Data Structures

Minimum Spanning Tree

CS 225
Brad Solomon

October 30, 2024

UNIVERSITY OF ILLINOIS URBANA-CHAMPAIGN
Department of Computer Science

Learning Objectives

Review graph traversal algorithms

Introduce the minimum spanning tree (with weights)

Introduce Kruskal's / Prim's MST Algorithms

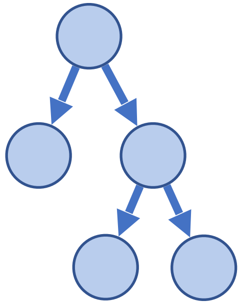
Implement Kruskal's (and potentially Prim's)

Graph Traversals

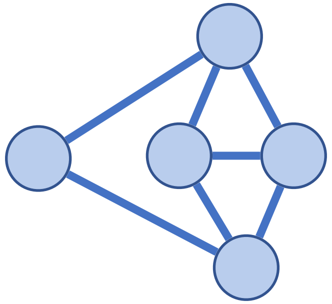
Objective: Visit every vertex and every edge in the graph.

How can we systematically go through a complex graph in the fewest steps?

Tree traversals won't work — lets compare:



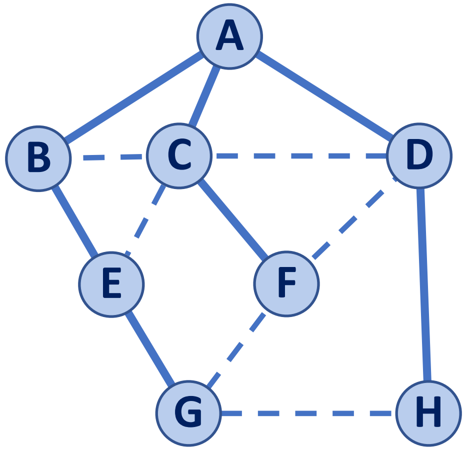
- Rooted
- Acyclic
- A clear 'endpoint'

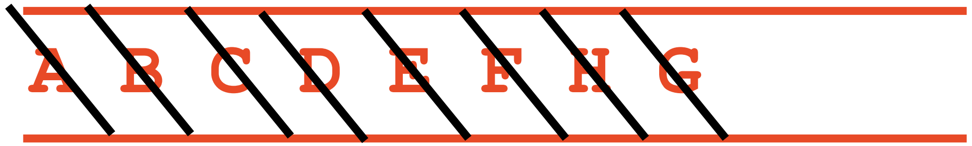


- No root (any start position valid)
- Cycles
- No obvious 'endpoint'

```
BFS(G, v):
  Queue q
  setDist(v, 0)
  q.enqueue(v)

  while !q.empty():
    v = q.dequeue()

    foreach (Vertex w : G.adjacent(v)):
      if( getDist(w) == -1):
        setLabel((v, w), DISCOVERY)
        setPred(w, v)
        setDist(w, v + 1)
        q.enqueue(w)
      else:
        setLabel((v, w), CROSS)
```

v	d	P	Adjacent Edges
A	0	-	B C D
B	1	A	A C E
C	1	A	A B D E F
D	1	A	A C F H
E	2	B	B C G
F	2	C	C D G
G	3	E	E F H
H	2	D	D G





BFS Observations

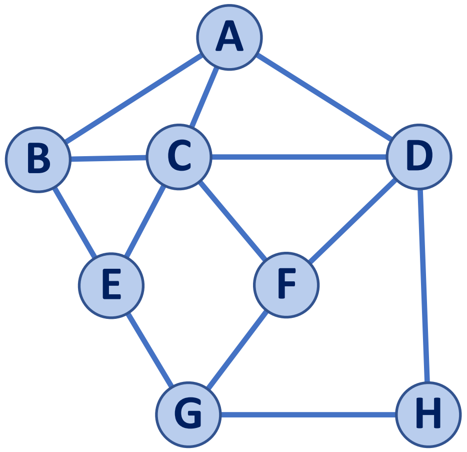
1. BFS can be used to count components
2. BFS can be used to detect cycles

3. The BFS 'distance' value is always the shortest distance from source to any vertex (and the discovery edges form a MST)

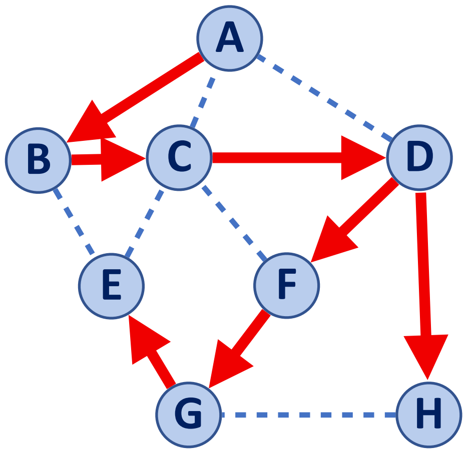
4. The endpoints of a cross edge never differ in distance by more than 1 ($|d(u) - d(v)| = 1$)

```
DFS(G):
  foreach (Vertex v : G.vertices()):
   setPred(v, NULL)
   setDist(v, -1)

  foreach (Edge e : G.edges()):
    setLabel(e, UNEXPLORED)

  foreach (Vertex v : G.vertices()):
    if getDist(v) == -1:
       DFS(G, v)
```

```
DFS(G, v):

    foreach (Vertex w : G.adjacent(v)):
        if( getDist(w) == -1):
           setLabel((v, w), DISCOVERY)
           setPred(w, v)
           setDist(w, v + 1)
           DFS(G, w)
       else:
           setLabel((v, w), BACK)
```



