

Data Structures

Disjoint Sets

CS 225

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October 16, 2024



UNIVERSITY OF
ILLINOIS
URBANA - CHAMPAIGN

Department of Computer Science

Nobody panic

*There are no
batteries to
start class*

IT on the way!

Survey EC

Current EC at semesters end: +12

Credit for stickers, lists, and IEF

Great work!

↳ Bonus video!

Exam 3 (10/23 — 10/25)

Autograded MC and one coding question

Manually graded short answer prompt

Practice exam on PL

Topics covered can be found on website

Registration started October 10

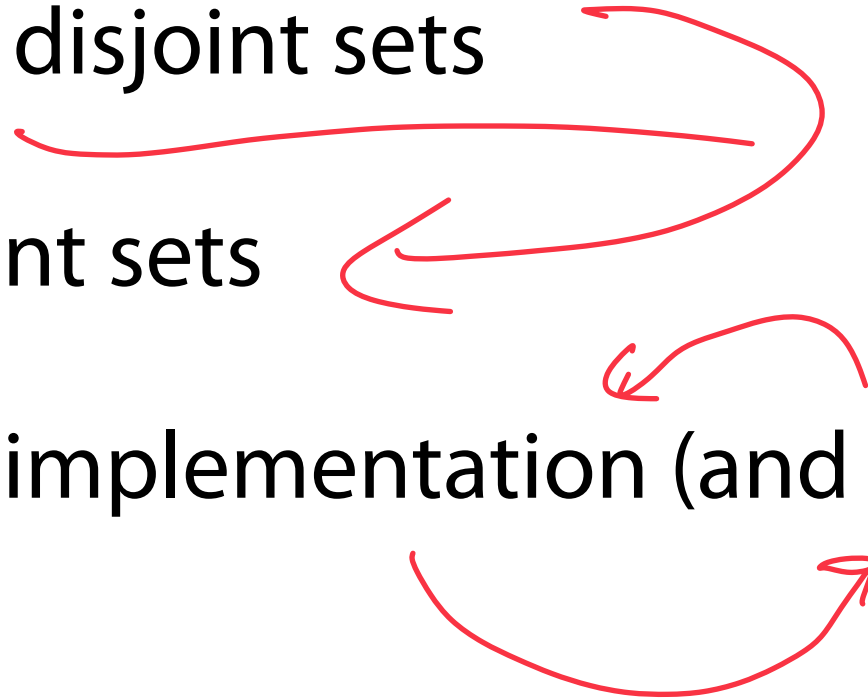
<https://courses.engr.illinois.edu/cs225/fa2024/exams/>

Learning Objectives

Introduce and implement disjoint sets

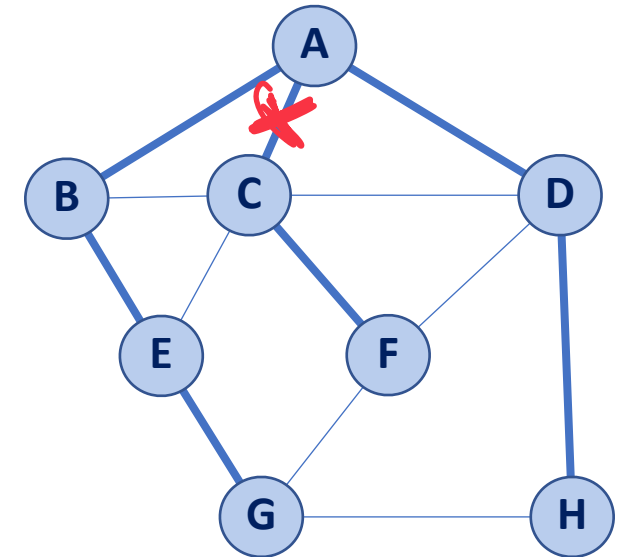
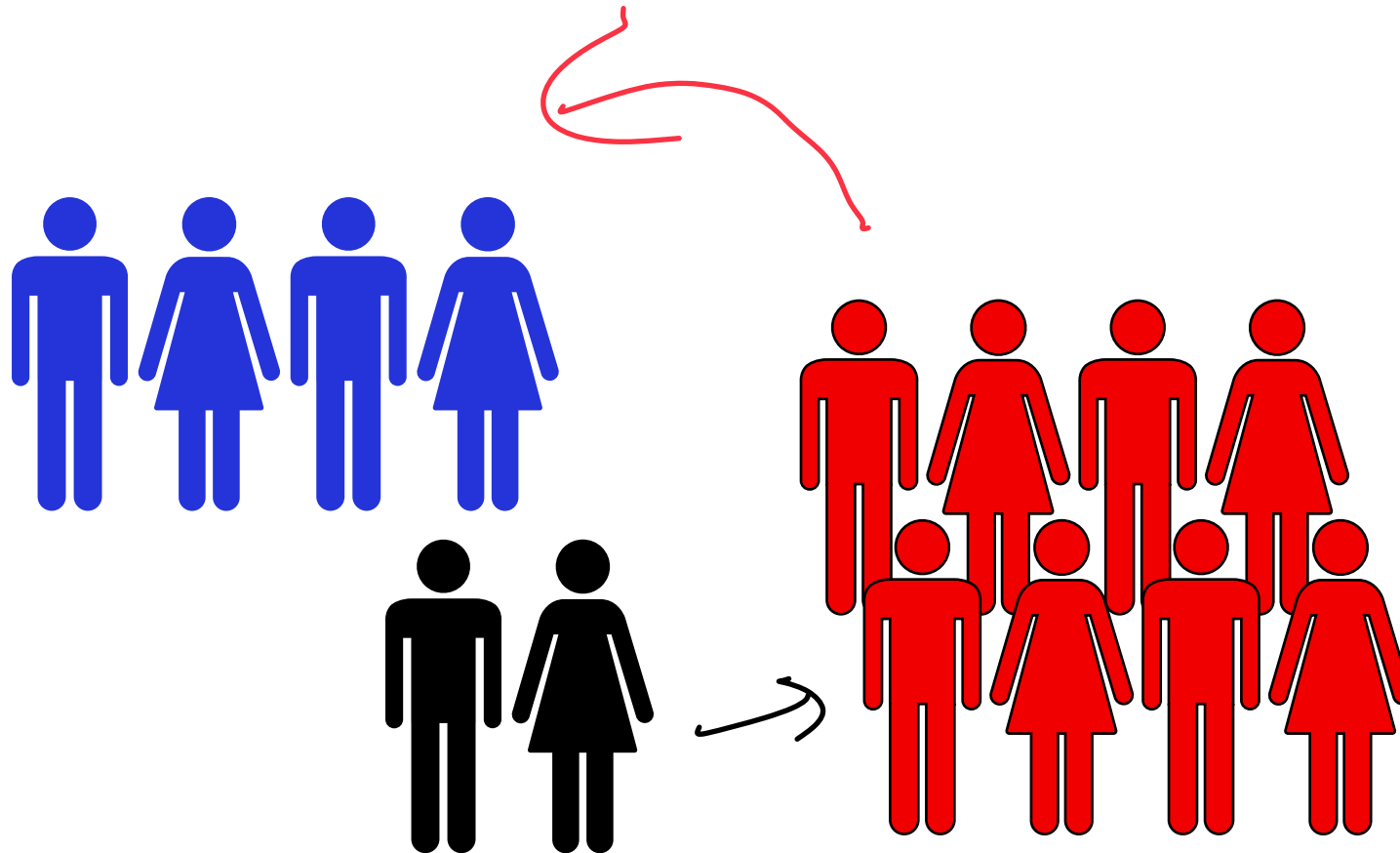
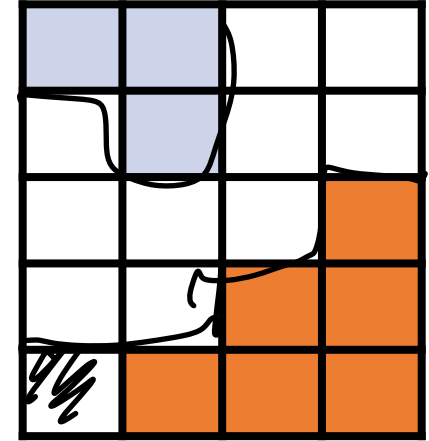
Discuss efficiency of disjoint sets

