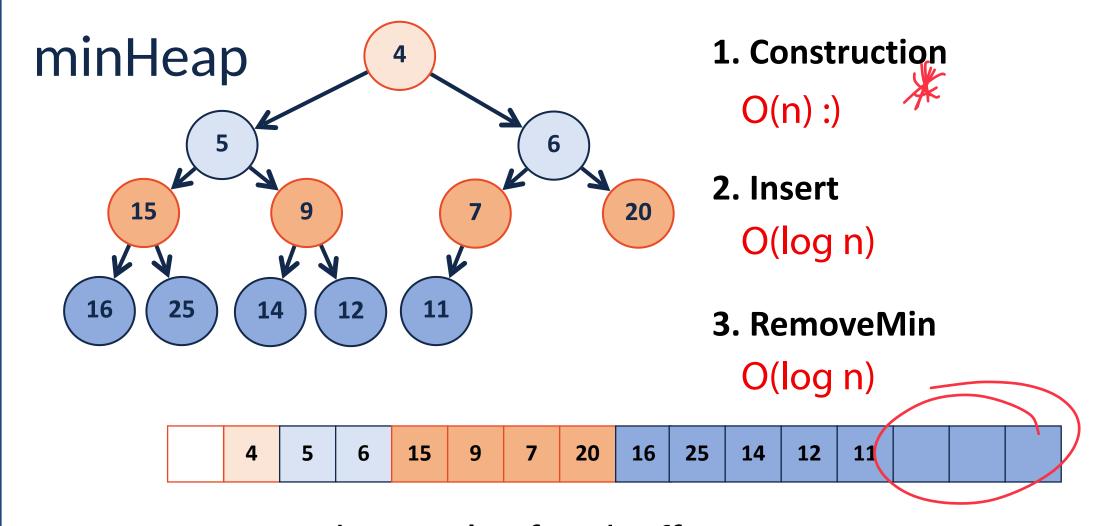
rightChild(i): 2i+1

parent(i): floor(i/2)









minHeap is a good example of tradeoffs:

Array memory locality
Fast access of min item

Not intended for random access

Some wasted space

# Final thoughts on Heaps

Building a heap on different datasets is a useful exercise

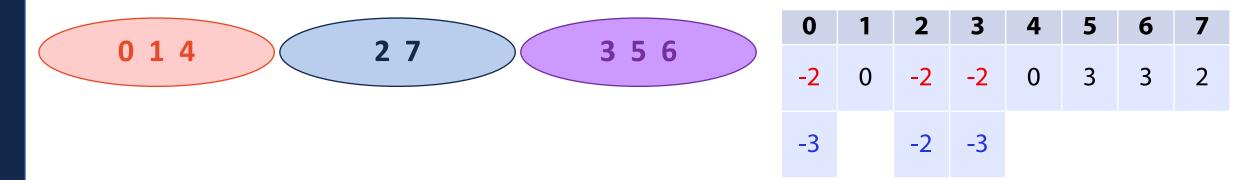
You haven't been tested on heaps yet...

# Disjoint Sets

## Disjoint Set Implementation

Taking advantage of array lookup operations

Store an UpTree as an array, canonical items store height / size



Find(k): Repeatedly look up values until negative value

Union( $k_1$ ,  $k_2$ ): Update *smaller* canonical item to point to larger Update value of remaining canonical item

# Disjoint Sets - Smart Union

Two O(1) methods of combining two sets

Claim: Both limit height to: O(log n).

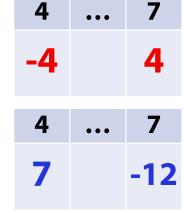
Union by height

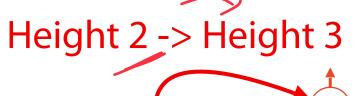
Union by size

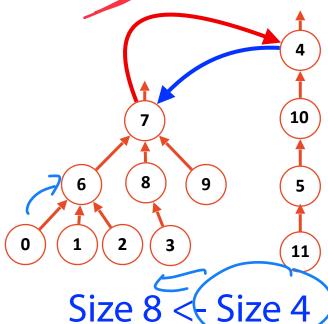
#### **Before Union**

4	•••	7
-4	•	-3
4	•••	7
-4		-8

#### **After Union**







Idea: Keep the height of the tree as small as possible.

**Idea**: Minimize the number of nodes that increase in height

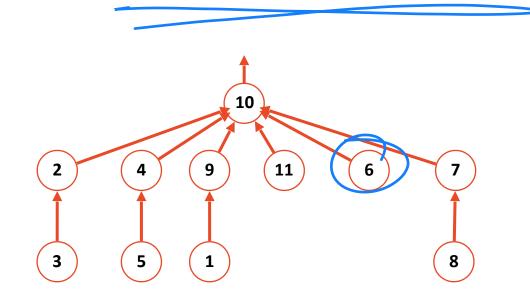
# Disjoint Sets Path Compression

8

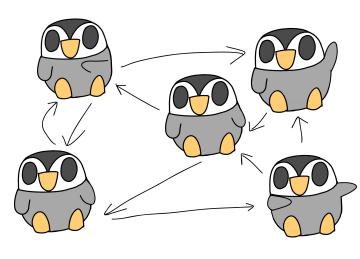
*Minimizing number of O(1) operations* 

```
1 int DisjointSets::find(int i) {
2   if ( s[i] < 0 ) { return i; }
3   else {
4    int root = find( s[i] );
5   s[i] = root;
6   return root;
7   }
8 }</pre>
```

Yet another benefit to array usage!

