

Data Structures

Balanced Binary Search Trees

CS 225

October 1, 2025

Harsha Tirumala



UNIVERSITY OF
ILLINOIS
URBANA - CHAMPAIGN

Department of Computer Science

Announcements

Exam 2 - 10/01 to 10/03

MP_Stickers survey processed - we will make some changes!

Mp_Lists survey out Today

Exam Regrades - 1. Go over exam with a staff member
2. If unhappy, fill out regrade request form
(and mention staff member's name)

Learning Objectives

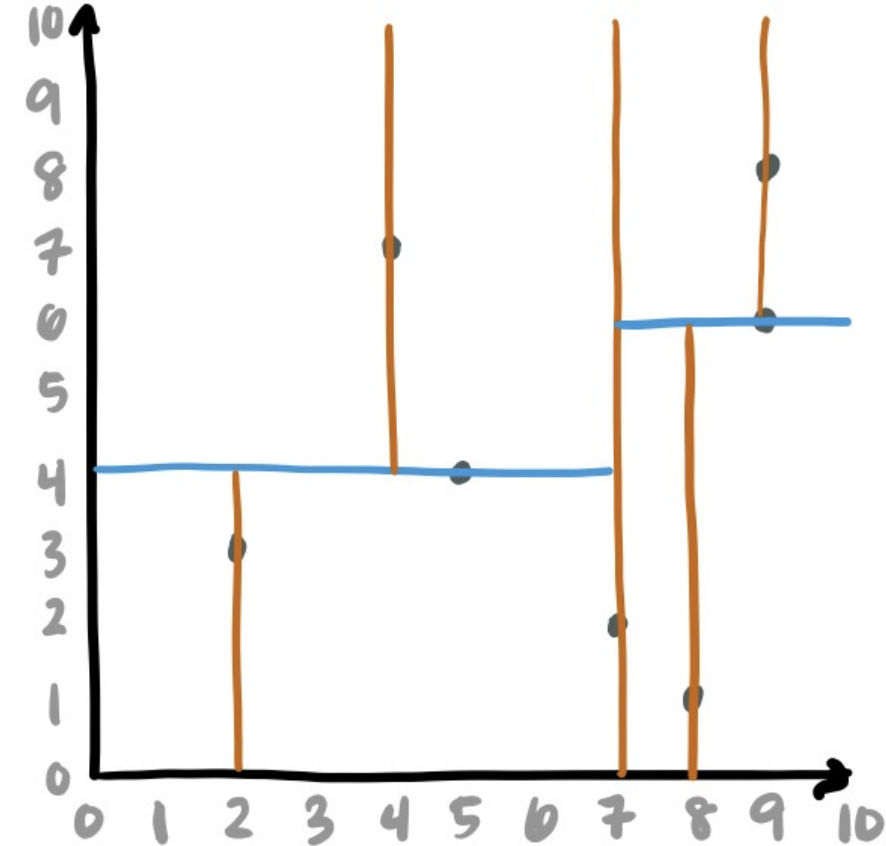
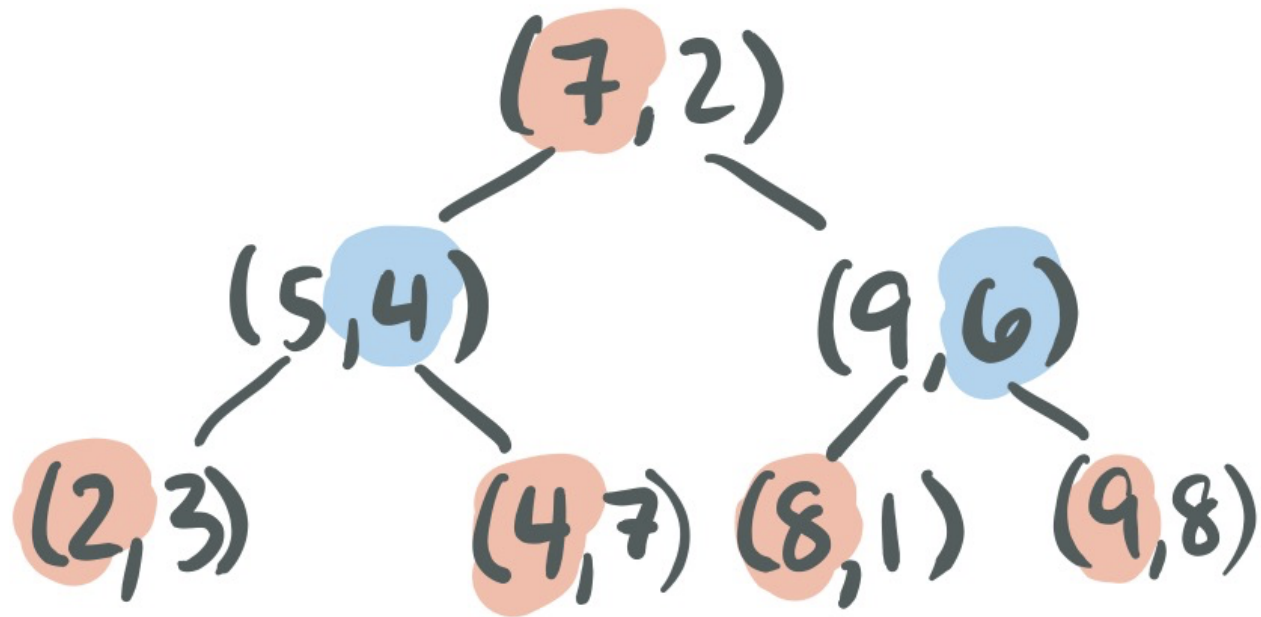
Review kd tree : Nearest Neighbor Search

Briefly review BST in the context of height

BST : Challenges and Solutions

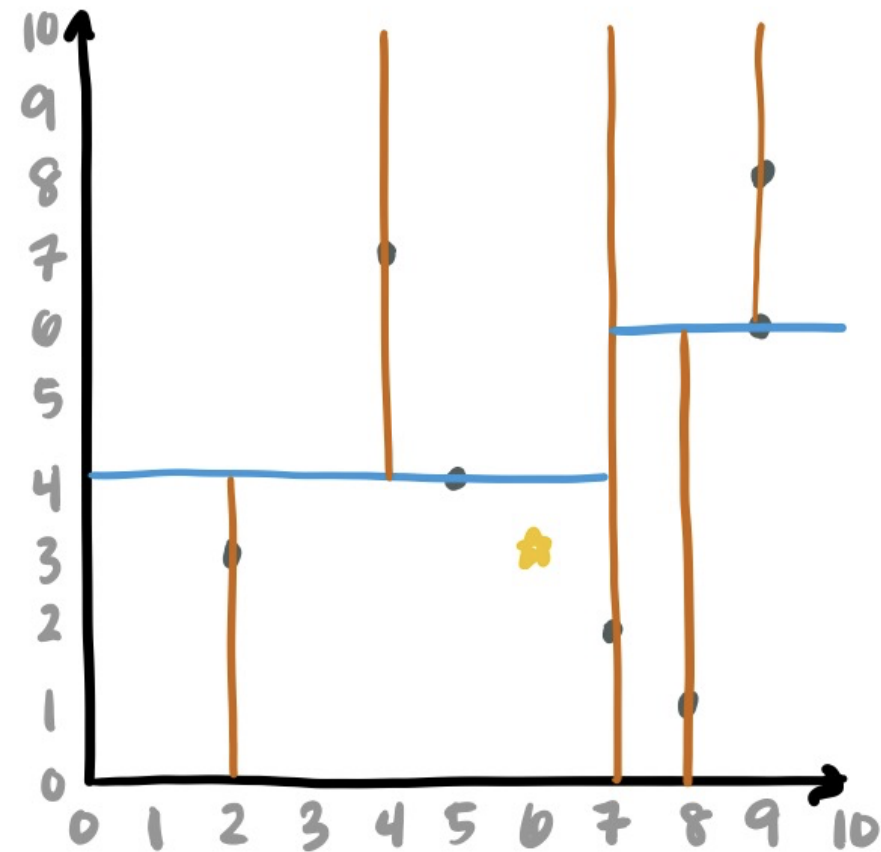
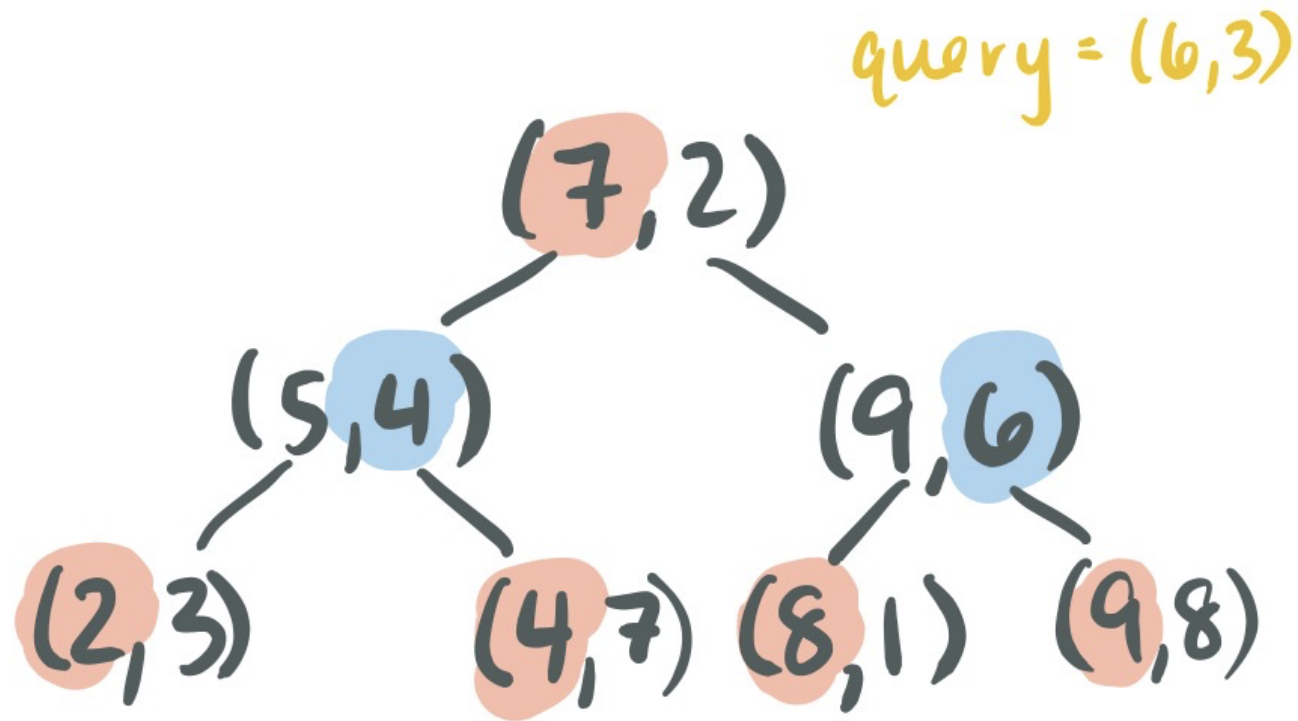
AVL Tree : self-balancing BST

Nearest Neighbor: k-d tree



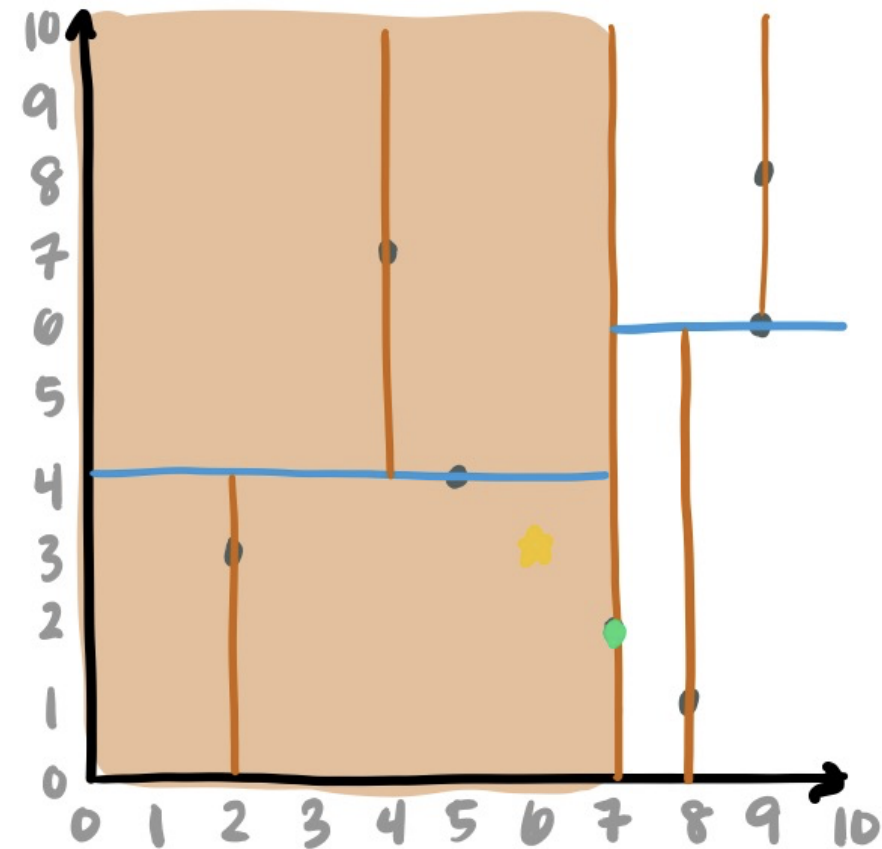
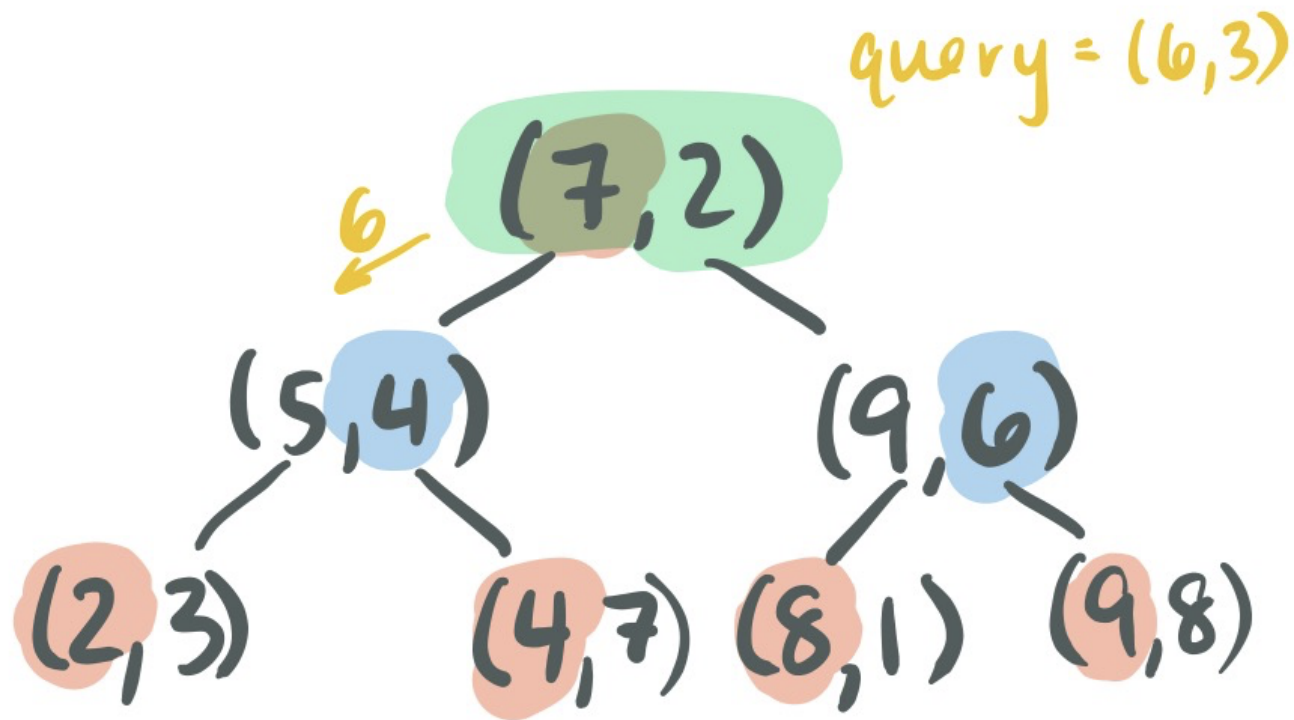
Nearest Neighbor: k-d tree

When querying a k-d tree, it acts like a BST* at first...



