# Data Structures and Algorithms Hashing 2

CS 225 Brad Solomon November 13, 2024



**Department of Computer Science** 

# Learning Objectives

Review fundamentals of hash tables

Introduce closed hashing approaches to hash collisions

Determine when and how to resize a hash table

Justify when to use different index approaches

# A Hash Table based Dictionary

#### User Code (is a map):

1 Dictionary<KeyType, ValueType> d; 2 d[k] = v;

#### A Hash Table consists of three things:

1. A hash function

2. A data storage structure

3. A method of addressing hash collisions

# **Open vs Closed Hashing**

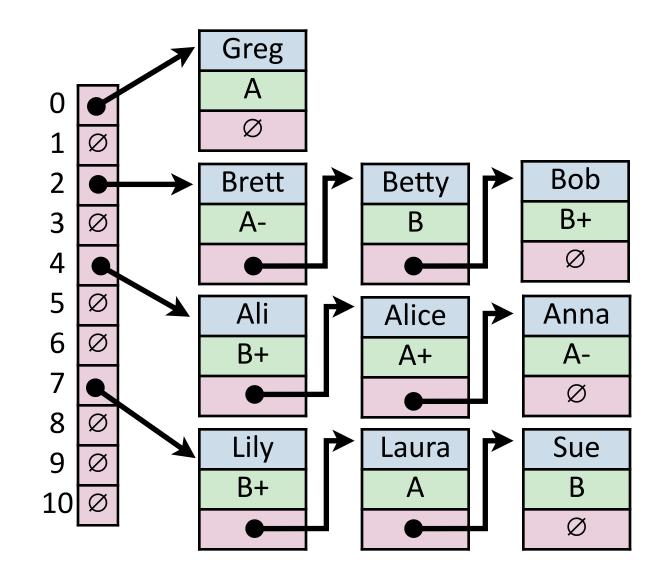
Addressing hash collisions depends on your storage structure.

• **Open Hashing:** store *k*,*v* pairs externally

• **Closed Hashing:** store *k*,*v* pairs in the hash table

# Hash Table (Separate Chaining)

Кеу	Value	lue Hash	
Bob	B+	2	
Anna	A-	4	
Alice	A+	4	
Betty	В	2	
Brett	A-	2	
Greg	А	0	
Sue	В	7	
Ali	B+	4	
Laura	А	7	
Lily	B+	7	



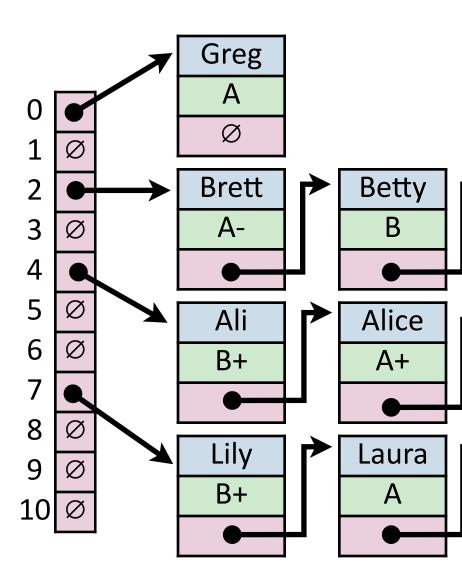
# Hash Table (Separate Chaining)

#### For hash table of size *m* and *n* elements:

Find runs in: \_\_\_\_\_

Insert runs in: \_\_\_\_\_

Remove runs in:



# Hash Table

Worst-Case behavior is bad — but what about randomness?

1) Fix h, our hash, and assume it is good for all keys:

Simple Uniform Hashing Assumption

(Assume our dataset hashes optimally)

2) Create a *universal hash function family:* 

Given a collection of hash functions, pick one randomly

Like random quicksort if pick of hash is random, good expectation!

