## Data Structures <br> Extra Credit Project and Disjoint Sets <br> CS 225 <br> October 16, 2023 <br> Brad Solomon \& G Carl Evans <br>  <br> Department of Computer Science

## Learning Objectives

Discuss extra credit project
Finish analyzing efficiency of minHeap
Introduce disjoint sets

## Big Picture: Extra credit project

## Do something that is of personal interest to you!

Want to do undergrad research? Find a foundational algorithm!

Want to go off into industry? Demonstrate knowledge with code!

Want extra credit points? Use one of the suggested algorithms!

## ECP Proposal

You are'writing' your own assignment skeleton

1. Function I/O (in written proposal)
2. Tests (in Github repo)
3. Datasets (in Github repo)

ECP Proposal
You dont need to know how to implement to propose a structure!

## ECP Mid-Project Check-in

Meet with your mentor to confirm your algorithm works!

## ECP Final Deliverables

Prove your algorithm is correct and estimate runtime

1. Submit code base (GitHub repo)
2. Write a report that describes proof of correctness and efficiency
3. Present your work! Highlight what you did!

## Proving buildHeap Running Time

Theorem: The running time of buildHeap on array of size $\mathbf{n}$ is:

## Strategy:

1. Call heapifyDown() on every non-leaf node
2. Every node we heapifyDown() has its height as worst case work.

## Proving buildHeap Running Time

Theorem: The running time of buildHeap on array of size $\mathbf{n}$ is $\mathrm{O}(\mathrm{n})$
$S(h)=s^{h+1}-2-h$
How can we relate $\mathbf{h}$ and $\mathbf{n}$ ?

How can we estimate running time?

Heap Sort


|  | 4 | 5 | 6 | 15 | 9 | 7 | 20 | 16 | 25 | 14 | 12 | 11 |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

Running time?
minHeap is a good example of tradeoffs:

## Disjoint Sets



## Key Ideas:

- Each element exists in exactly one set.
- Every item in each set has the same representation
- In other words: $\mathrm{find}(4)==$ find $(8)==$ find( 0 ) ...
- Each set has a different representation
- In other words: find(7) != find(4)


## Disjoint Sets

## Each set is represented by a canonical element (internally defined)



## Operation:

find(4) $==$ find (8)

## Disjoint Sets

The union operation combines two sets into one set.


## Operation:

if find(2) != find(7) \{
union ( find(2), find(7) );
\}

## Disjoint Sets

We add new items to our 'universe' by making new sets.


## Operation:

makeSet(10);

Disjoint Sets ADT
Constructor
makeSet

Find

Union

## Disjoint Sets

How might we implement a disjoint set?

## Implementation \#1

Find(k):

Union( $k_{1}, k_{2}$ ):

Implementation \#2

Find(k):

Union( $\mathbf{k}_{\mathbf{1}}, \mathrm{k}_{\mathbf{2}}$ ):

UpTrees


UpTrees


## Disjoint Sets Representation

We can represent a disjoint set as an array where the key is the index

The values inside the array stores our sets as a pseudo-tree (UpTree)
The value - $\mathbf{1}$ is our representative element (the root)
All other set members store the index to a parent of the UpTree


Disjoint Sets


| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |  |  |  |  |

## Disjoint Sets Find

```
int DisjointSets::find(int i) {
    if ( s[i] < 0 ) { return i; }
    else { return find( s[i] ); }
}
```

Running time?


What is ideal UpTree?

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\mathbf{4}$ | $\mathbf{8}$ |  |  | $\mathbf{- 1}$ |  |  |  | $\mathbf{4}$ |  |

## Disjoint Sets Union

| 1 | int DisjointSets: :union(int r1, int r2) \{ |
| :--- | :--- |
| 2 |  |
| 3 |  |
| 4 |  |
| 5 | $\}$ |



| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{- 1}$ | $\mathbf{8}$ |  |  | $\mathbf{- 1}$ |  |  |  | $\mathbf{4}$ |  |

Disjoint Sets - Union



| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 6 | 6 | 6 | 8 | -1 | 10 | 7 | -1 | 7 | 7 | 4 | 5 |

## Disjoint Sets - Smart Union



Union by height | Idea: Keep the height of |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

## Disjoint Sets - Smart Union



Union by size

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 6 | 6 | 6 | 8 |  | 10 | 7 |  | 7 | 7 | 4 | 5 |

Idea: Minimize the number of nodes that increase in height

## Disjoint Sets - Smart Union



Union by height | Idea: Keep the height of |  |  |  |  |  |  |  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| the | 6 | 6 | 8 |  | 10 | 7 |  | 7 | 7 | 4 | 5 |  |
| the tree as small as |  |  |  |  |  |  |  |  |  |  |  |  |
| possible. |  |  |  |  |  |  |  |  |  |  |  |  |

Union by size | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 6 | 6 | 6 | 8 |  | 10 | 7 |  | 7 | 7 | 4 |

Idea: Minimize the number of nodes that increase in height

Both guarantee the height of the tree is: $\qquad$ .