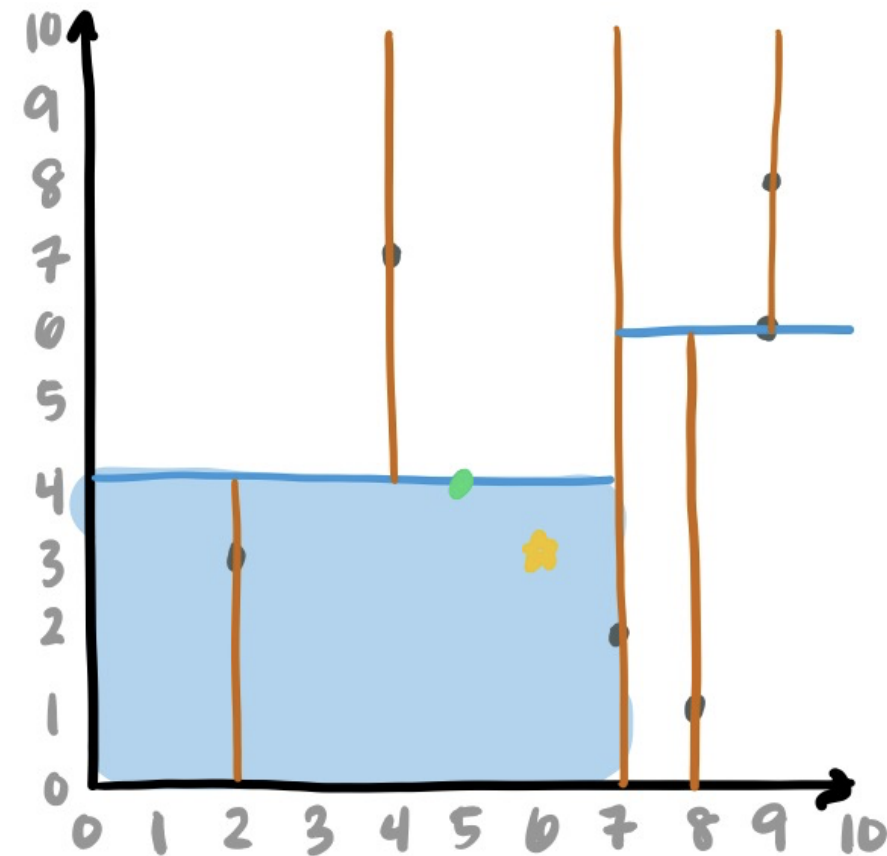
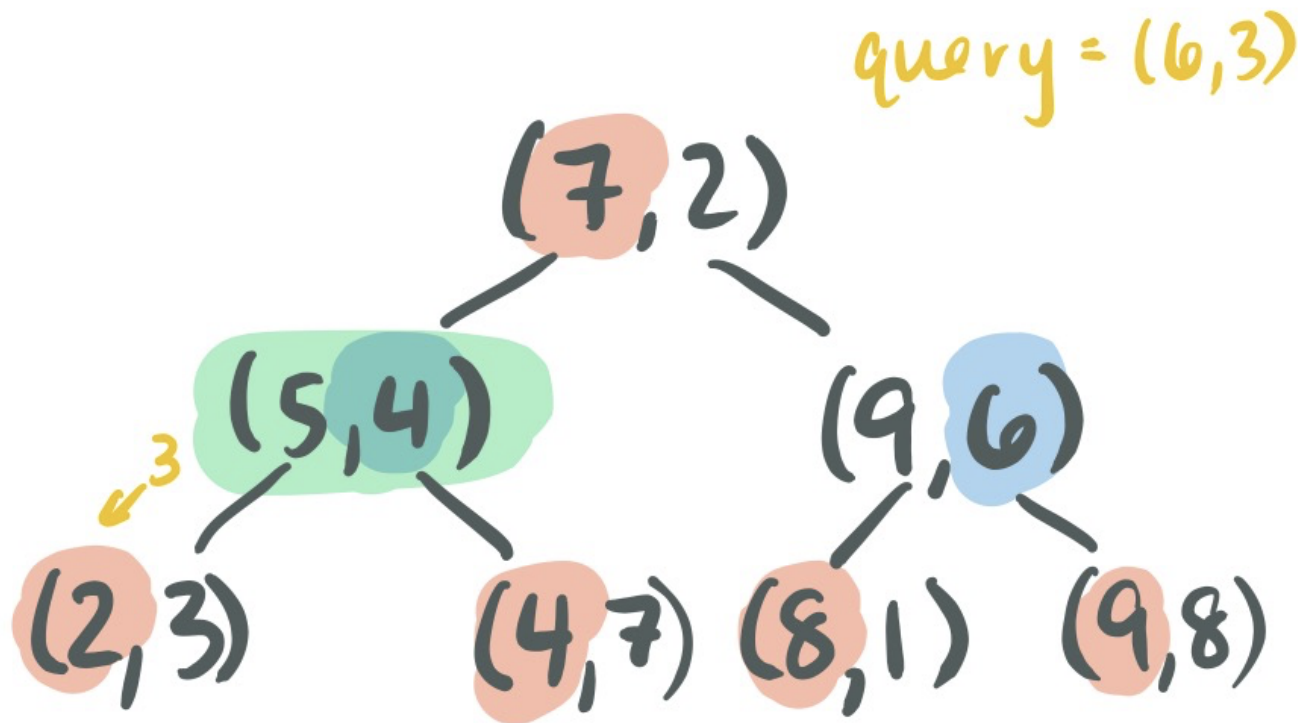
Nearest Neighbor: k-d tree

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Nearest Neighbor: k-d tree

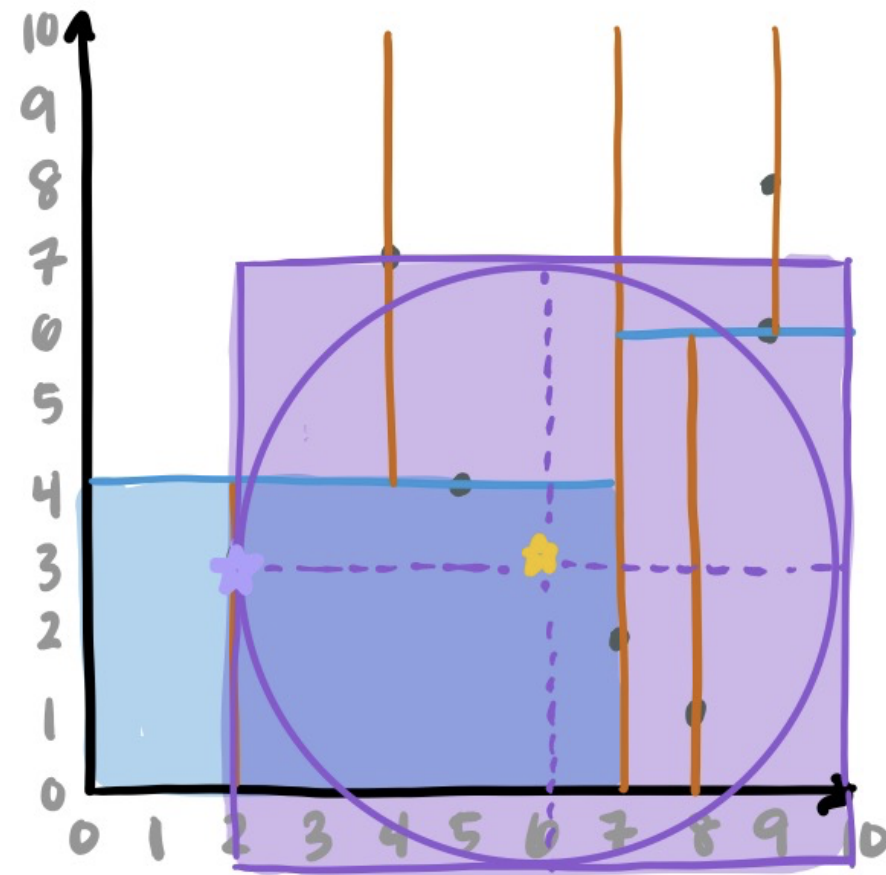
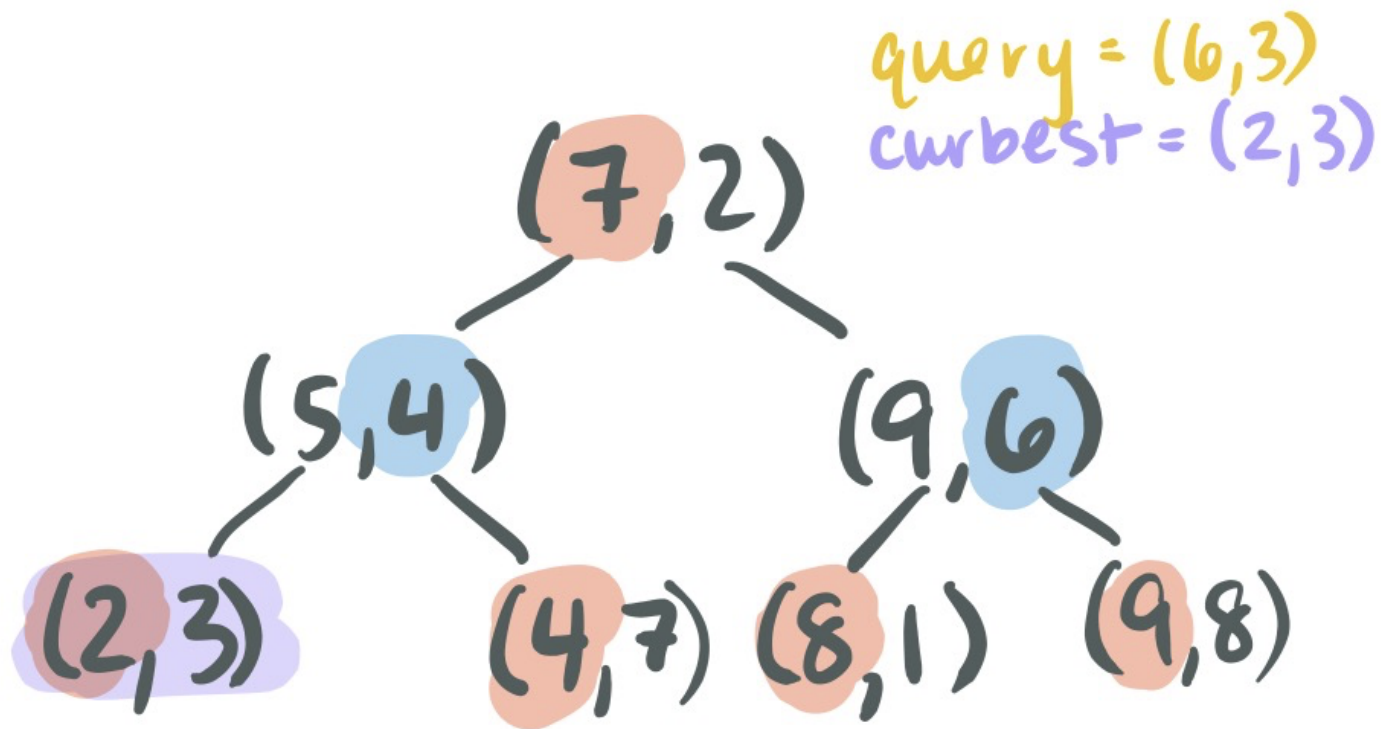
When querying a k-d tree, it acts like a BST* at first...



Nearest Neighbor: k-d tree

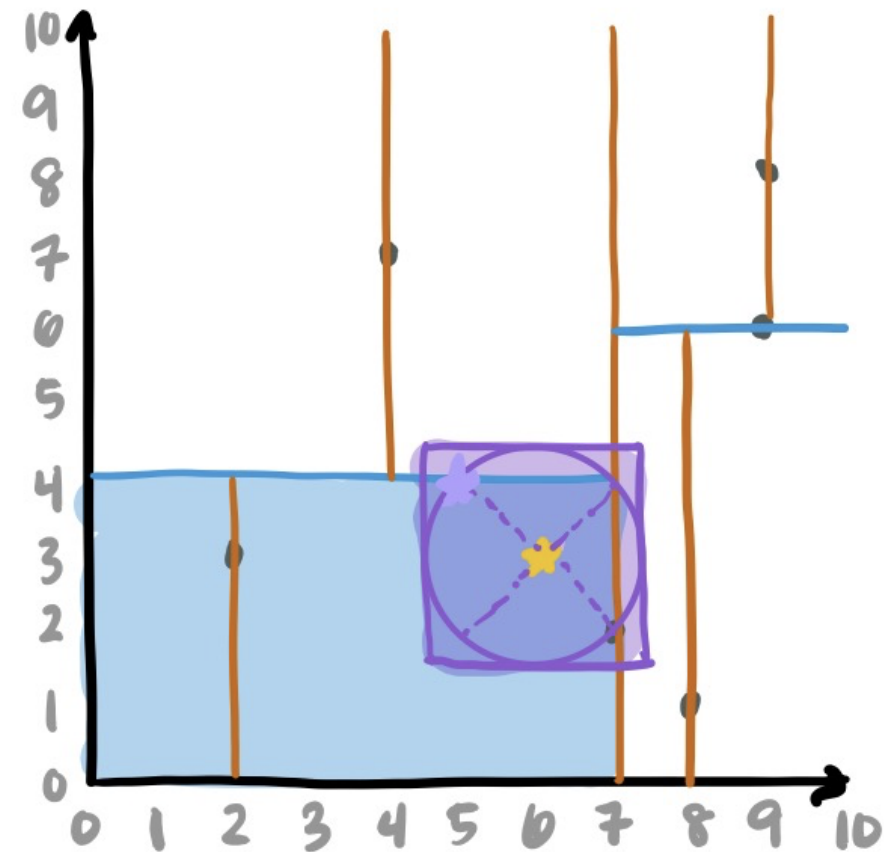
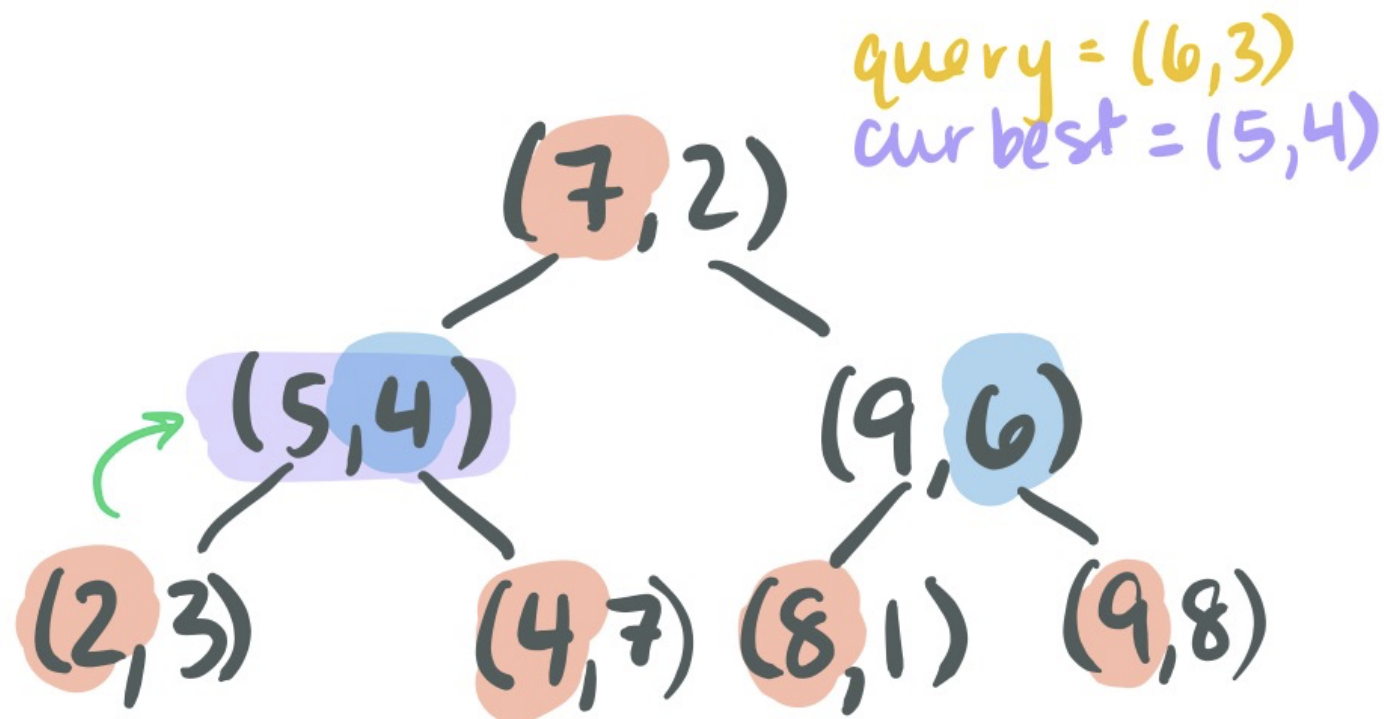
When querying a k-d tree, it acts like a BST* at first...