# Simple Uniform Hashing Assumption

Given table of size *m*, a simple uniform hash, *h*, implies

$$\forall k_1, k_2 \in U \text{ where } k_1 \neq k_2 \text{ , } Pr(h[k_1] = h[k_2]) = \frac{1}{m}$$

#### **Uniform:**

**Independent:** 

# Simple Uniform Hashing Assumption

Given table of size *m*, a simple uniform hash, *h*, implies

$$\forall k_1, k_2 \in U \text{ where } k_1 \neq k_2 \text{ , } Pr(\underline{h[k_1]} = \underline{h[k_2]}) = \frac{1}{m}$$

# **Uniform:** All keys equally likely to hash to any position $Pr(h[k_1]) = \frac{1}{m}$

Independent: All key's hash values are independent of other keys

Table Size: *m* Separate Chaining Under SUHA **Claim:** Under SUHA, expected length of chain is  $\stackrel{n}{--}$ Num objects: *n*  $\mathcal{M}$  $\alpha_i$  = expected # of items hashing to position j  $H_{i,j} = \begin{cases} 1 \text{ if item i hashes to } j \\ 0 \text{ otherwise} \end{cases}$  $\alpha_j = \sum H_{i,j}$ 

Table Size: *m* Separate Chaining Under SUHA **Claim:** Under SUHA, expected length of chain is  $\stackrel{n}{--}$ Num objects: n  $\mathcal{M}$  $\alpha_i$  = expected # of items hashing to position j  $H_{i,j} = \begin{cases} 1 \text{ if item i hashes to } j \\ 0 \text{ otherwise} \end{cases}$  $\alpha_j = \sum H_{i,j}$  $E[\alpha_j] = E\Big[\sum_i H_{i,j}\Big]$ 

**Table Size:** *m* Separate Chaining Under SUHA **Claim:** Under SUHA, expected length of chain is  $\stackrel{n}{--}$ Num objects: n  $\mathcal{M}$  $\alpha_i$  = expected # of items hashing to position j  $H_{i,j} = \begin{cases} 1 \text{ if item i hashes to } j \\ 0 \text{ otherwise} \end{cases}$  $\alpha_j = \sum_{i} H_{i,j}$  $E[\alpha_j] = E\left[\sum H_{i,j}\right]$  $E[\alpha_j] = \sum Pr(H_{i,j} = 1) * 1 + Pr(H_{i,j} = 0) * 0$ 

**Table Size:** *m* Separate Chaining Under SUHA **Claim:** Under SUHA, expected length of chain is  $\frac{n}{-}$ Num objects: n  $\mathcal{M}$  $\alpha_i$  = expected # of items hashing to position j  $H_{i,j} = \begin{cases} 1 \text{ if item i hashes to } j \\ 0 \text{ otherwise} \end{cases}$  $\alpha_j = \sum_i H_{i,j}$  $E[\alpha_j] = E\left[\sum H_{i,j}\right]$  $E[\alpha_j] = \sum Pr(H_{i,j} = 1) * 1 + Pr(H_{i,j} = 0) * 0$  $E[\alpha_i] = n * Pr(H_{i,i} = 1)$ 

**Table Size:** *m* Separate Chaining Under SUHA **Claim:** Under SUHA, expected length of chain is  $\stackrel{n}{--}$ Num objects: n  $\mathcal{M}$  $\alpha_i$  = expected # of items hashing to position j  $H_{i,j} = \begin{cases} 1 \text{ if item i hashes to } j \\ 0 \text{ otherwise} \end{cases}$  $\alpha_j = \sum_i H_{i,j}$  $Pr[H_{i,j} = 1] = \frac{1}{m}$  $E[\alpha_j] = E\Big[\sum H_{i,j}\Big]$  $E[\alpha_{i}] = n * Pr(H_{i,i} = 1)$ 

Separate Chaining Under SUHA n **Claim:** Under SUHA, expected length of chain is — Table Size: *m*  $\mathcal{M}$ Num objects: *n*  $\alpha_i$  = expected # of items hashing to position j  $H_{i,j} = \begin{cases} 1 \text{ if item i hashes to } j \\ 0 \text{ otherwise} \end{cases}$  $\alpha_j = \sum H_{i,j}$  $Pr[H_{i,j} = 1] = \frac{1}{m}$  $E[\alpha_j] = E\Big[\sum H_{i,j}\Big]$  $E[\alpha_{i}] = n * Pr(H_{i,i} = 1)$  $\mathbf{E}[\alpha_{\mathbf{j}}]$ 