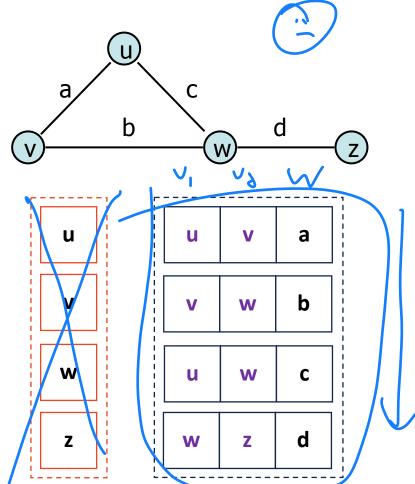


# Graphs



## Graph Implementation: Edge List |V| = n, |E| = m

The equivalent of an 'unordered' data structure



### **Vertex Storage:**

An optional list of vertices

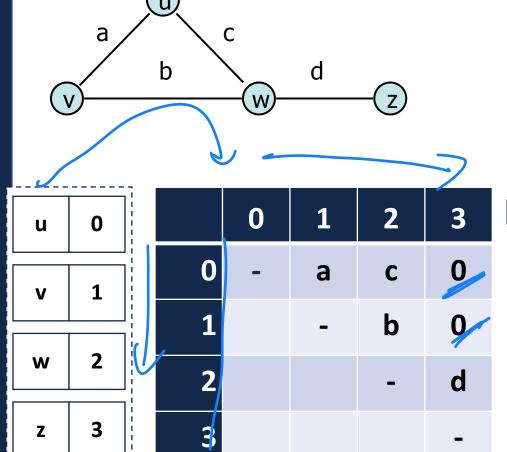
### **Edge Storage:**

A list storing edges as (V1, V2, Weight)

Most graphs are stored as just an edge list!

# Graph Implementation: Adjacency Matrix

$$|V| = n, |E| = m$$



### **Vertex Storage:**

A hash table of vertices

Implicitly or explicitly store index

#### **Edge Storage:**

A |V| x |V| matrix of edges

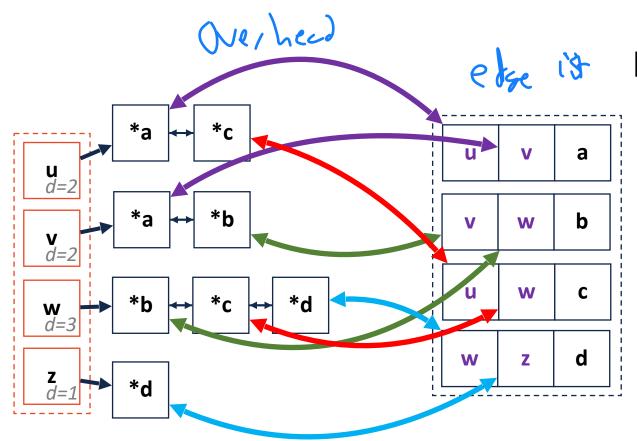
Weight is stored at position (u, v)

# **Adjacency List**

## **Vertex Storage:**

a b c d z

A bidirectional linked list with size variable Each node is a pointer to edge in edge list



#### **Edge Storage:**

A list of (v1, v2, weight) edges Also store pointers back to nodes

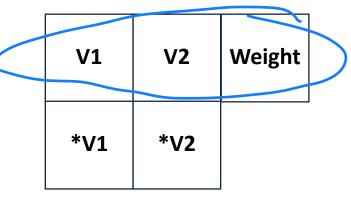
# **Adjacency List**

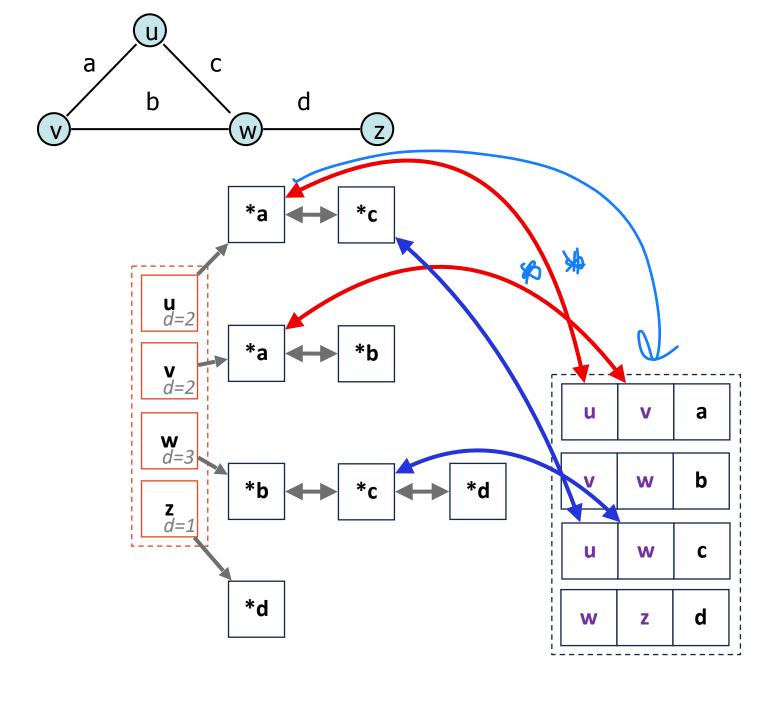
$$|V| = n, |E| = m$$

## Adj List Node:



## Edge List:





## |V| = n, |E| = m

I.	