Identify improvements to implementation (and efficiency)



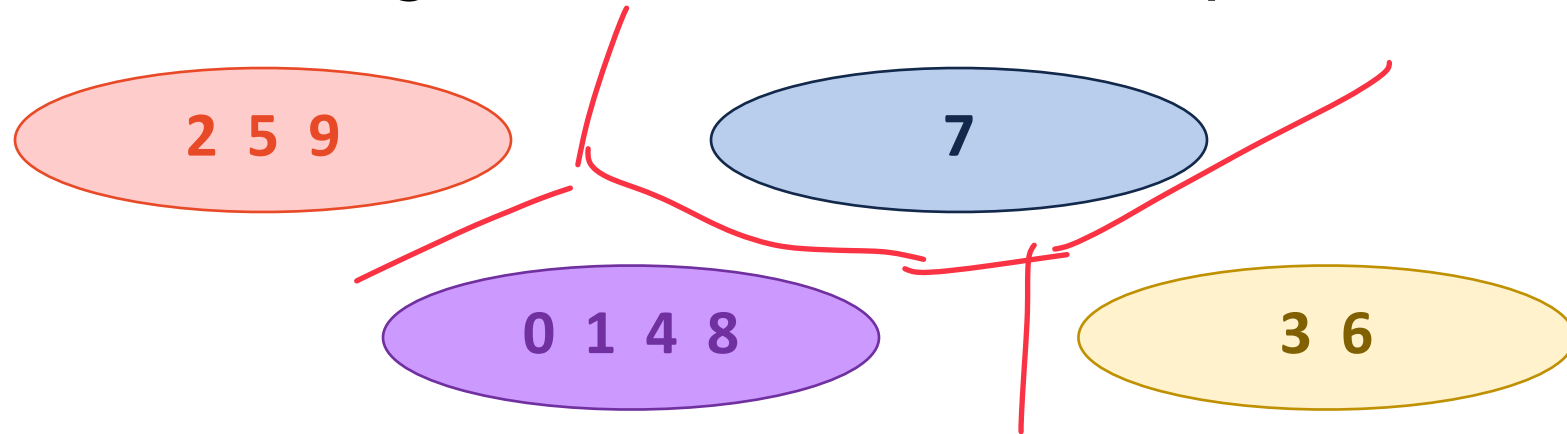
Storing and manipulating dynamic groups

We need a data structure which can efficiently look up (and change) group dynamics



Disjoint Set ADT

A data structure designed to store relationships between items



Operations:

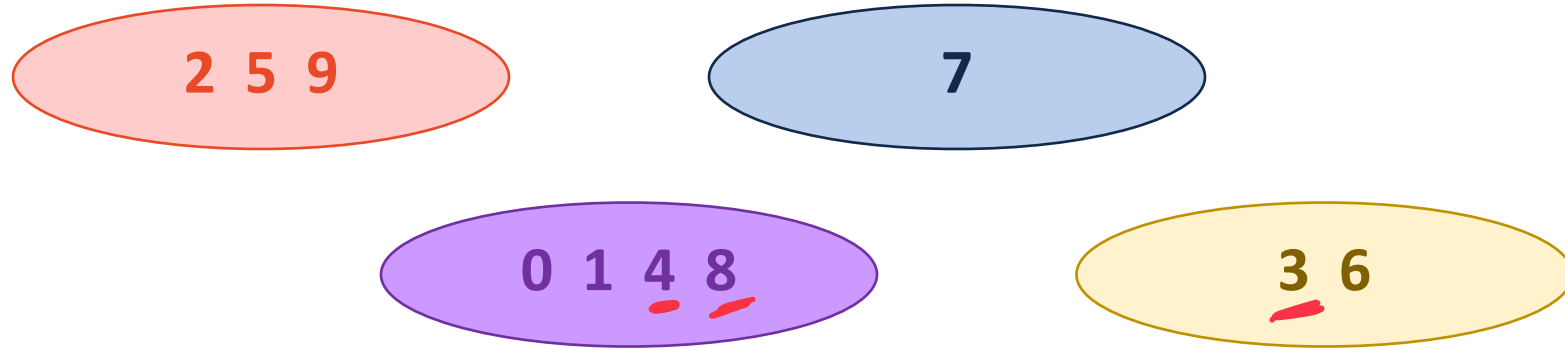
find(k) — returns “set representation” for item x

union($s1$, $s2$) — Merge $s1$ and $s2$ into one set

Constructor — Make a new set

Disjoint Sets 'Set Representation'

All items in a set have the same 'Set Representation'



Operation:

`find(4) == find(8)`

↳ X

↳ X

`find(4) != find(3)`

↳ X

↳ 4

How to store?

or label

↳ Store address or index of a set

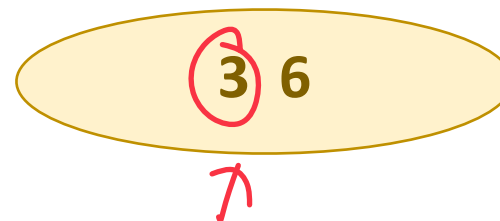
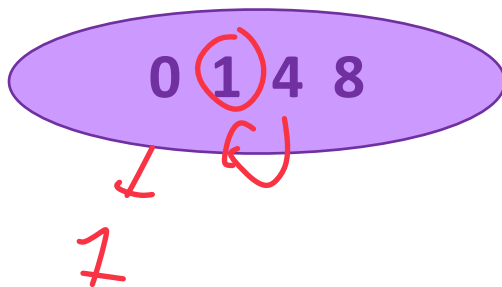
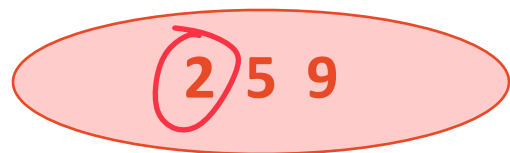
↳ key, value pairs

