Data Structures BTree Analysis CS 225 October 6, 2023 Brad Solomon & G Carl Evans



Learning Objectives

Review BTree Properties

Analyze the performance of the BTree

BTree Properties

A **BTrees** of order **m** is an m-way tree:

- All keys within a node are ordered
- All leaves contain no more than m-1 keys.
- All internal nodes have exactly one more child than keys
- Root nodes can be a leaf or have **[2, m]** children.
- All non-root, internal nodes have [ceil(m/2), m] children.
- All leaves are on the same level

We saw for AVL that finding an upper bound on the height (given **n**) is the same as finding a lower bound on the nodes (given **h**).

We want to find a relationship for BTrees between the number of keys (**n**) and the height (**h**).

The height of the BTree determines maximum number of possible in search data.

...and the height of the structure is: ____

Therefore: The number of seeks is no more than _____

...suppose we want to prove this!

Strategy:

We will first count the number of nodes, level by level.

Then, we will add the minimum number of keys per node (n).

The minimum number of nodes will tell us the largest possible height (**h**), allowing us to find an upper-bound on height.

The minimum number of **nodes** for a BTree of order m **at each level**: root:

level 1:

level 2:

level 3:

• • •

level h:



The total number of nodes is the sum of all the levels:



Summation Identity: $\sum_{i=0}^{n-1} x^i = \frac{x^n - 1}{x - 1}$

The total number of nodes:

 $1 + 2\frac{t^h - 1}{t - 1}$



The total number of keys:



The smallest total number of keys is: $2t^h - 1$

So an inequality about **n**, the total number of keys:

Solving for **h**, since **h** is the max number of seek operations:

Given **m=101**, a tree of height **h=4** has:

Minimum Keys:

Maximum Keys:



The BTree is still used heavily today!

Improvements such as B+Tree and B*Tree exist far outside class scope

A story about BIG data



Open access hases

Thinking conceptually: Sorting a queue

How might we build a 'queue' in which our front element is the min?

Thinking conceptually: A tree without pointers

What class of (non-trivial) trees can we describe without pointers?