Expressed as O(f)	Edge List	Adjacency Matrix	Adjacency List	
Space	n <sub>(</sub> +m)	n²	n+m	0= dog(v)=n-1
insertVertex(v)	1*	n*	1*	4 / /
removeVertex(v)	n+m	n	deg(v)	
insertEdge(u, v)	1	1	1*	
removeEdge(u, v)	m	1	min( deg(u), deg(v) )	7
incidentEdges(v)	m	n	deg(v)	
areAdjacent(u, v)	m	1	min( deg(u), deg(v) )	

Traversal: BFS () Initialize
(At stat in queue)

4 Depth (stort = 0)

4 Prederessor (stort = -1)

While queue not empty

G +mp = dequence()

5 Process all children

4 Add to queue

-> Discovery (New vertex) <> set depth (+mp.depth +1)
.... (1055 (ald vertex) 4 set prov (to tmp)

All discovery edges are in table All edges not in table are <1035 dipth prod

**Adjacent Edges** voltax is JON A A B D E F have depth/Pred 1 A A C F H E B C G 2 C C D G

"visited" nodes hove depth/pred

October 29th Lecture

## Traversal: BFS

Initialize queue / depth / predecessor While queue not empty:

Remove front vertex of queue

Check if edge connects to new vertex

Set dist / pred if new vertex

Add unvisited edges to queue (!ke to

Every edge visited twice

Running time? O(n + m)

Every vertex processed once

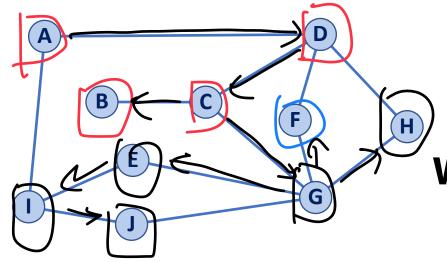
<b>/ I</b>	
	F
	{
	(
(	[
	[
<b>e</b> p	F
	(
18	ł
٧¢	

<b>V</b>  =	n,	E   =	= m	
-------------	----	-------	-----	--

v	d	Р		Adjacent Edges
Α	0	-	B C D	
В	1	Α	ACE	
С	1	Α	ABDI	E F
D	1	Α	ACFF	I
Ε	2	В	BCG	A
F	2	С	CDG	B)(C)
G	3	E	EFH	
Н	2	D	D G	E (I



## Traversal: DFS



## Initialize dist / pred / stack

All dist null (start node dist 0)

All pred -1 (start node pred -1)

Stack loaded with start node

While stack not empty

tmp=stack.peek()

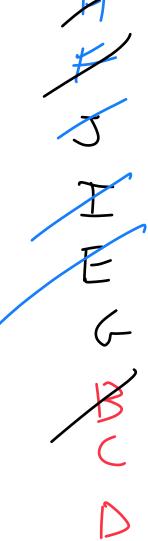
Process one child of tmp

Add to stack

 $\begin{cases}
dist = tmp.dist+1 \\
pred = tmp
\end{cases}$ 

If no unvisited children stack.pop()

October 31st Lecture



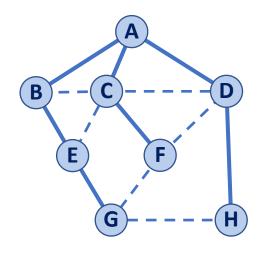
A

Stack

# Efficiency: DFS vs BFS

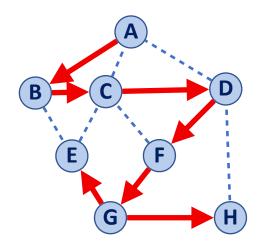
|V| = n, |E| = m

**BFS**: O(n + m)



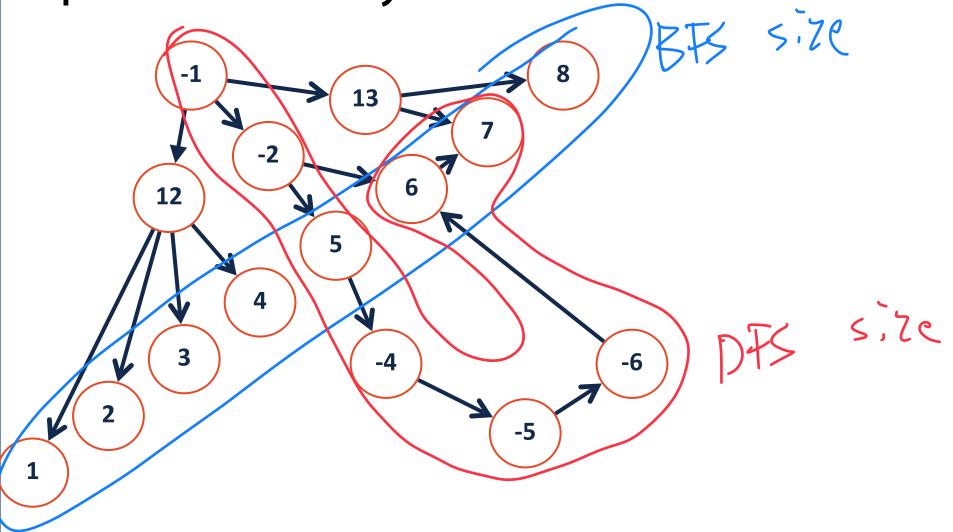
A B C D E F H G

**DFS**: O(n + m)



ABCDFGEH

Space Efficiency: DFS vs BFS



# Summary: DFS and BFS

$$|V| = n, |E| = m$$

Both are **O(n+m)** traversals! They label every edge and every node

**BFS** DFS

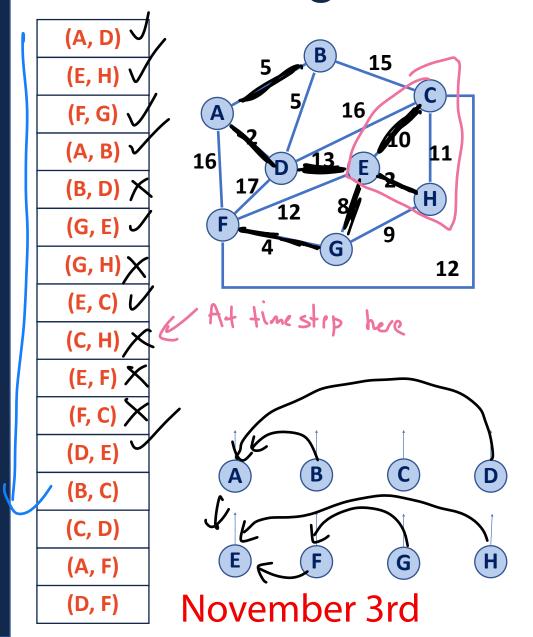
Solves unweighted MST Solves unweighted MST