↳ item, value is set



Disjoint Sets 'Set Representation'

Each set is represented by a **canonical element** (internally defined)



Operation:

`find(4) == find(8)`

↓

↓

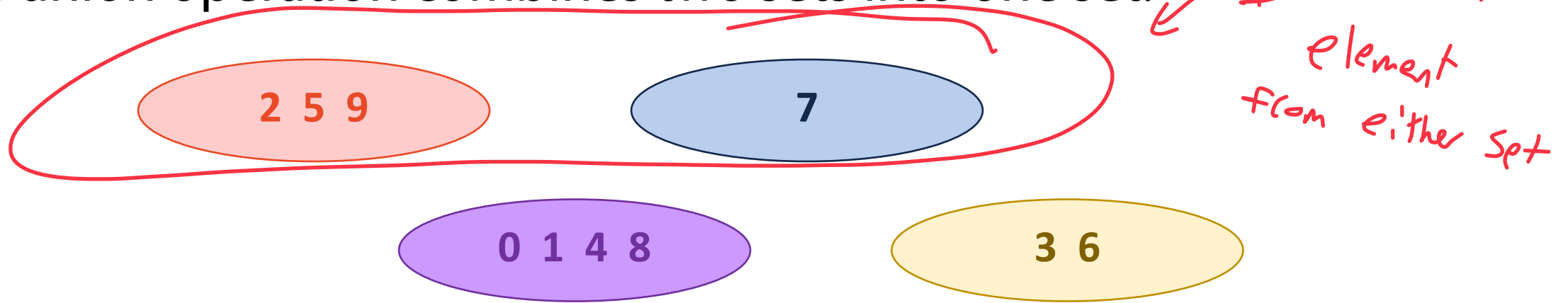
`find(4) != find(3)`

↓

≠

Disjoint Sets

The union operation combines two sets into one set.



Operation:

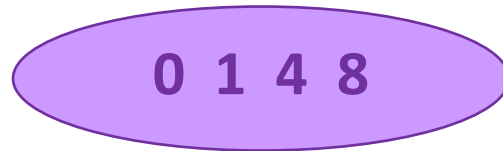
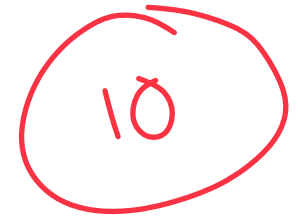
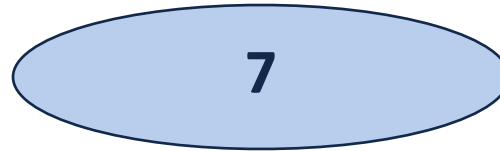
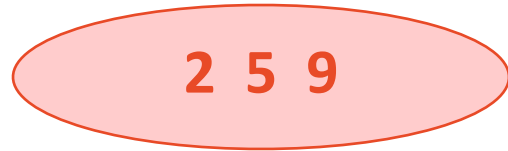
```
if find(2) != find(7) {  
    union(2, 7);  
}
```

↑ ↑
key key
1 2

find(2) to get set
find(7) to get set

Disjoint Sets

We add new items to our 'universe' by making new sets.



Operation:

```
makeSet(10);
```

Disjoint Sets



ADT:

makeSet(vector<T> items)

Find(T key) — my group

Union(T k1, T k2) — 2 sets together

Key Ideas:

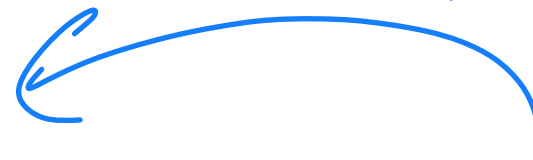
Every item exists in exactly one set

Every item in each set has same representation

Every set has a different representation

representative

canonical element



Disjoint Sets

How might we implement a disjoint set?

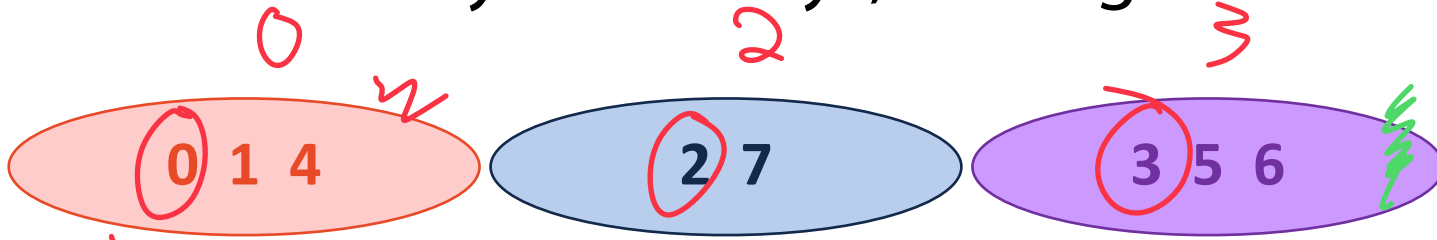
↳ Map / Dictionary

Implementation #1

unsigned integers

max size is max element ↓

Allocate array for all keys, storing canonical key as index



1) We pick canonical element

0	1	2	3	4	5	6	7
0	0	2	3	0	3	3	2

Says what set item i is in

Find(k): Look up index k $O(1)$

Tradeoff (Fast / Slow)