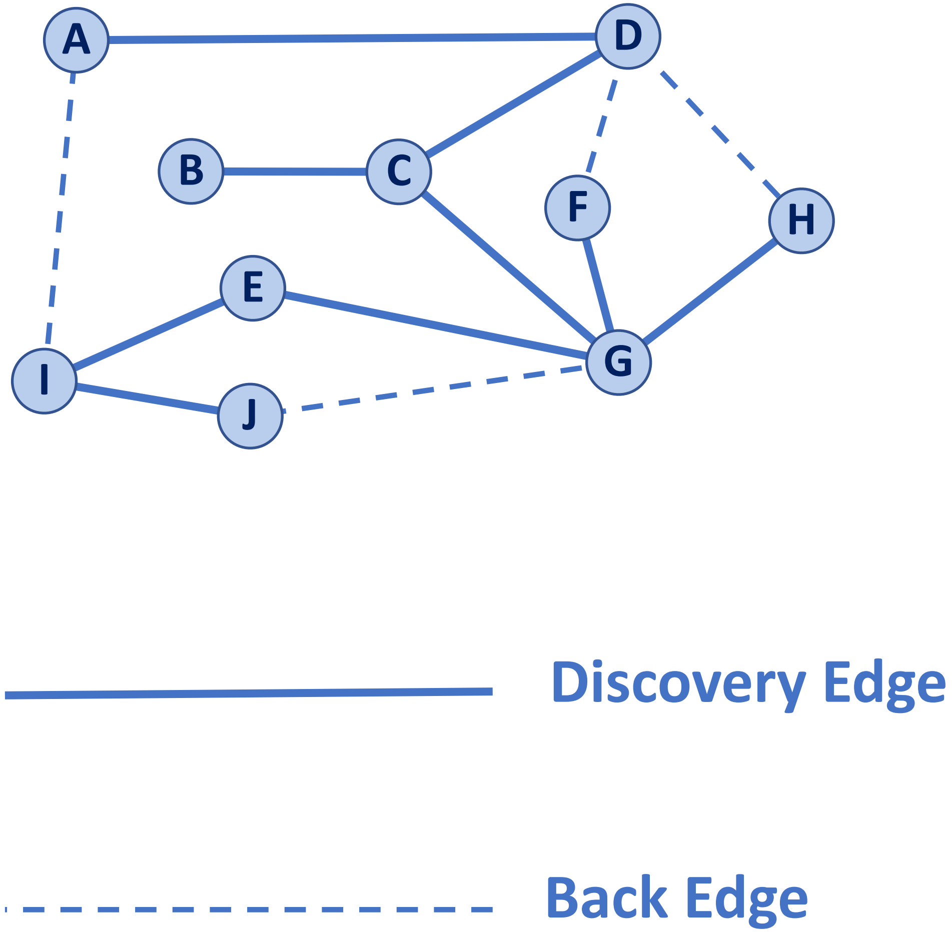
```
DFS(G, v):

    foreach (Vertex w : G.adjacent(v)):
        if( getDist(w) == -1):
            setLabel((v, w), DISCOVERY)
            setPred(w, v)
            setDist(w, v + 1)
            DFS(G, w)
        else:
            setLabel((v, w), BACK)
```



v	d	P	Adjacent Edges
A	0	-	B C D
B	1	A	A C E
C	2	B	A B D E F
D	3	C	A C F H
E	6	G	B C G
F	4	D	C D G
G	5	F	E F H
H	4	D	D G

A B C D F G E H

Traversal: DFS



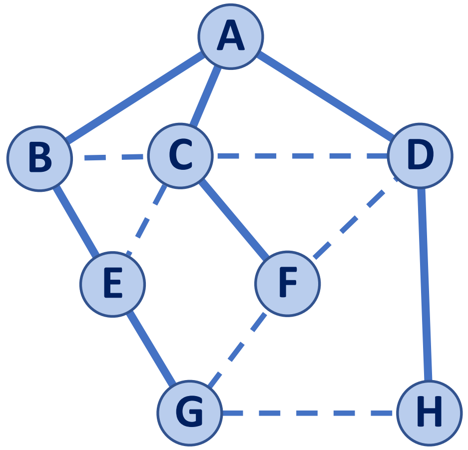
Do we still make a spanning tree?

Does distance have meaning here?

Do our edge labels have meaning here?

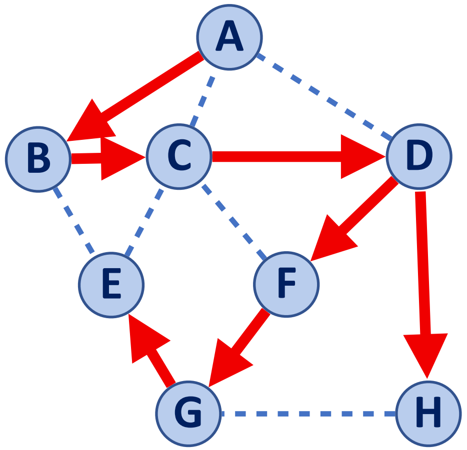
Efficiency: DFS vs BFS

BFS:



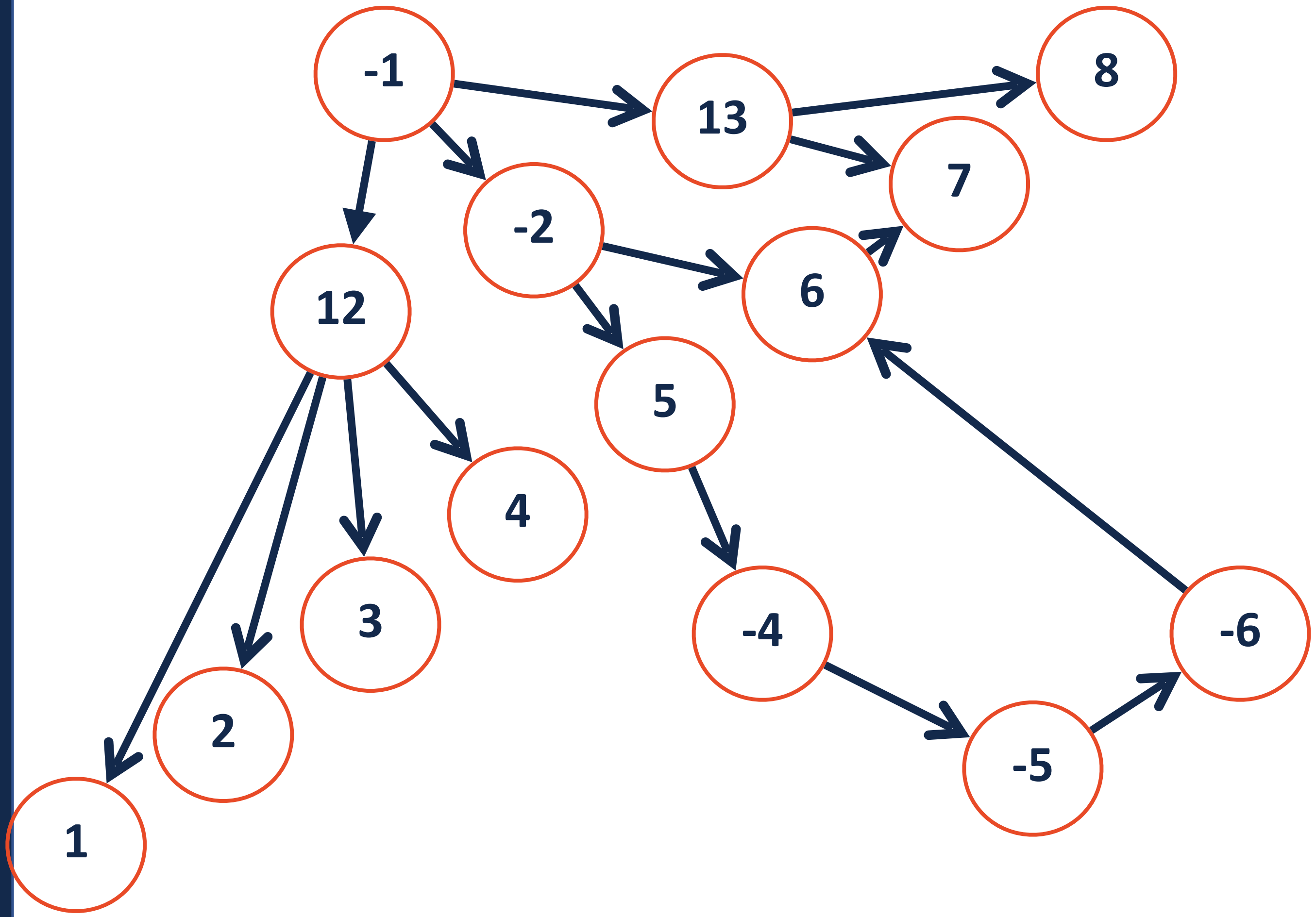
A B C D E F H G

DFS:



A B C D F G E H

Space Efficiency: DFS vs BFS

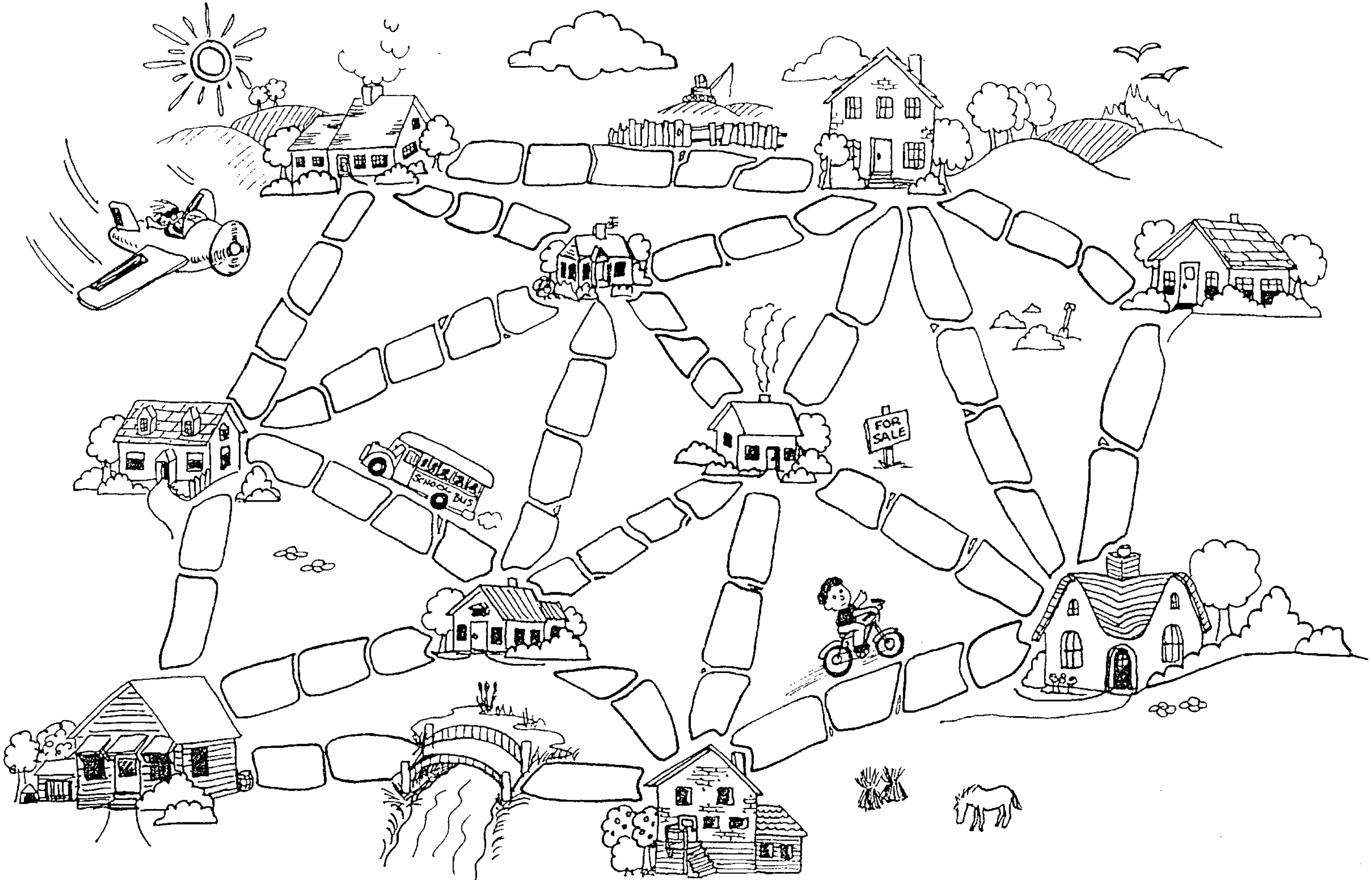


Summary: DFS and BFS

$|V| = n, |E| = m$

Both are **O(n+m)** traversals! They label every edge and every node

BFS	**DFS**
Solves unweighted MST	Solves unweighted MST
Solves shortest path	
Solves cycle detection	Solves cycle detection
Memory bounded by width	Memory bounded by longest path

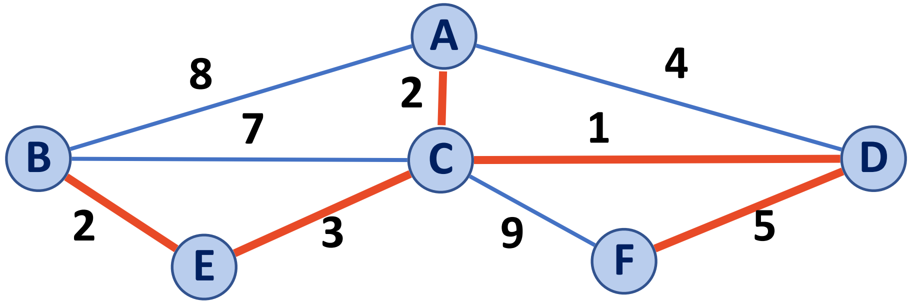

SCHOOL BUS
FOR SALE

Minimum Spanning Tree Algorithms

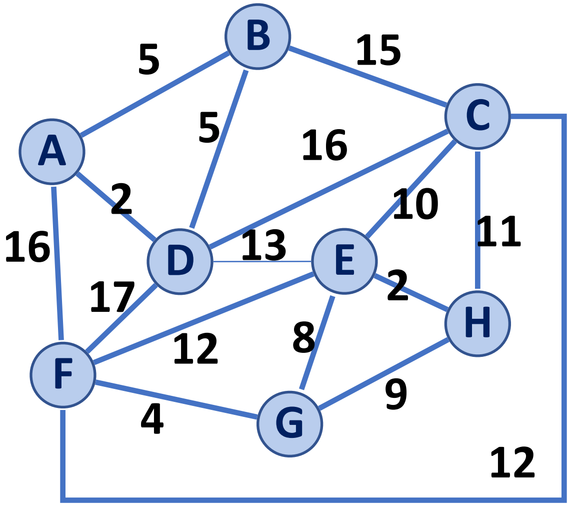
Input: Connected, undirected graph **G** with edge weights (unconstrained, but must be additive)

Output: A graph G' with the following properties:

- G' is a spanning graph of G
- G' is a tree (connected, acyclic)
- G' has a minimal total weight among all spanning trees



