

# String Algorithms and Data Structures

## Suffix Tries (and Trees)

CS 199-225

October 14, 2024

Brad Solomon



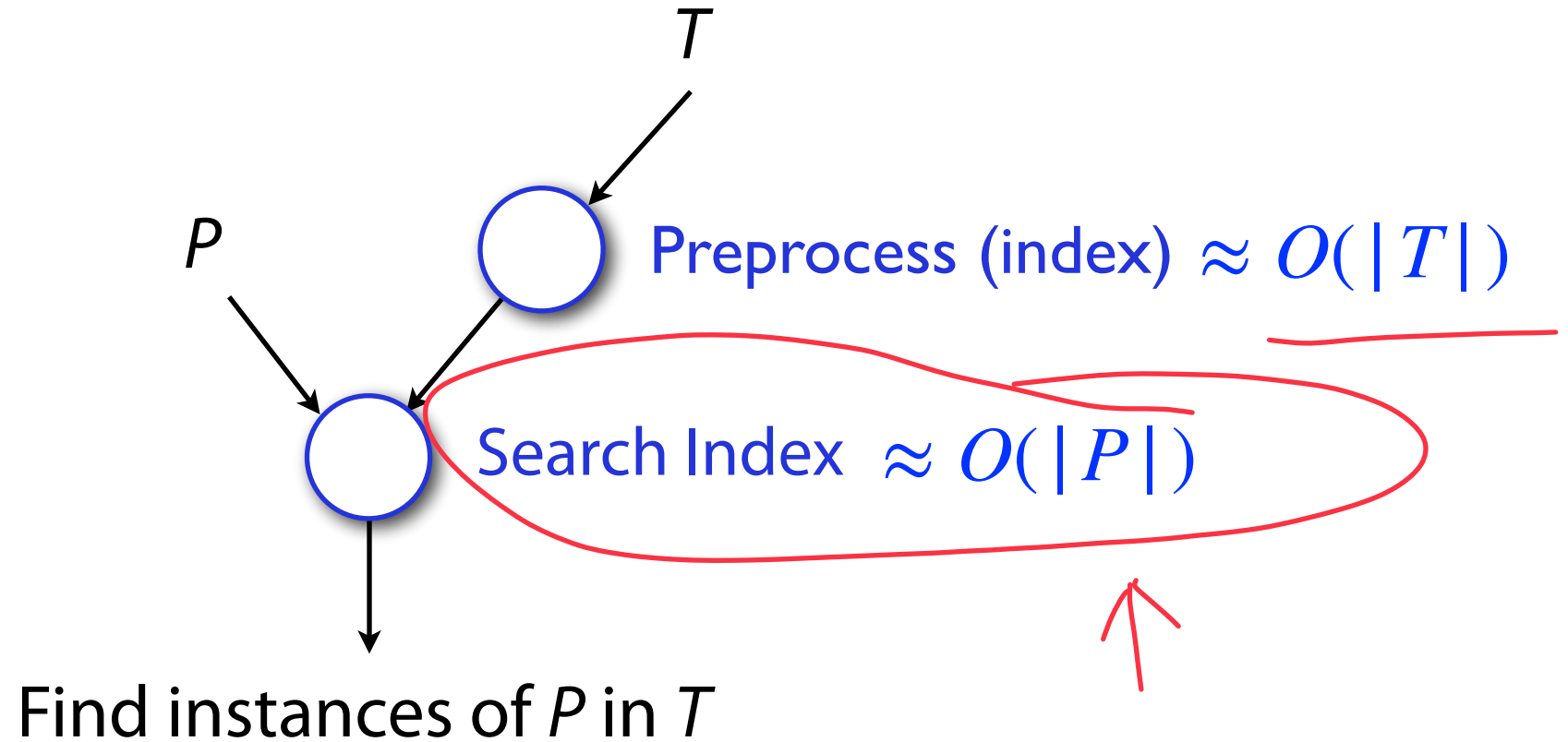
UNIVERSITY OF  
**ILLINOIS**  
URBANA - CHAMPAIGN

Department of Computer Science



# Exact pattern matching *w/ indexing*

$T \gg P$



# String indexing with Tries

**Trie:** A rooted tree storing a collection of (key, value) pairs

Keys:                      Values:

i n s t a n t       $\rightarrow$  1

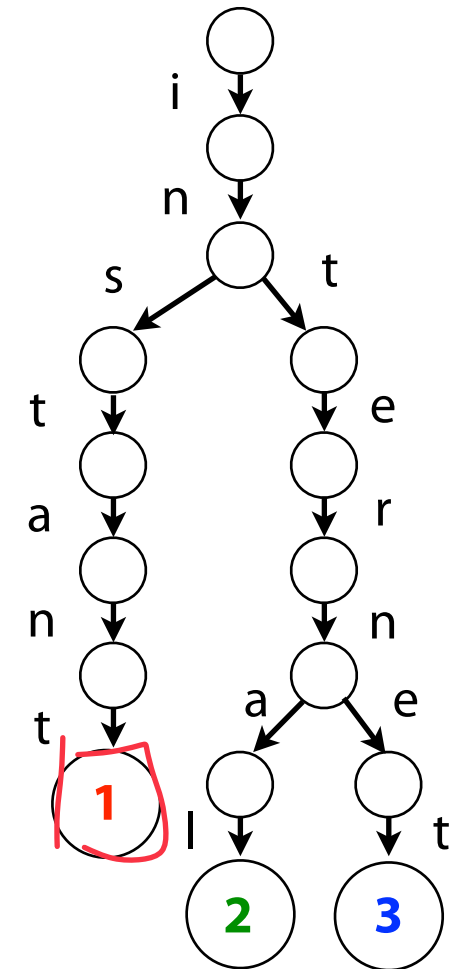
i n t e r n a l      2

i n t e r n e t      3

Each edge is labeled with a character  $c \in \Sigma$

For given node, at most one child edge has label  $c$ , for any  $c \in \Sigma$

Each key is “spelled out” along some path starting at root and each value is stored at the leaf

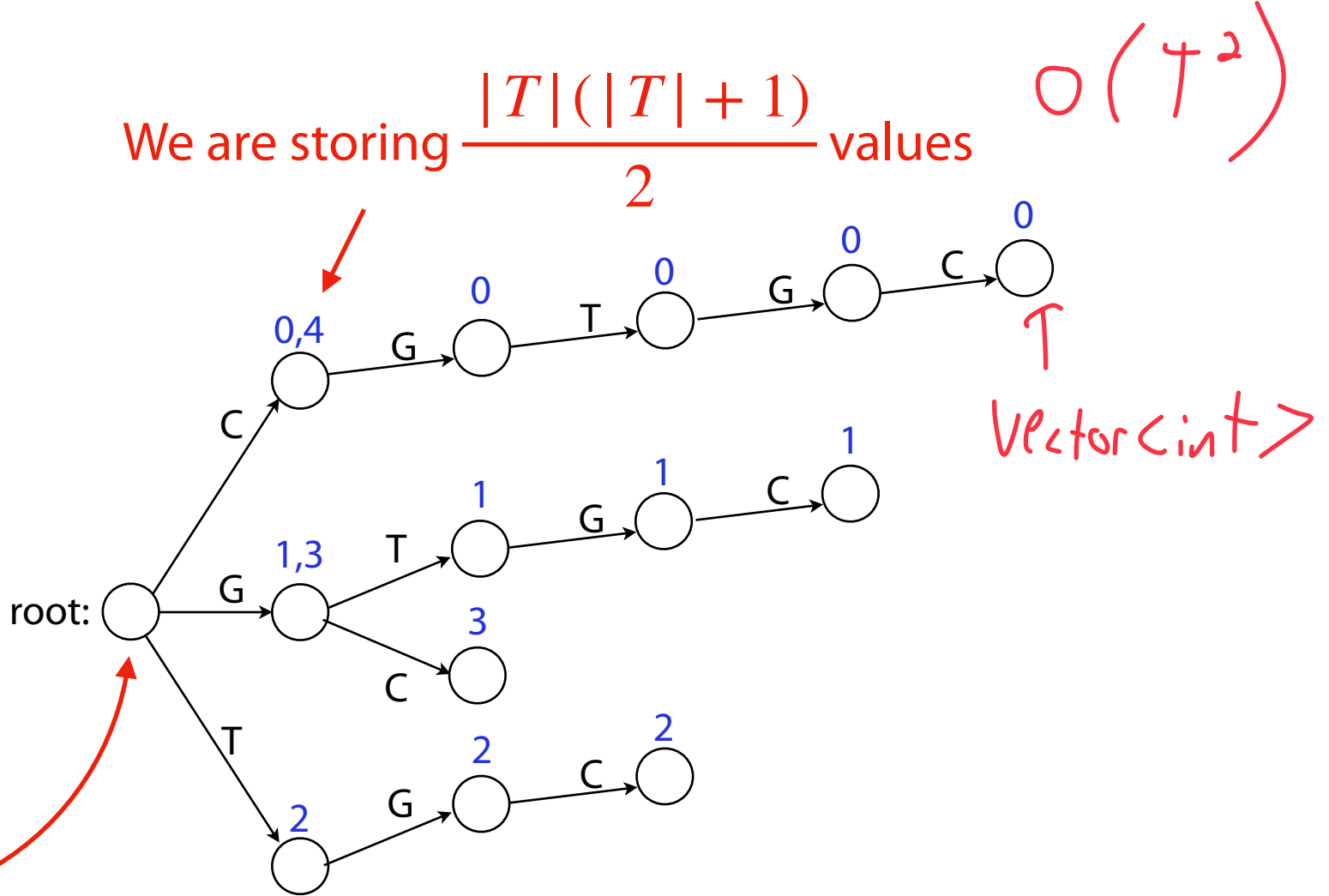


# NaryTree build\_trie(std::string T)

*→* T: **C G T G C**

Key	Value
C	0
G	1
T	2
G	3
C	4
CG	0
GT	1
TG	2
...	...

*Every  
Substring*

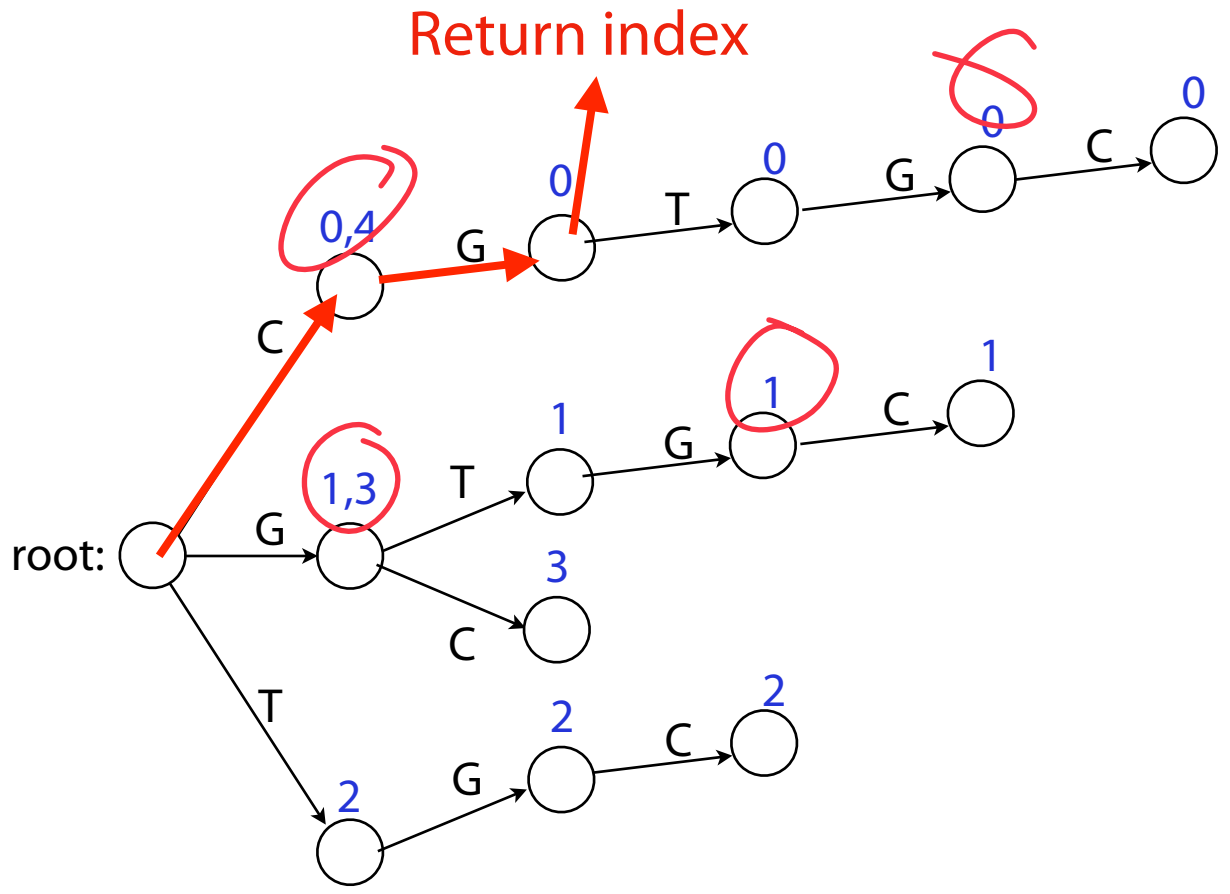


We had to do  $\frac{|T|(|T|+1)}{2}$  insertions

# std::vector<int> searchPattern(P)

T:CGTGC

Key	Value
C	0
G	1
T	2
G	3
C	4
CG	0
GT	1
TG	2
...	...



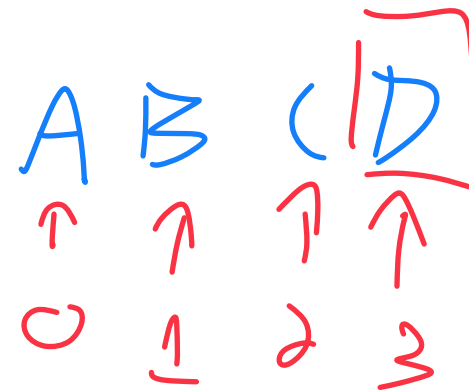
We can do exact pattern matching in  $O(P)$  time!

# Exact pattern matching *w/ indexing*

How can we be more efficient in our preprocessing?

Don't insert all substrings

↳ insert one substring for each index



# Exact pattern matching *w/ indexing*

How can we be more efficient in our preprocessing?

1) Perform fewer insertions to store T

2) Store fewer values in index

# Exact pattern matching *w/ indexing*

How can we be more efficient in our preprocessing?

1) Perform fewer insertions to store T

2) Store fewer values in index

What if we just stored the suffixes?