Union(k₁, k₂): Walk across array & update every

0 7

$O(n)$

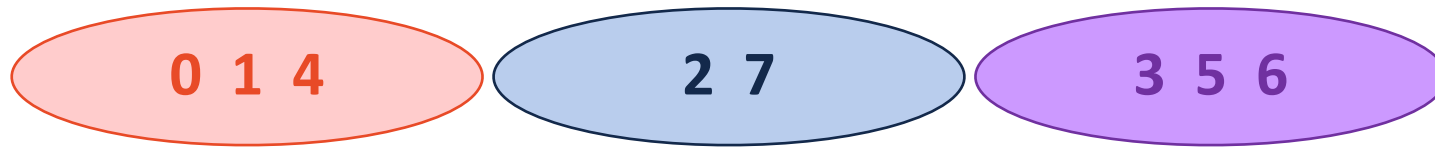
2 → 0

or

0 → 2

Implementation #1

Allocate array for all keys, storing canonical key as index



0	1	2	3	4	5	6	7
4	4	7	5	4	5	5	7

Find(k): Look up value in array

$O(1)$

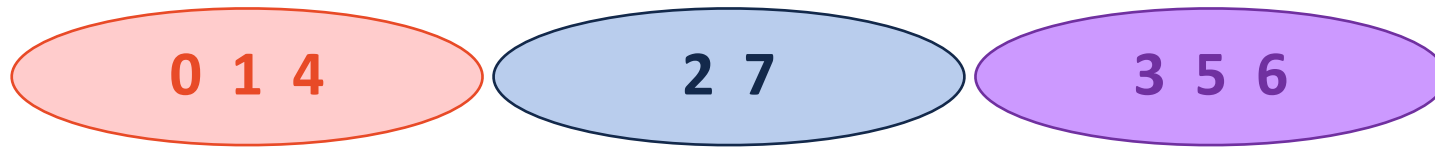
↑ tradeoff

Union(k_1, k_2): Update **every item** in one set with new representation

$O(n)$

Implementation #2

Same idea but store canonical elements as **-1**



0	1	2	3	4	5	6	7
-1	0	-1	-1	0	3	3	2

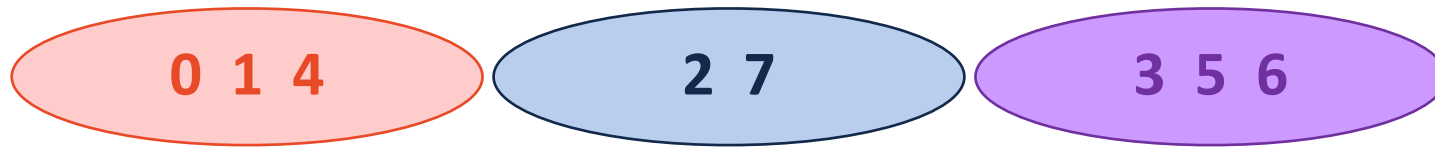
Handwritten annotations: $\text{Find}(2)$ with an arrow pointing to index 2, $\text{Find}(7)$ with an arrow pointing to index 7, and a pink arrow pointing from index 2 to index 0.

Find(k): Repeat lookups until -1
 $\hookrightarrow O(n)$

Union(k_1, k_2): Update one canonical element to point to other
2 7 $O(1)$ ☺

Implementation #2

Same idea but store canonical elements as **-1**



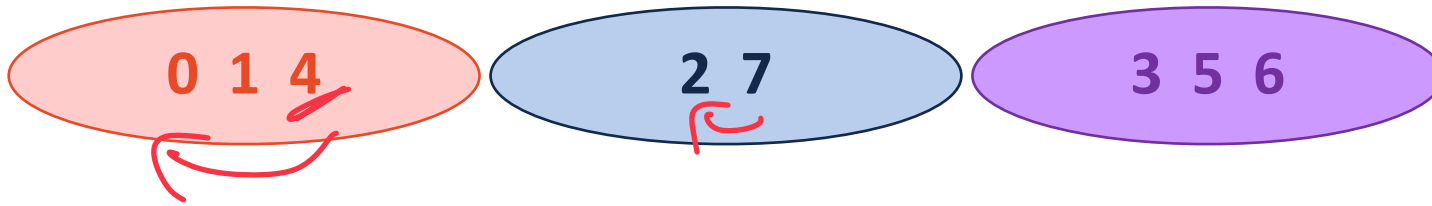
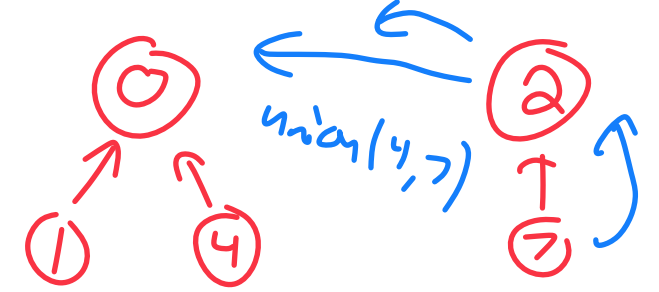
0	1	2	3	4	5	6	7
-1	0	-1	-1	0	3	3	2

Find(k): Repeatedly look up values until **-1**

Union(k₁, k₂): Update one canonical item to point at the other

Implementation #2

Same idea but store canonical elements as -1



0	1	2	3	4	5	6	7
-1	0	-1	-1	0	3	3	<u>2</u>

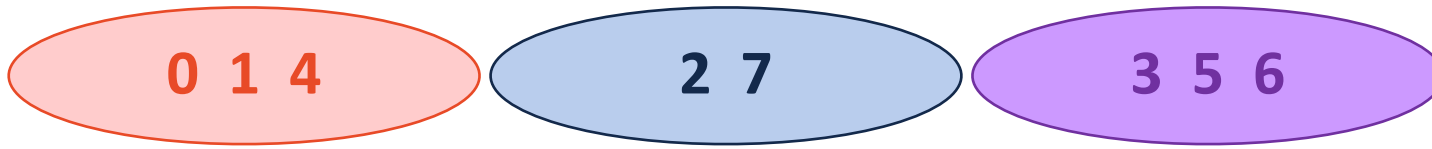
Union(4, 7) - setting 2 to have value 0

Find(7) - walk up tree \rightarrow set 0

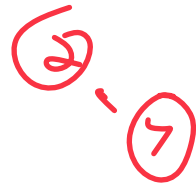
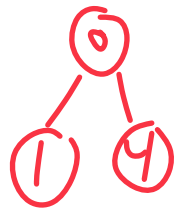