Kruskal’s Algorithm

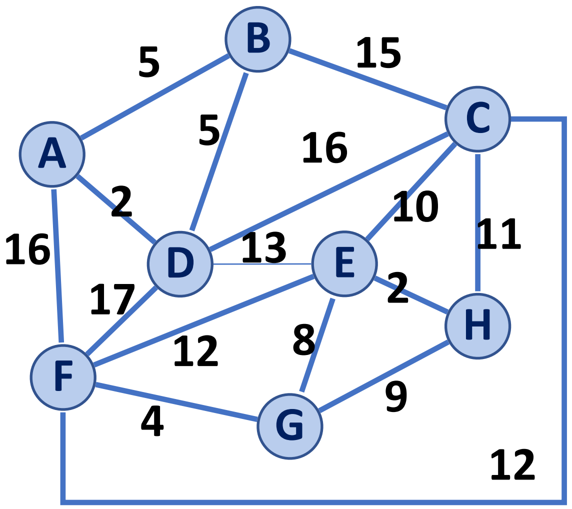


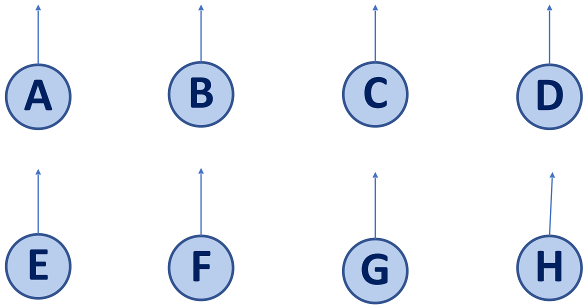
Kruskal's Algorithm

1) Build a **priority queue** on edges

2) Build a **disjoint set** on vertices

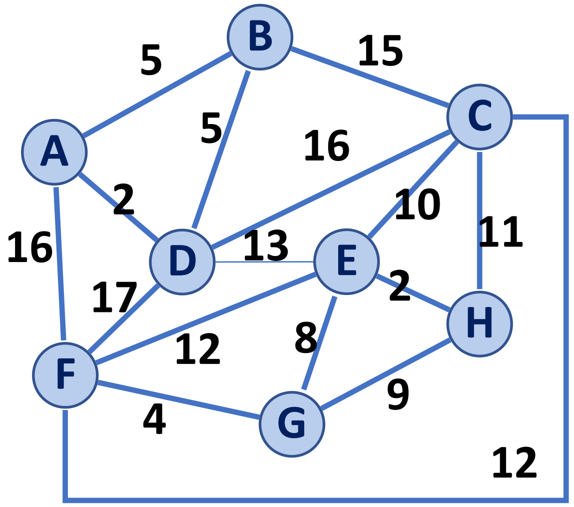
(A, D)
(E, H)
(F, G)
(A, B)
(B, D)
(G, E)
(G, H)
(E, C)
(C, H)
(E, F)
(F, C)
(D, E)
(B, C)
(C, D)
(A, F)
(D, F)

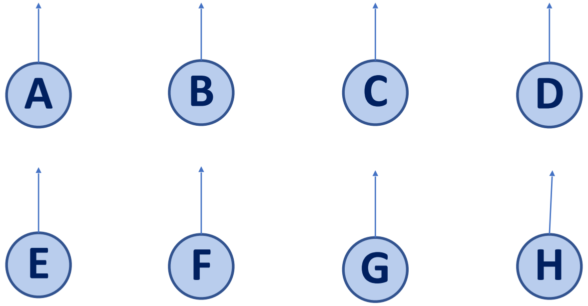




Kruskal’s Algorithm

(A, D)
(E, H)
(F, G)
(A, B)
(B, D)
(G, E)
(G, H)
(E, C)
(C, H)
(E, F)
(F, C)
(D, E)
(B, C)
(C, D)
(A, F)
(D, F)






```
KruskalMST(G):
  DisjointSets forest
  foreach (Vertex v : G.vertices()):
    forest.makeSet(v)

  PriorityQueue Q    // min edge weight
  Q.buildFromGraph(G.edges())

  Graph T = (V, {})

  while |T.edges()| < n-1:
    Vertex (u, v) = Q.removeMin()
    if forest.find(u) != forest.find(v):
       T.addEdge(u, v)
       forest.union( forest.find(u),
                     forest.find(v) )

  return T
```

Kruskal’s Algorithm

```
KruskalMST(G):
  DisjointSets forest
  foreach (Vertex v : G.vertices()):
    forest.makeSet(v)

  PriorityQueue Q    // min edge weight
  Q.buildFromGraph(G.edges())

  Graph T = (V, {})

  while |T.edges()| < n-1:
    Vertex (u, v) = Q.removeMin()
    if forest.find(u) != forest.find(v):
       T.addEdge(u, v)
       forest.union( forest.find(u),
                     forest.find(v) )

  return T
```

Kruskal's Algorithm

Priority Queue:	Heap	Sorted Array
Building :7		
Each removeMin :12		

```
1  KruskalMST(G):
2    DisjointSets forest
3    foreach (Vertex v : G.vertices()):
4      forest.makeSet(v)
5
6    PriorityQueue Q    // min edge weight
7    Q.buildFromGraph(G.edges())
8
9    Graph T = (V, {})
10
11   while |T.edges()| < n-1:
12     Vertex (u, v) = Q.removeMin()
13     if forest.find(u) != forest.find(v):
14        T.addEdge(u, v)
15        forest.union( forest.find(u),
16                      forest.find(v) )
17
18   return T
19
```

Kruskal's Algorithm

Priority Queue:	Total Running Time
Heap	
Sorted Array	

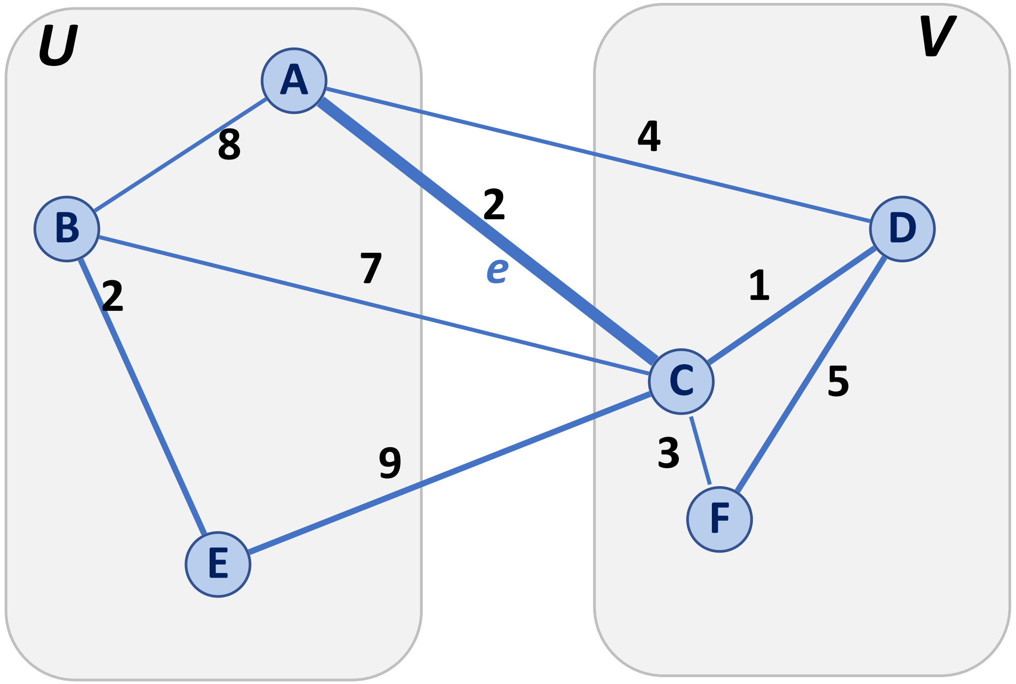
```
KruskalMST(G):
  DisjointSets forest
  foreach (Vertex v : G.vertices()):
    forest.makeSet(v)

  PriorityQueue Q    // min edge weight
  Q.buildFromGraph(G.edges())

  Graph T = (V, {})

  while |T.edges()| < n-1:
    Vertex (u, v) = Q.removeMin()
    if forest.find(u) != forest.find(v):
       T.addEdge(u, v)
       forest.union( forest.find(u),
                     forest.find(v) )

  return T
```