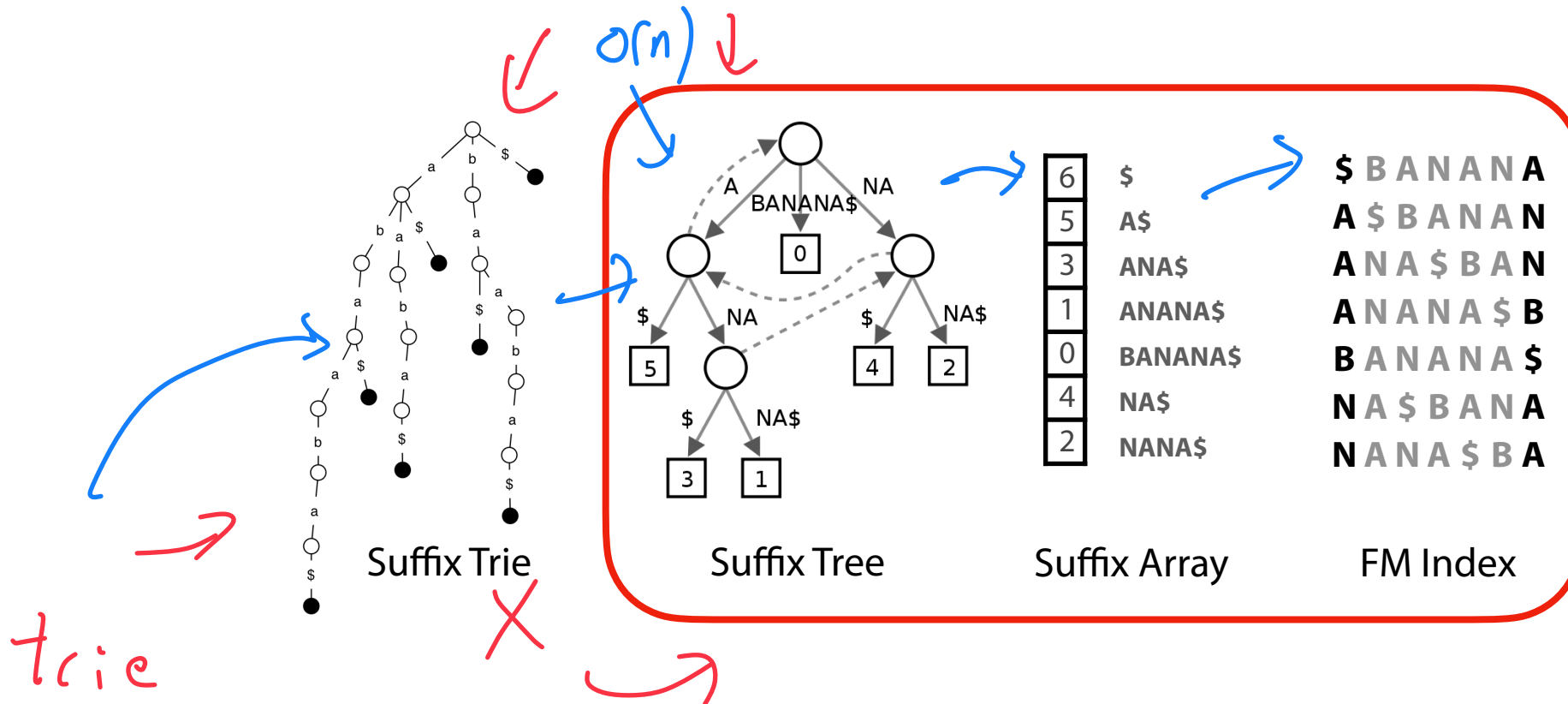




# Exact pattern matching *w/ indexing*

There are many data structures built on *suffixes*

Modern methods still use these today



trie

X



# Suffix Trie

Build a **trie** containing all **suffixes** of a text  $T$

$T$ : C G T G C

C G T G C

G T G C

T G C

G C

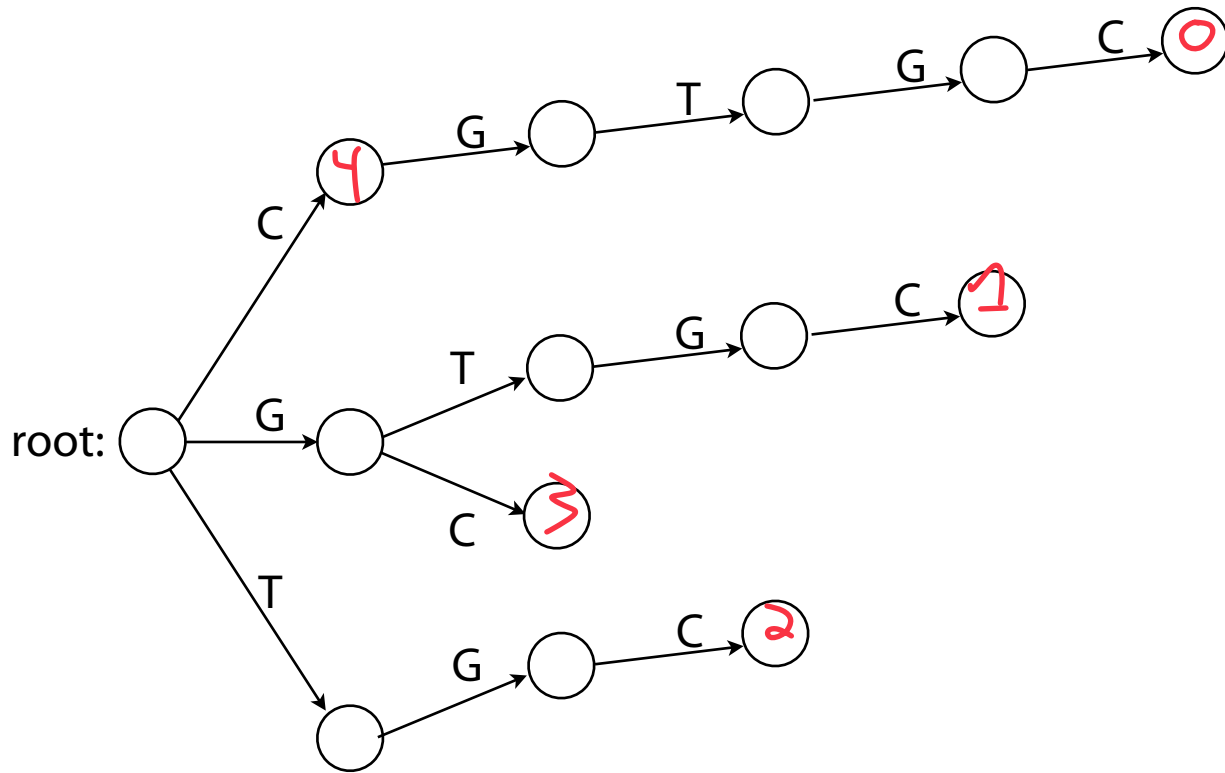
C

T suffixes

# Suffix Trie

Build a **trie** containing all **suffixes** of a text  $T$

	Key	Value
$T$ :	<b>C G T G C</b>	
	<b>C G T G C</b>	0
	<b>G T G C</b>	1
	<b>T G C</b>	2
	<b>G C</b>	3
	<b>C</b>	4



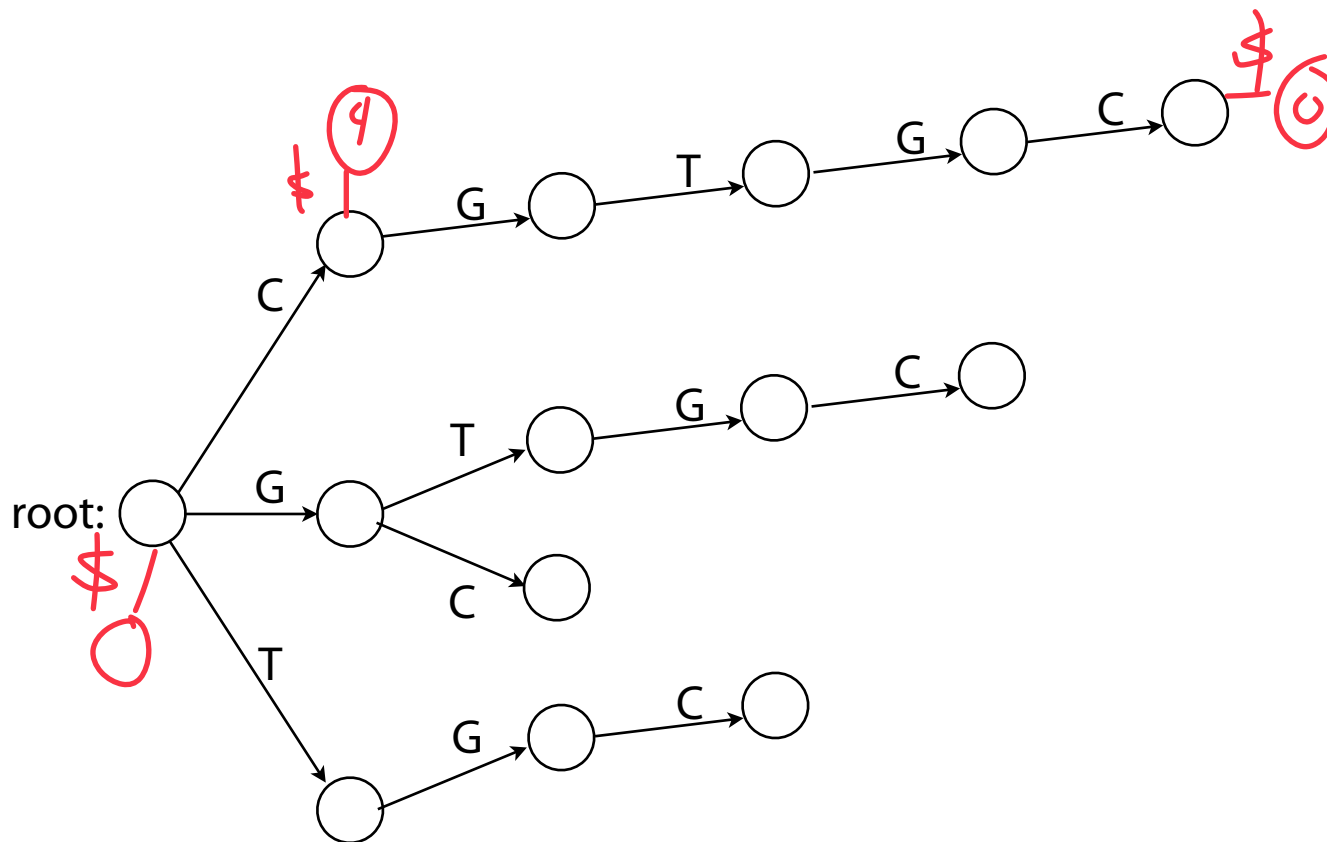
Inserting just T suffixes gets us the same tree\*!

# Suffix Trie

To prevent loss of information, add a **terminal character**

*T*: **C G T G C \$**

Key	Value
CGTGC\$	0
GTGC\$	1
TGC\$	2
GC\$	3
C\$	4
\$	5



A terminal character cannot be a part of the alphabet!

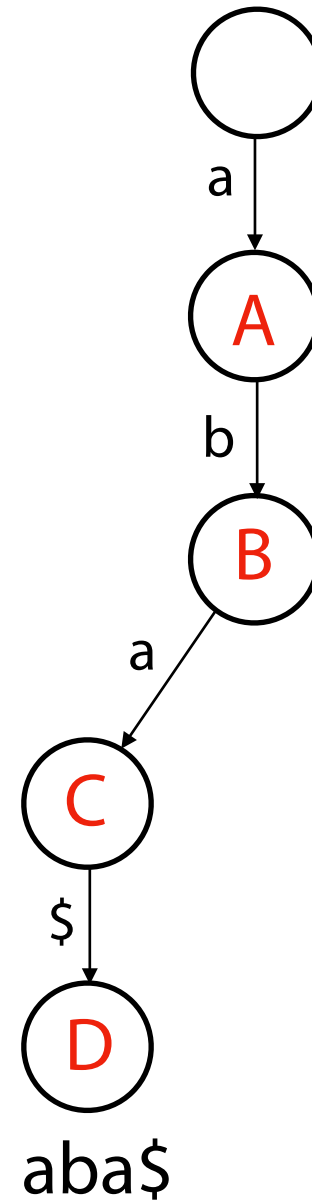


# Suffix Trie Construction

**T: a b a \$**

Key	Value
aba\$	0
	1
	2

Where do I put value?

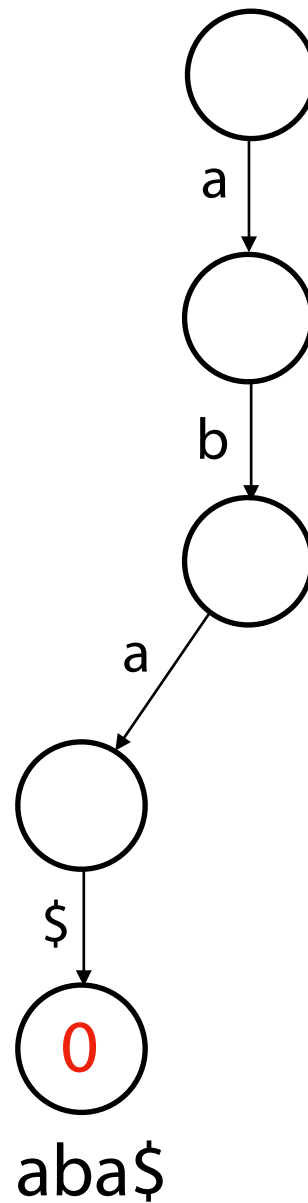


# Suffix Trie Construction

**T: a b a \$**

Key	Value
aba\$	0
ba\$	1
a\$	2

What are my other keys?

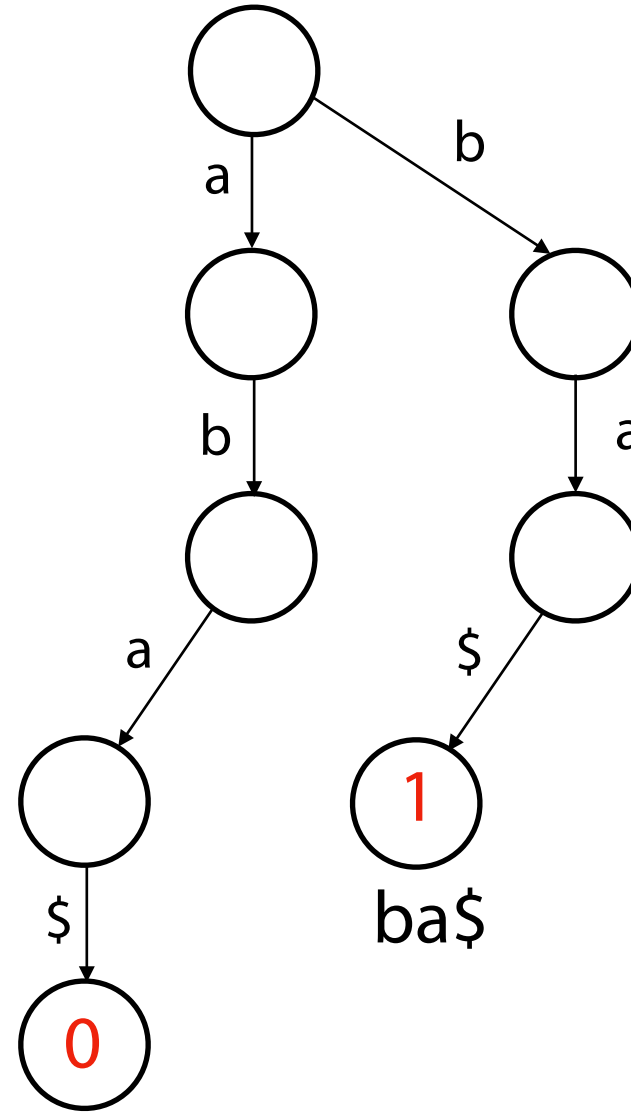


# Suffix Trie Construction

**T: a b a \$**

Key	Value
aba\$	0
ba\$	1
a\$	2

What edges do I add?