Implementation #2

Same idea but store canonical elements as -1

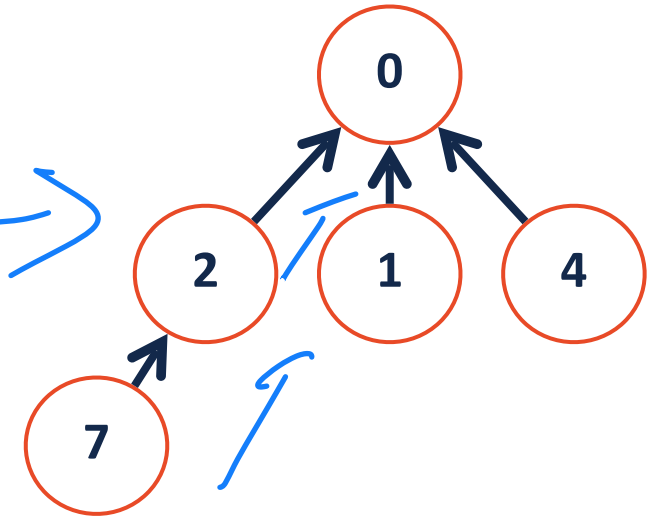


0	1	2	3	4	5	6	7
-1	0	0	-1	0	3	3	2

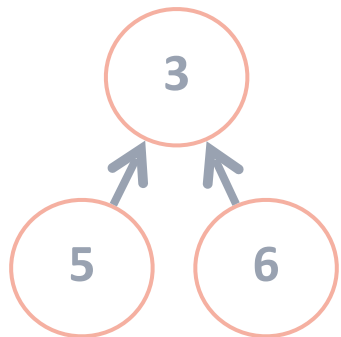


Union(4, 7): $A[7]=2$

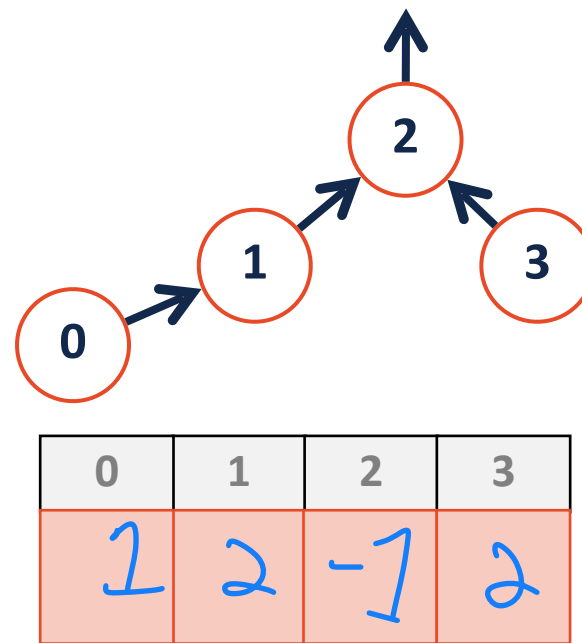
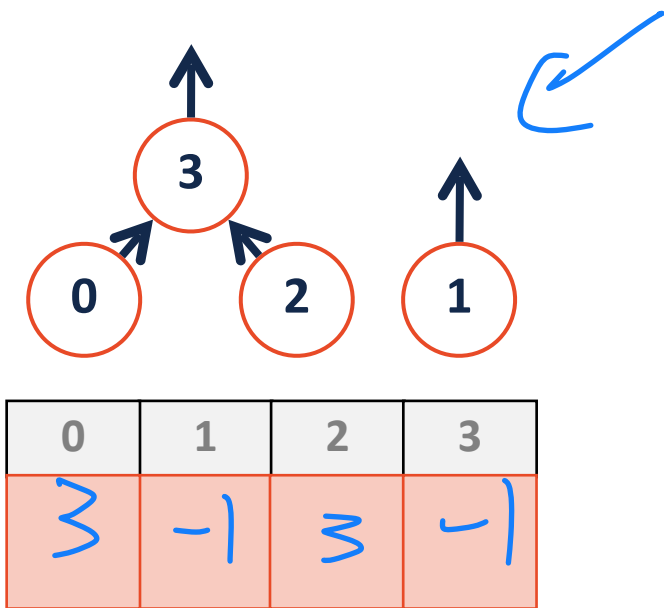
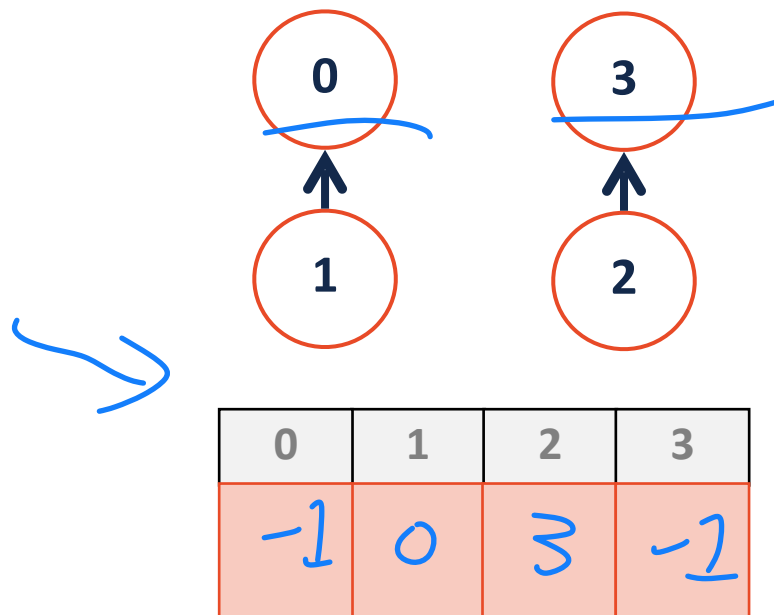
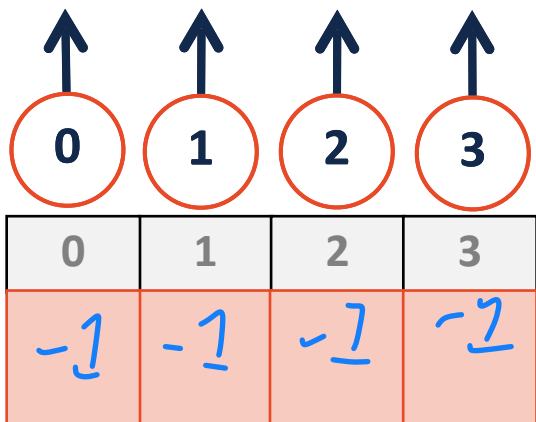
$\hookrightarrow \text{Find}(7) \rightarrow 2 \hookrightarrow$



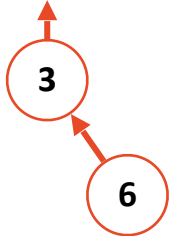
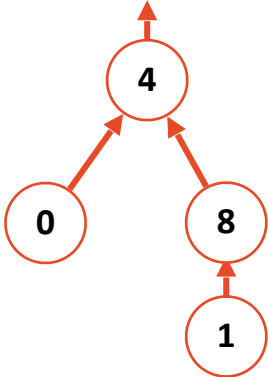
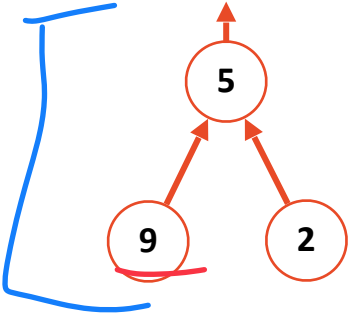
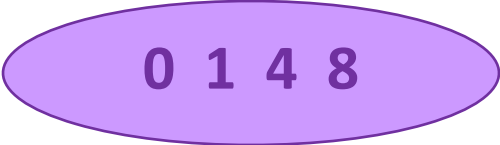
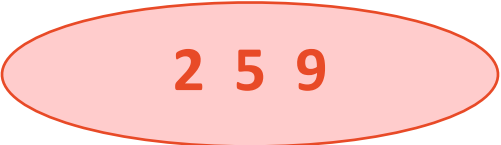
Find(7): $A[7] \rightarrow A[2] \rightarrow A[0]$