... But if we don't find exact match, have to find nearest neighbor

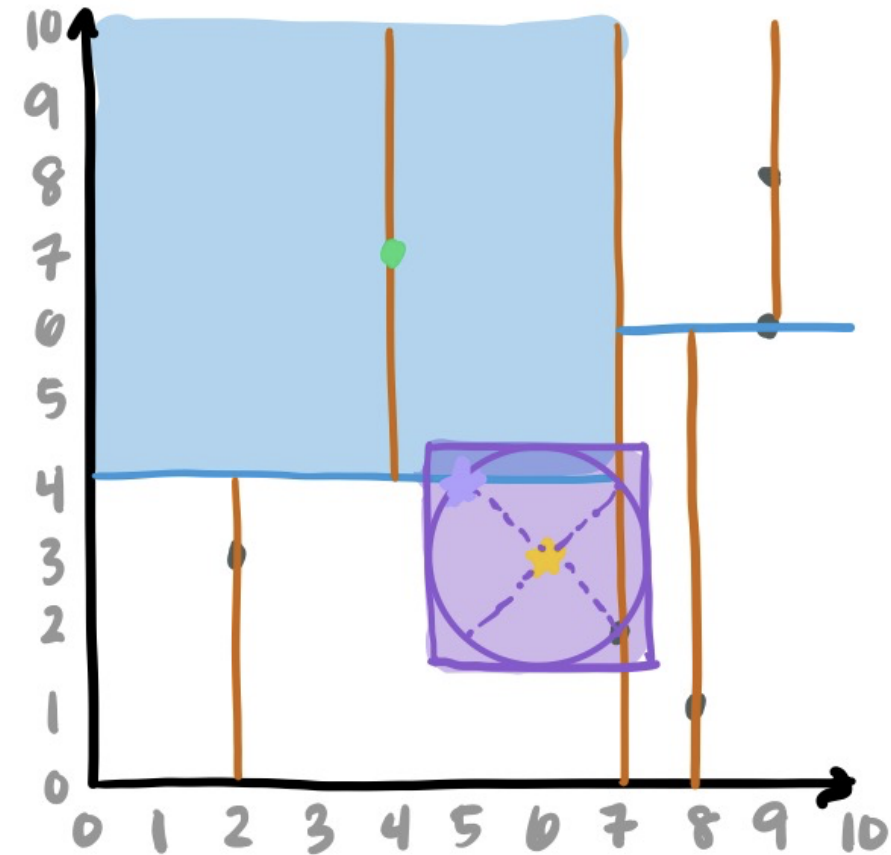
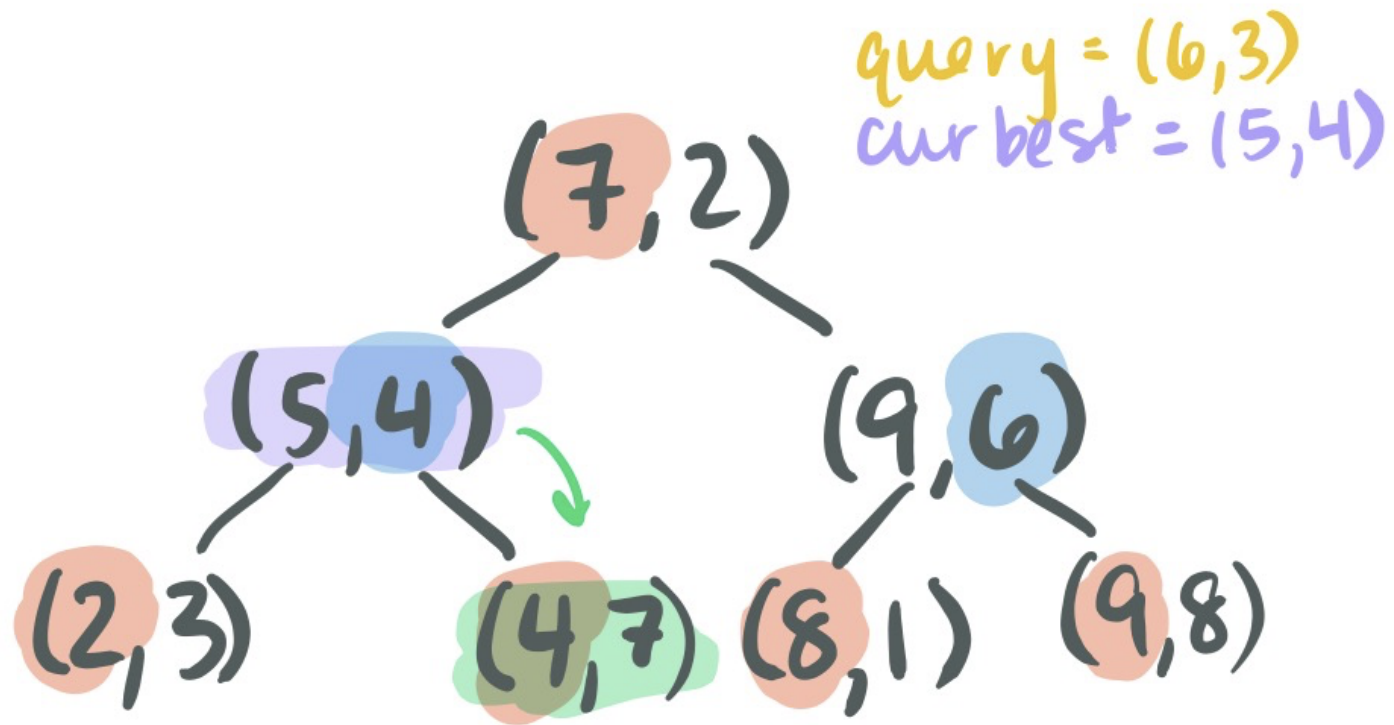


Nearest Neighbor: k-d tree

Backtracking: start recursing backwards -- store "best" possibility as you trace back

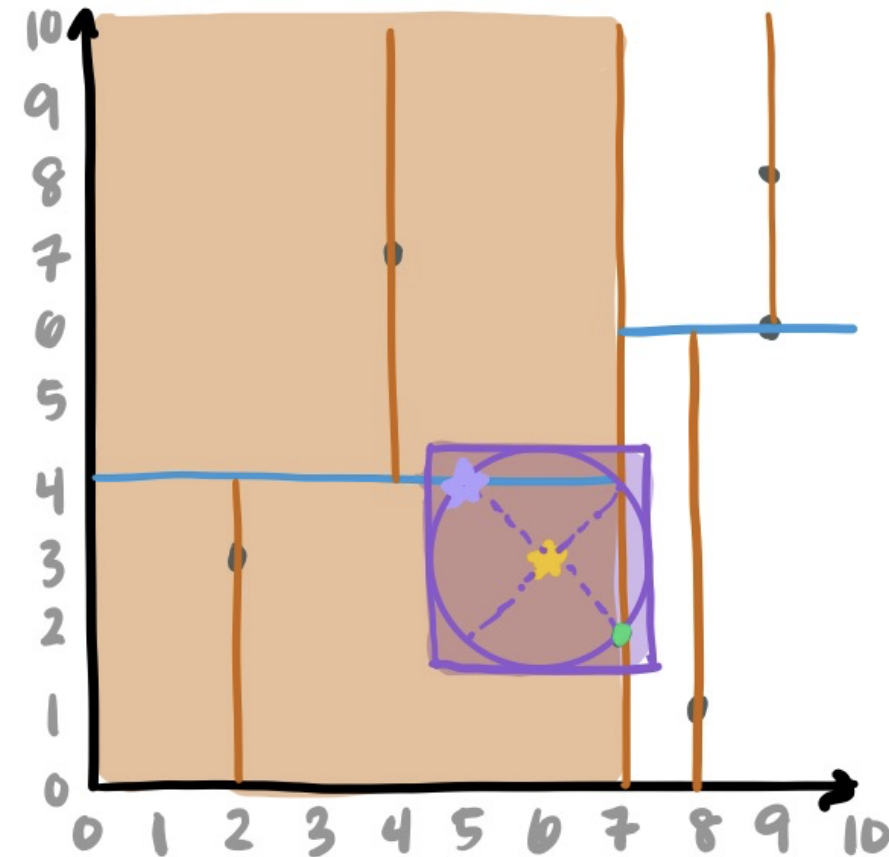
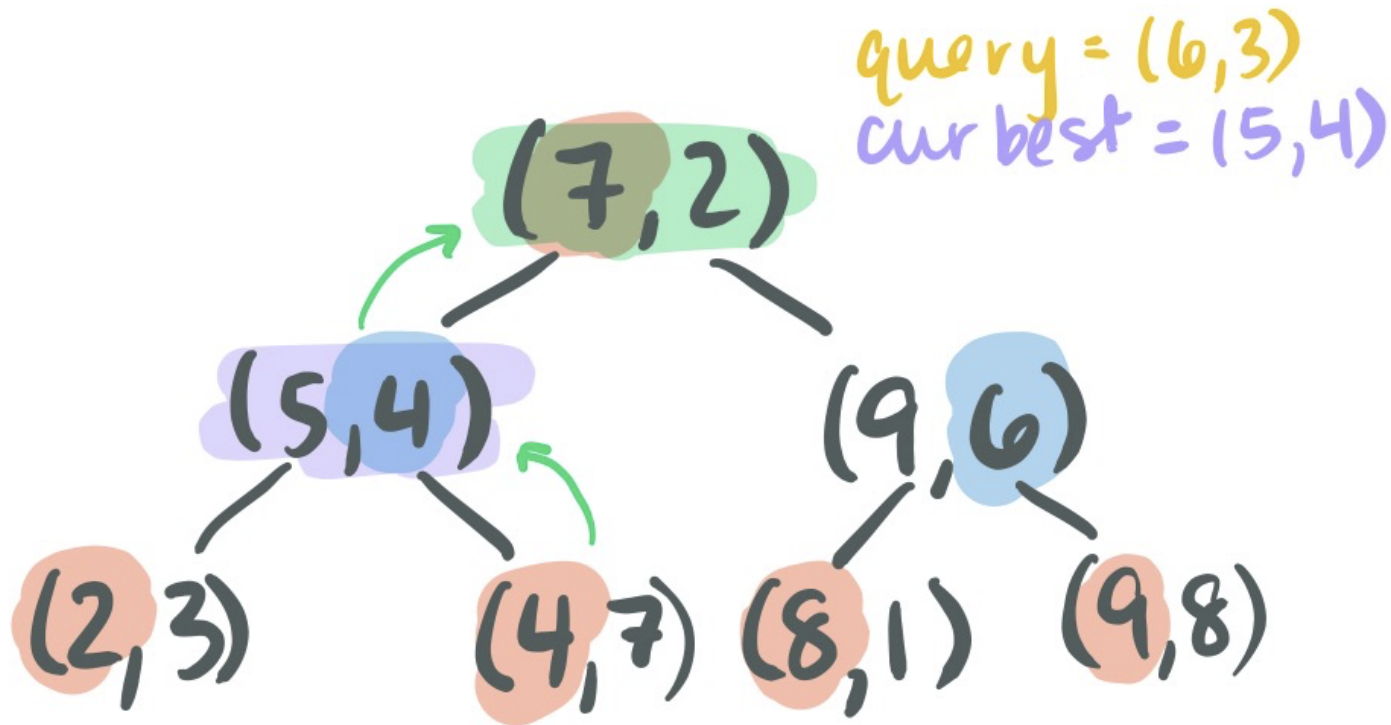


Nearest Neighbor: k-d tree



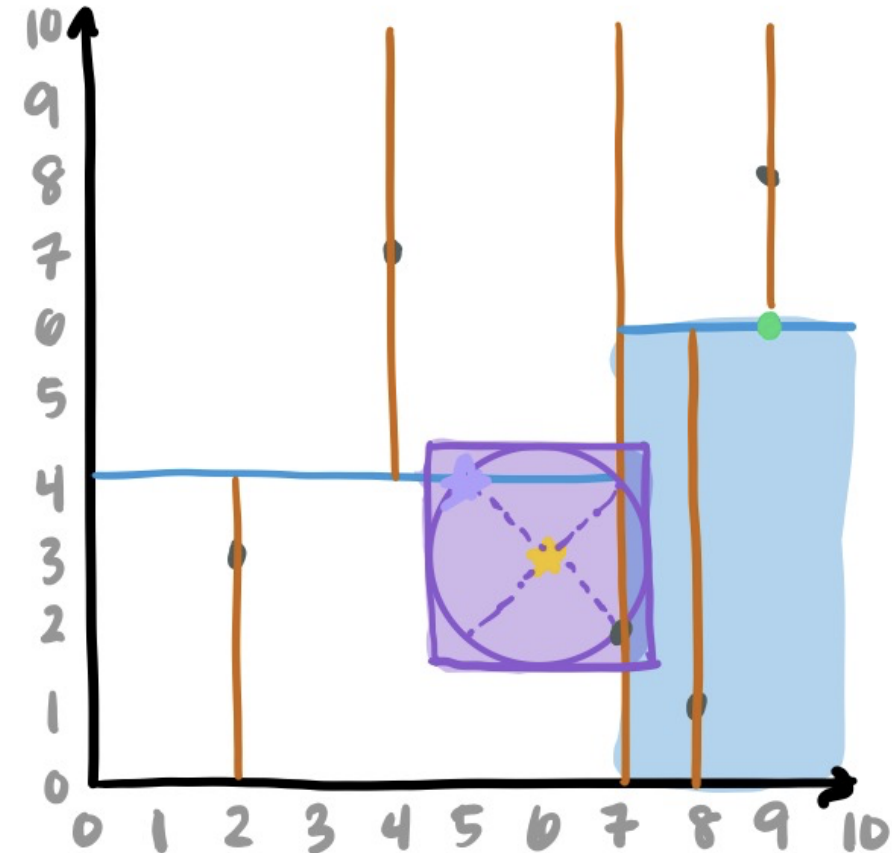
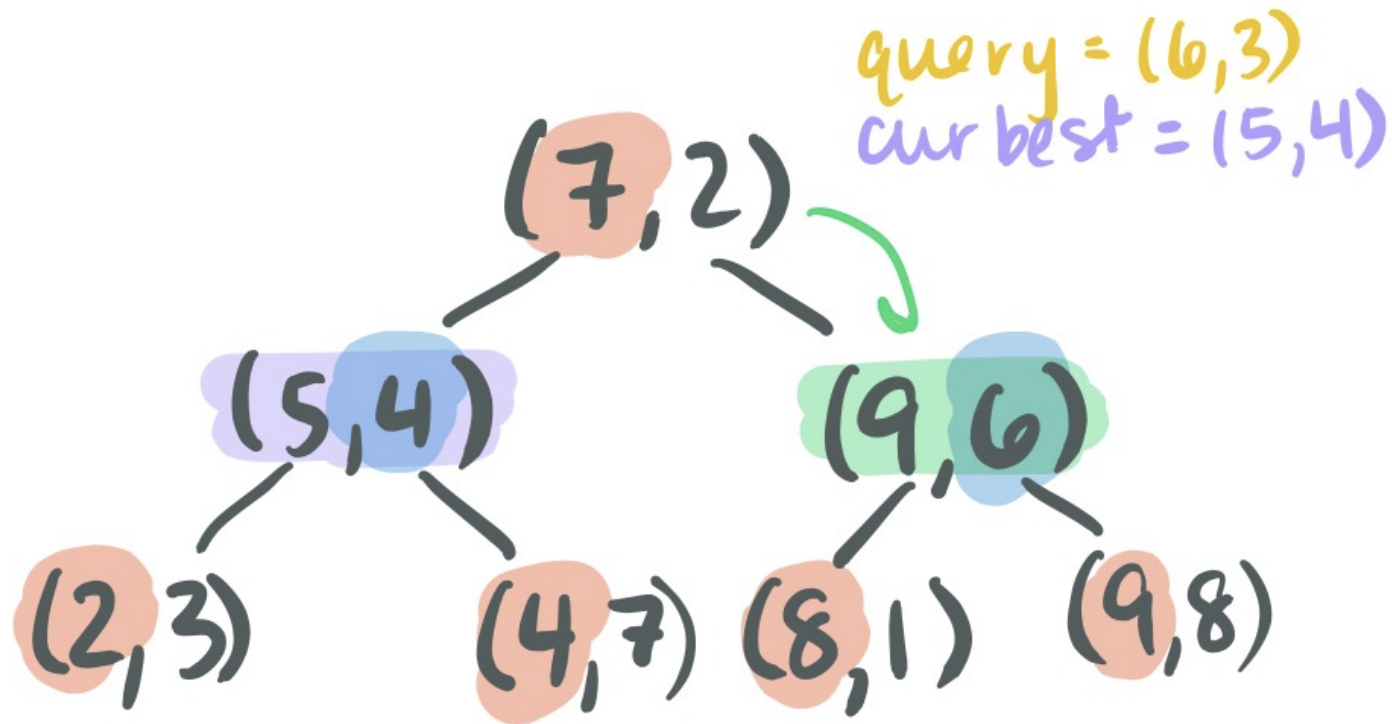
Nearest Neighbor: k-d tree