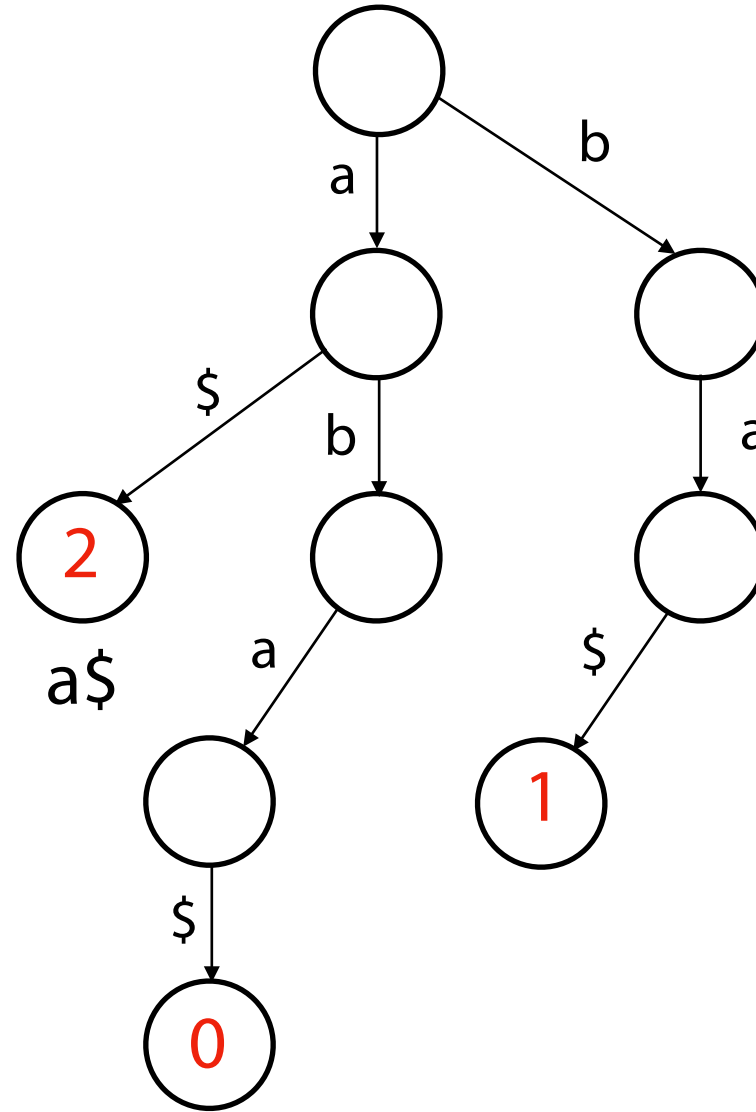


# Suffix Trie Construction

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Key	Value
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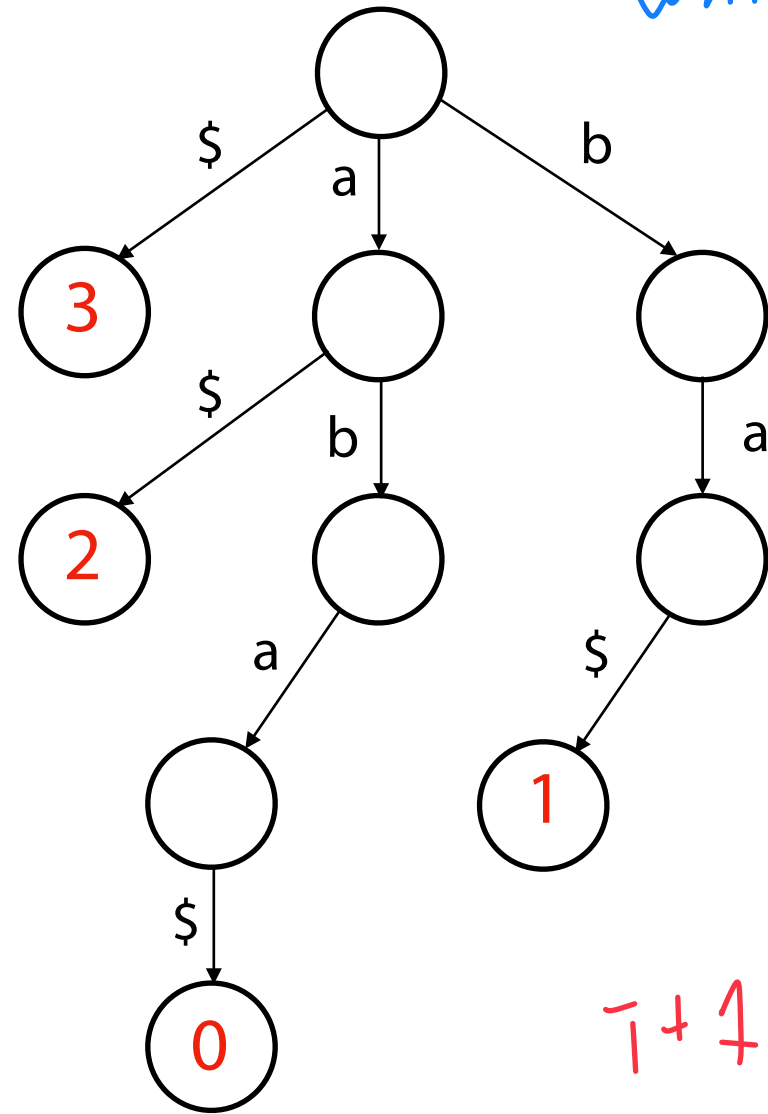
Are we done?



# Suffix Trie Construction

T: a b a \$

Key	Value
aba\$	0
ba\$	1
a\$	2
\$	3



what about trie?  
 ↳ Not yet addressed

T+1 inserts

T+1 values

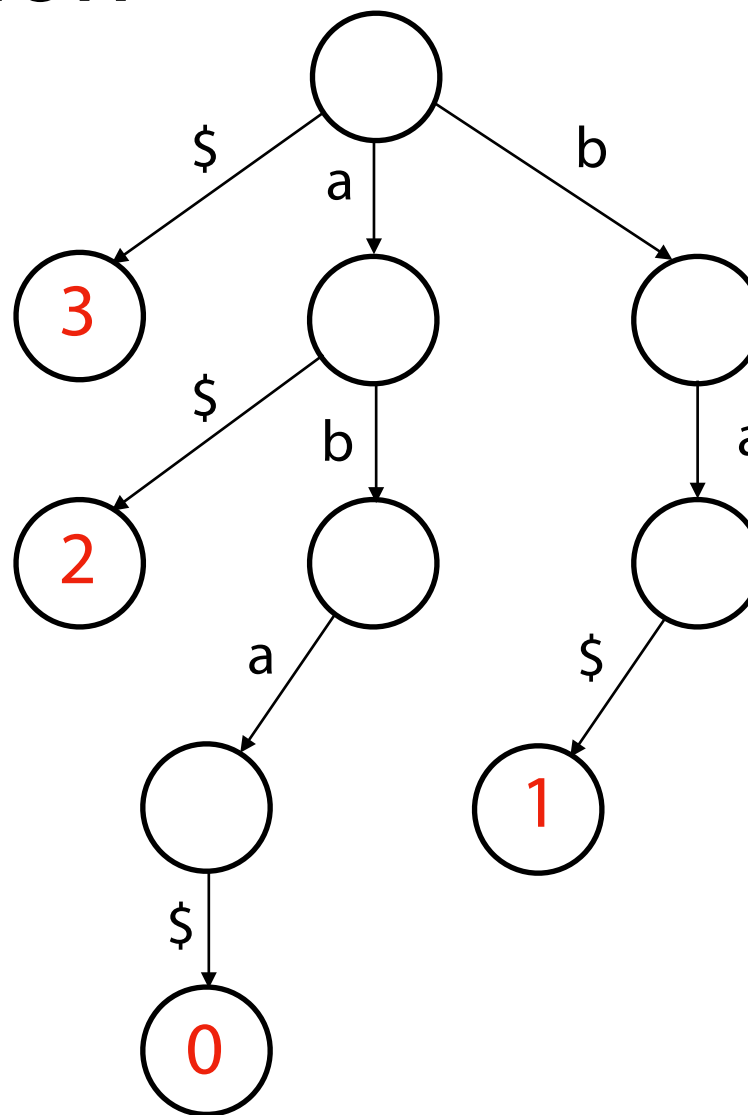
Addressed insert / value storage Problem

# Suffix Trie Construction



**T: a b a \$**

Key	Value
aba\$	0
ba\$	1
a\$	2
\$	3



Every suffix now ends at a leaf **and only leaves store values**

# NaryTree Modification I and II

stree.h

```
1 class NaryTree
2 {
3     public:
4         struct Node {
5             int index;
6             std::map<std::string, Node*> children;
7
8             Node(std::string s, int i)
9             {
10                if(s.length() > 0 ){
11                    std::string f = s.substr(0,1);
12                    children[f] = new Node(s.substr(1), i );
13                } else {
14                    index = i;
15                }
16            }
17        };
18
19        protected:
20            Node* root;
21    ...
```

Nodes  
have up to  
one index  
value

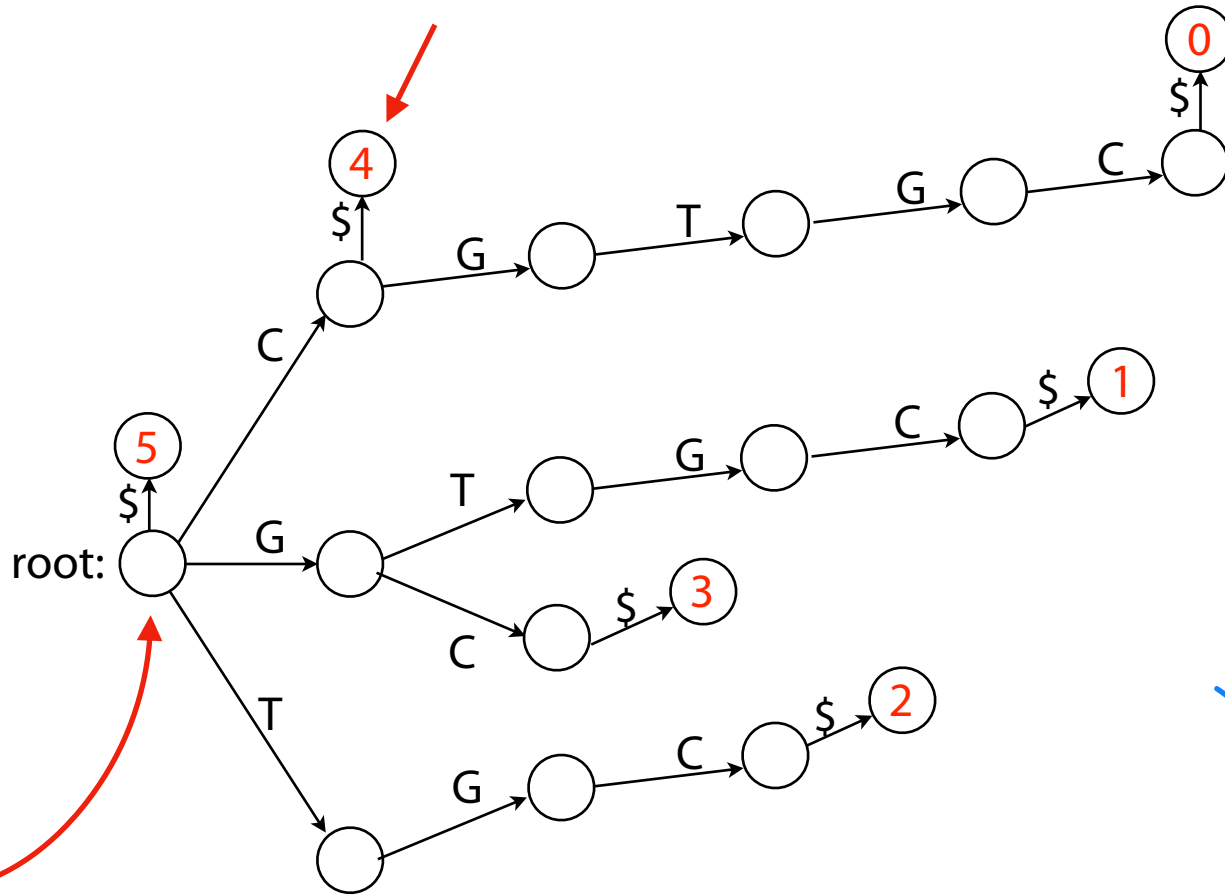
String  
not  
char

# NaryTree build\_strie(std::string T)

**T: CGTGC\$**

Store  $|T| + 1$  values

Key	Value
CGTGC\$	0
GTGC\$	1
TGC\$	2
GC\$	3
C\$	4
\$	5



Perform  $|T| + 1$  insertions

# Assignment 6: a\_stree

Learning Objective:

**Use an existing implementation of a suffix trie as a N-ary Tree**

Implement exact pattern matching using a suffix trie

Construct a suffix tree from a suffix trie

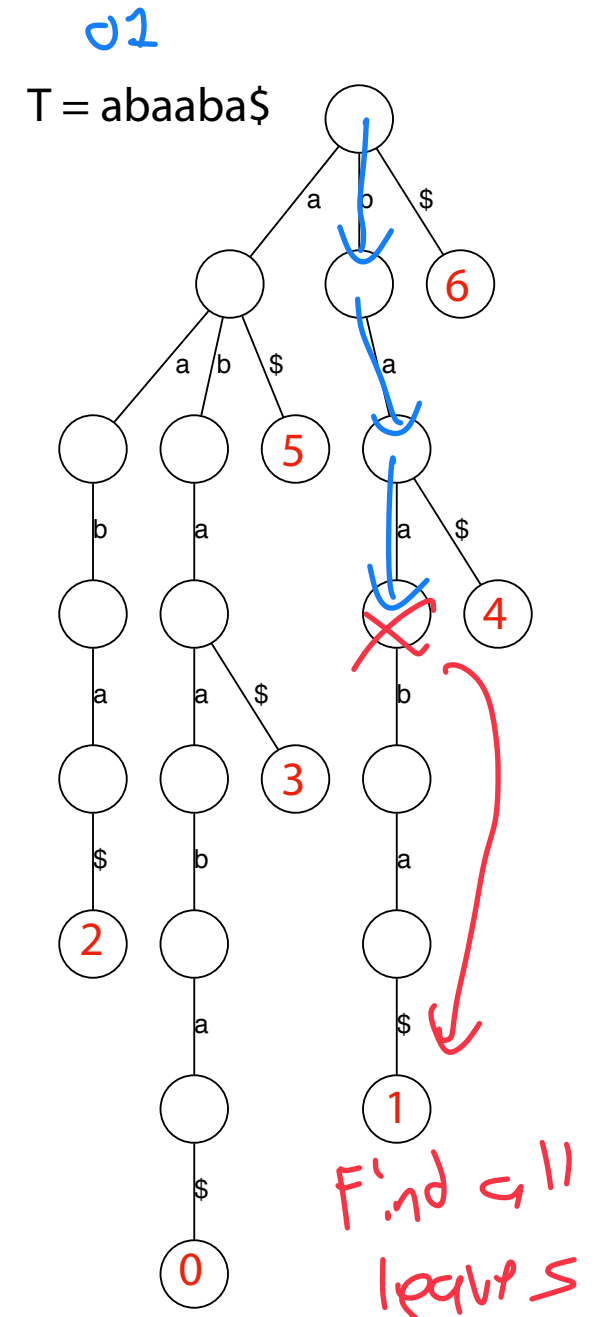
# Suffix Trie Search

Each of  $T$ 's substrings is spelled out along a path from the root.