Solves shortest path

Solves cycle detection Solves cycle detection

Memory bounded by width Memory bounded by longest path

## Kruskal's Algorithm



- 1) Build a **priority queue** on edges *A minheap* 
  - A sorted array
- 2) Build a **disjoint set** on vertices All vertices start as their own set

or

- 3) Loop through min edges

  If edge connects two disjoint sets

  Union sets and record edge in MST
- 4) Stop when:

  N-1 edges recorded

  Only a single disjoint set remains

# Kruskal's Algorithm

```
KruskalMST(G):
     DisjointSets forest
     foreach (Vertex v : G.vertices()):
       forest.makeSet(v)
     PriorityQueue Q // min edge weight
     Q.buildFromGraph(G.edges())
     Graph T = (V, \{\})
10
     while |T.edges()| < n-1:
11
12
       Vertex (u, v) = Q.removeMin()
       if forest.find(u) != forest.find(v):
13
          T.addEdge(u, v)
14
          forest.union( forest.find(u),
15
                         forest.find(v) )
16
17
     return T
18
19
```

```
|V| = n, |E| = m
```

## What is the Big O?

2 - 4: O(n)

6 — 7: Heap: O(m)
Sorted List: O(m log m)

11: m x <12-17>

12—17: Heap: O(log m)
Sorted List: O(1)

Disjoint set we treat as O(1) b/c path compression w/ smart union

# Kruskal's Algorithm

Priority Queue:		
	Неар	Sorted Array
Building :7	O(m)	O(m log m)
Each removeMin :12	O(m log m)	O(m)

## Both result in m + m log m

Why is heap good?

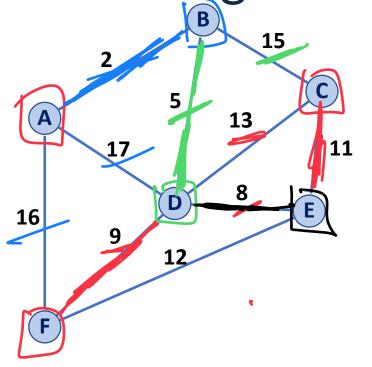
If edge weights can change!

Why is sorted array good?

```
KruskalMST(G):
     DisjointSets forest
     foreach (Vertex v : G.vertices()):
       forest.makeSet(v)
     PriorityQueue Q // min edge weight
     Q.buildFromGraph (G.edges())
     Graph T = (V, \{\})
10
     while |T.edges()| < n-1:
11
       Vertex (u, v) = Q.removeMin()
12
        if forest.find(u) != forest.find(v):
13
           T.addEdge(u, v)
14
           forest.union( forest.find(u),
15
                         forest.find(v) )
16
17
18
     return T
19
```

Sorted array not destroyed and can be useful in other algorithms!

## Prim's Algorithm



Α	В	С	D	E	F
0-	*	X X	17×AT	88	NA NA
7\	14	13/4		810	9, 1)
		11, F			1 2

```
PrimMST(G, s):
     Input: G, Graph;
             s, vertex in G, starting vertex
     Output: T, a minimum spanning tree (MST) of G
     foreach (Vertex v : G.vertices()):
       d[v] = +inf (All disturs Cr. D)
       p[v] = NULL
     d[s] = 0
10
     PriorityQueue Q // min distance, defined by d[v]
11
12
     Q.buildHeap(G.vertices())
                                 & starts off remains A
     Graph T
                        // "labeled set"
13
14
     repeat n times:
15
       Vertex m = Q.removeMin()
16
17
        T.add(m)
        foreach (Vertex v : neighbors of m not in T):
18
          if cost(v, m) < d[v]: uplate cost/distance
           d[v] = cost(v, m) if no else smaller,
p[v] = m uplate prov so we know source
19
20
21
22
     return T
23
```

# Prim's Big O

7 — 9: O(n)

12—14:

MinHeap: O(n)

Unsorted Array: O(1)

16—22: Complicated!

```
|V| = n, |E| = m
```

```
PrimMST(G, s):
     foreach (Vertex v : G.vertices()):
       d[v] = +inf
       p[v] = NULL
10
     d[s] = 0
11
12
     PriorityQueue Q // min distance, defined by d[v]
13
     Q.buildHeap(G.vertices())
     Graph T // "labeled set"
14
15
16
     repeat n times:
       Vertex m = Q.removeMin()
17
18
       T.add(m)
19
       foreach (Vertex v : neighbors of m not in T):
20
         if cost(v, m) < d[v]:
           d[v] = cost(v, m) * 
21
22
           m = [v]q
23
```

Depends on choice of **PriorityQueue** (MinHeap vs Unsorted Array)

Depends on choice of **Graph** (Adjacency Matrix vs Adjacency List)