On ties, use smallerDimVal to determine which point remains curBest

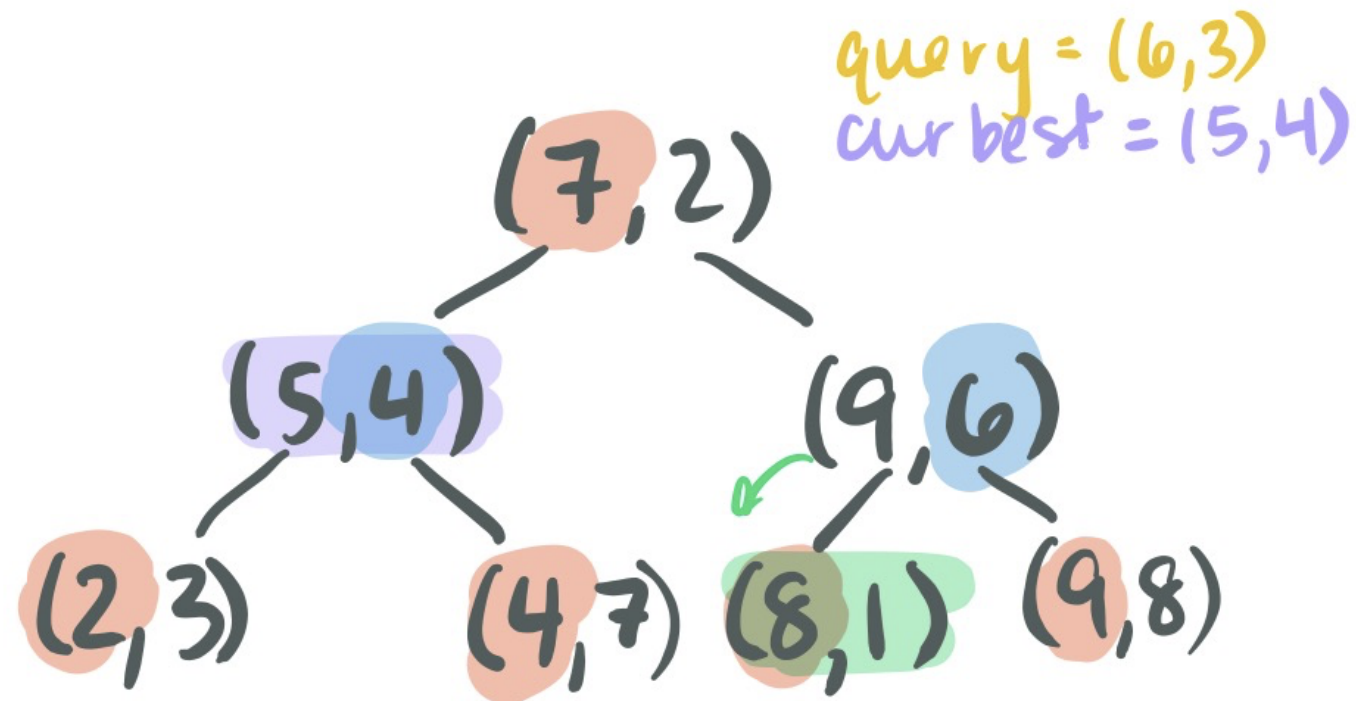


Nearest Neighbor: k-d tree

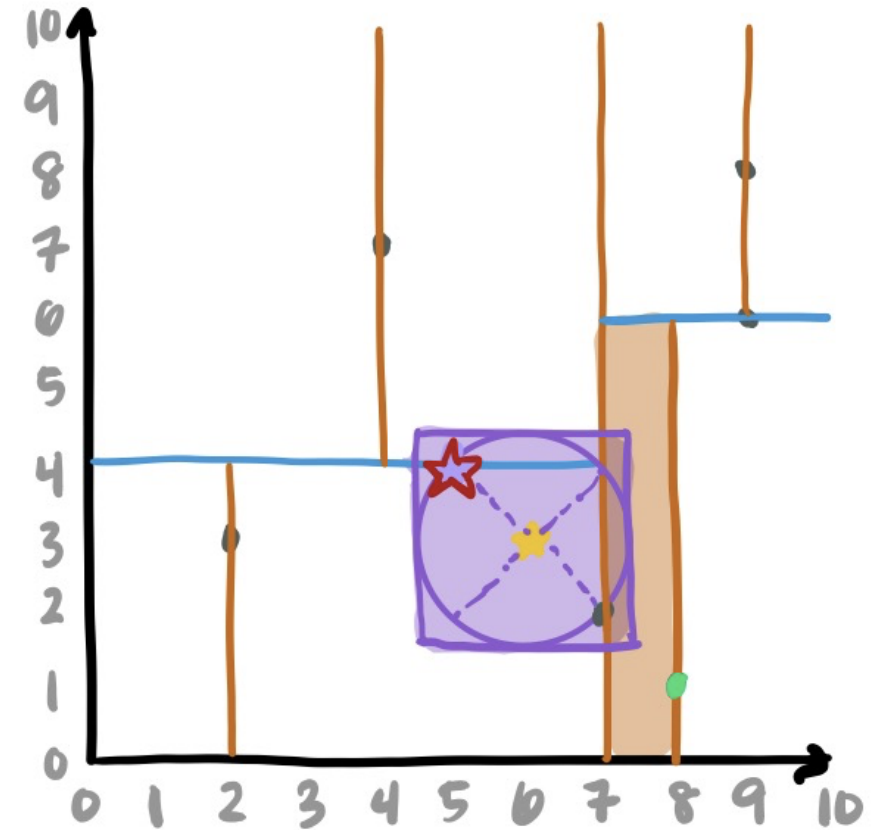
Why do we need to explore this subtree?



Nearest Neighbor: k-d tree

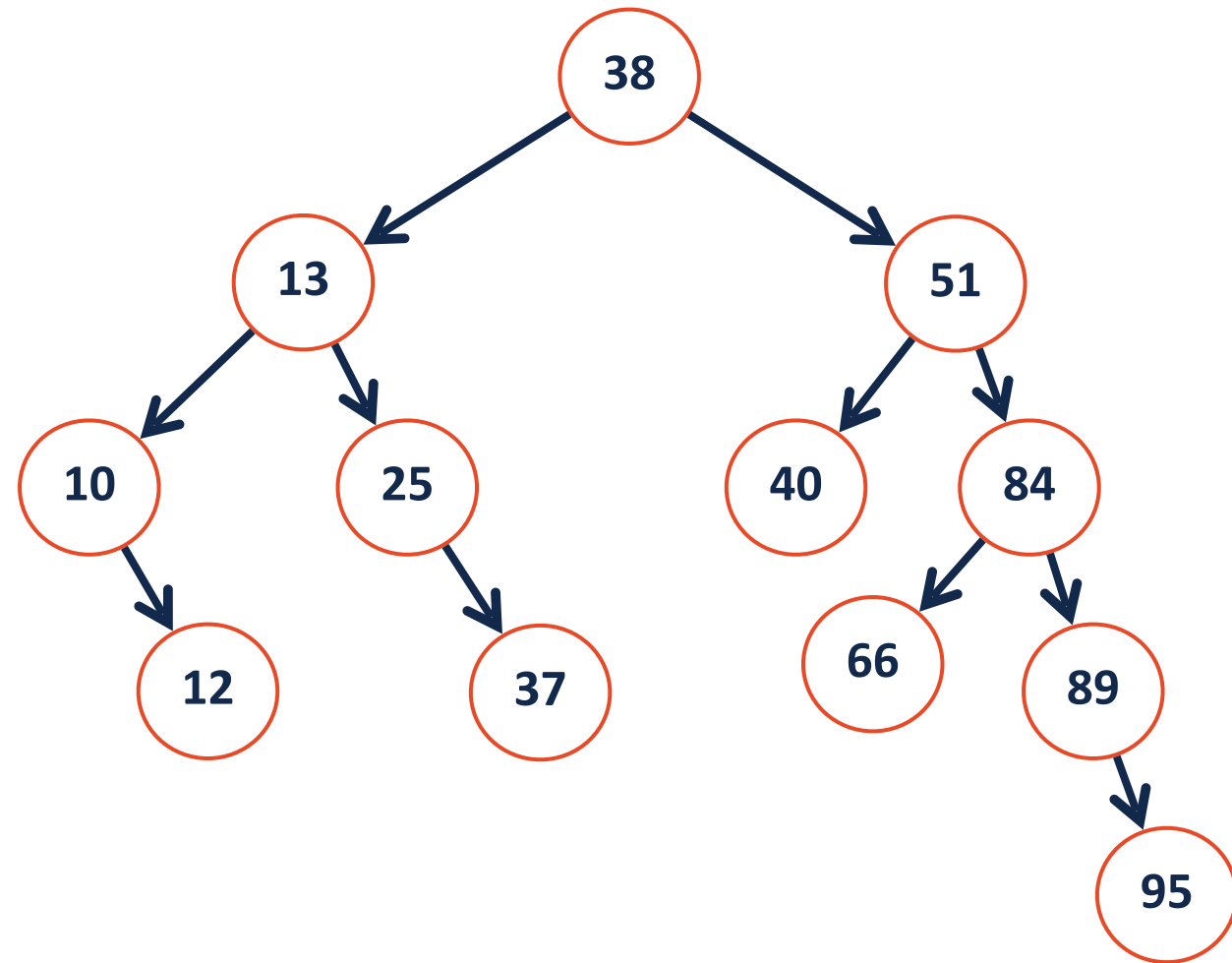


BEST: (5,4)



BST Analysis – Running Time

Operation	BST Worst Case
find	$O(h)$
insert	$O(h)$
remove	$O(h)$
traverse	$O(n)$



BST Analysis

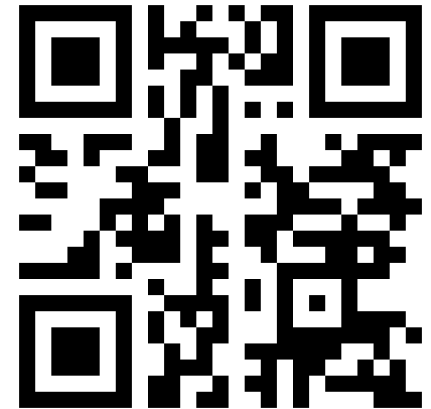
Every operation on a BST depends on the **height** of the tree.

... how do we relate $O(h)$ to n , the size of our dataset?

Quiz

What is the range of number of nodes in a binary tree of height h ?

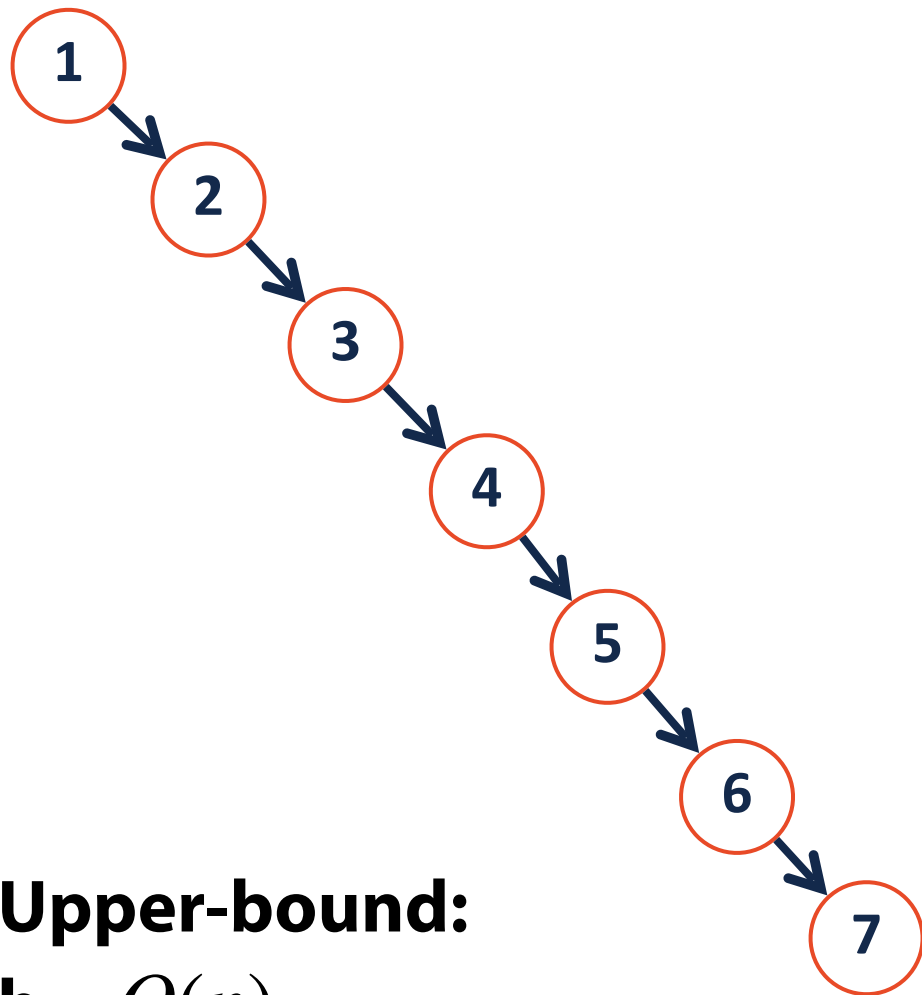
1. $(h, 2^h)$
2. $(h+1, 2^h)$
3. $(h+1, 2^{h+1})$
4. $(h+1, 2^{h+1} - 1)$
5. $(h+1, 2^h - 1)$



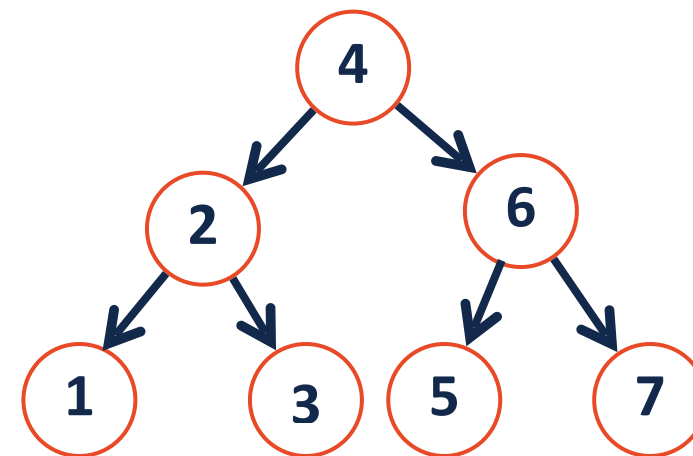
BST Analysis



A BST of n nodes has a height between:



Upper-bound:
 $h = O(n)$

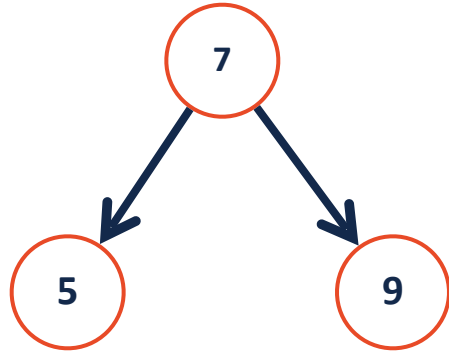


Lower-bound:
 $h = \Omega(\log n)$

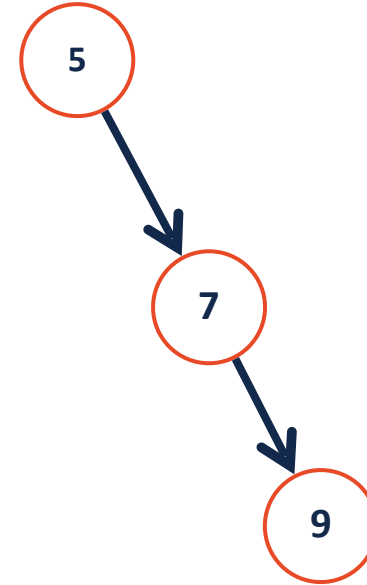
Height-Balanced Tree

What tree is better?