Every **substring** is a **prefix** of some **suffix**

$P = baa$

Search( $P$ ) = 1



# Suffix Trie Search

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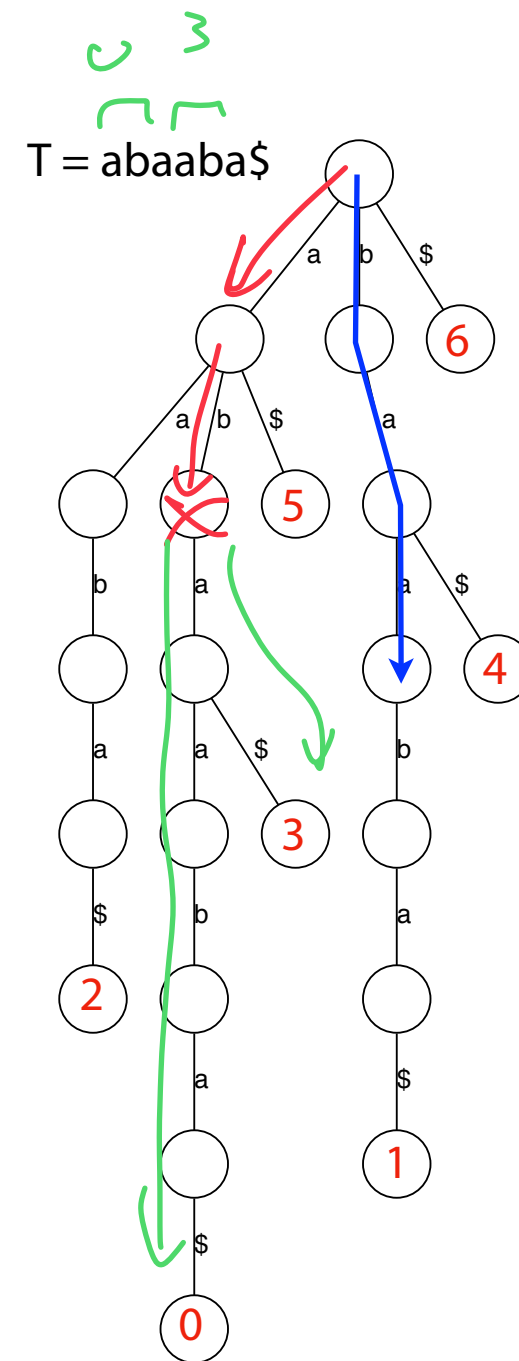
Every **substring** is a **prefix** of some **suffix**

$P = baa$

$\text{Search}(P) = 1$

$P = ab$

$\text{Search}(P) = \cup, \exists$





# Suffix Trie Search

Each of  $T$ 's substrings is spelled out along a path from the root.

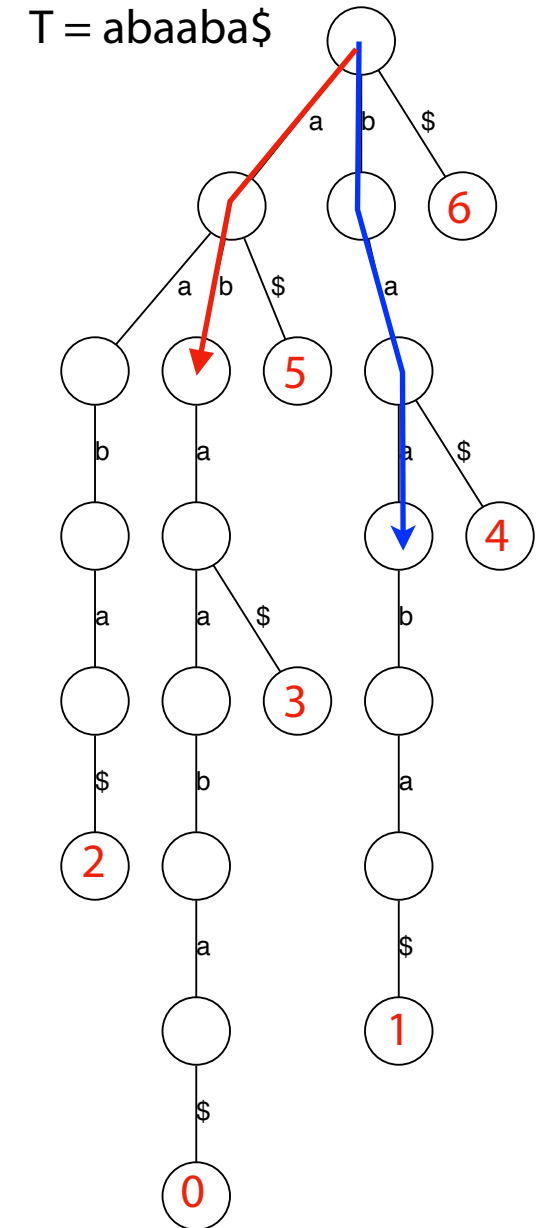
Every **substring** is a **prefix** of some **suffix**

$P = baa$

$\text{Search}(P) = 1$

$P = ab$

$\text{Search}(P) = 0, 3$



# Suffix Trie Search

Each of  $T$ 's substrings is spelled out along a path from the root.

Every **substring** is a **prefix** of some **suffix**

Starting at root:

(0) If  $P$  empty, **return values of all leaves.**

(1) Try to match front character

(2) If match, move to appropriate child

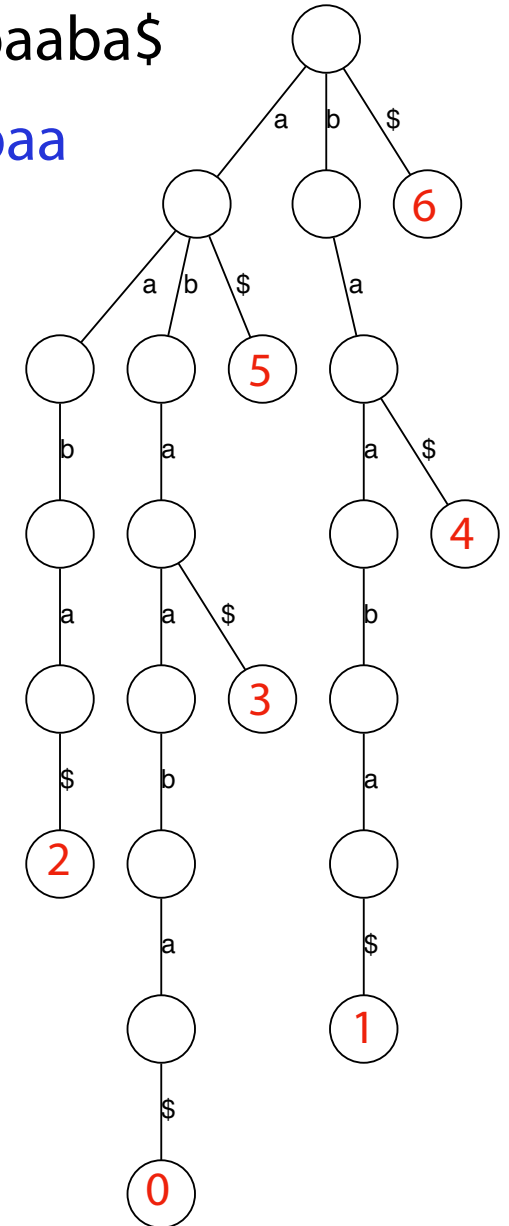
(2.5) Set pattern equal to remainder

(2.5) Go back to (0)

(3) If mismatch,  $P$  is not a substring!

$T = \text{abaaba}\$$

$P = \text{abaa}$



# Suffix Trie Search

Each of  $T$ 's substrings is spelled out along a path from the root.

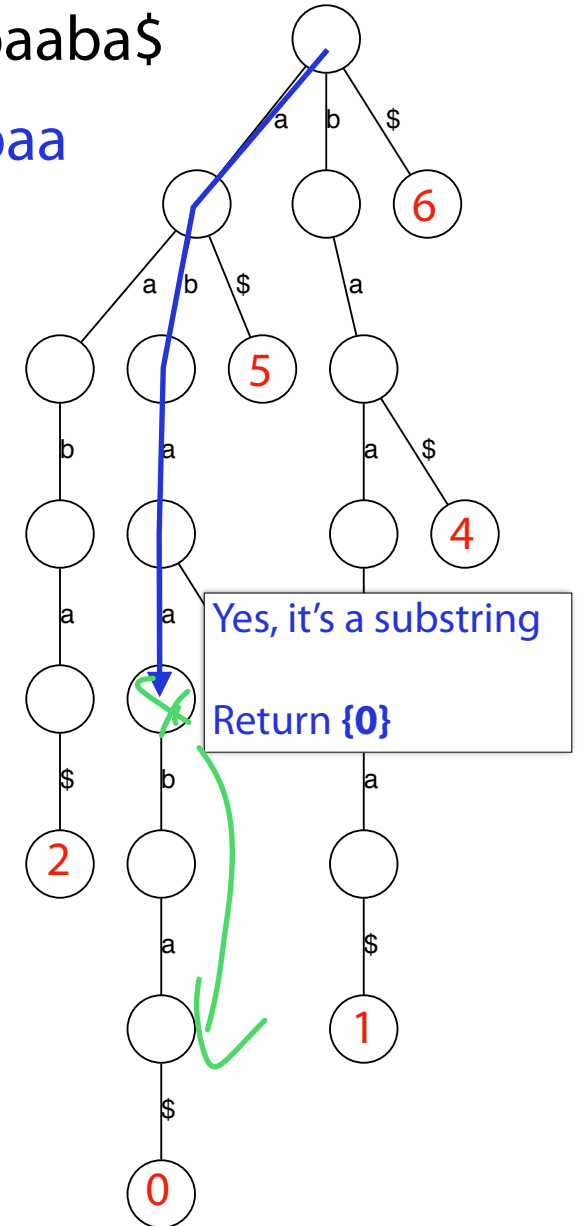
Every **substring** is a **prefix** of some **suffix**

Starting at root:

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  - (2.5) Go back to (0)
- (3) If mismatch,  $P$  is not a substring!

$T = \text{abaaba}\$$

$P = \text{abaa}$



# Suffix Trie Search

Each of  $T$ 's substrings is spelled out along a path from the root.

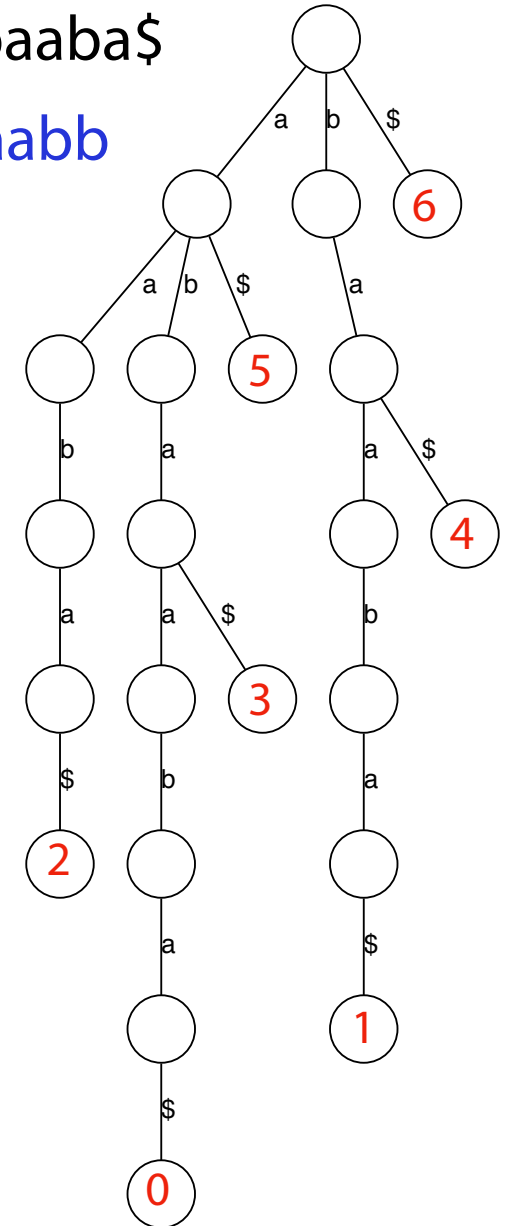
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$T = \text{abaaba}\$$

$P = \text{baabb}$



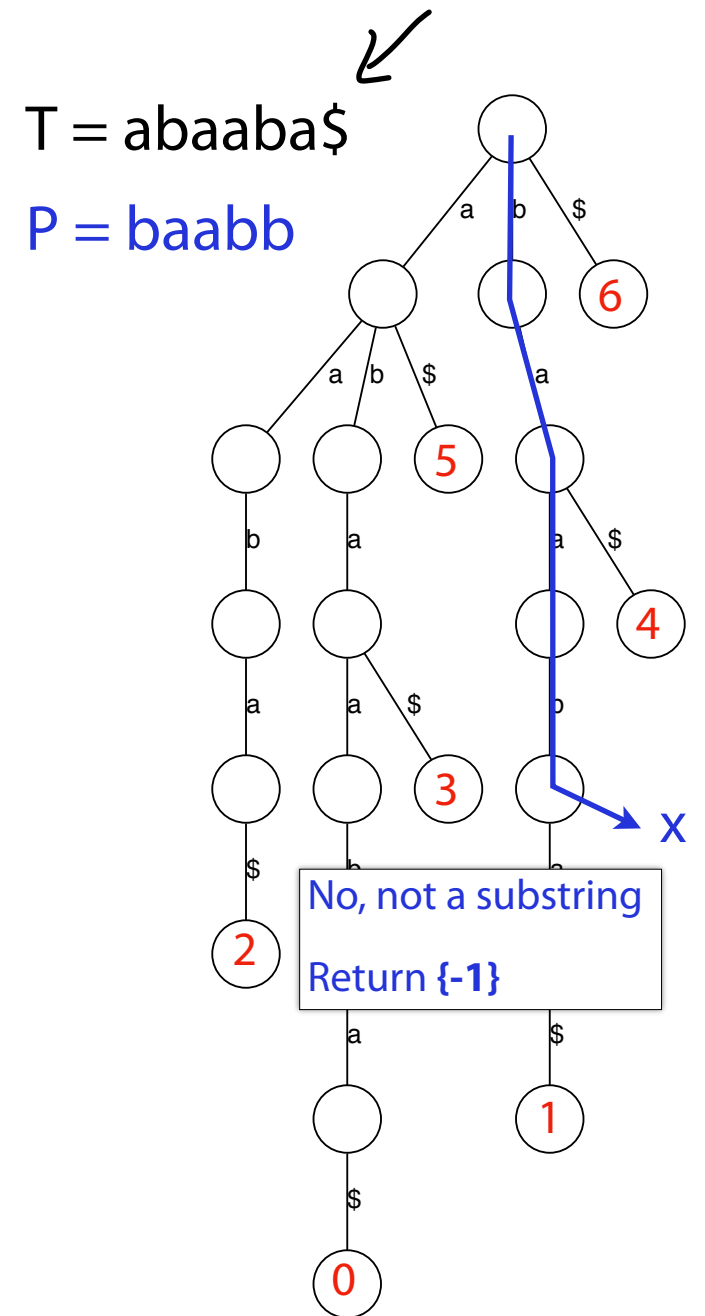
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# Suffix Trie

**How does the suffix trie grow with  $|T| = m$  ?**

Is there a class of string where the number of suffix trie nodes grows linearly with  $m$ ?