# Prim's Algorithm

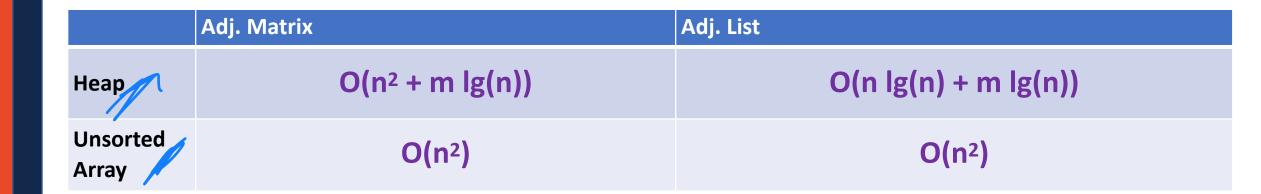
Sparse Graph: m ~ n

Adj List Heap best

Dense Graph: m ~ n<sup>2</sup>

**Unsorted Array best** 

```
PrimMST(G, s):
     foreach (Vertex v : G.vertices()):
       d[v] = +inf
       p[v] = NULL
10
     d[s] = 0
11
12
     PriorityQueue Q // min distance, defined by d[v]
13
     Q.buildHeap(G.vertices())
14
     Graph T // "labeled set"
15
16
     repeat n times:
       Vertex m = Q.removeMin()
18
       T.add(m)
19
       foreach (Vertex v : neighbors of m not in T):
20
         if cost(v, m) < d[v]:
21
           d[v] = cost(v, m)
22
           p[v] = m
23
```



# MST Algorithm Runtime:

Kruskal's Algorithm: O(n + m log (n))

Prim's Algorithm: O(n log(n) + m log (n))

Sparse Graph: m ~ n

Both are n log n

Dense Graph: m ~ n<sup>2</sup>

Both are n<sup>2</sup> log n

# Dijkstra's Algorithm (SSSP)

November 5th

 $O(m + n \log n)$ 

What is the running time of Dijkstra's Algorithm? The same as Prim's!

6-9: O(n)

11-12: O(n)

15: repeat below n x

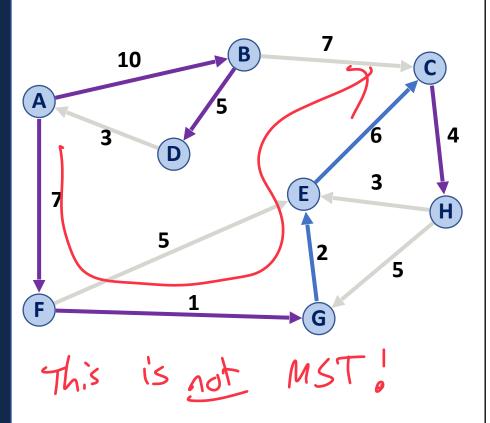
16-22: O(log n)

[w/ Fib Heap O(1) updates]

```
DijkstraSSSP(G, s):
     foreach (Vertex v : G):
       d[v] = +inf
       p[v] = NULL
     d[s] = 0
10
     PriorityQueue Q // min distance, defined by d[v]
11
12
     Q.buildHeap(G.vertices())
     Graph T // "labeled set"
13
14
15
     repeat n times:
16
       Vertex u = Q.removeMin()
17
       T.add(u)
18
       foreach (Vertex v : neighbors of u not in T):
19
         if cost(u, v) + d[u] < d[v]:
20
           d[v] = cost(u, v) + d[u]
21
           p[v] = m
                      edse (cost plas grev costs
22
23
     return T
```

# Dijkstra's Algorithm (SSSP)





```
DijkstraSSSP(G, s):
     foreach (Vertex v : G.vertices()):
       d[v] = +inf
     p[v] = NULL
     d[s] = 0
10
11
     PriorityQueue Q // min distance, defined by d[v]
12
     Q.buildHeap(G.vertices())
13
     Graph T // "labeled set"
14
15
     repeat n times:
16
       Vertex u = 0.removeMin()
17
       T.add(u)
18
       foreach (Vertex v : neighbors of u not in T):
19
         if cost(u, v) + d[u] < d[v]:
20
           d[v] = cost(u, v) + d[u]
21
           p[v] = u
```

Α	В	С	D	E	F	G	Н
	Α	E	В	G	Α	F	C
0	10	16	15	10	7	8	20



# Dijkstra's Algorithm (SSSP)



Dijkstras Algorithm works only on non-negative weights

## **Optimal implementation:**

Fibonacci Heap

If dense, unsorted list ties

## **Optimal runtime:**

Sparse:  $O(m + n \log n)$ 

Dense: O(n<sup>2</sup>)

```
DijkstraSSSP(G, s):
     foreach (Vertex v : G):
       d[v] = +inf
       p[v] = NULL
     d[s] = 0
10
11
     PriorityQueue Q // min distance, defined by d[v]
12
     Q.buildHeap(G.vertices())
     Graph T // "labeled set"
13
14
15
     repeat n times:
16
       Vertex u = Q.removeMin()
17
       T.add(u)
       foreach (Vertex v : neighbors of u not in T):
18
         if cost(u, v) + d[u] < d[v]:
19
20
           d[v] = cost(u, v) + d[u]
21
           p[v] = m
22
23
     return T
```

# Floyd-Warshall Algorithm

Running time?  $O(n^3)$ 

Easy to code / multi-threadable

Can handle negative weights!

```
FloydWarshall(G):
     Let d be a adj. matrix initialized to +inf
     foreach (Vertex v : G):
       d[v][v] = 0
     foreach (Edge (u, v) : G):
10
       d[u][v] = cost(u, v)
11
12
     foreach (Vertex u : G):
13
       foreach (Vertex v : G):
14
         foreach (Vertex w : G):
15
            if d[u, v] > d[u, w] + d[w, v]:
16
              d[u, v] = d[u, w] + d[w, v]
```

# Final thoughts on Graphs

Graphs have a large space of possible coding questions

You've seen graph questions on other exams:

- Make sure you can use graphs to find all neighbors
- Make sure you can use graphs to solve path questions

Consider how these fundamental skills can be challenged

- What if I had labels on nodes and I need to find specific ones?
- What if I need to label nodes or edges with specific properties?
- Can I handle weights? Directions?

# Probability in CS

## November 10th

# Fundamentals of Probability

Imagine you roll a pair of six-sided dice. What is the expected value?