Partition Property

Consider an arbitrary partition of the vertices on **G** into two subsets **U** and **V**.

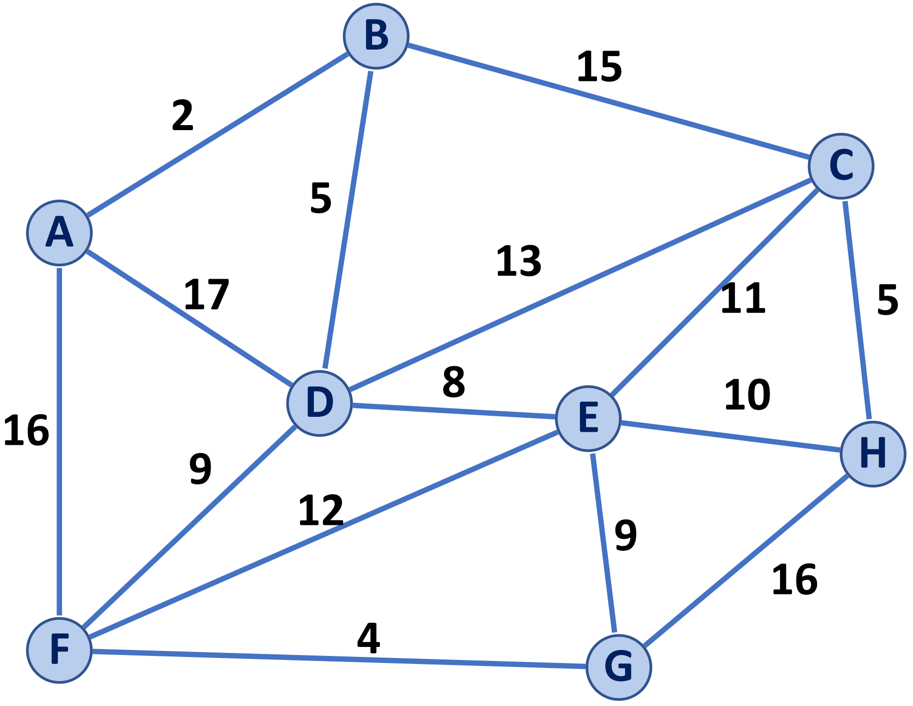
Let **e** be an edge of minimum weight across the partition.

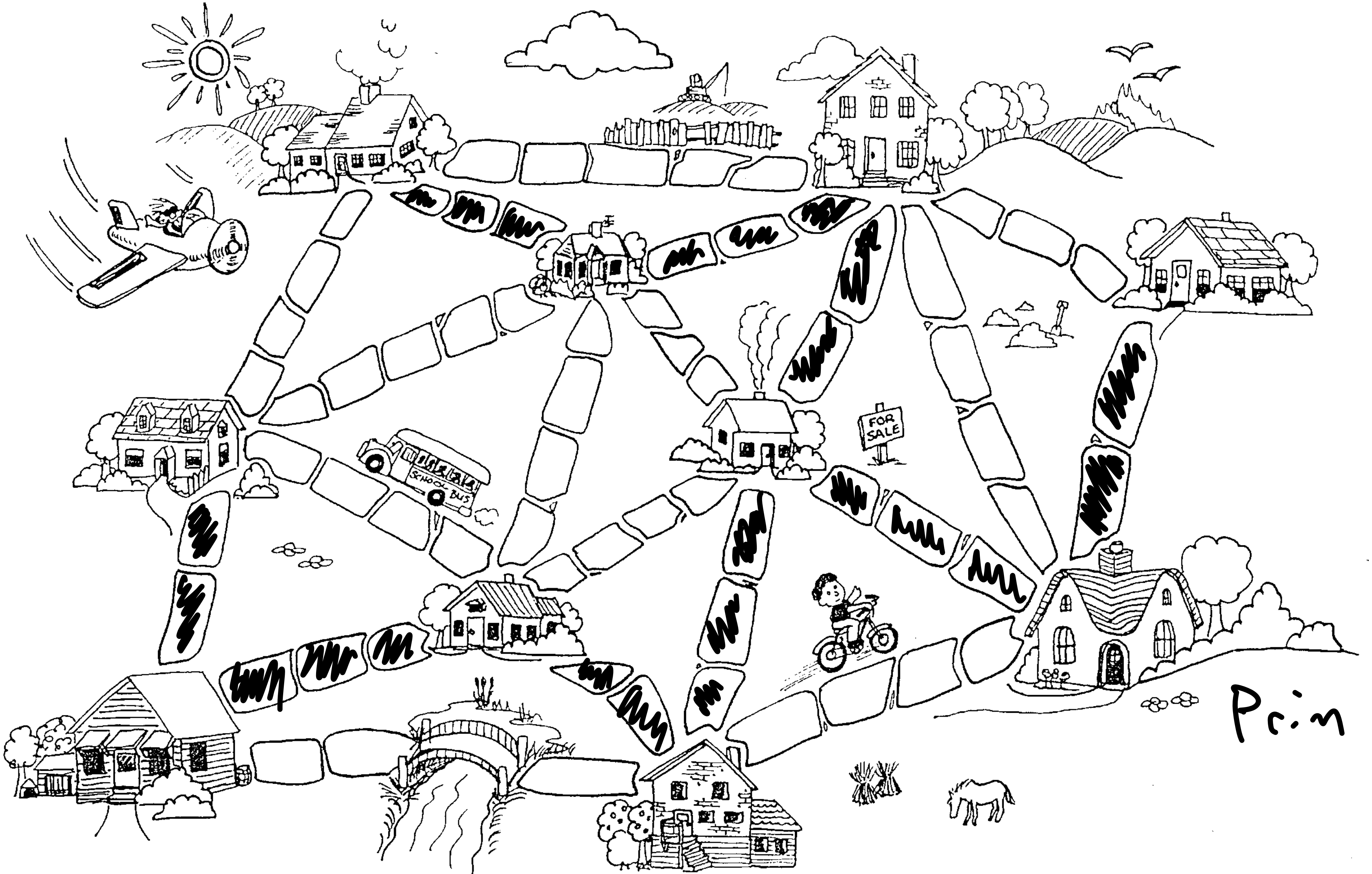
Then **e** is part of some minimum spanning tree.