UpTrees



Disjoint Sets

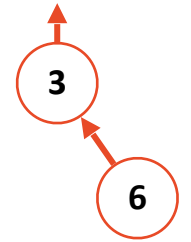
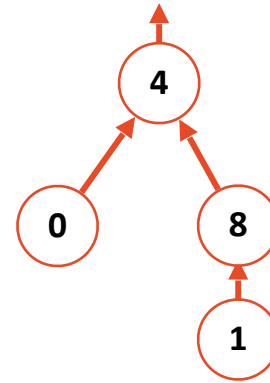
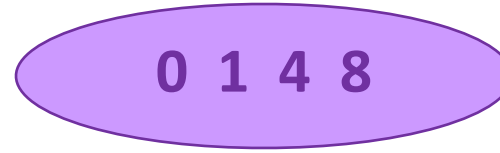
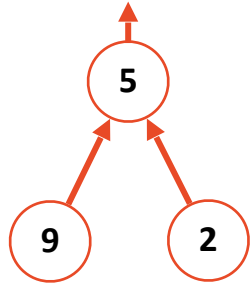
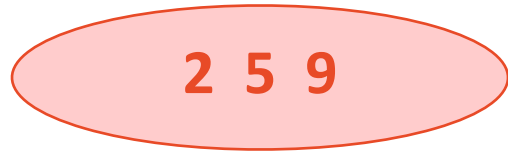


upters

rep as array

0	1	2	3	4	5	6	7	8	9
		5			-1				5

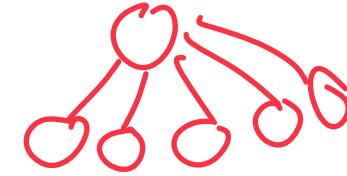
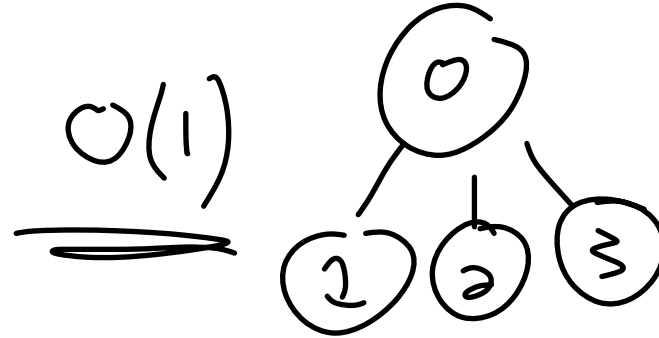
Disjoint Sets



0	1	2	3	4	5	6	7	8	9
4	8	5	-1	-1	-1	3	-1	4	5

UpTrees Best and Worst Case

What does a best case UpTree look like?

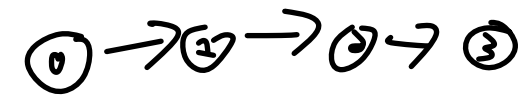


0	1	2	3
-1	0	0	0

All correct answer: every item own set (-1, -1, ...)

What does a worst case UpTree look like?

$$O(n)$$



0	1	2	3
1	2	3	-1



Disjoint Sets Representation

Implemented as an array where the value of key is index in array

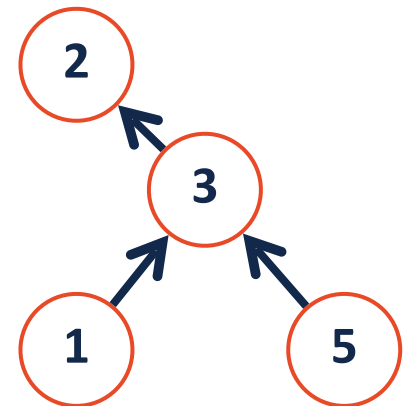
The values inside the array stores our sets as an UpTree

The value -1 is our representative element (the root)

All other set members store the index to a parent of the UpTree

Big O for Find: $O(h)$ $1 \leq h \leq n$

Big O for Union: $O(1)$ * ignoring find to get canonical

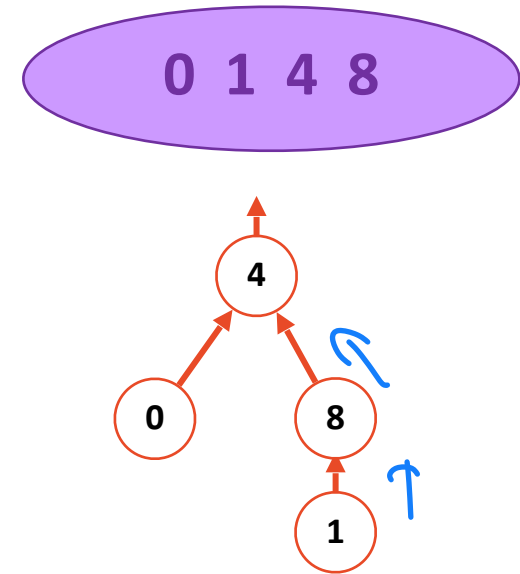


Disjoint Sets Find

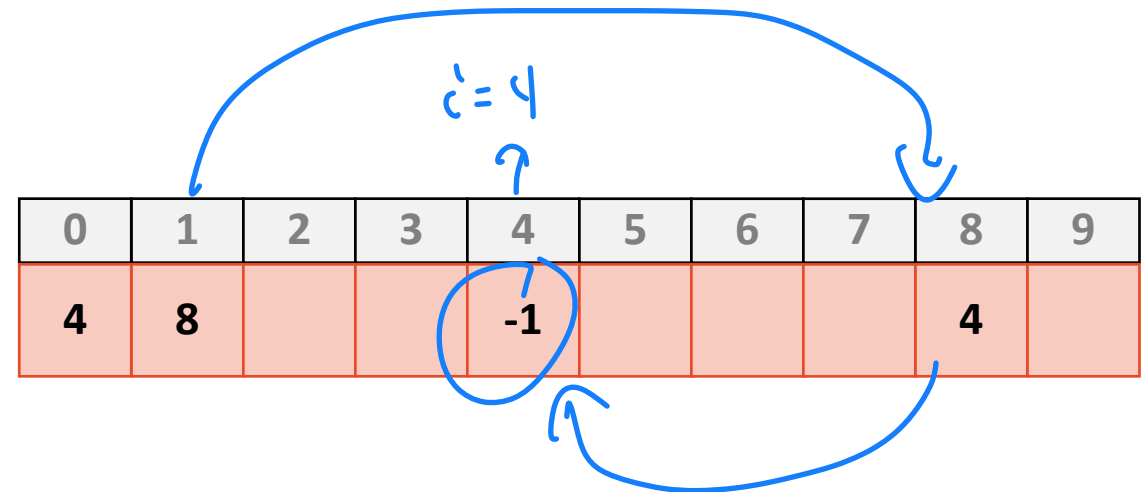
Find(1)

```
1 int DisjointSets::find(int i) {
2   if ( s[i] < 0 ) { return i; }
3   else { return find( s[i] ); }
4 }
```

Running time? $O(h) \approx O(n)$



What is ideal UpTree?