A random variable is a function from events to numeric values.

The **expectation** of a (discrete) random variable is:

$$E[X] = \sum_{x \in \Omega} \Pr\{X = x\} \cdot x$$

$$\Pr\{X = x\} \cdot x$$

$$|X = x\} \cdot x$$

$$|X$$

### Probabilistic Data Structures

Sometimes a data structure can be too ordered / too structured

Randomized data structures rely on **expected** performance

Randomized data structures 'cheat' tradeoffs!

## Randomized Algorithms

A **randomized algorithm** is one which uses a source of randomness somewhere in its implementation.

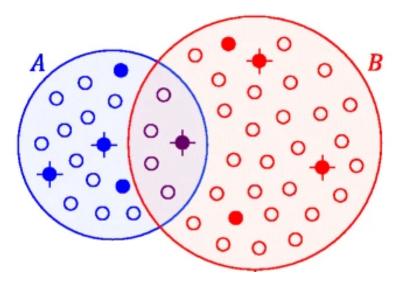
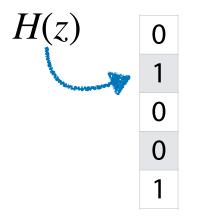
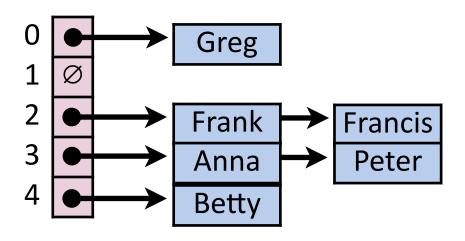


Figure from Ondov et al 2016





H(x)										
H(y)	1	0	2	3	1	0	3	4	0	1
H(z)	2	1	0	2	0	1	0	0	7	2

## A Hash Table based Dictionary

#### User Code (is a map):

```
Dictionary<KeyType, ValueType> d;
d[k] = v;
```

#### A **Hash Table** consists of three things:

- 1. A hash function Assigns numeric (positive int) address to any key Key -> Hash Value (Address)
- 2. A data storage structure Array very good at lookup given **index**Hash Value (Address) is an index!
- 3. A method of addressing hash collisions

Two different keys, same hash value

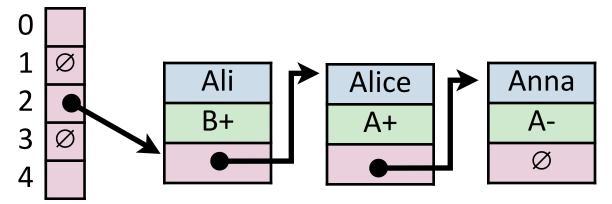
## Open vs Closed Hashing

Addressing hash collisions depends on your storage structure.

Open Hashing: store k,v pairs externally

Such as a linked list

Resolve collisions by adding to list



• Closed Hashing: store k, v pairs in the hash table

Everything stored in one list

How to store collisions? Unclear! 1

Alice /

3

Anna

# Simple Uniform Hashing Assumption

Given table of size m, a simple uniform hash, h, implies

$$\forall k_1, k_2 \in U \text{ where } k_1 \neq k_2 \text{ , } Pr(h[k_1] = h[k_2]) = \frac{1}{m}$$

Uniform: All keys equally likely to hash to any position

$$Pr(h[k_1]) = \frac{1}{m}$$

Independent: All key's hash values are independent of other keys

# Separate Chaining Under SUHA



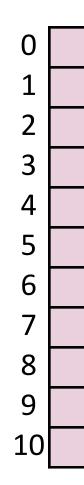
#### Under SUHA, a hash table of size *m* and *n* elements:

 $\alpha = n/m$ 

Find runs in: O(1+ $\alpha$ ).

Insert runs in: O(1).

Remove runs in:  $O(1+\alpha)$ .



## Collision Handling: Linear Probing

$$S = \{ 16, 8, 4, 13, 29, 11, 22 \}$$
  $|S| = n$   
 $h(k, i) = (k + i) \% 7$   $|Array| = m$ 

0	22
1	8
2	16
3	29
4	4
5	11
6	13

```
_find(29)
```

- 1) Hash the input key [h(29)=1]
- 2) Look at hash value (address) position If present, return (k, v) If not look at **next available space**

#### Stop when:

- 1) We find the object we are looking for
- 2) We have searched every position in the array
- 3) We find a blank space

# Running Times (Expectation under SUHA)



Open Hashing:  $0 \le \alpha \le \infty$  (Length of chain)

insert:  $\underline{\phantom{a}}$ .

find/ remove:  $1 + \alpha$ .

Closed Hashing:  $0 \le \alpha < 1$ 

insert:  $\frac{1-\alpha}{1}$ .

find/ remove:  $1 - \alpha$ 

#### **Observe:**



(H° 271, rustine 7 20

#### $\frac{4}{3}$ - If $\alpha$ is constant:

OH is constant 0(1)\*

# Running Times (Don't memorize these equations, no need.)

The expected number of probes for find(key) under SUHA

## Linear Probing:

- Successful:  $\frac{1}{2}(1 + \frac{1}{1-\alpha})$
- Unsuccessful:  $\frac{1}{2}(1 + \frac{1}{(1-\alpha)})^2$