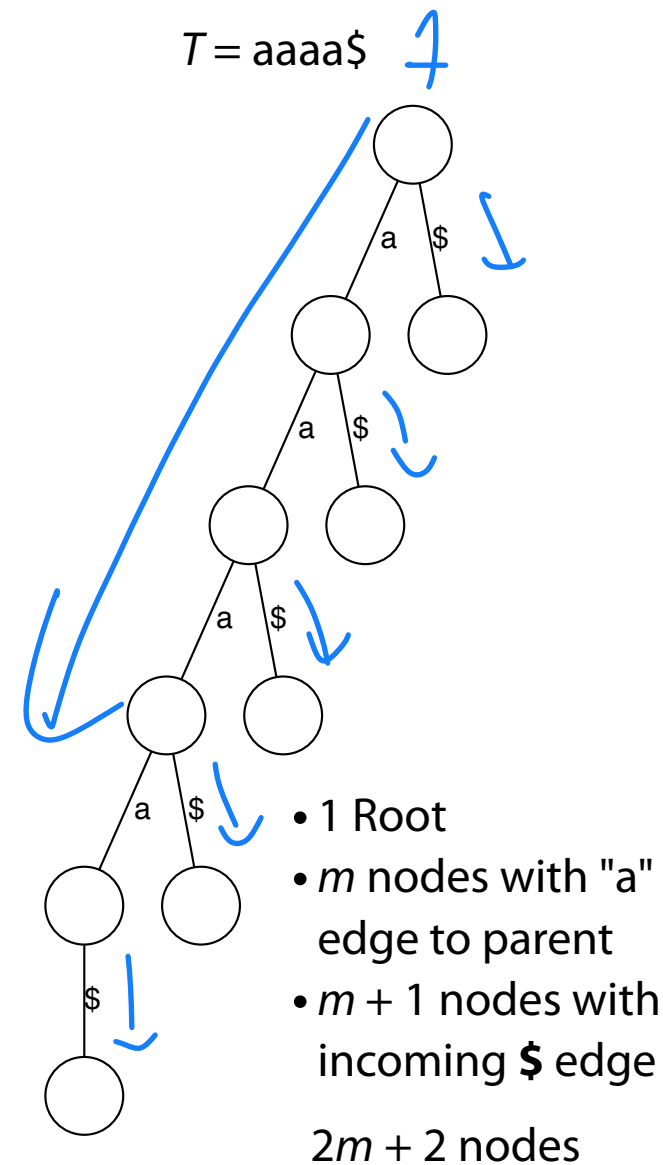


# Suffix Trie

**How does the suffix trie grow with  $|T| = m$ ?**

Is there a class of string where the number of suffix trie nodes grows linearly with  $m$ ?

Yes: a string of  $m$  a's in a row ( $a^m$ )



# Suffix Trie

**How does the suffix trie grow with  $|T| = m$  ?**

Is there a class of string where the number of suffix trie nodes grows with  $m^2$ ?



# Suffix trie: actual growth

Built suffix tries for the first 500 prefixes of a virus genome

Black curve shows how # nodes increases with prefix length

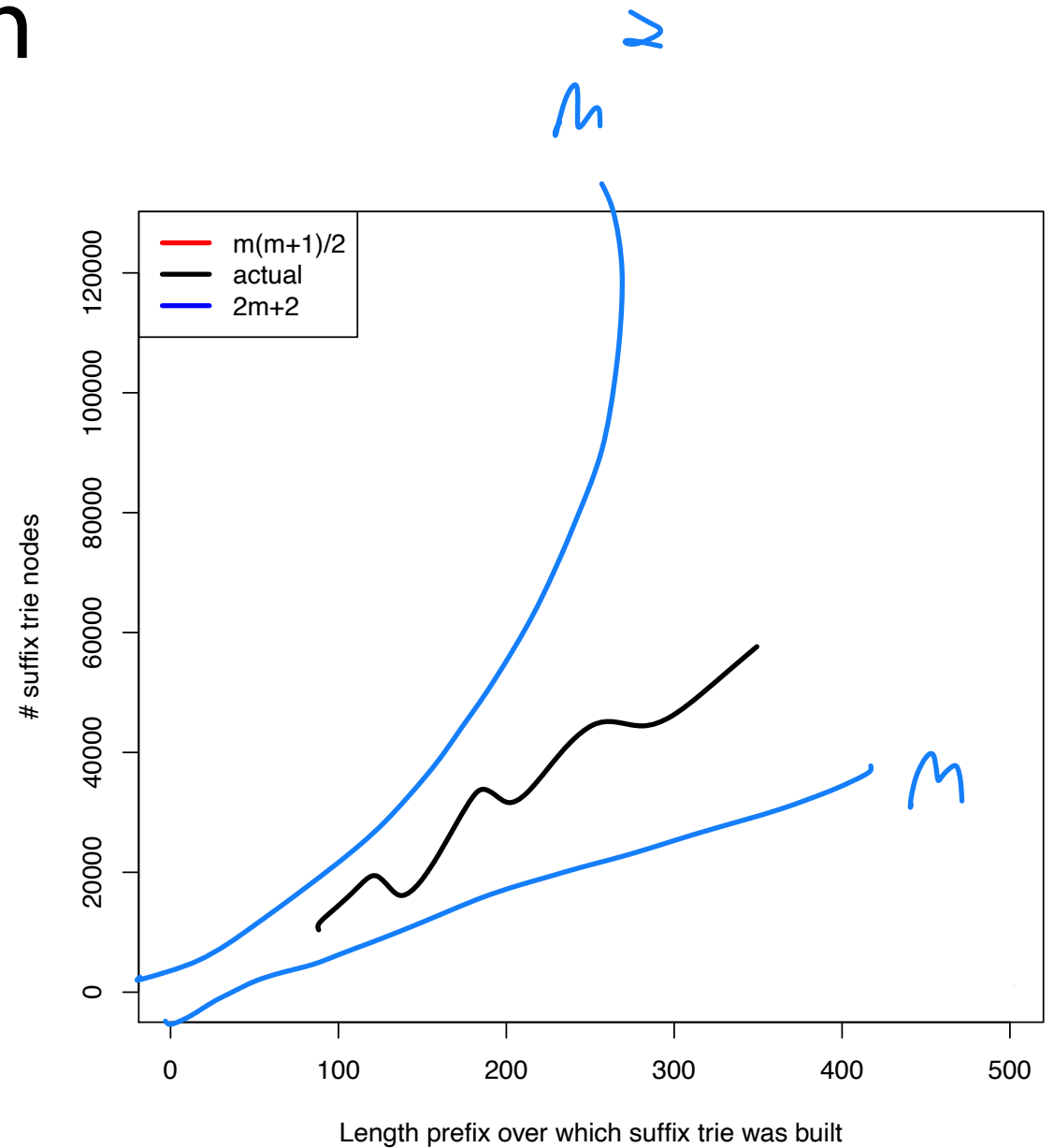


Figure & example by Ben Langmead

# Suffix trie: actual growth

Built suffix tries for the first 500 prefixes of a virus genome

Black curve shows how # nodes increases with prefix length

**Actual growth *much* closer to worst case than to best!**

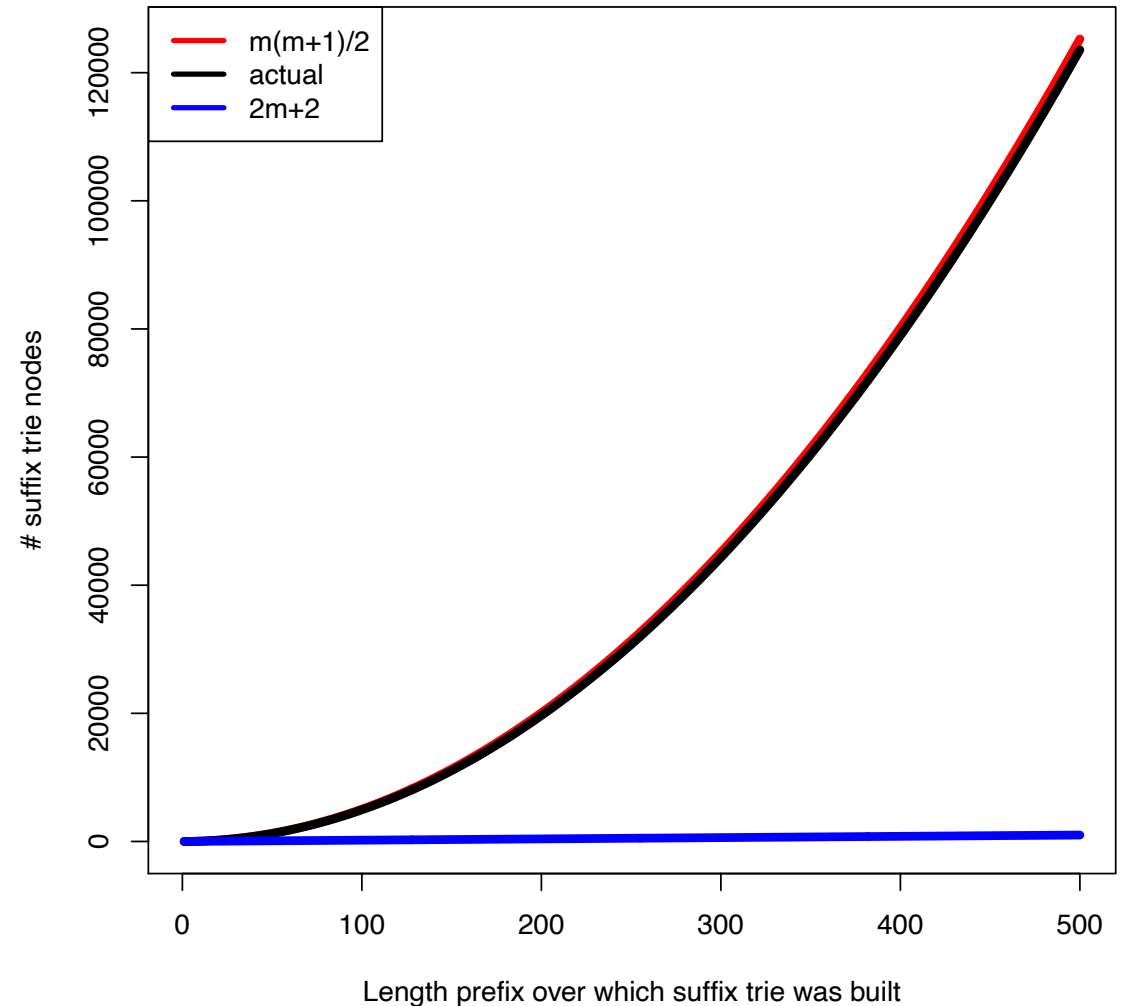


Figure & example by Ben Langmead

# Assignment 6: a\_stree



Learning Objective:

Use an existing implementation of a suffix trie as a N-ary Tree

**Implement exact pattern matching using a suffix trie**

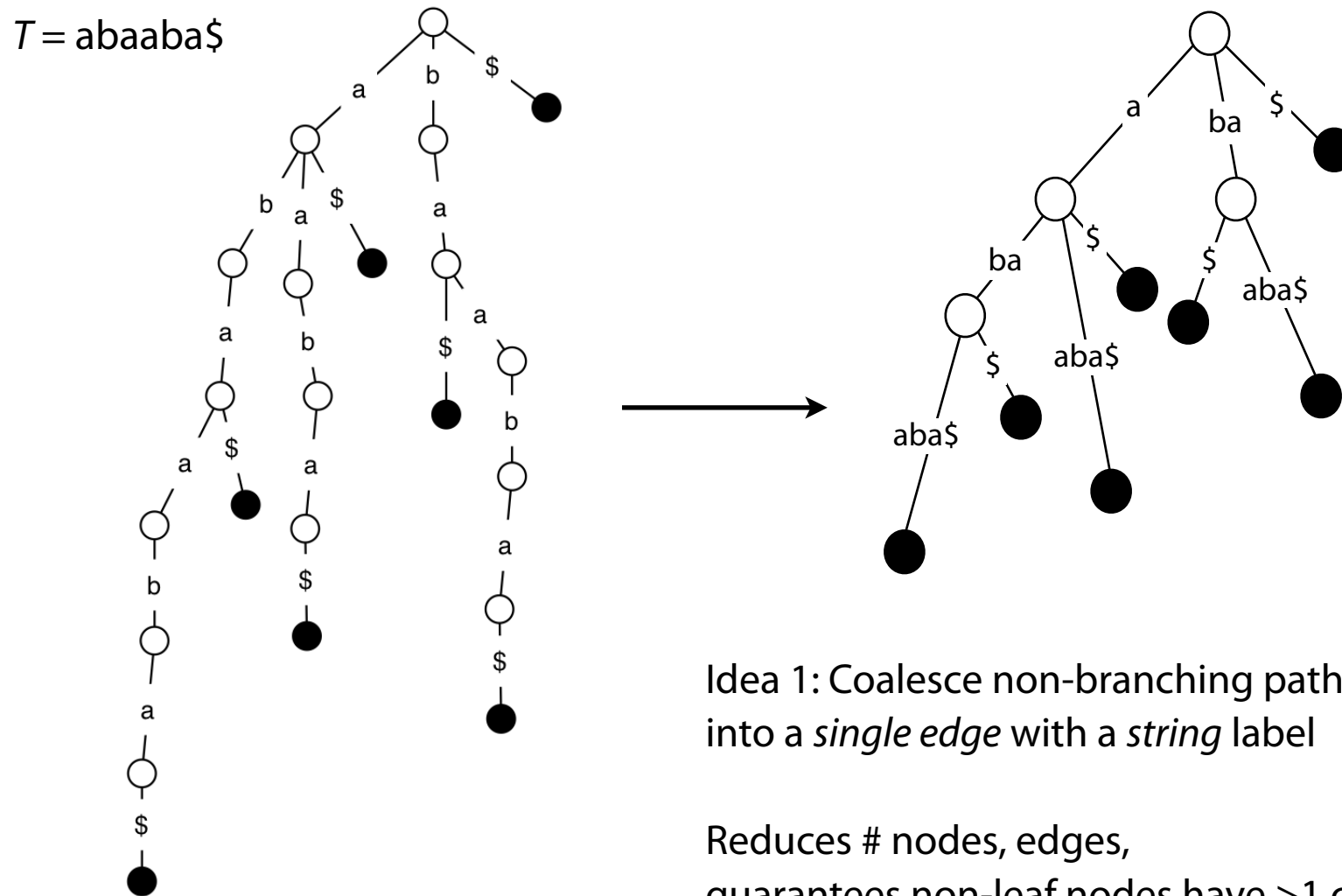
Construct a suffix tree from a suffix trie







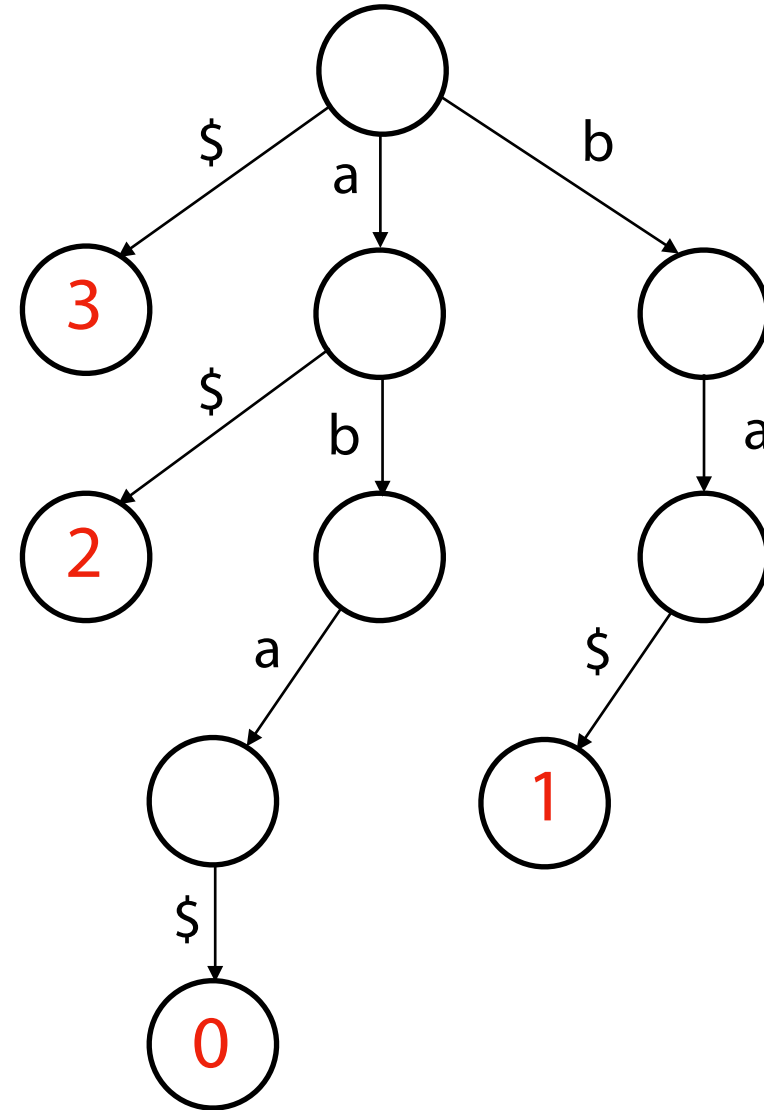
# Suffix Trie: Making it smaller





# Coalescing edges

We want to coalesce paths that don't branch.