$b = 0$



$b = 2$

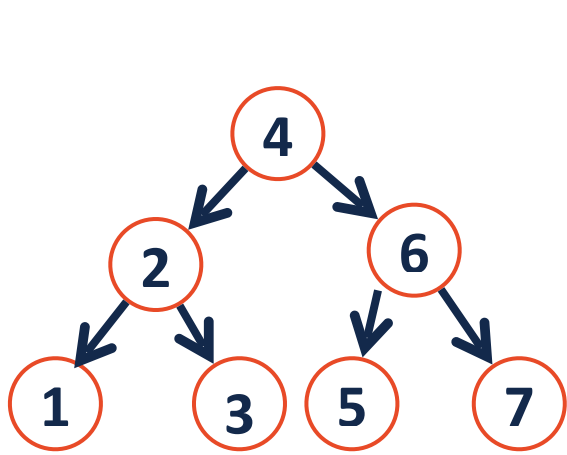


Height balance: $b = \text{height}(T_R) - \text{height}(T_L)$

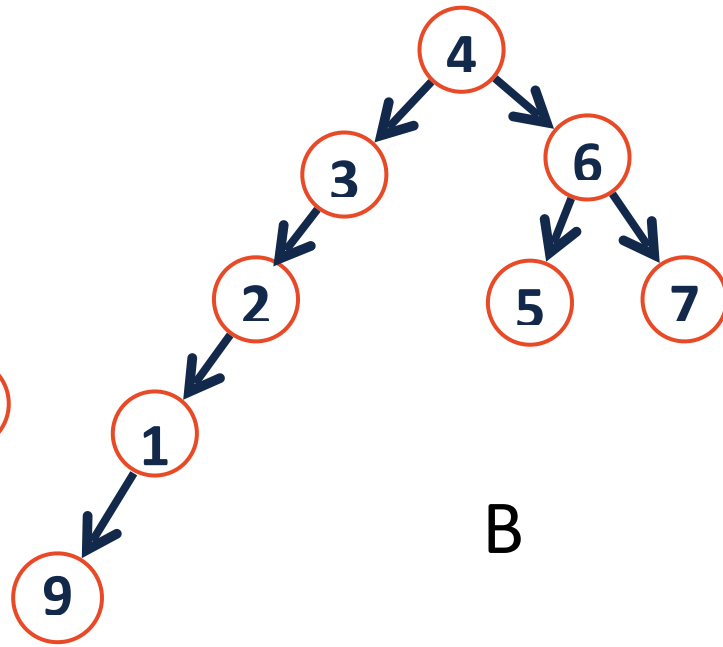
A tree is “balanced” if: $|b| \leq 1$ **for every node**

Quiz

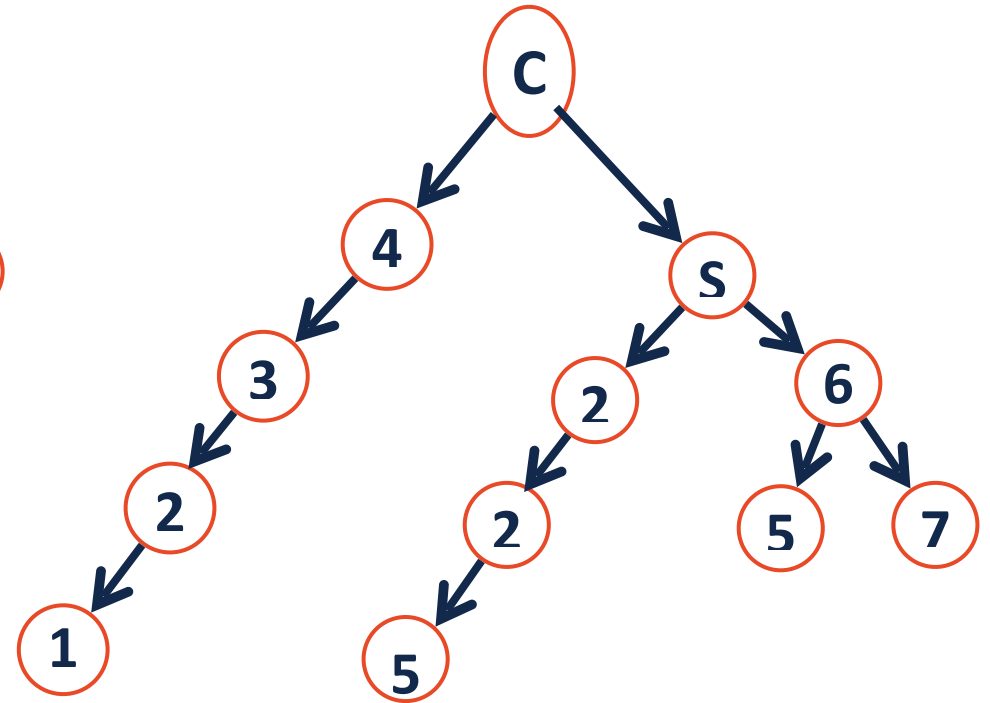
Which of the following binary trees are balanced?



A



B



C

Option A: Correcting bad insert order

The height of a BST depends on the order in which the data was inserted

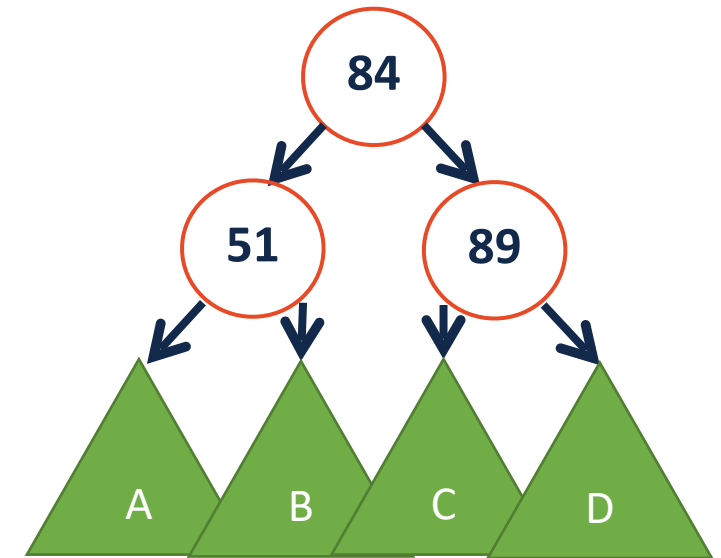
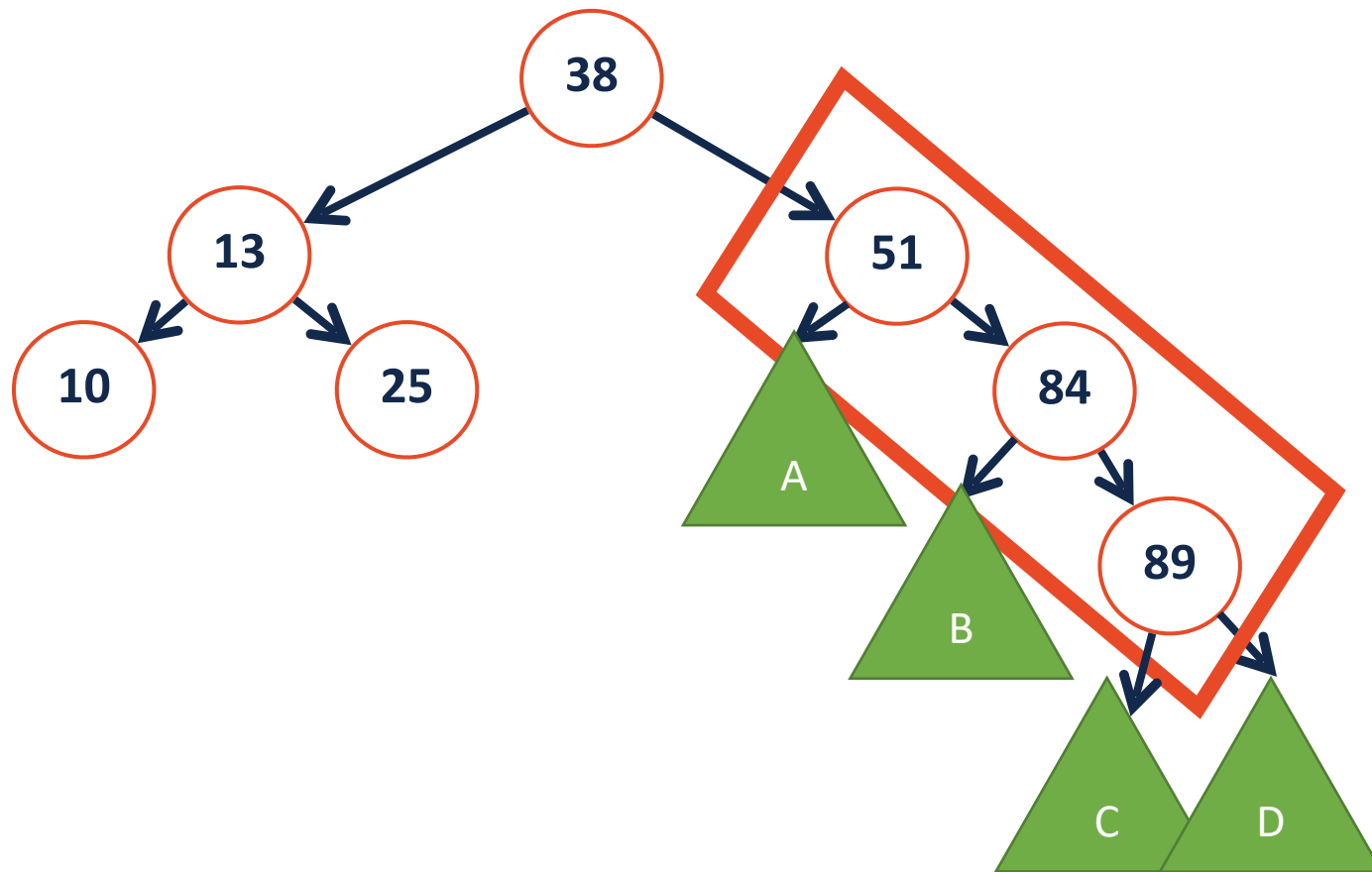
Insert Order: [1, 2, 3, 4, 5, 6, 7] **BAD**

Insert Order: [4, 6, 2, 3, 7, 1, 5] **GOOD**

Random Order : Mostly **GOOD**, sometimes **BAD** - as you've seen in lab_BST

AVL-Tree: A self-balancing binary search tree

Rather than fixing an insertion order, just correct the tree as needed!



Adelson Velsky,
Evgenii Landis 1962

BST Rotations (The AVL Tree)

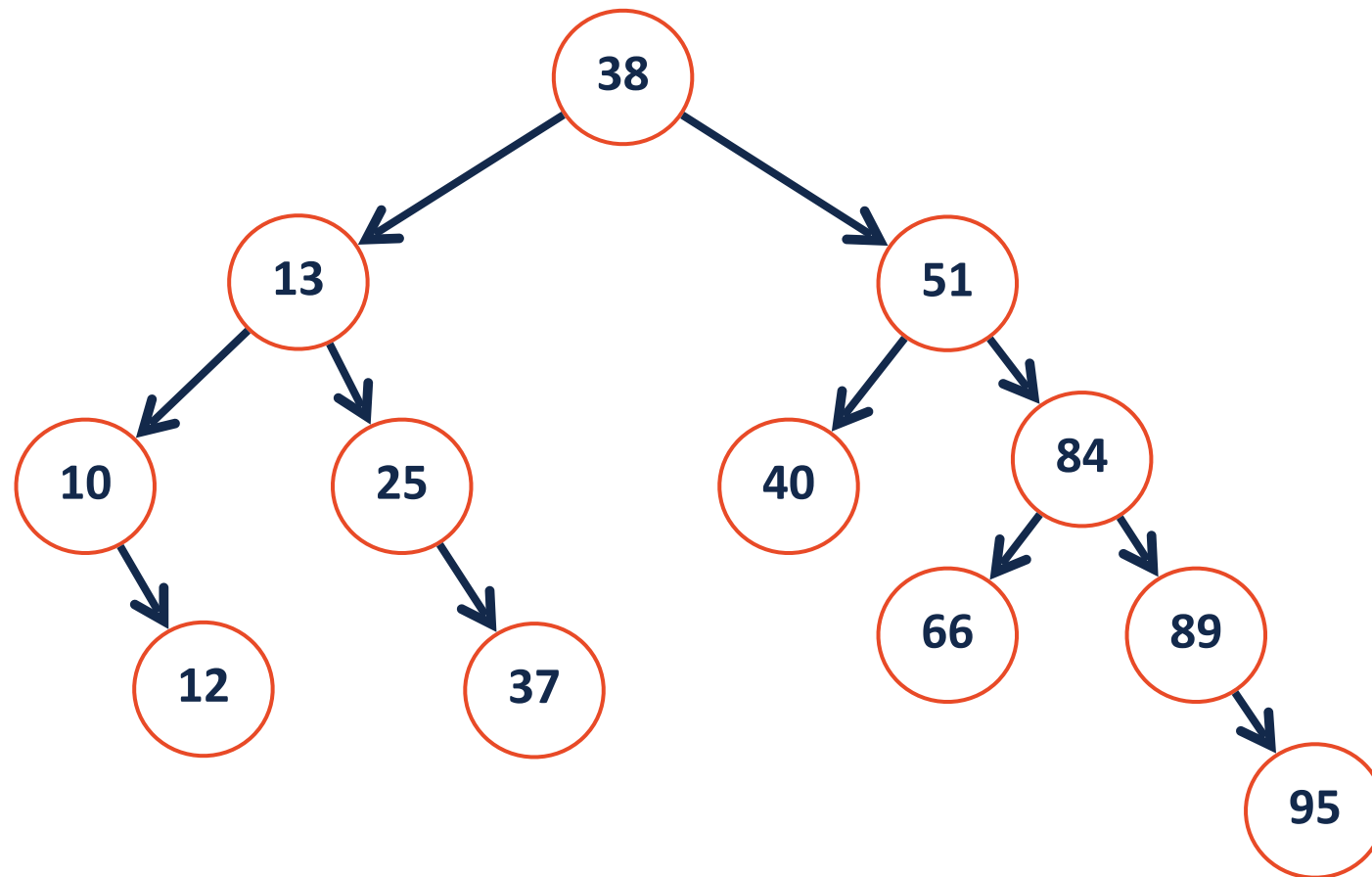
We can adjust the BST structure by performing **rotations**.