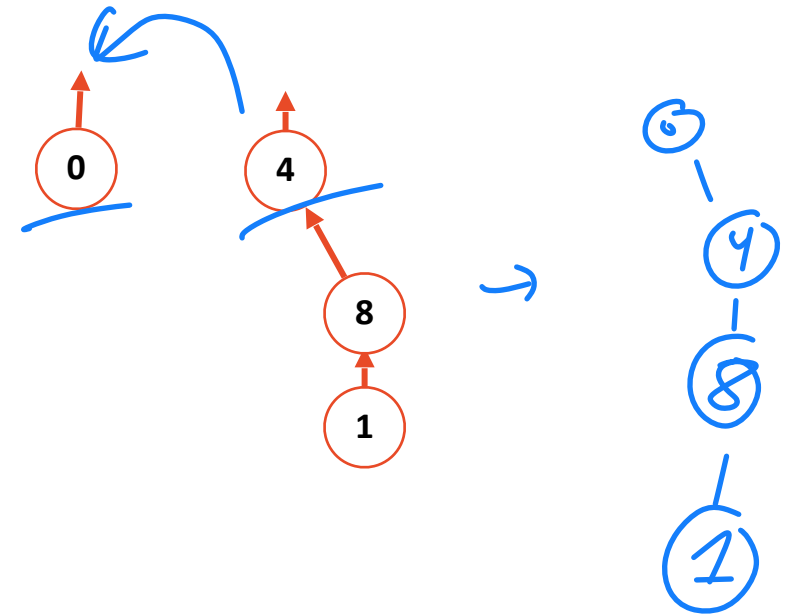
Disjoint Sets Union

$O(n)$

Union (0, 4)

```
1 int DisjointSets::union(int r1, int r2) {  
2     // Naive Implementation  
3  
4     s[r2] = r1;  
5 }
```

r_1 & r_2 must be canonical



— tangent — — — — —
Implied we did find ahead
of time (if necessary)

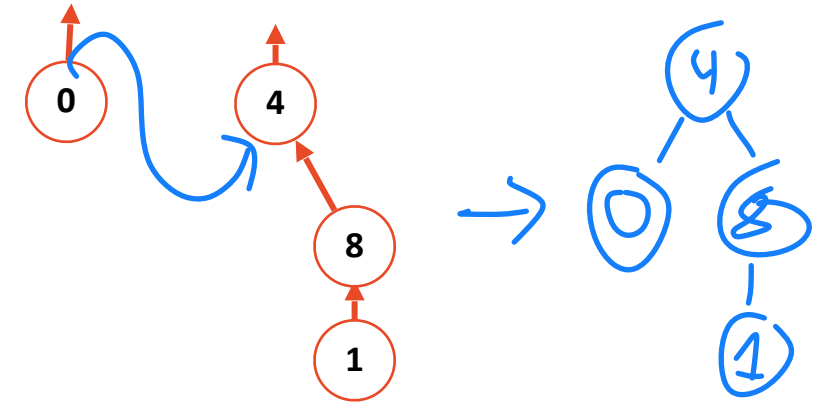
0	1	2	3	4	5	6	7	8	9
-1	8			-1				4	

0

Disjoint Sets Union

Union (4, 0)

```
1 int DisjointSets::union(int r1, int r2) {  
2     // Naive Implementation  
3  
4     s[r2] = r1;  
5 }
```



More balanced
Less height (changes)

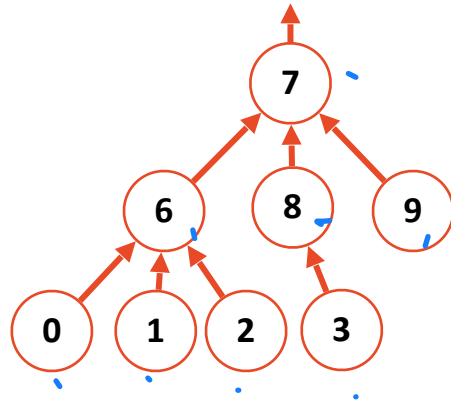
0	1	2	3	4	5	6	7	8	9
-1	8			-1				4	

4

Disjoint Sets – Union

How do I want to merge these sets?

$h=2$
 $n=8$



$h=3$
 $n=4$

0	1	2	3	4	5	6	7	8	9	10	11
6	6	6	8	-1	10	7	-1	7	7	4	5

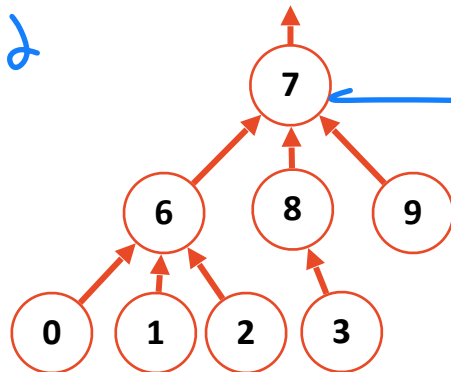
Union(4, 7)

Union(7, 4)

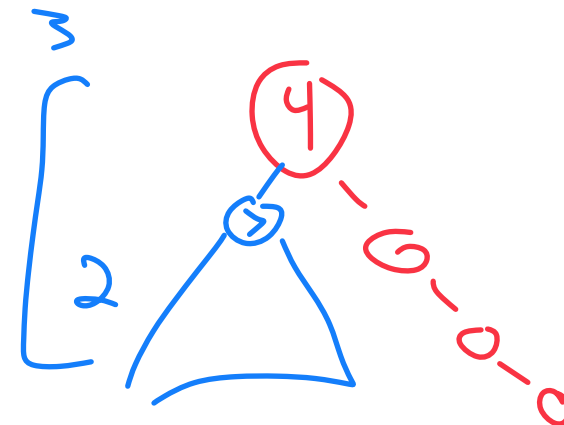
Disjoint Sets – Smart Union

Union(4, 7)

$h=2$



$h=3$



Union by height

0	1	2	3	4	5	6	7	8	9	10	11
6	6	6	8	-4	10	7	3	7	7	4	5

↑

↑
4

Idea: Keep the height of the tree as small as possible.