# Coalescing edges

We want to coalesce paths that don't branch.

'Current root' in blue

Coalesce '\$'?

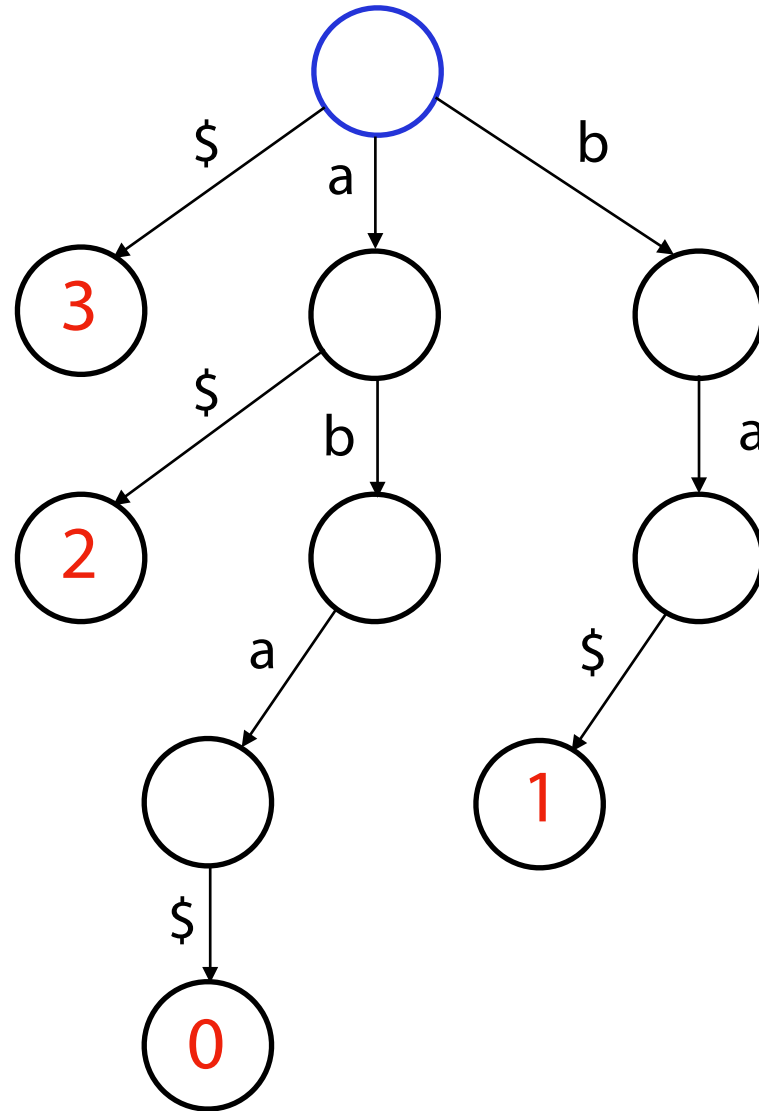
*No → its leaf edge to*

Coalesce 'a'?

*No it branches*

Coalesce 'b'?

*Yes, have one child*



# Coalescing edges

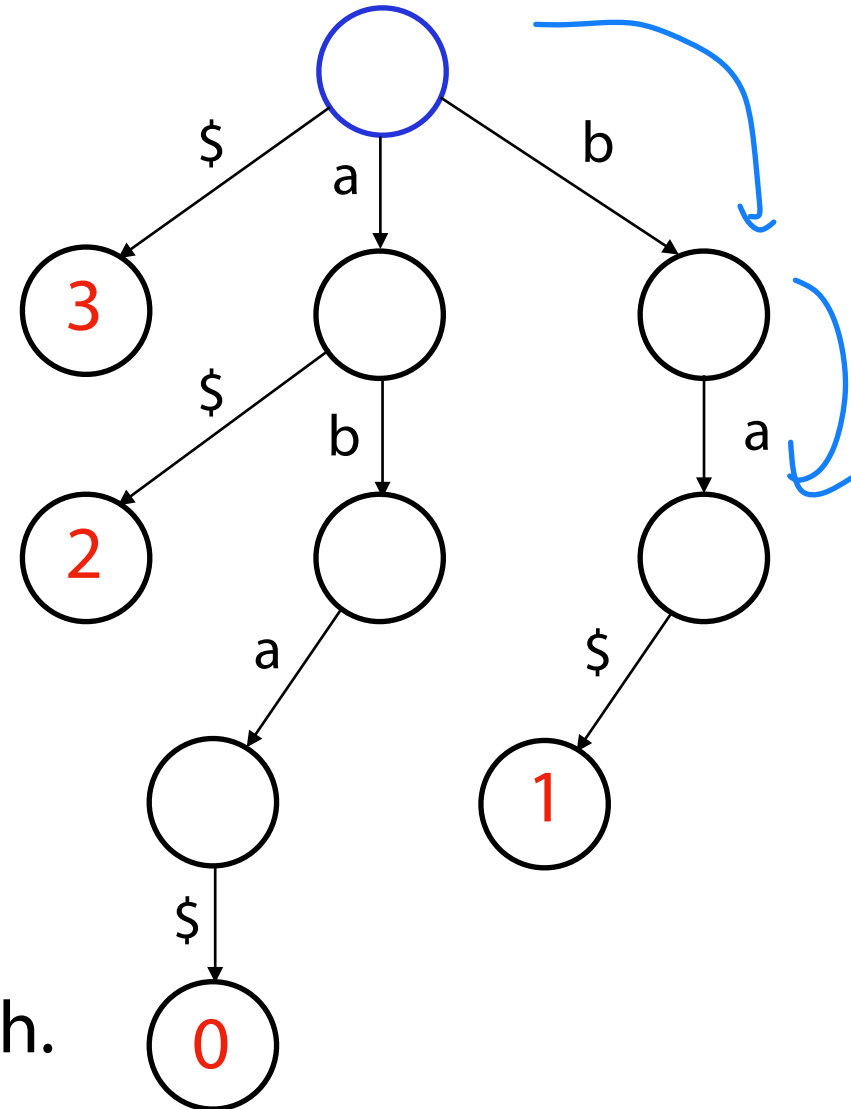
We want to coalesce paths that don't branch.

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Coalesce '\$'? **No**, nothing to merge

Coalesce 'a'? **No**, child has a branch

Coalesce 'b'? **Yes**, b->a is the only path.





# Coalescing edges

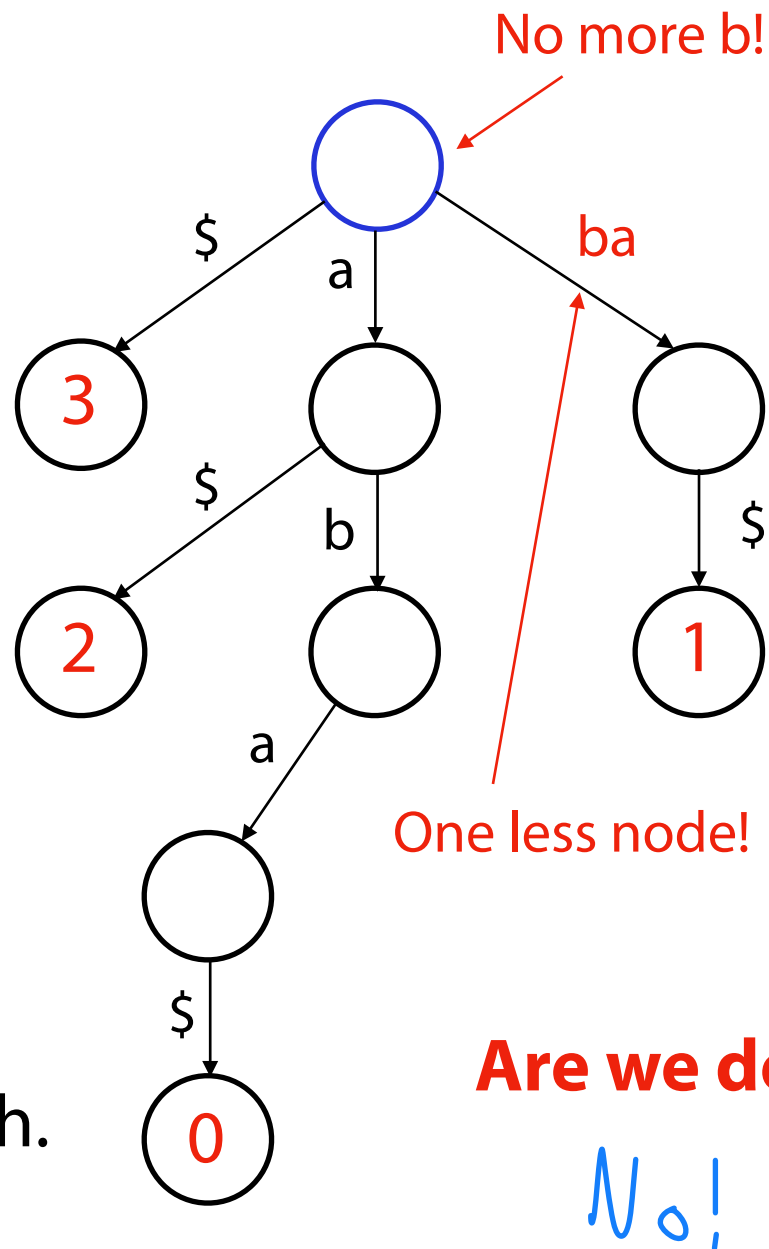
We want to coalesce paths that don't branch.

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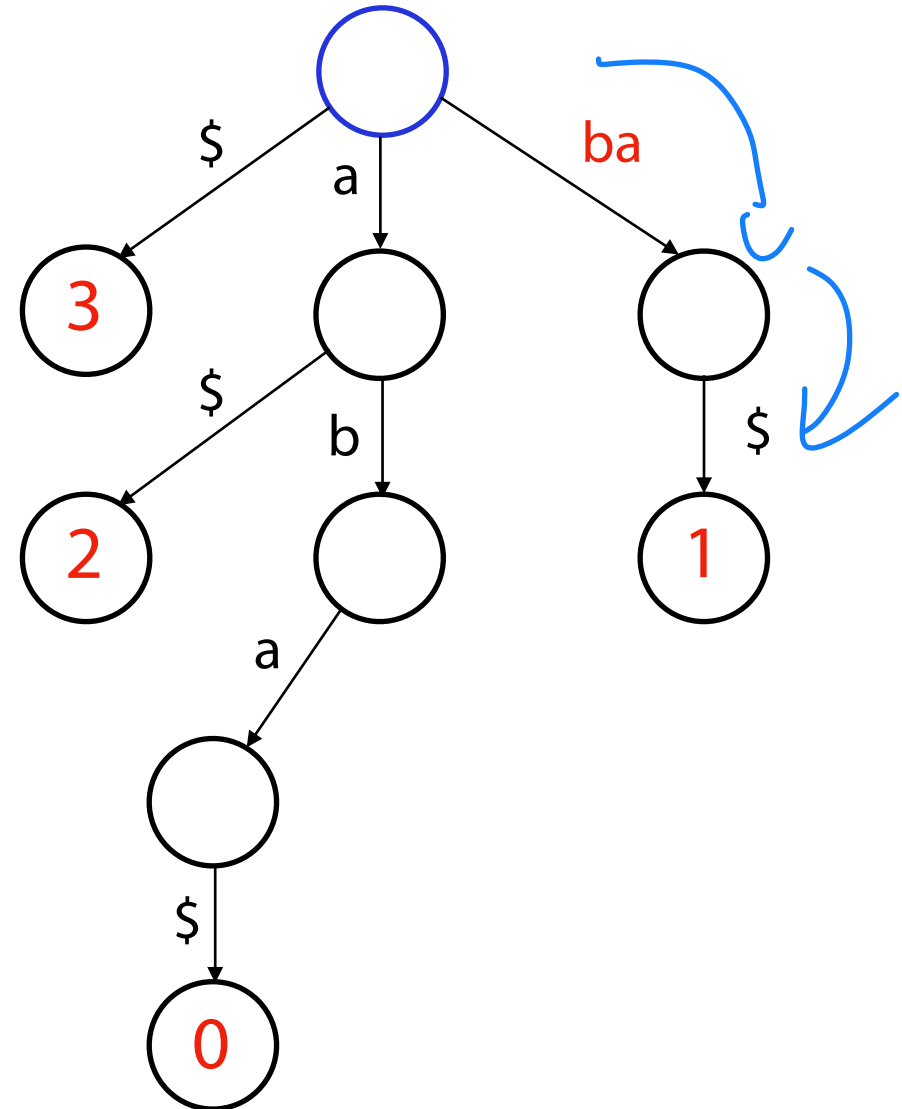
# Coalescing edges

We want to coalesce paths that don't branch.

'Current root' in blue

We added a new edge 'ba'!

We might need to coalesce again!