These rotations, when used correctly:

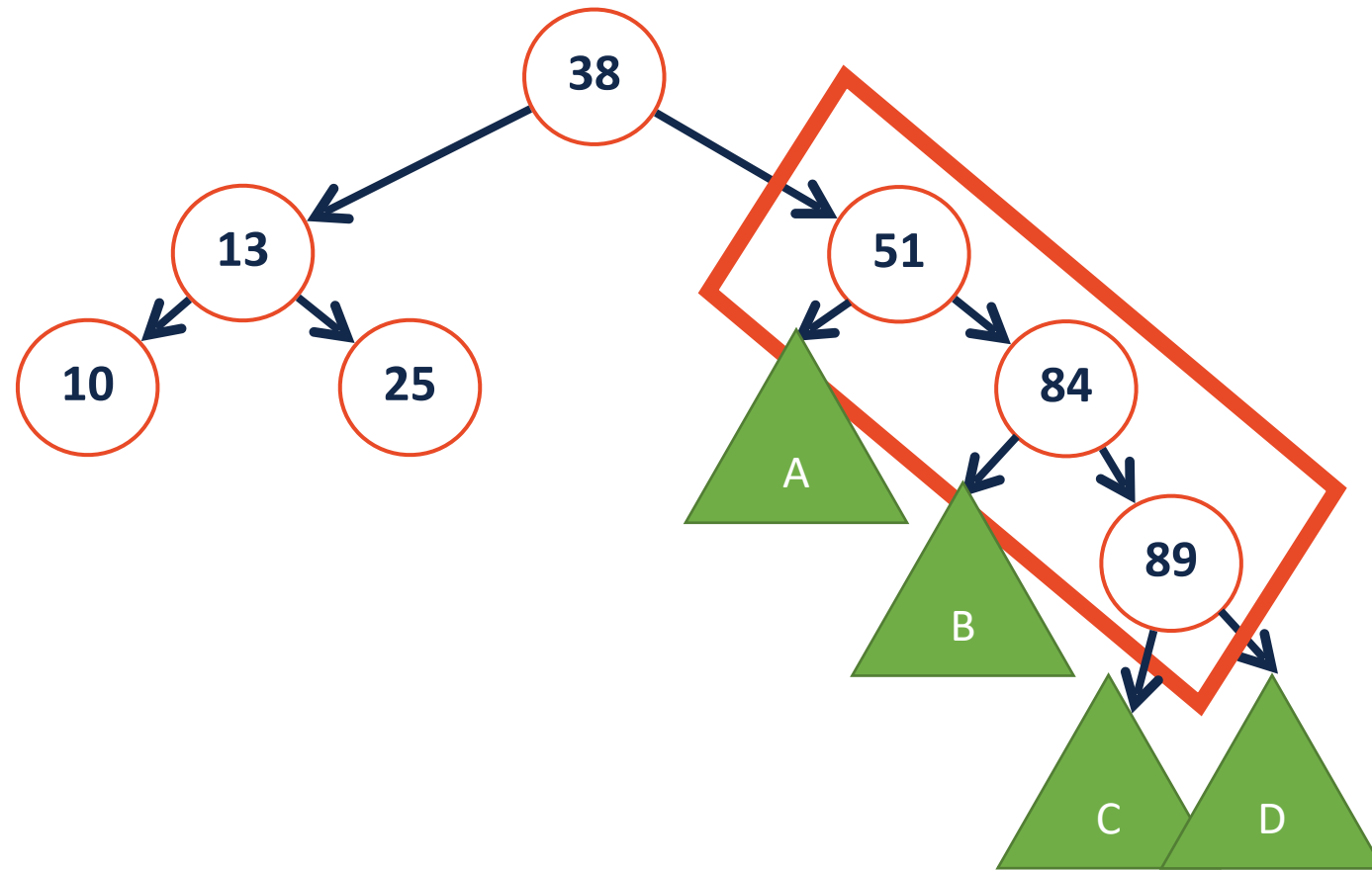
1. Modify the arrangement of nodes while preserving BST property
2. Reduce tree height by one

BST Rotations (The AVL Tree)

To begin, let's find the imbalance in the following tree:

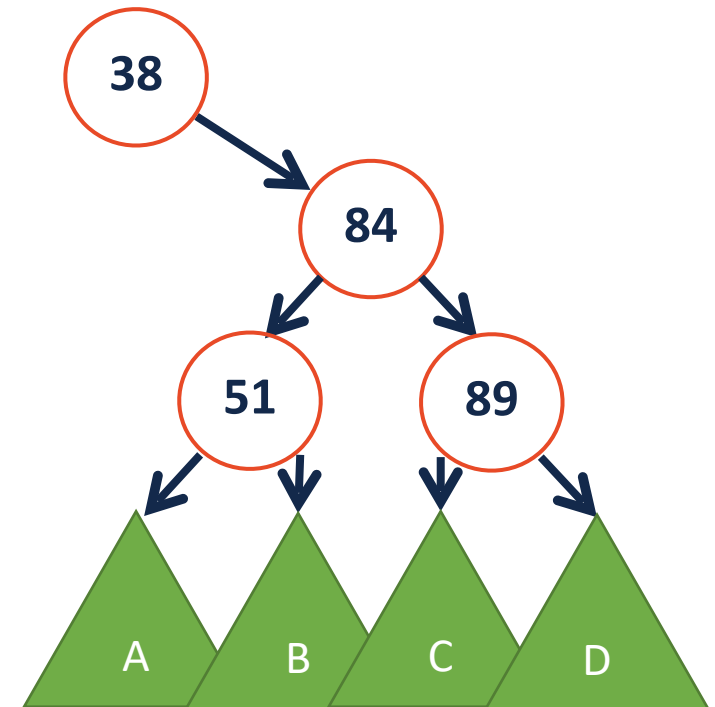
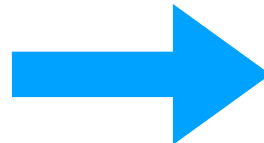
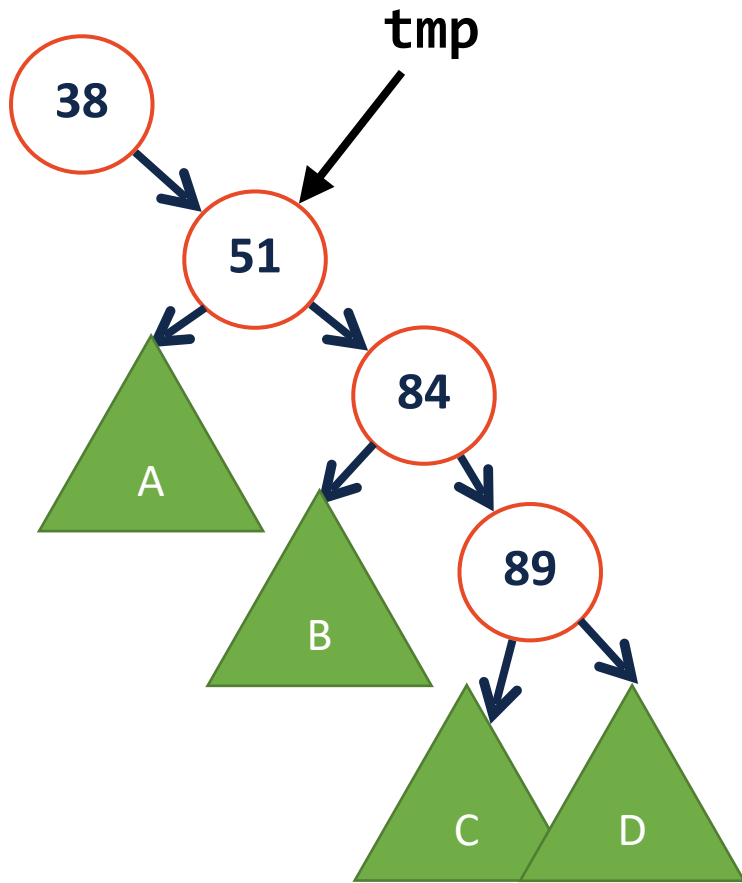


Left Rotation



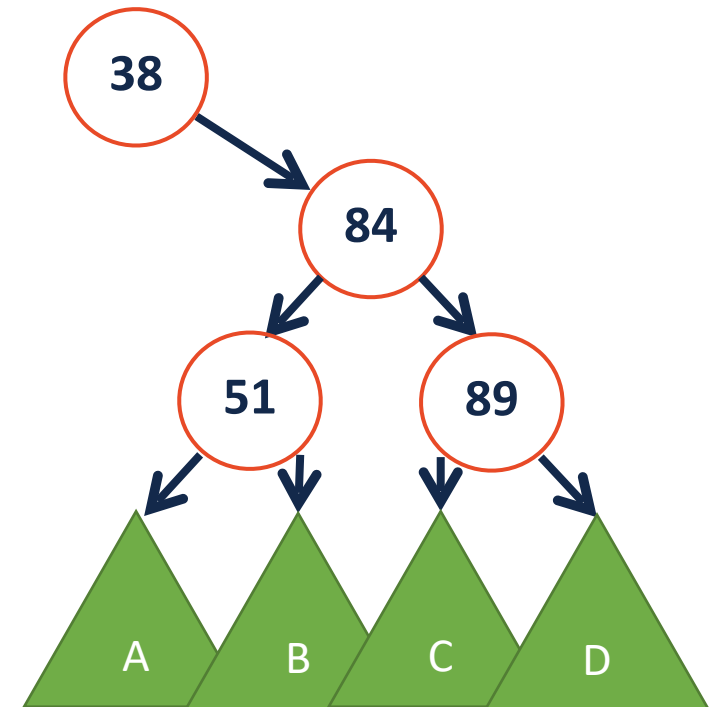
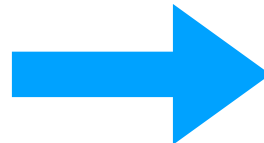
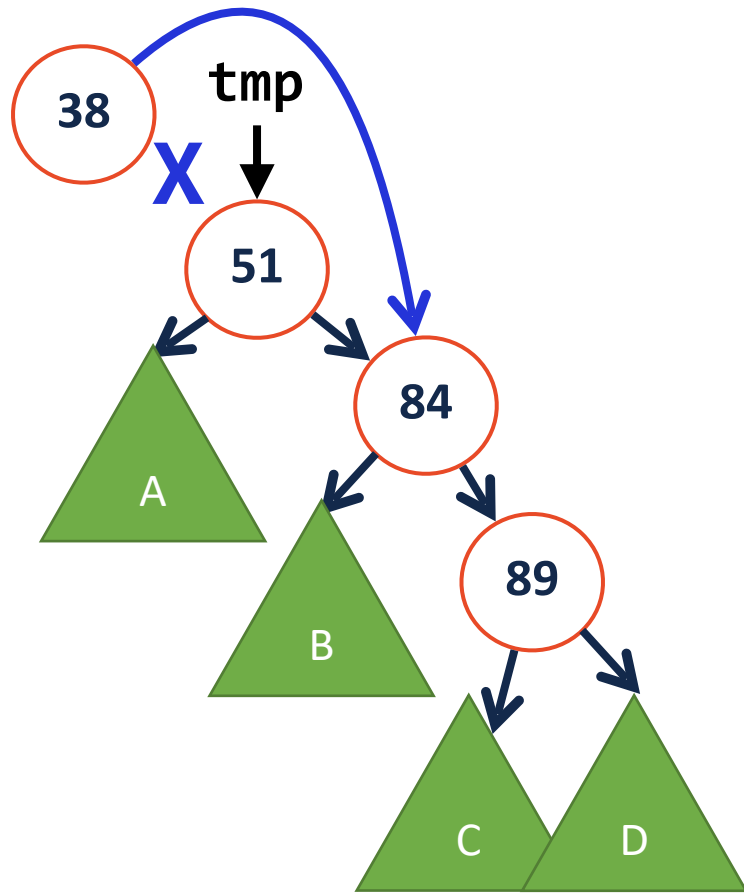
Left Rotation

1) Create a tmp pointer to root



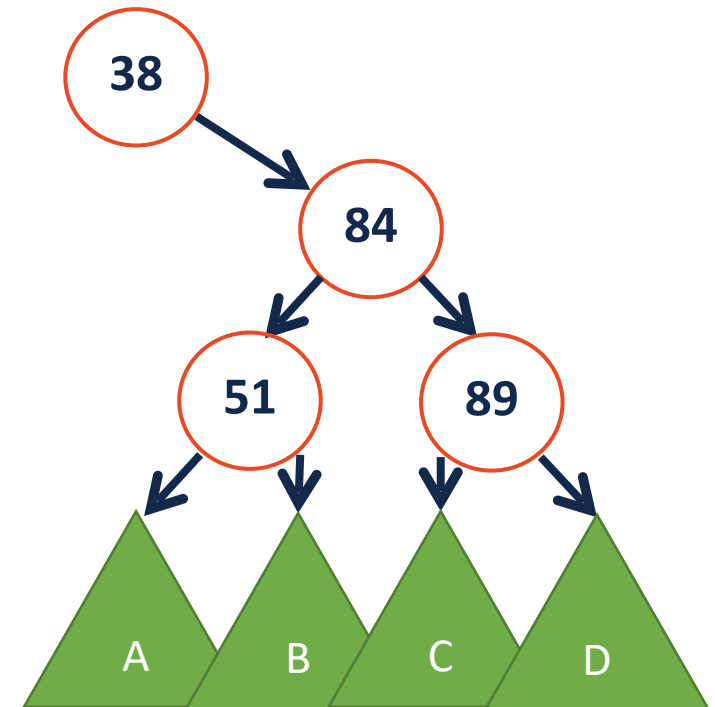
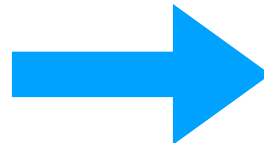
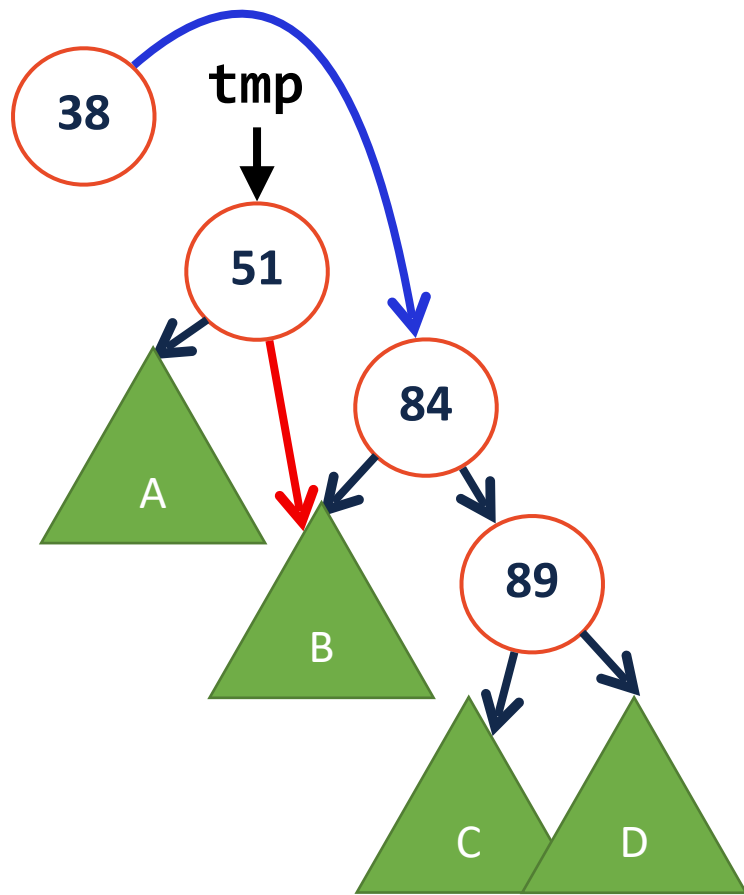
Left Rotation

- 1) Create a tmp pointer to root
- 2) Update root to point to mid



Left Rotation

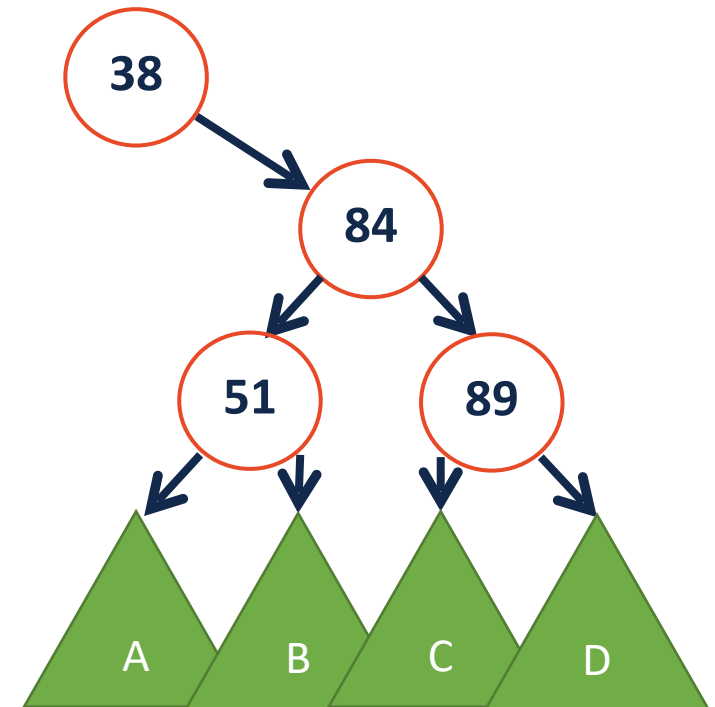
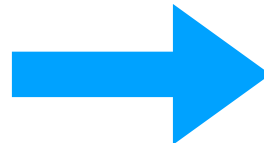
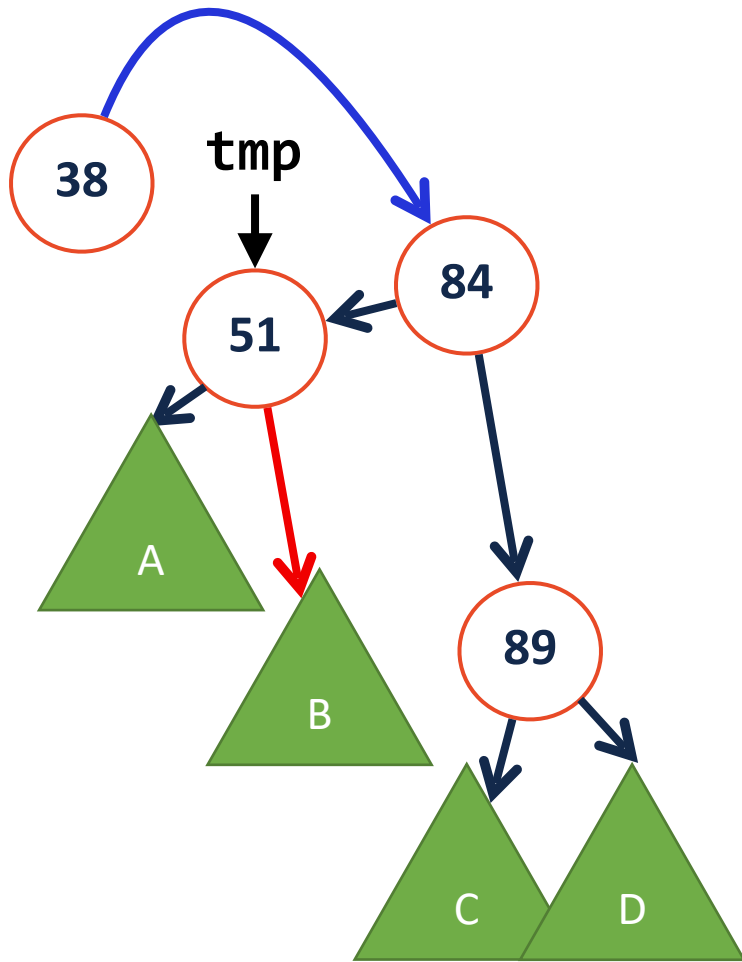
- 1) Create a tmp pointer to root
- 2) Update root to point to mid
- 3) tmp->right = root->left