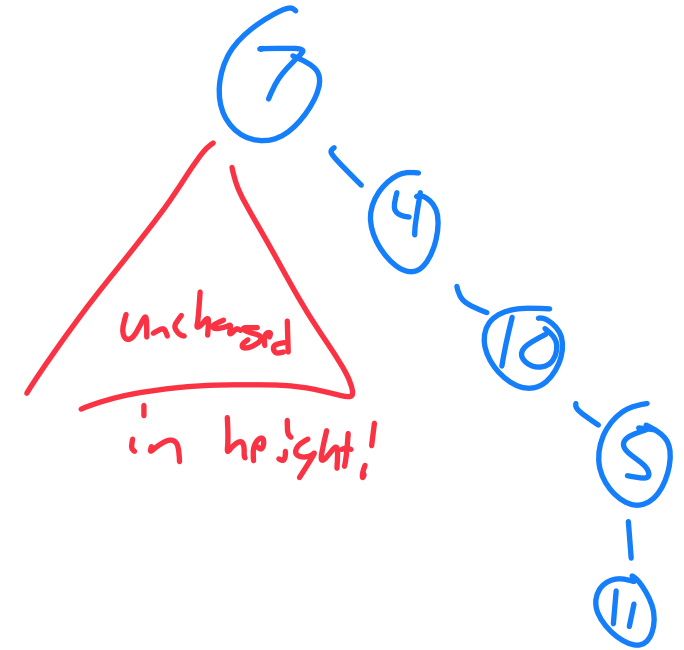
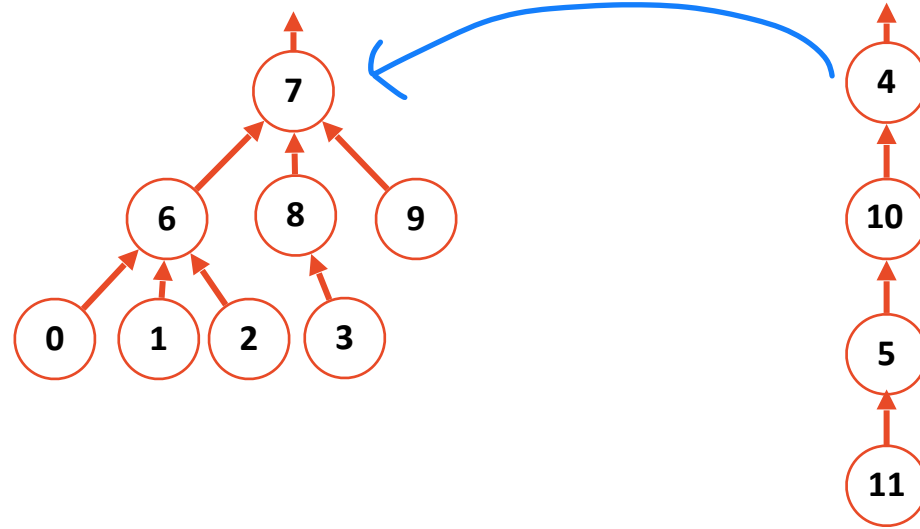
Base case is single node
 $h=0 \rightarrow -1$

???

Clever Trick: If we union by height, store $-1 * (\text{height} + 1)$ in canonical!

Disjoint Sets – Smart Union

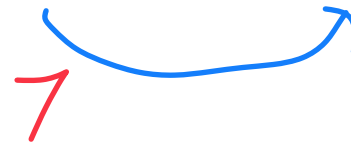
Union(7, 4)



Union by size

0	1	2	3	4	5	6	7	8	9	10	11
6	6	6	8	7	10	7	-8	7	7	4	5

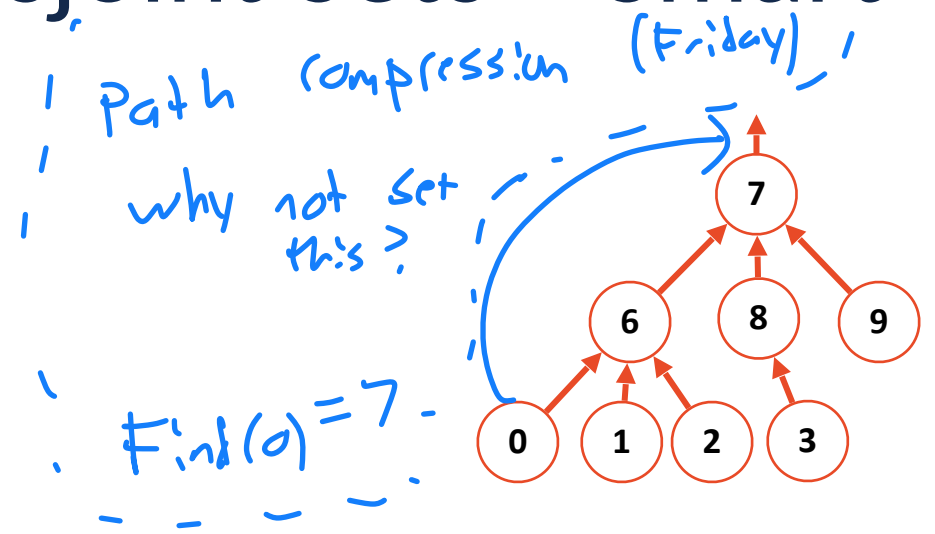
Idea: Minimize the number of nodes that increase in height



Clever Trick: If we union by size, store $-1 * (\text{size})$ in canonical!



Disjoint Sets – Smart Union



(height + 1)

Union by height

0	1	2	3	4	5	6	7	8	9	10	11
6	6	6	8	-4	10	7	4	7	7	4	5

Idea: Keep the height of the tree as small as possible.

Union by size

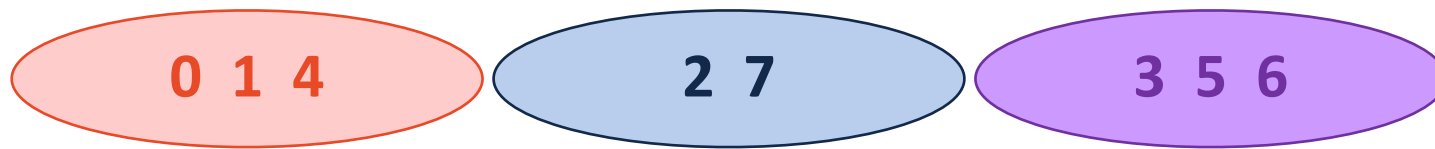
0	1	2	3	4	5	6	7	8	9	10	11
6	6	6	8	7	10	7	-12	7	7	4	5

Idea: Minimize the number of nodes that increase in height

Both guarantee the height of the tree is: $O(\log n)$ (size).

Disjoint Set Implementation

Store an UpTree as an array, canonical items store **height / size**



0	1	2	3	4	5	6	7
	0			0	3	3	2

Find(k): Repeatedly look up values until **negative value**

Union(k_1, k_2): Update *smaller* canonical item to point to larger
Update value of remaining canonical item