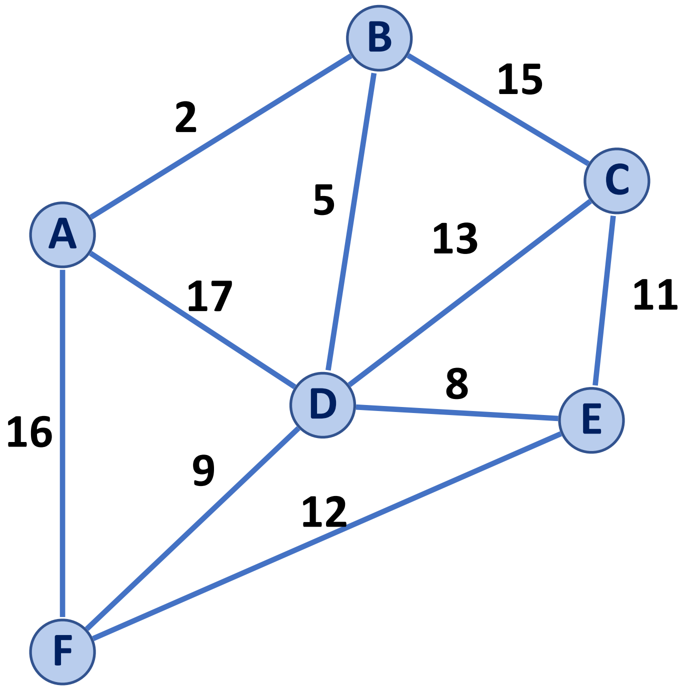
Partition Property

The partition property suggests an algorithm:




FOR SALE
SCHOOL BUS
Prim

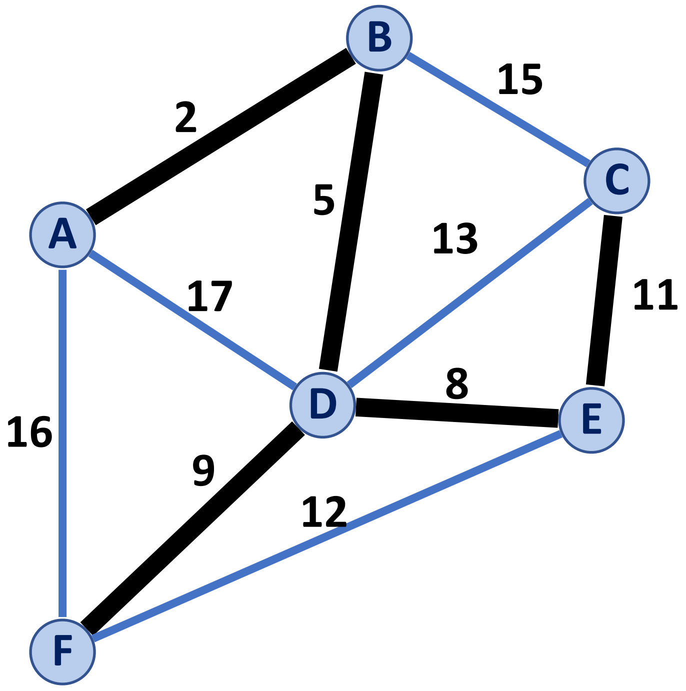
Prim's Algorithm



A	B	C	D	E	F

```
PrimMST(G, s):
  Input: G, Graph;
         s, vertex in G, starting vertex
  Output: T, a minimum spanning tree (MST) of G

  foreach (Vertex v : G.vertices()):
    d[v] = +inf
    p[v] = NULL
  d[s] = 0

  PriorityQueue Q   // min distance, defined by d[v]
  Q.buildHeap(G.vertices())
  Graph T           // "labeled set"

  repeat n times:
    Vertex m = Q.removeMin()
    T.add(m)
    foreach (Vertex v : neighbors of m not in T):
      if cost(v, m) < d[v]:
        d[v] = cost(v, m)
        p[v] = m

  return T
```