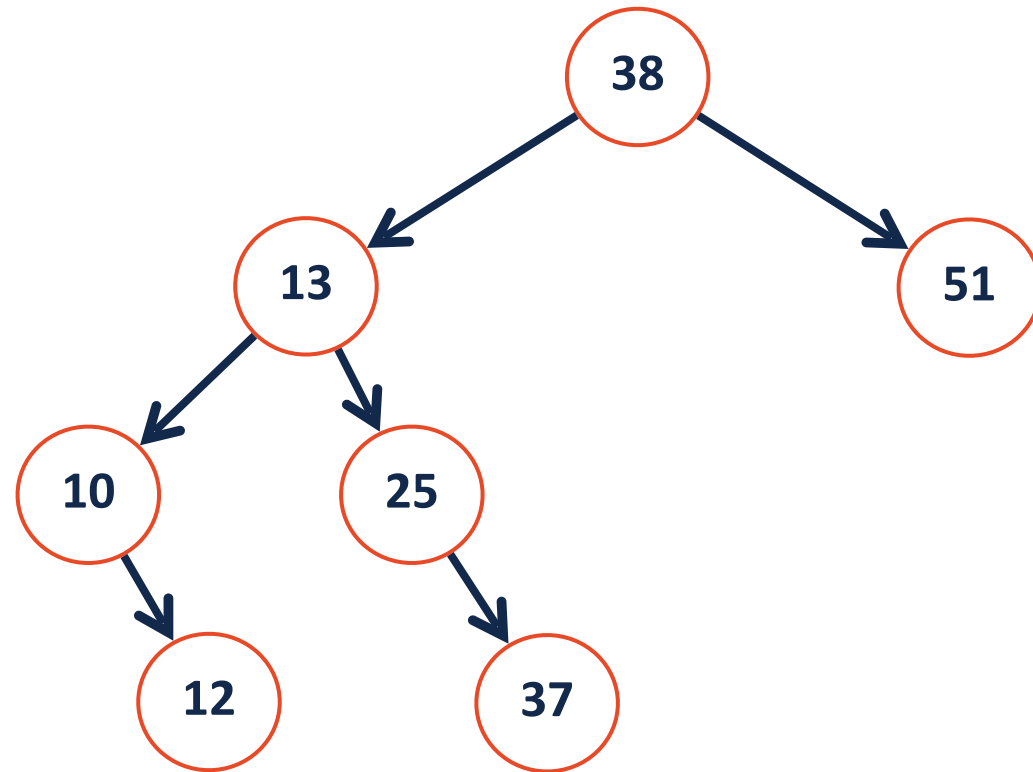
Left Rotation



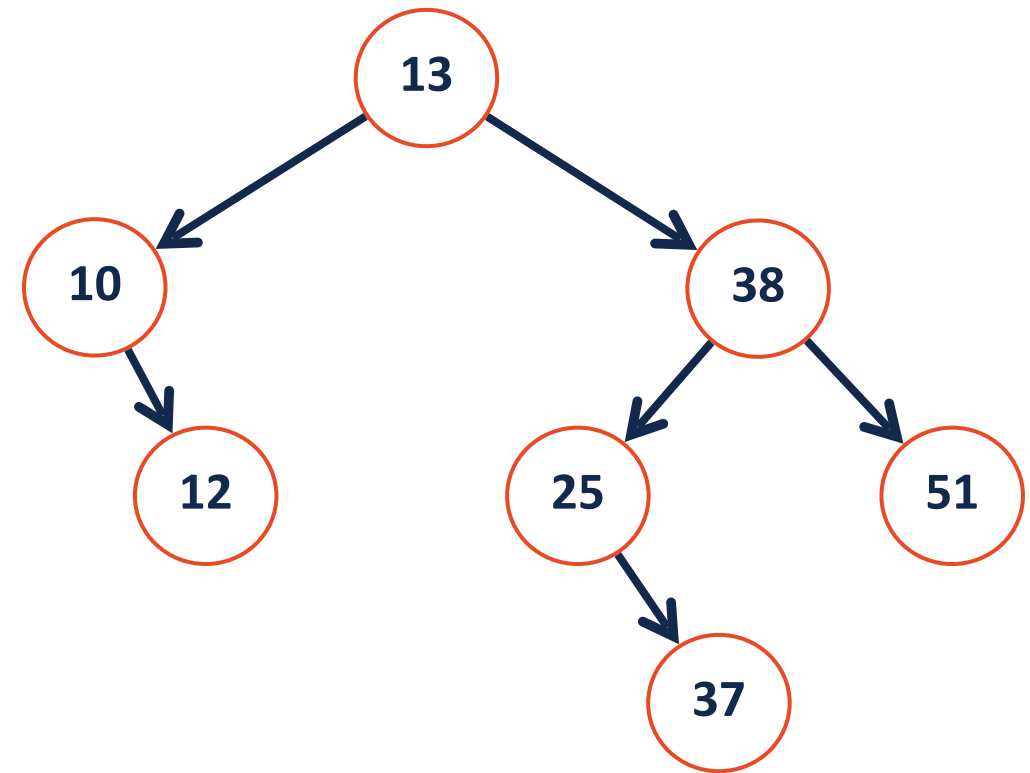
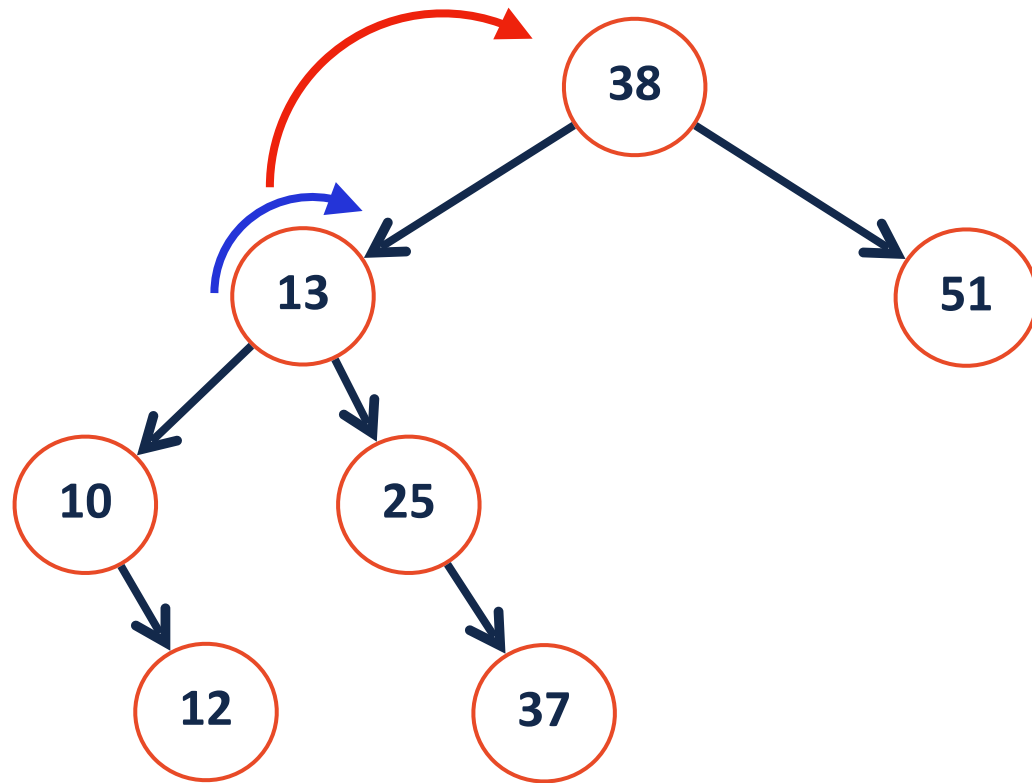
- 1) Create a tmp pointer to root
- 2) Update root to point to mid
- 3) $\text{tmp} \rightarrow \text{right} = \text{root} \rightarrow \text{left}$
- 4) $\text{root} \rightarrow \text{left} = \text{tmp}$



Right Rotation



Right Rotation



Coding AVL Rotations

Two ways of visualizing:

1) Think of an arrow 'rotating' around the center

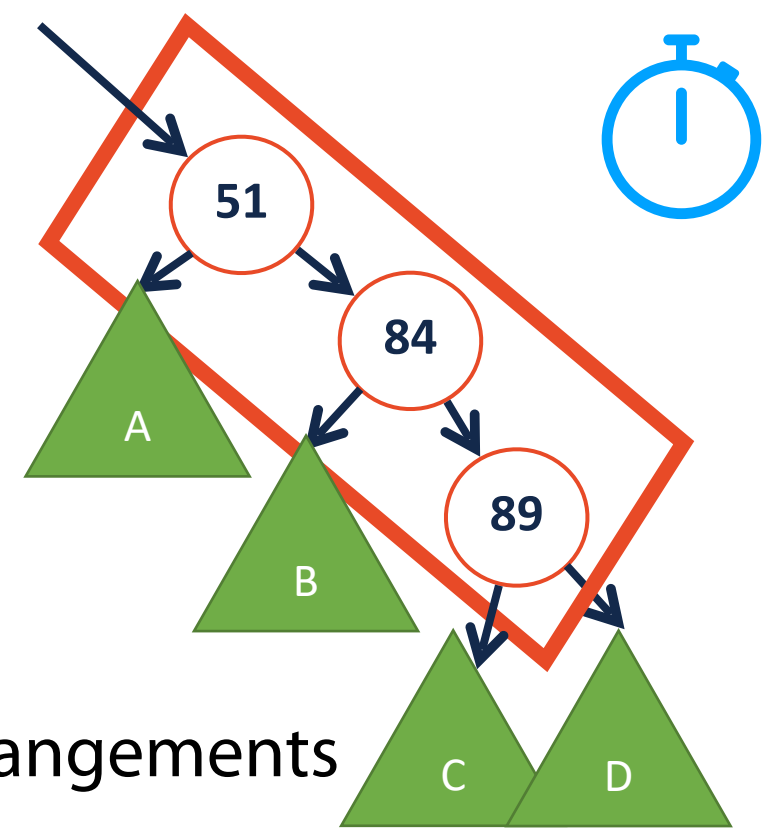
2) Recognize that there's a concrete order for rearrangements

Ex: Unbalanced at current (root) node and need to *rotateLeft*?

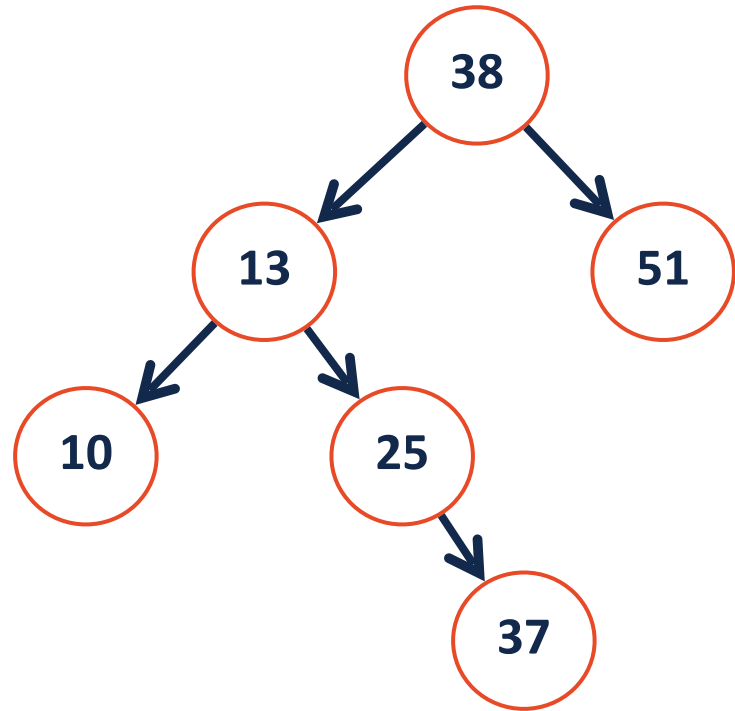
Replace current (root) node with its right child.

Set the right child's left child to be the current node's right

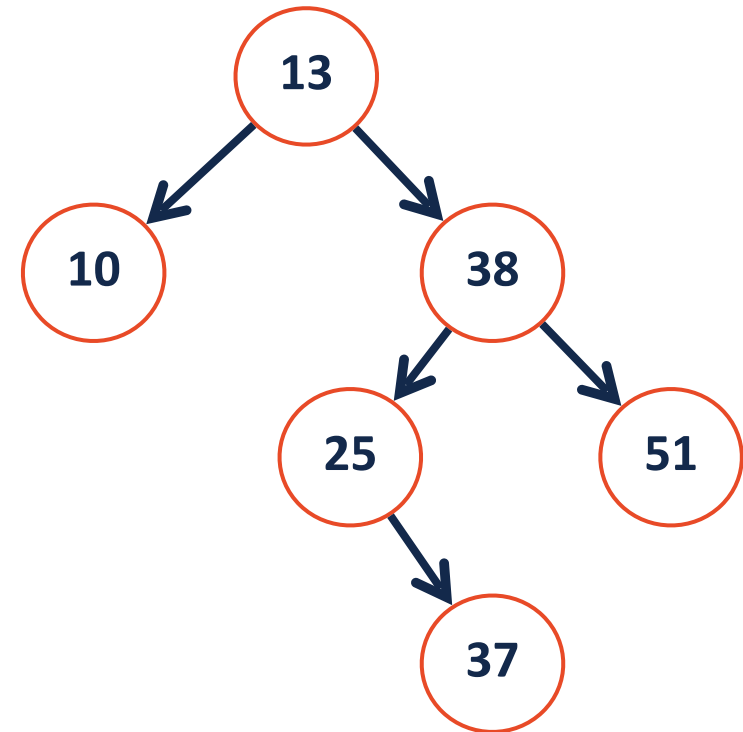
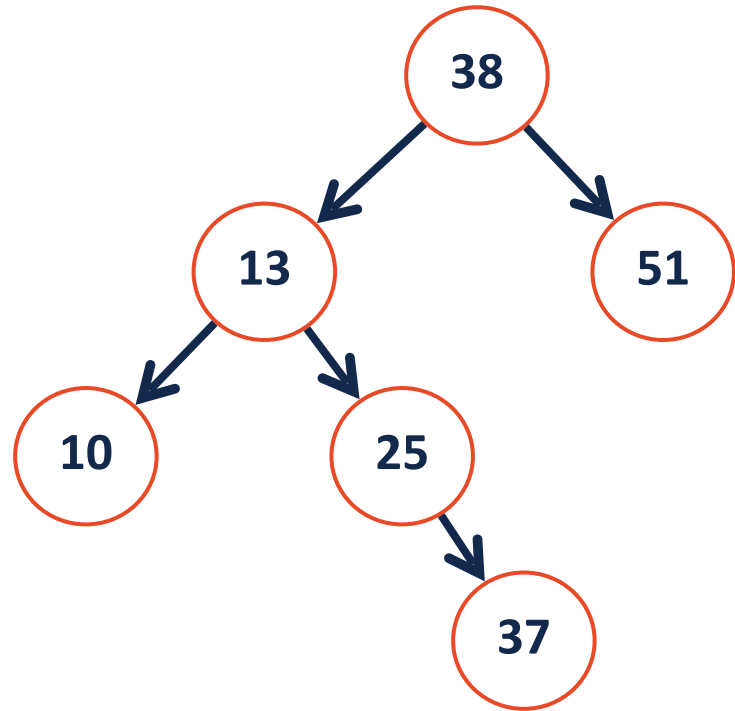
Make the current node the right child's left child