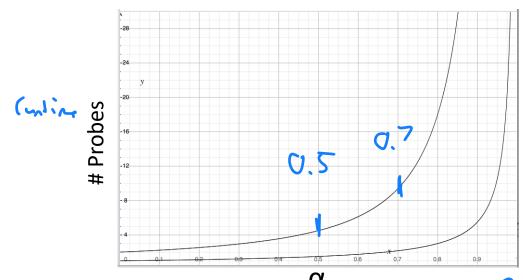
#### **Double Hashing:**

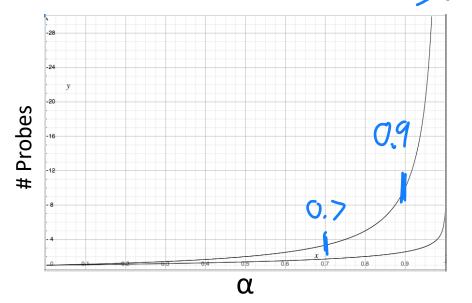
- Successful:  $1/\alpha * ln(1/(1-\alpha))$
- Unsuccessful:  $1/(1-\alpha)$



#### When do we resize?

Linear ~0.7 (0.8) Double ~0.7 - (0.4)





# Running Times (Tradeoff Highlights)



	Hash Table	AVL	Linked List
Find	Expectation*: O(1)***  Worst Case: O(n)	O(log n)	O(n)
Insert	Expectation*: O(1)***  Worst Case: O(n)  Separate Chaining: O(1)	O(log n)	O(1)
Storage Space	O(n)	O(n)	O(n)

## **Bloom Filter**



A probabilistic data structure storing a set of values

 $H = \{h_1, h_2, \ldots, h_k\}$ 

Built from a bit vector of length m and k hash functions

Insert / Find runs in:  $\frac{O(K)}{I}$ 

Delete is not possible (yet)!

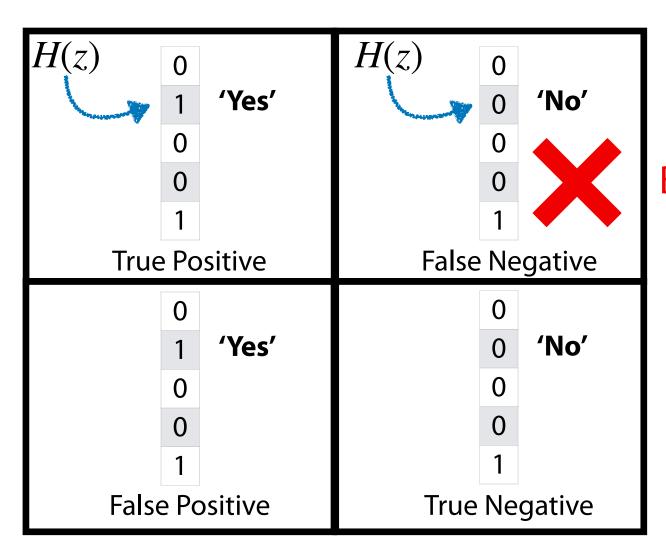
## Probabilistic Accuracy in a Bloom Filter

Bit Value = 1

Bit Value = 0

**Item Inserted** 

**Item NOT inserted** 



BF can't have FN

## **Bloom Filters**



A probabilistic data structure storing a set of values

 $h_{\{1,2,3,...,k\}}$ 

Has three key properties:

k, number of hash functions n, expected number of insertions m, filter size in bits

Expected false positive rate:  $\left(1 - \left(1 - \frac{1}{m}\right)^{nk}\right)^k \approx \left(1 - e^{\frac{-nk}{m}}\right)^k$ 

Optimal accuracy when:  $k^* = \ln 2 \cdot \frac{m}{n}$ 

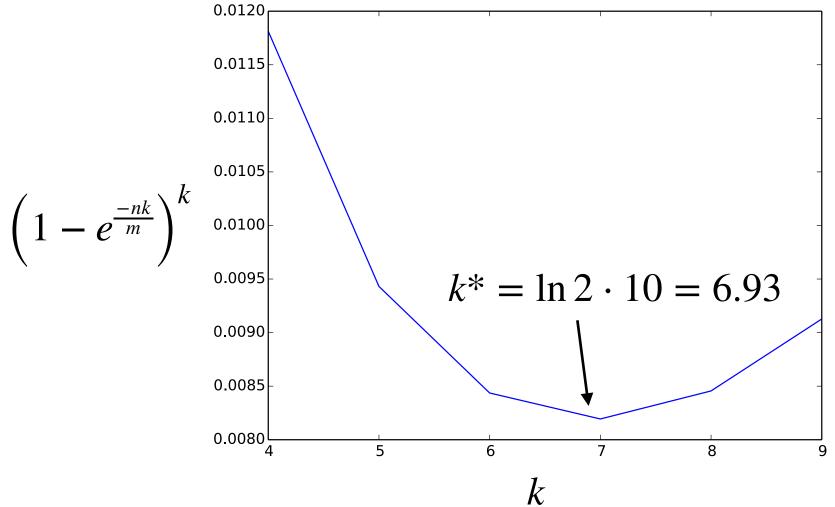
## Bloom Filter: Error Rate



Not enough random trials

$$m/n = 10$$

BF is too saturated



# **Cardinality Estimation**



Let min = 95. Can we estimate N, the cardinality of the set?



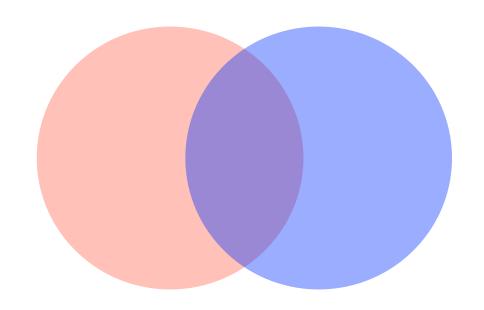
Conceptually: If we scatter N points randomly across the interval, we end up with N+1 partitions, each about 1000/(N+1) long

Assuming our first 'partition' is about average:  $95 \approx 1000/(N+1)$   $N+1 \approx 10.5$ 

 $N \approx 9.5$ 

# Set Similarity Review

To measure **similarity** of A & B, we need both a measure of how similar the sets are but also the total size of both sets.



$$J = \frac{|A \cap B|}{|A \cup B|}$$

J is the **Jaccard coefficient** 

#### MinHash Sketch

Claim: Under SUHA, set similarity can be estimated by sketch similarity!