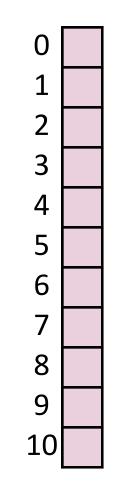
# Separate Chaining Under SUHA

#### Under SUHA, a hash table of size *m* and *n* elements:

Find runs in: \_\_\_\_\_\_.

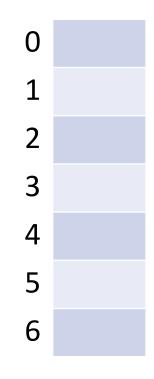
Insert runs in: \_\_\_\_\_\_.

Remove runs in: \_\_\_\_\_\_.

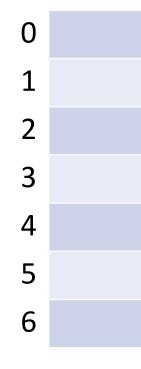


(Example of closed hashing)

#### Collision Handling: Probe-based Hashing S = { 1, 8, 15} h(k) = k % 7 Array| = m



Collision Handling: Linear Probing S = { 16, 8, 4, 13, 29, 11, 22 } |S| = n h(k) = k % 7 |Array| = m



h(k, i) = (k + i) % 7 Try h(k) = (k + 0) % 7, if full... Try h(k) = (k + 1) % 7, if full... Try h(k) = (k + 2) % 7, if full... Try ...

(Example of closed hashing)

# Collision Handling: Linear Probing S = { 16, 8, 4, 13, 29, 11, 22 } |S| = n h(k, i) = (k + i) % 7 |Array| = m

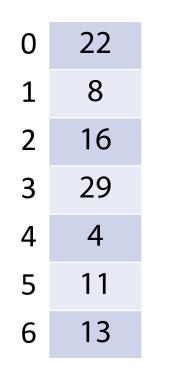
find(29)

0	22
1	8
2	16
3	29
4	4
5	11
6	13

(Example of closed hashing)

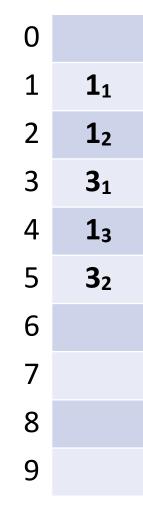
Collision Handling: Linear Probing S = { 16, 8, 4, 13, 29, 11, 22 } |S| = n h(k, i) = (k + i) % 7 |Array| = m

\_remove(16)



# A Problem w/ Linear Probing

#### **Primary Clustering:**

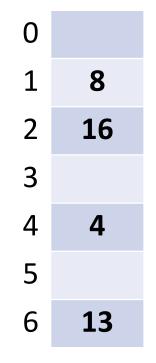


#### **Description:**

**Remedy:** 

```
(Example of closed hashing)
```

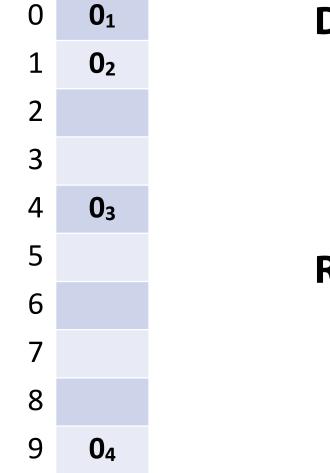
Collision Handling: Quadratic Probing **S** = { 16, 8, 4, 13, 29, 12, 22 } |**S**| = n h(k) = k % 7 |Array| = m



h(k, i) = (k + i\*i) % 7 Try h(k) = (k + 0) % 7, if full... Try h(k) = (k + 1\*1) % 7, if full... Try h(k) = (k + 2\*2) % 7, if full... Try ...