AVL Rotation Practice

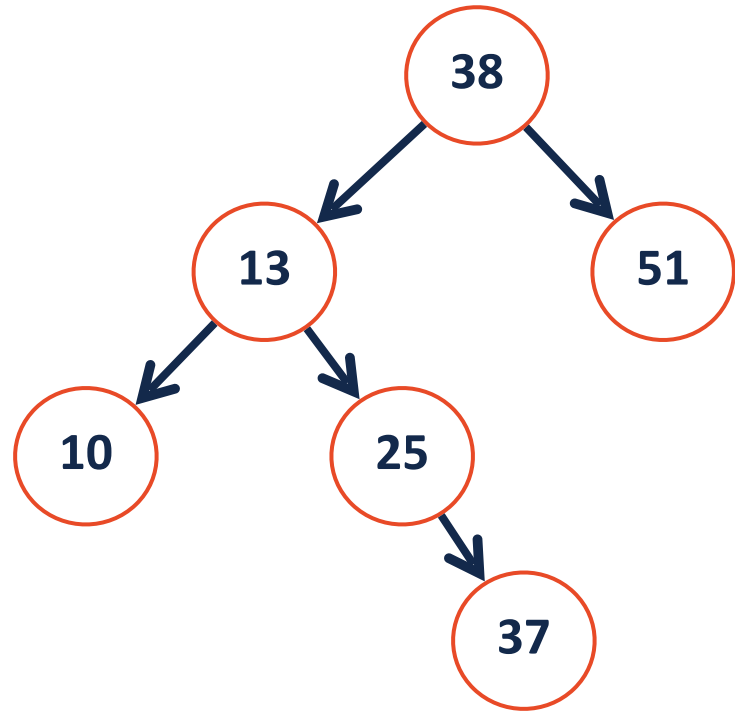


AVL Rotation Practice

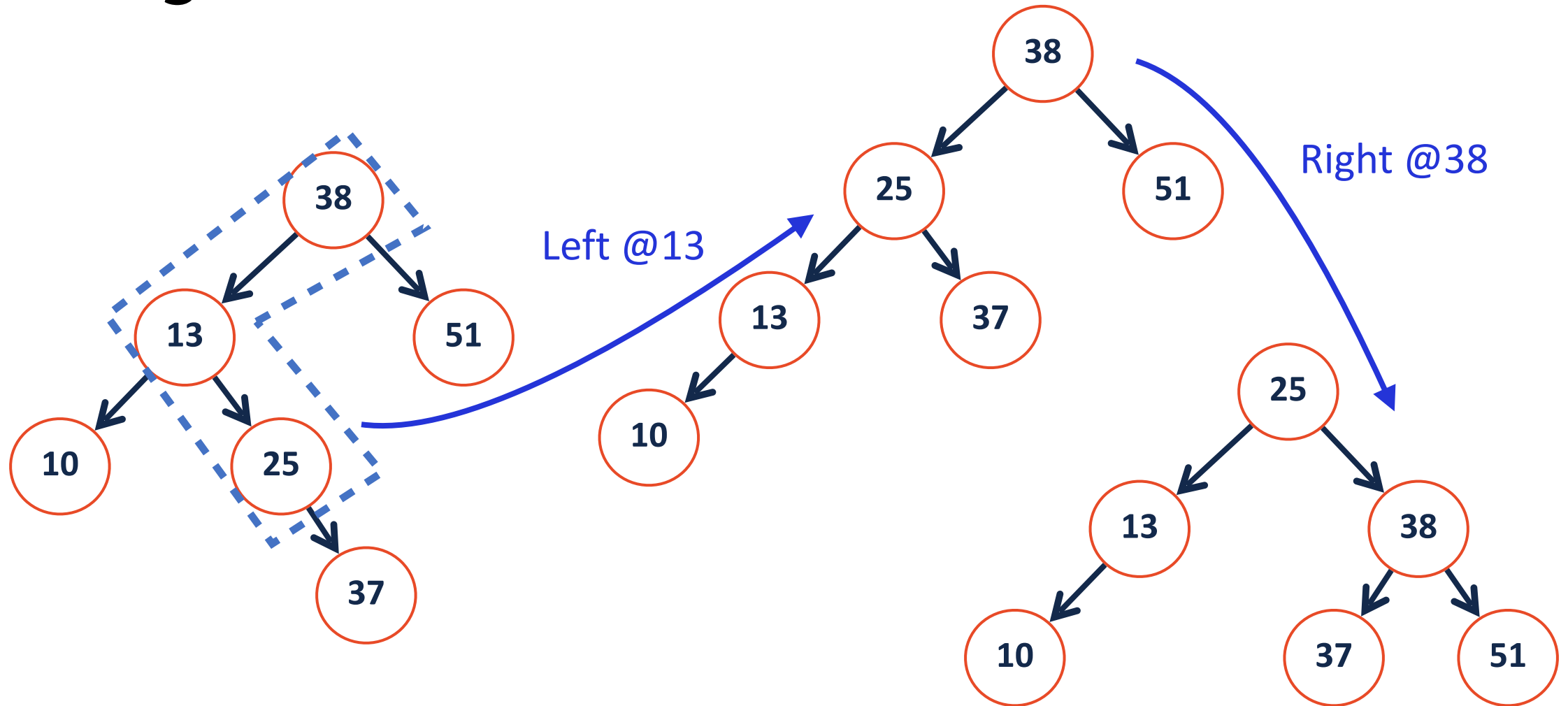


Somethings not quite right...

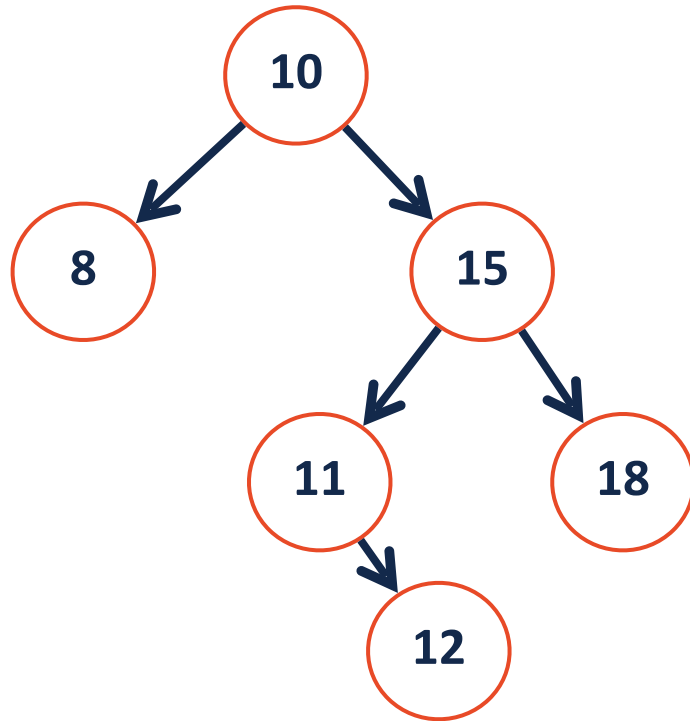
LeftRight Rotation



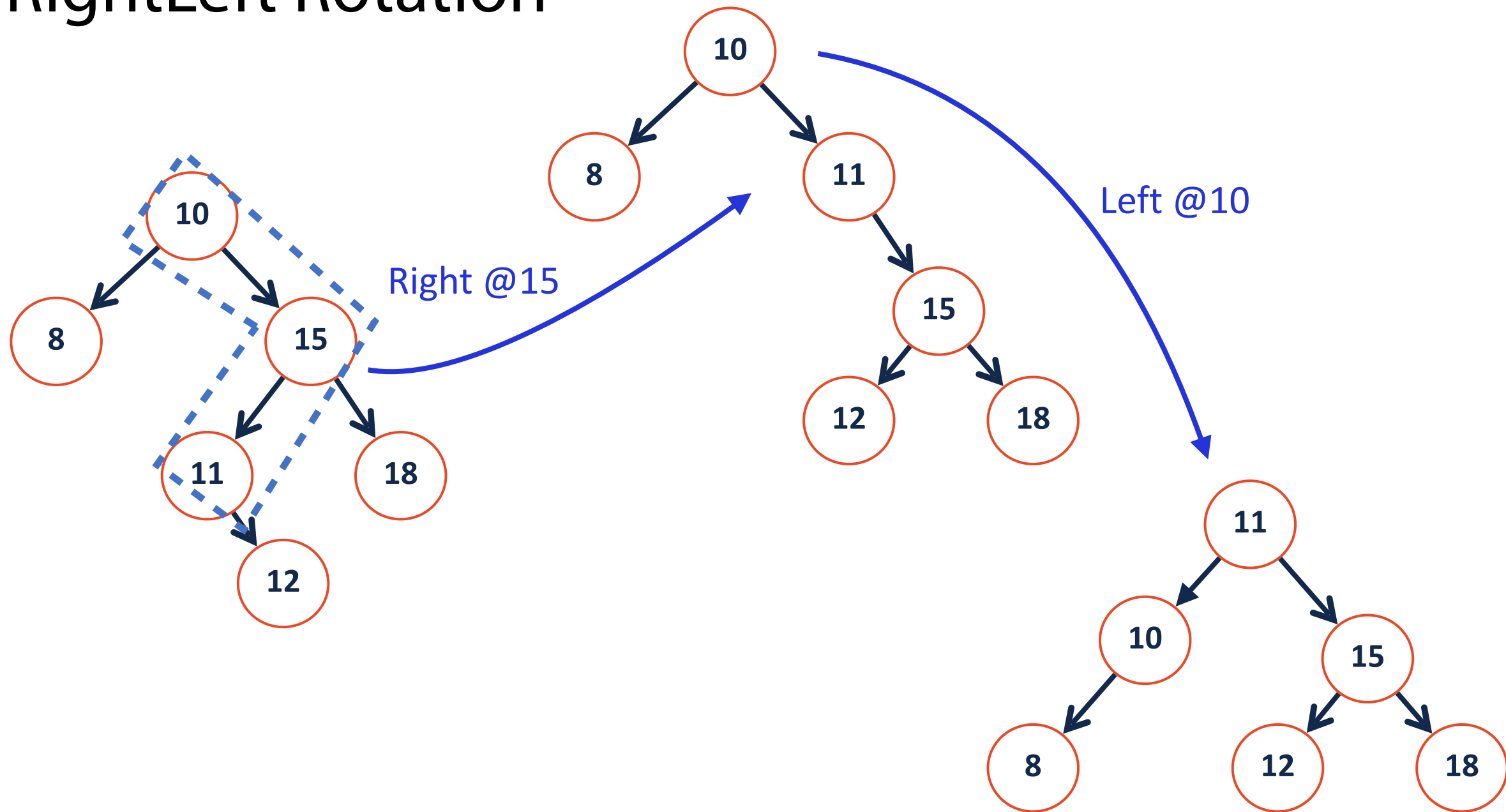
LeftRight Rotation



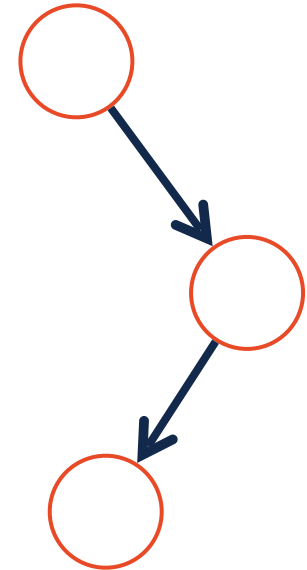
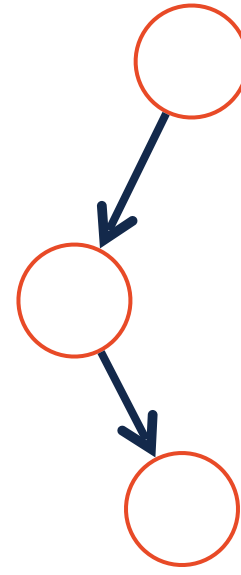
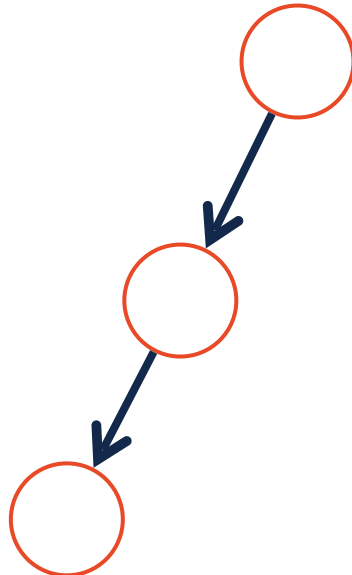
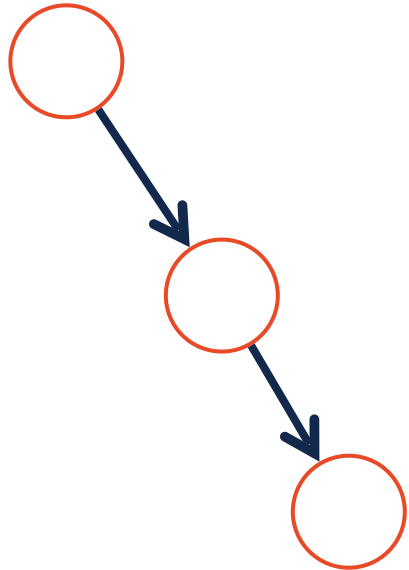
RightLeft Rotation



RightLeft Rotation



AVL Rotations





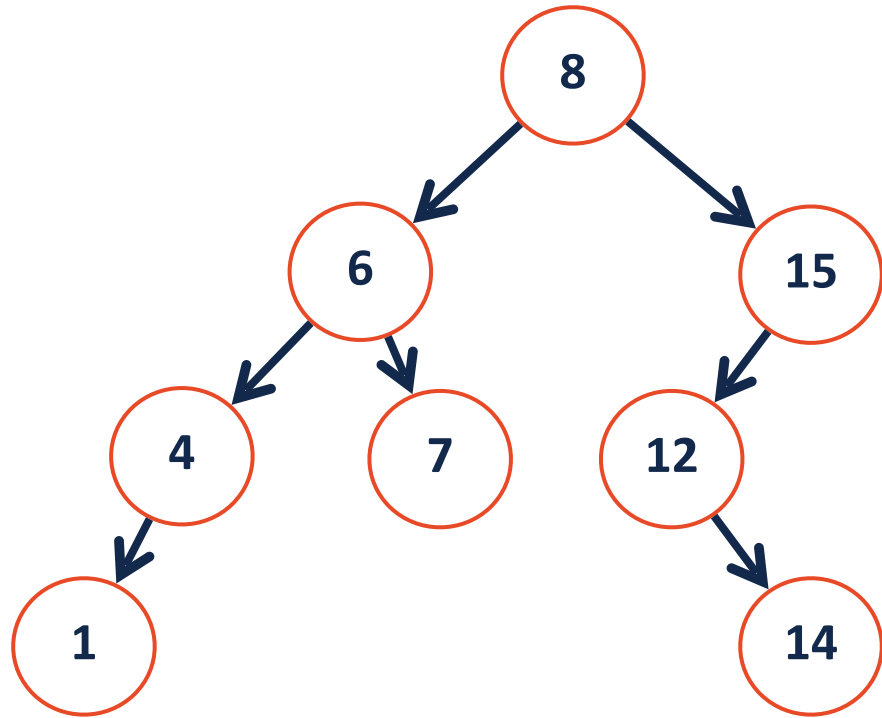
AVL Rotations

Four kinds of rotations: (L, R, LR, RL)

1. All rotations are local (subtrees are not impacted)
2. The running time of rotations are constant
3. The rotations maintain BST property

Goal:

AVL Rotation Practice



AVL vs BST ADT

The AVL tree is a modified binary search tree that rotates **when necessary**

How does the constraint on balance affect the core functions?

Find

Insert

Remove