# Coalescing edges

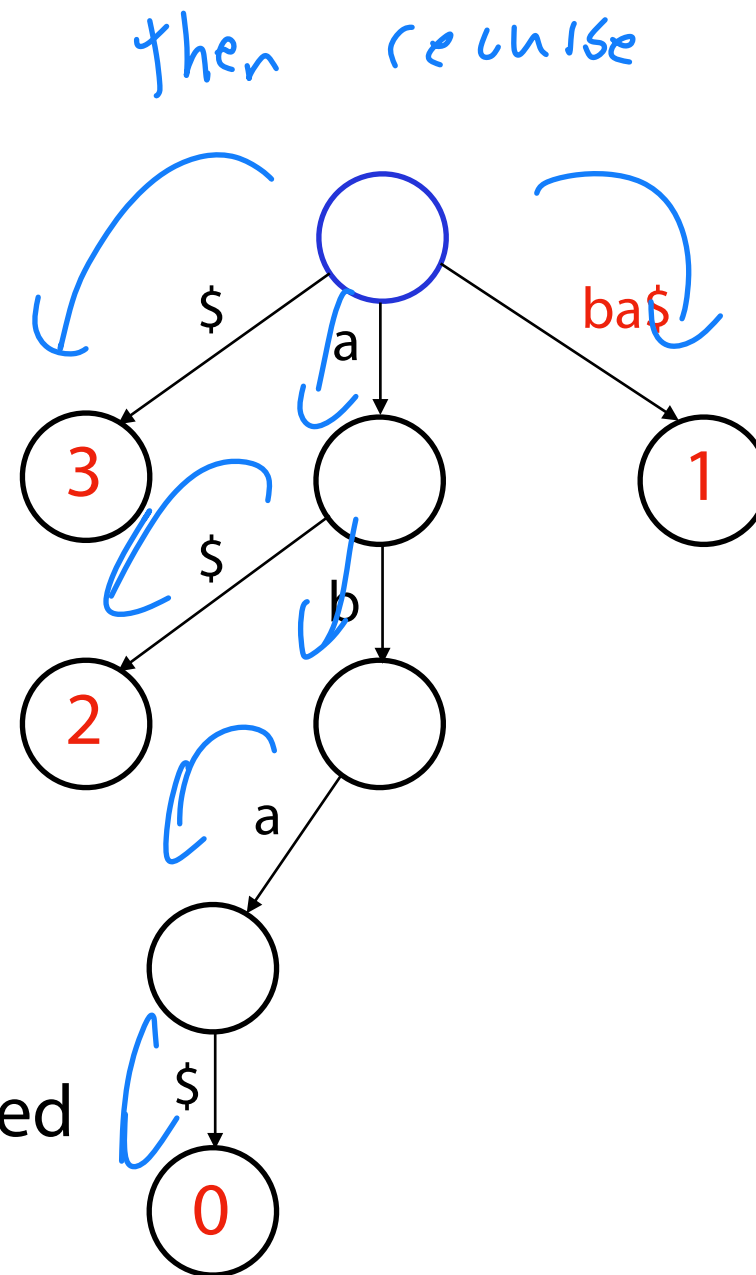
We want to coalesce paths that don't branch.

'Current root' in blue

We added a new edge 'ba'!

We might need to coalesce again!

Repeat until **all** edges have been checked





# Coalescing Edges

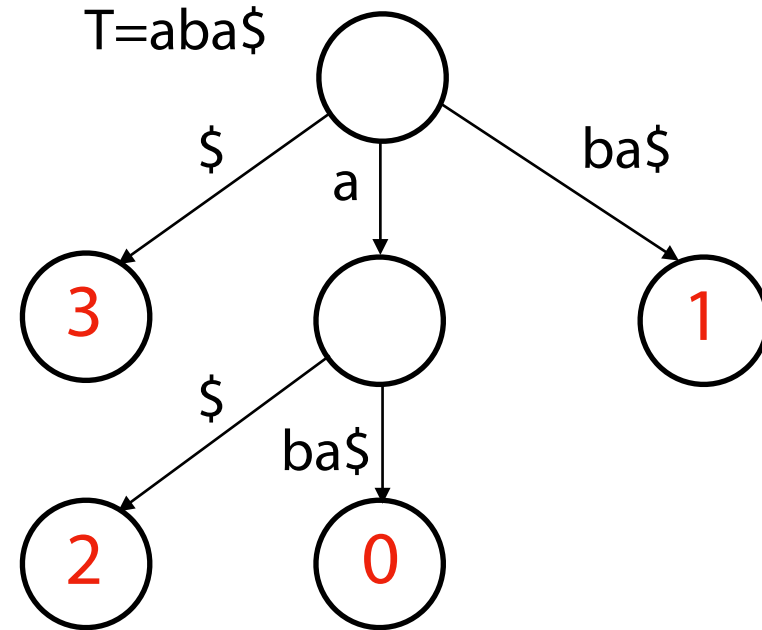
Coalesce all paths that don't branch.

A suffix tree of  $|T| = m$  (counting '\$' in  $T$ ) should have:

$m$  leaves

$\leq m - 1$  internal nodes

Each internal node  $\geq 2$  children 😊



$O(m)$

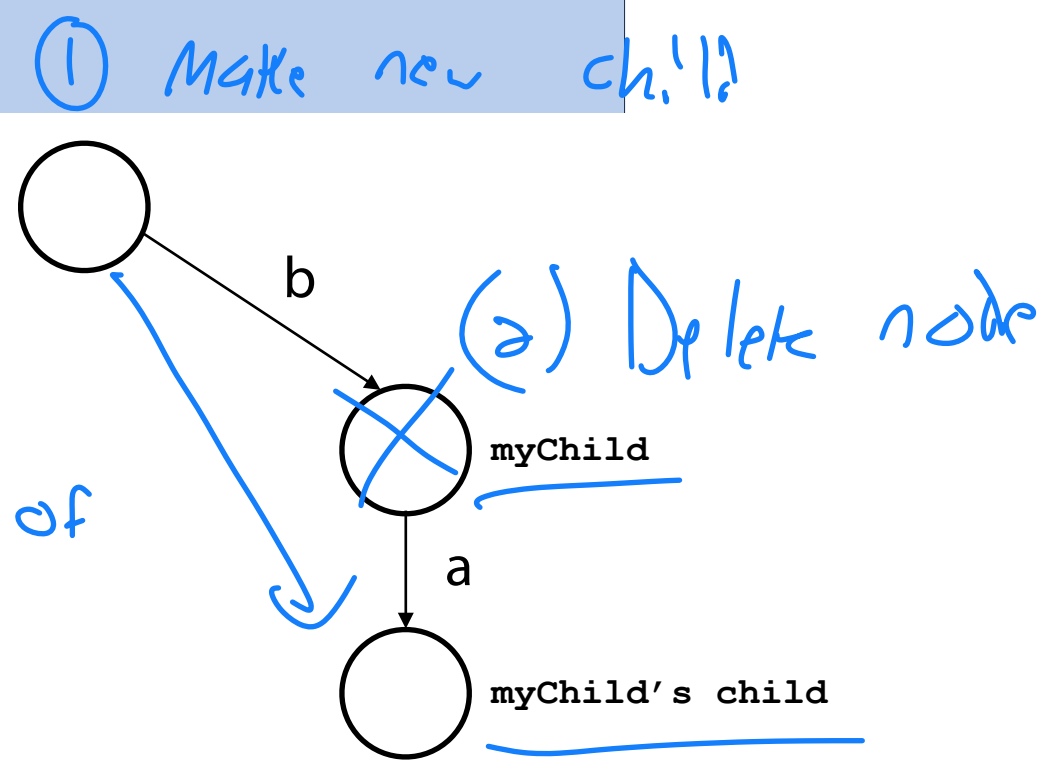
How to do this is up to you (and for you to work out)!

# Iterator on Dynamic Data

stree.cpp

```
1 map<string,Node*>::iterator it = myMap.begin();
2
3 while(it != myMap.end()){
4
5     Node* myChild = it->second;
6
7     if < LOGIC STATEMENT >{
8         Node* temp = < myChild's child >;
9
10        myMap["NewEdge"] = temp;
11
12        delete myChild;
13
14        myMap.erase(it++);
15
16    }
17 }
18
19
20
21
```

use a while loop on iterator!

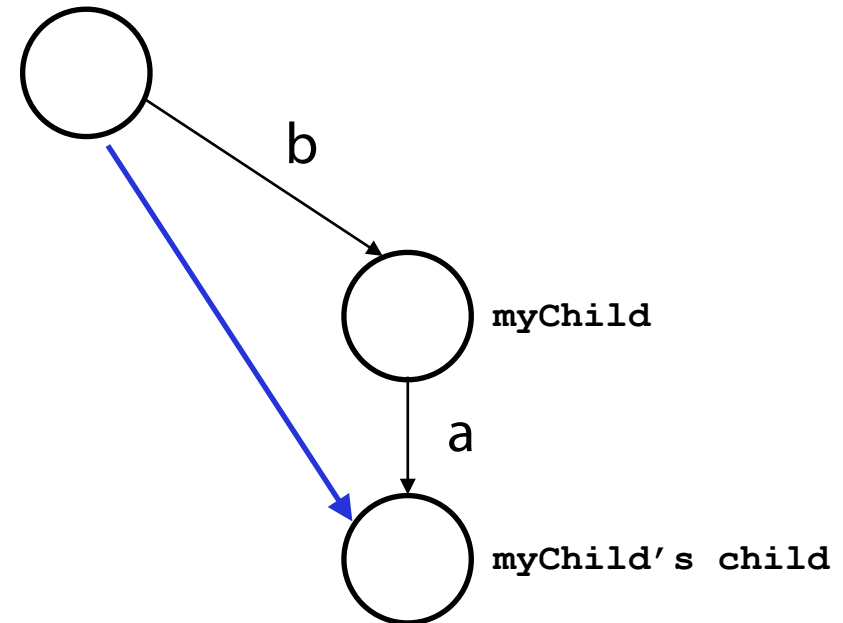


Makes copy of iterator

# Iterator on Dynamic Data

stree.cpp

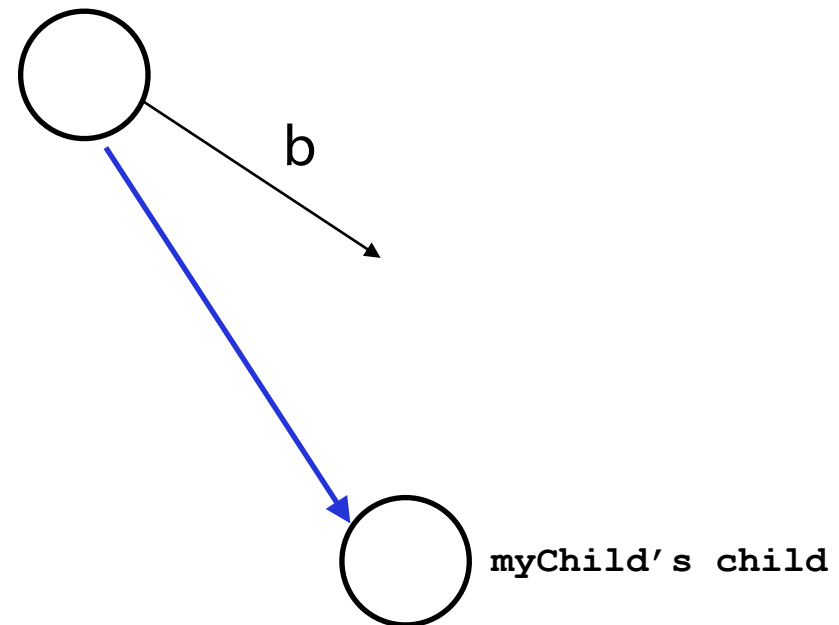
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# Iterator on Dynamic Data

stree.cpp

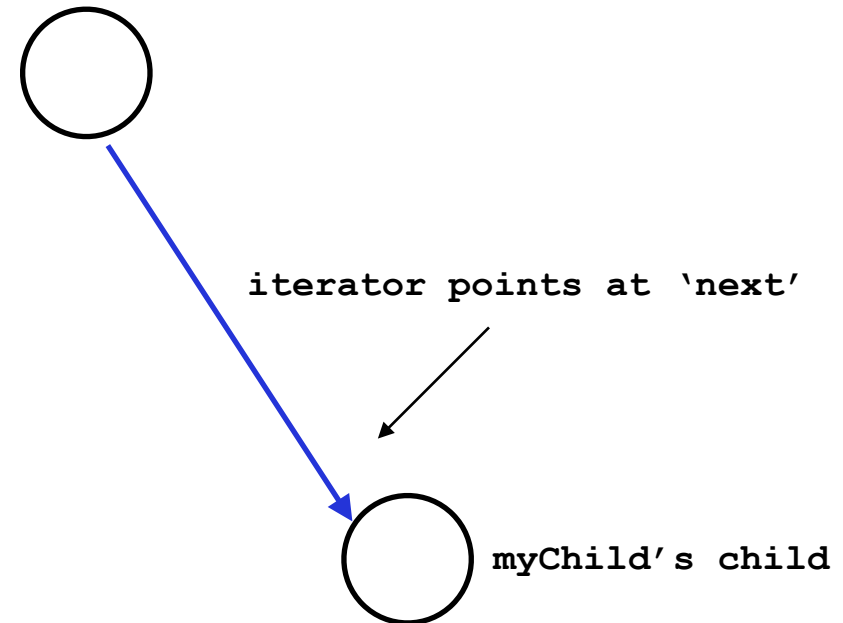
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# Iterator on Dynamic Data

stree.cpp

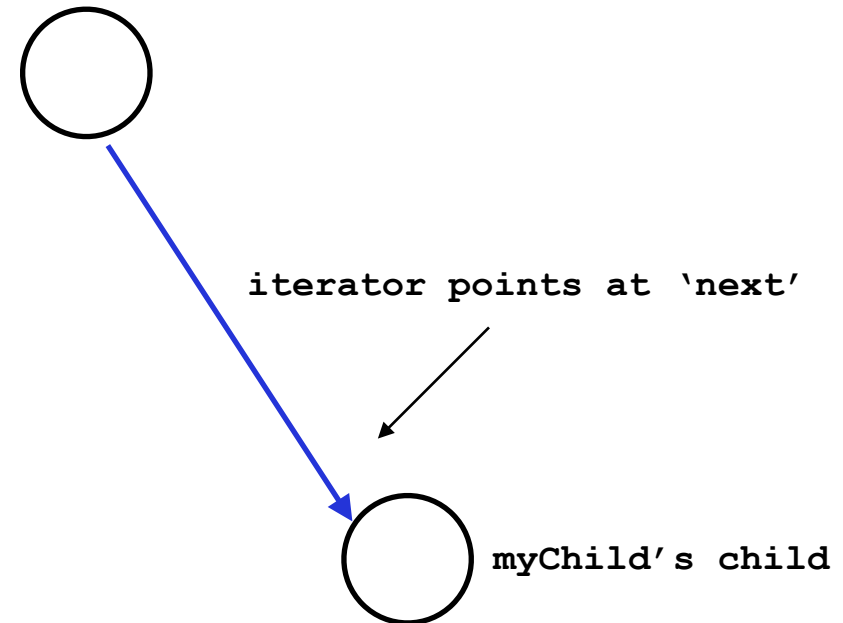
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# Assignment 6: a\_stree



Learning Objective:

Use an existing implementation of a suffix trie as a N-ary Tree

Implement exact pattern matching using a suffix trie

**Construct a suffix tree from a suffix trie**

$$O(|P| + |T|)$$

**Consider:** The modified NaryTree code works for both suffix tries and trees. Can you write a search that works for both trees and tries?



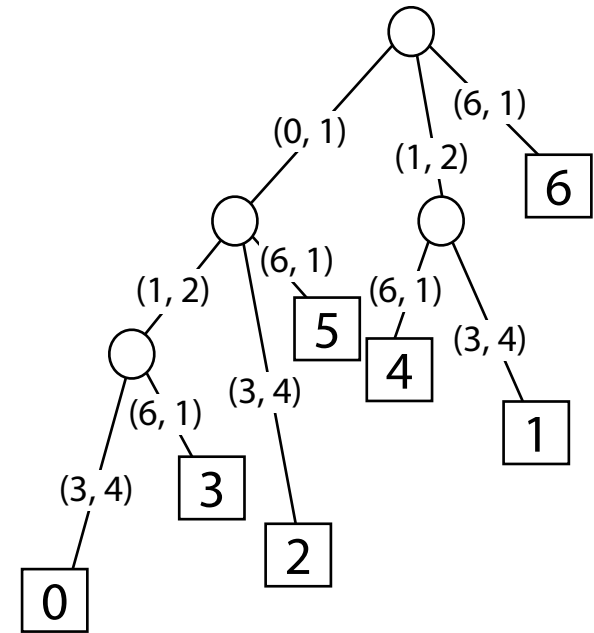
# Suffix tree: building

**Method 1:** build suffix trie, coalesce non-branching paths, relabel edges

$O(m^2)$  time,  $O(m^2)$  space

**Method 2:** build single-edge tree representing longest suffix, augment to include the 2<sup>nd</sup>-longest, augment to include 3<sup>rd</sup>-longest, etc (Gusfield 5.4)

$O(m^2)$  time,  $O(m)$  space



## On-Line Construction of Suffix Trees<sup>1</sup>

E. Ukkonen<sup>2</sup>

**Abstract.** An on-line algorithm is presented for constructing the suffix tree for a given string in time linear in the length of the string. The new algorithm has the desirable property of processing the string symbol by symbol from left to right. It always has the suffix tree for the scanned part of the string ready. The method is developed as a linear-time version of a very simple algorithm for (quadratic size) suffix *tries*. Regardless of its quadratic worst case this latter algorithm can be a good practical method when the string is not too long. Another variation of this method is shown to give, in a natural way, the well-known algorithms for constructing suffix automata (DAWGs).