# A Problem w/ Quadratic Probing

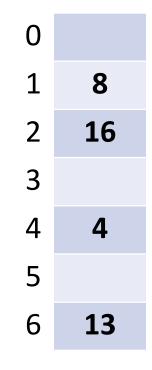
#### **Secondary Clustering:**



**Description:** 

**Remedy:** 

Collision Handling: Double Hashing  $S = \{ 16, 8, 4, 13, 29, 11, 22 \}$  |S| = n  $h_1(k) = k \% 7$  |Array| = m $h_2(k) = 5 - (k \% 5)$ 



 $\begin{aligned} h(k, i) &= (h_1(k) + i^*h_2(k)) \% 7 \\ Try h(k) &= (k + 0^*h_2(k)) \% 7, if full... \\ Try h(k) &= (k + 1^*h_2(k)) \% 7, if full... \\ Try h(k) &= (k + 2^*h_2(k)) \% 7, if full... \\ Try ... \end{aligned}$ 

## **Running Times** (Don't memorize these equations, no need.) (Expectation under SUHA)

#### **Open Hashing:**

insert: \_\_\_\_\_.

find/ remove: \_\_\_\_\_\_.

**Closed Hashing:** 

insert: \_\_\_\_\_.

find/ remove: \_\_\_\_\_\_.

### **Running Times** (Don't memorize these equations, no need.) The expected number of probes for find(key) under SUHA

#### **Linear Probing:**

- Successful: ½(1 + 1/(1-α))
- Unsuccessful: ½(1 + 1/(1-α))<sup>2</sup>

Instead, observe:

- As α increases:

#### **Double Hashing:**

- Successful: 1/α \* ln(1/(1-α))
- Unsuccessful: 1/(1-α)

#### **Separate Chaining:**

- Successful:  $1 + \alpha/2$
- Unsuccessful:  $1 + \alpha$

- If  $\alpha$  is constant:

# **Running Times**

The expected number of probes for find(key) under SUHA

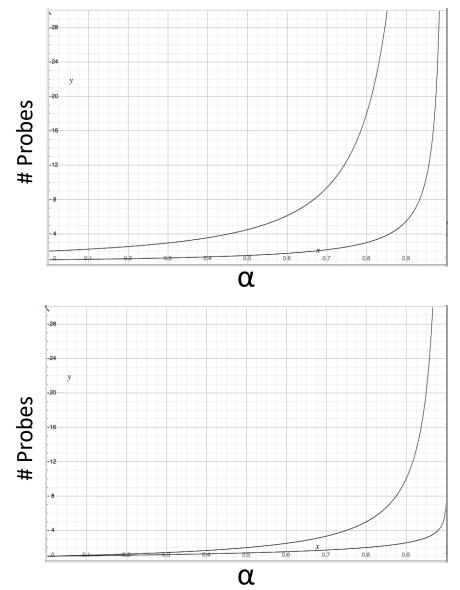
#### **Linear Probing:**

- Successful: ½(1 + 1/(1-α))
- Unsuccessful: ½(1 + 1/(1-α))<sup>2</sup>

#### **Double Hashing:**

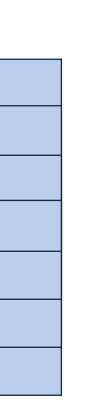
- Successful: 1/α \* ln(1/(1-α))
- Unsuccessful: 1/(1-α)

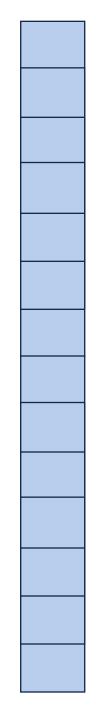
#### When do we resize?





How do you resize?





#### Which collision resolution strategy is better?

- Big Records:
- Structure Speed:

What structure do hash tables implement?

#### What constraint exists on hashing that doesn't exist with BSTs?

Why talk about BSTs at all?

# **Running Times**

	Hash Table	AVL	Linked List
Find	Expectation*: Worst Case:		
Insert	Expectation*: Worst Case:		
Storage Space			