Prim's Algorithm



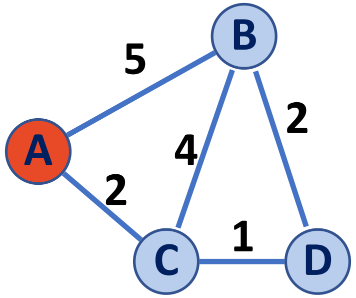
A	B	C	D	E	F
0, —	2, A	11, E	5, B	8, D	9, D

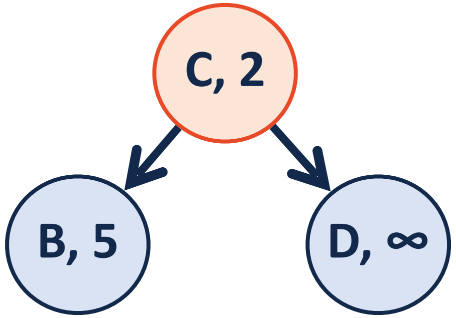
```
PrimMST(G, s):
  Input: G, Graph;
         s, vertex in G, starting vertex
  Output: T, a minimum spanning tree (MST) of G

  foreach (Vertex v : G.vertices()):
    d[v] = +inf
    p[v] = NULL
  d[s] = 0

  PriorityQueue Q   // min distance, defined by d[v]
  Q.buildHeap(G.vertices())
  Graph T           // "labeled set"

  repeat n times:
    Vertex m = Q.removeMin()
    T.add(m)
    foreach (Vertex v : neighbors of m not in T):
      if cost(v, m) < d[v]:
        d[v] = cost(v, m)
        p[v] = m

  return T
```

Prim’s Big O

```
PrimMST(G, s):
  foreach (Vertex v : G.vertices()):
    d[v] = +inf
    p[v] = NULL
  d[s] = 0

  PriorityQueue Q // min distance, defined by d[v]
  Q.buildHeap(G.vertices())
  Graph T         // "labeled set"

  repeat n times:
    Vertex m = Q.removeMin()
    T.add(m)
    foreach (Vertex v : neighbors of m not in T):
      if cost(v, m) < d[v]:
        d[v] = cost(v, m)
        p[v] = m
```

A	B	C	D
0	5	2	∞

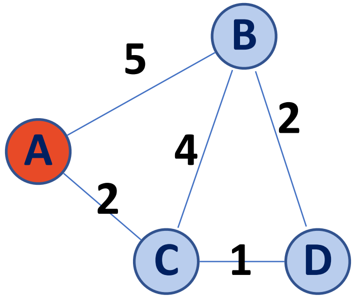





```
PrimMST(G, s):
  foreach (Vertex v : G.vertices()):
    d[v] = +inf
    p[v] = NULL
  d[s] = 0

  PriorityQueue Q // min distance, defined by d[v]
  Q.buildHeap(G.vertices())
  Graph T           // "labeled set"

  repeat n times:
    Vertex m = Q.removeMin()
    T.add(m)
    foreach (Vertex v : neighbors of m not in T):
      if cost(v, m) < d[v]:
        d[v] = cost(v, m)
        p[v] = m

```

	Adj. Matrix	Adj. List
Heap	O(n) + ___________ + O(n^2) + ________________	O(n) + ___________ + O(m) + ________________

(A, 0)
(D, ∞)
(C, 2)
(B, 5)




```
PrimMST(G, s):
  foreach (Vertex v : G.vertices()):
    d[v] = +inf
    p[v] = NULL
  d[s] = 0

  PriorityQueue Q // min distance, defined by d[v]
  Q.buildHeap(G.vertices())
  Graph T          // "labeled set"

  repeat n times:
    Vertex m = Q.removeMin()
    T.add(m)
    foreach (Vertex v : neighbors of m not in T):
      if cost(v, m) < d[v]:
        d[v] = cost(v, m)
        p[v] = m

```

	Adj. Matrix	Adj. List
Heap	$O(n^2 + m \lg(n))$	$O(n \lg(n) + m \lg(n))$
Unsorted Array		

Prim's Algorithm

Sparse Graph:

Dense Graph:

```
PrimMST(G, s):
  foreach (Vertex v : G.vertices()):
    d[v] = +inf
    p[v] = NULL
  d[s] = 0

  PriorityQueue Q // min distance, defined by d[v]
  Q.buildHeap(G.vertices())
  Graph T         // "labeled set"

  repeat n times:
    Vertex m = Q.removeMin()
    T.add(m)
    foreach (Vertex v : neighbors of m not in T):
      if cost(v, m) < d[v]:
        d[v] = cost(v, m)
        p[v] = m
```

	Adj. Matrix	Adj. List
Heap	$O(n^2 + m \lg(n))$	$O(n \lg(n) + m \lg(n))$
Unsorted Array	$O(n^2)$	$O(n^2)$

MST Algorithm Runtime:

Kruskal's Algorithm:
$O(n + m \log(n))$

Prim's Algorithm:
$O(n \log(n) + m \log(n))$

Sparse Graph:

Dense Graph:

Suppose I have a new heap:

	Binary Heap	Fibonacci Heap
Remove Min	O(lg(n))	O(lg(n))
Decrease Key	O(lg(n))	O(1)*

What's the updated running time?

```
PrimMST(G, s):
  foreach (Vertex v : G.vertices()):
    d[v] = +inf
    p[v] = NULL
  d[s] = 0

  PriorityQueue Q // min distance, defined by d[v]
  Q.buildHeap(G.vertices())
  Graph T          // "labeled set"

  repeat n times:
    Vertex m = Q.removeMin()
    T.add(m)
    foreach (Vertex v : neighbors of m not in T):
      if cost(v, m) < d[v]:
        d[v] = cost(v, m)
        p[v] = m
```