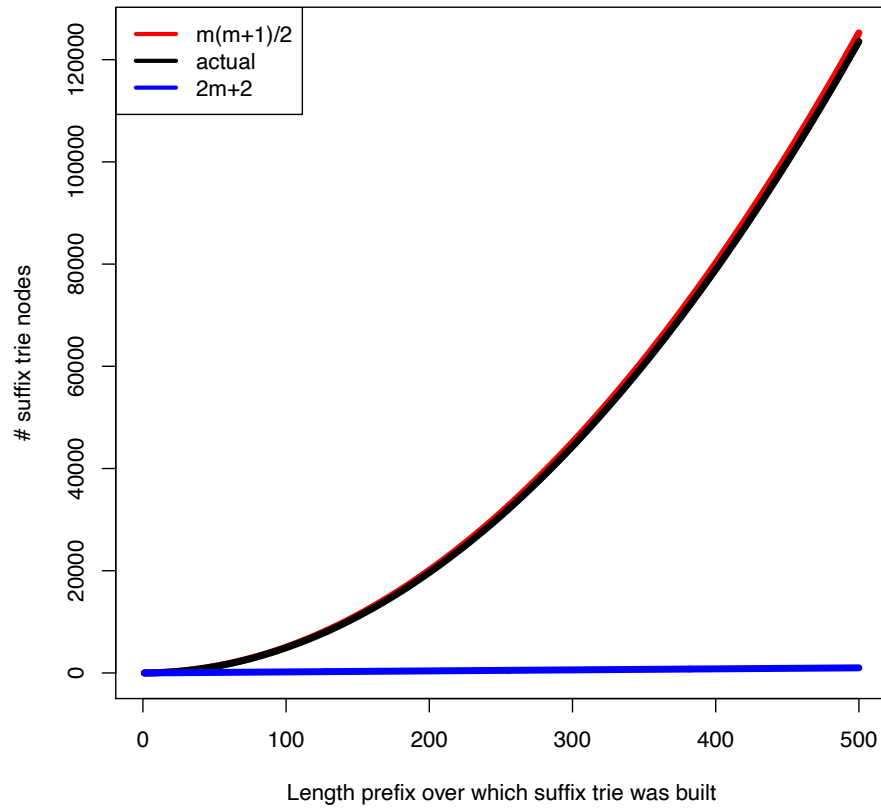
**Key Words.** Linear-time algorithm, Suffix tree, Suffix trie, Suffix automaton, DAWG.

Canonical algorithm for  $O(m)$  time & space suffix tree construction

Won't cover it in class; see Gusfield Ch. 6 for details

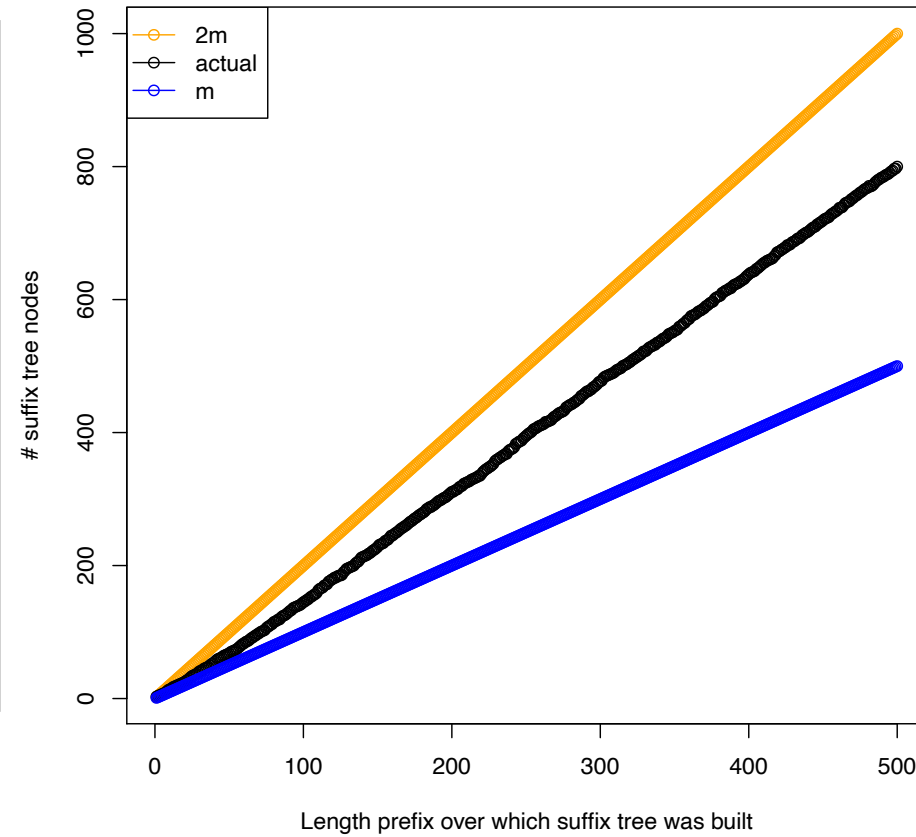
# Suffix trie

>100K nodes



# Suffix tree

<1K nodes



↑  
Linear!

# Suffix Tree



A rooted tree storing a collection of suffixes as (key, value) pairs

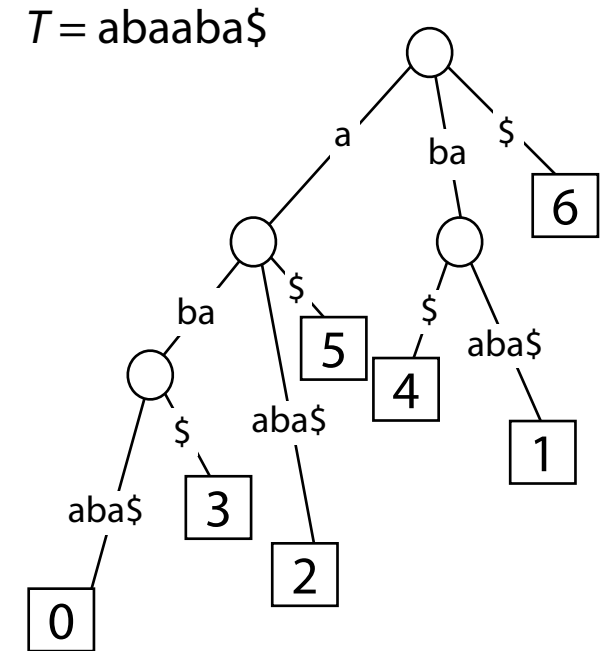
Each key is “spelled out” along some path starting at root

Each edge is labeled with a string  $s$

For given node, at most one child edge starts with character  $c$ , for any  $c \in \Sigma$

Each internal node contains  $>1$  children

Each key's value is stored at a leaf



$\downarrow$   
 $\times$   $\downarrow$   
Not OK



# Bonus Slides



# Suffix Tree: Size Redux

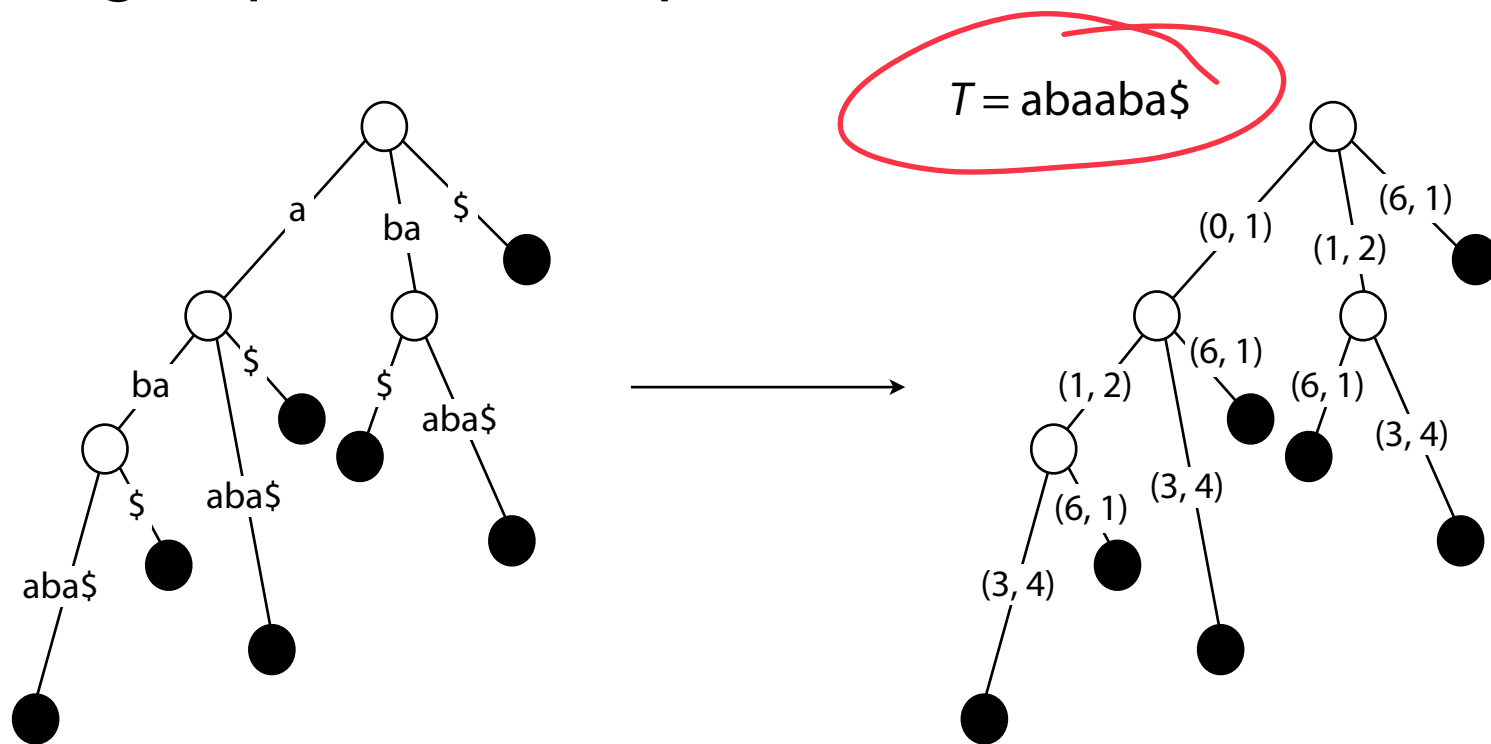
**Problem:** We still contain all **suffixes** of a text  $|T| = m$

$T$ :  
GTTATAGCTGATCGCGGCGTAGCGG\$  
GTTATAGCTGATCGCGGCGTAGCGG\$  
TTATAGCTGATCGCGGCGTAGCGG\$  
TATAGCTGATCGCGGCGTAGCGG\$  
ATAGCTGATCGCGGCGTAGCGG\$  
TAGCTGATCGCGGCGTAGCGG\$  
AGCTGATCGCGGCGTAGCGG\$  
GCTGATCGCGGCGTAGCGG\$  
CTGATCGCGGCGTAGCGG\$  
TGATCGCGGCGTAGCGG\$  
GATCGCGGCGTAGCGG\$  
ATCGCGGCGTAGCGG\$  
TCGCGGCGTAGCGG\$  
CGCGGCGTAGCGG\$  
GCGGCGTAGCGG\$  
CGGCGTAGCGG\$  
GGCGTAGCGG\$  
GCGTAGCGG\$  
CGTAGCGG\$  
GTAGCGG\$  
TAGCGG\$  
AGCGG\$  
GCGG\$  
CGG\$  
GG\$  
G\$  
\$

$m(m+1)/2$  chars

# Suffix Tree: Size Redux

**Store  $T$  itself in addition to the tree.** Convert tree's edge labels to (index, length) pairs with respect to  $T$ .

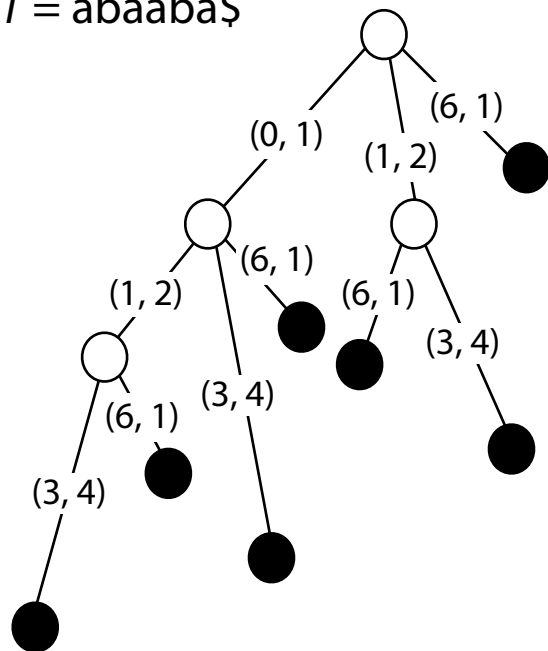


Space is now  $O(m)$  Suffix trie was  $O(m^2)$ !

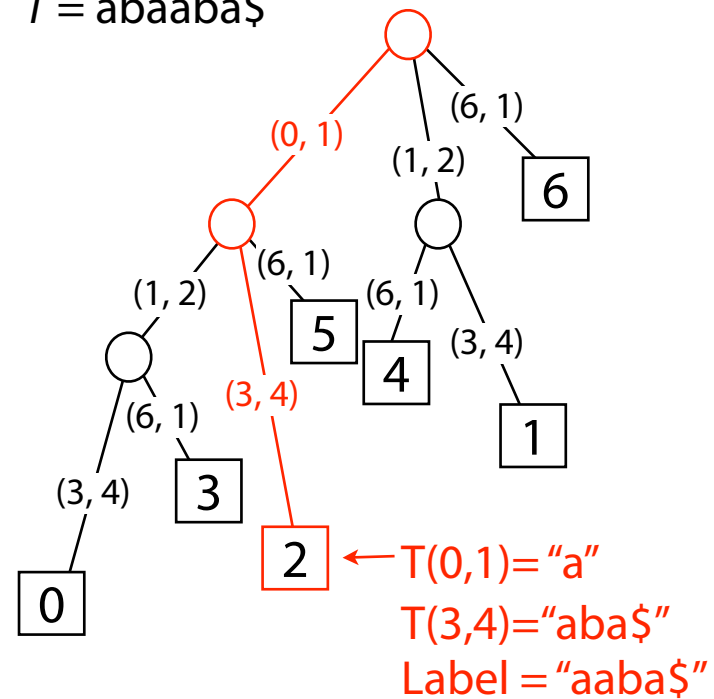


# Suffix Tree: Size Redux

$T = \text{abaaba}\$$



$T = \text{abaaba}\$$



# Suffix Tree: Size Redux

$T = \text{abaaba}\$$