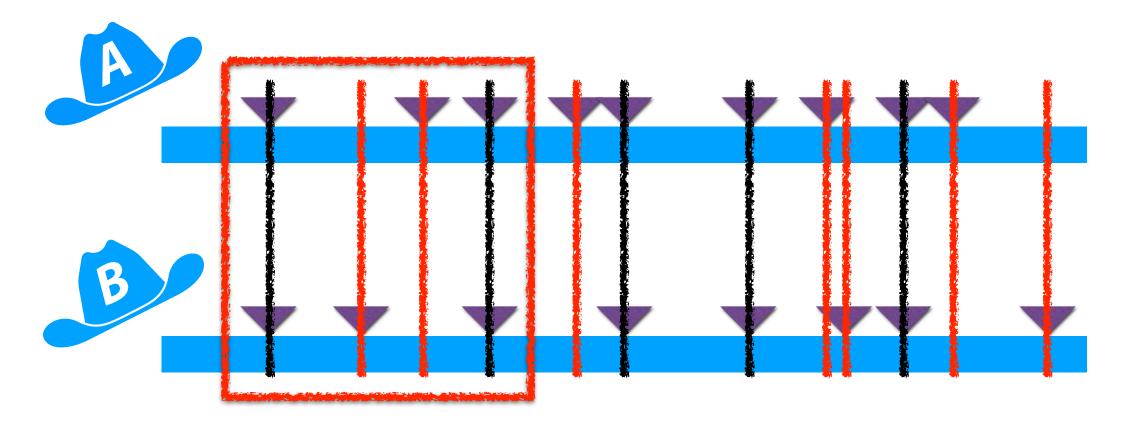
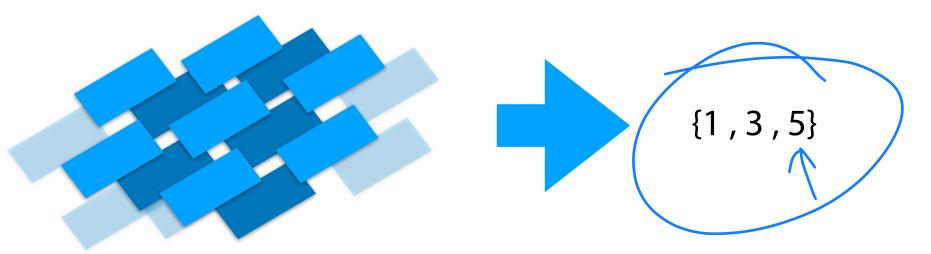


Image inspired by: Ondov B, Starrett G, Sappington A, Kostic A, Koren S, Buck CB, Phillippy AM. **Mash Screen:** high-throughput sequence containment estimation for genome discovery. *Genome Biol* 20, 232 (2019)

### MinHash Sketch



We can convert any hashable dataset into a MinHash sketch

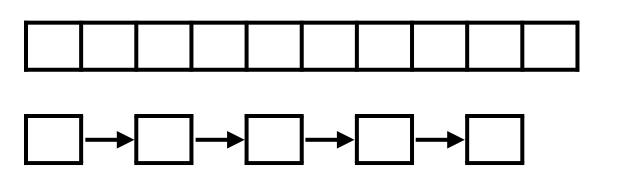


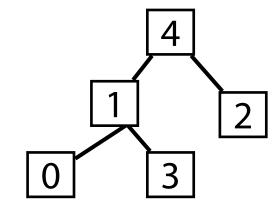
We lose our original dataset, but we can still estimate two things:

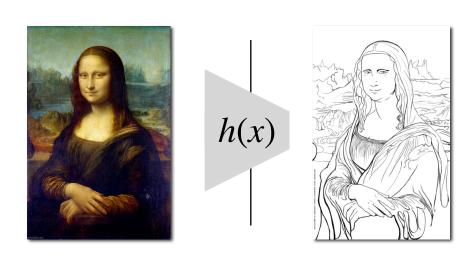
- 1. Cardinality Estimation (Using the k-th minimum hash value)
- 2. Set Similarity (Using all k-min hash values)

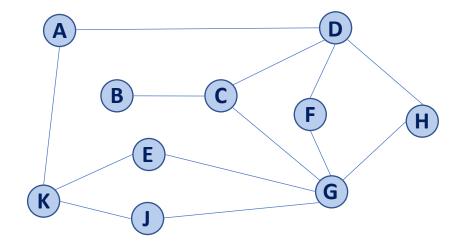
# Questions?

Understand foundational data structures and algorithms







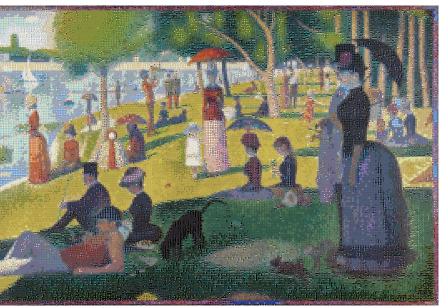


Justify appropriate algorithms for complex problems

Decompose problem into supporting data structures

Analyze efficiency of implementation choices



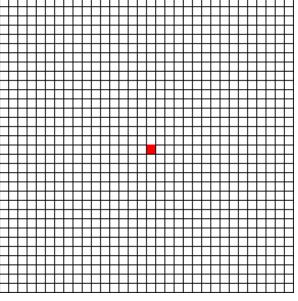




Implement intermediate difficulty problems in C++







Understand foundational data structures and algorithms

Justify appropriate algorithms for complex problems

Implement intermediate difficulty problems in C++

Improve your foundation of CS theory

Good luck on your finals!