# CS 225 

## Data Structures

## October 17 - Disjoint Sets with Path Compression

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Disjoint Sets


| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| :--- | :--- | :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 4 | 8 | 5 | -1 | -1 | -1 | 3 | -1 | 4 | 5 |

## Disjoint Sets - Smart Union



| Union by height/rank | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 6 | 6 | 6 | 8 | -4 | 10 | 7 | -3 | 7 | 7 | 4 | 5 |
| Union by size | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
|  | 6 | 6 | 6 | 8 | -8 | 10 | 7 | -4 | 7 | 7 | 4 | 5 |

Idea: Keep the height of the tree as small as possible.

dea: Minimize the number of nodes that<br>increase in height

We will show the height of the tree is: $\log (n)$.

## Rank

## Base

New UpTrees have Rank of 0

When you join two UpTrees with rank $r$ the root of the merged tree will have a root changed to $r+1$

Note: without path compression rank is height

## Union by Rank - Proof

Much like before we will show that in a tree with a root of rank $r$ there are $\operatorname{nodes}(r) \geq 2^{r}$
Base Case: UpTree of rank $=0$ has 1 node $2^{0}=1$

Inductive Hypothesis: for all trees of ranks $k, k<r, \operatorname{nodes}(k) \geq 2^{k}$
A root of rank $r$ is created by merging two trees of rank $r-1$
by IH each of those trees have nodes $(r-1) \geq 2^{r-1}$
so, tree a of rank $r$ has $\operatorname{nodes}(r) \geq 2 \times 2^{r-1} \geq 2^{r}$

Taking the inverse, we get a height of $O(\log (n))$

## Path Compression (rank != height)



## Rank Properties

1. If $x$ is not a root node, then $\operatorname{rank}(x)<\operatorname{rank}(\operatorname{parent}(x))$.
2. If $x$ is not a root node, then $\operatorname{rank}(x)$ will never change again.

## Rank Properties

3. If $\operatorname{parent}(x)$ changes, then $\operatorname{rank}(\operatorname{parent}(x))<$ $\operatorname{rank}\left(\right.$ parent $\left.^{\prime}(x)\right)$.
4. $\min$ (nodes) in a set with a root of rank $r \geq 2^{r}$. This was shown before, and path compression does not change the number of nodes in a set.

## Rank Properties

5. Since there are only $n$ nodes the highest possible rank is $\lfloor\log n\rfloor$.
6. For any integer $r$, there are at most $n / 2^{r}$ nodes of rank $\geq r$.

## Amortized

Find needs to be amortized since running the same find multiple times will have different runtimes.

- Find on root and immediate children of root
- Find on everything thing else

Iterated Logarithm Function $\left(\log ^{*} n\right)$
$\log ^{*} n$ is piecewise defined as

$$
0 \text { if } n \leq 1
$$

otherwise

$$
1+\log ^{*}(\log n)
$$

## Buckets

- Put every non-root node in a bucket by rank
- The total number of buckets is $\mathrm{O}\left(\log ^{*} \mathrm{n}\right)$
- Max nodes in a bucket is n divided by lower bound of the next bucket

| Ranks | Bucket |
| :--- | :--- |
| 0 | 0 |
| 1 | 1 |
| $2-3$ | 2 |
| $4-15$ | 3 |
| $16-65535$ | 4 |
| $65536-2^{65536}-1$ | 5 |

## Find(x) How to charge the work

The work of find $(x)$ is the steps taken on the path from a node $x$ to the root of the uptree containing $x$

Case 1: The step from $u$ to $v$ moves from one bucket to another we charge that to $x$.

## Find(x) How to charge the work

Case 2: The step from $u$ to $v$ and $u$ and $v$ are in the same bucket

1. The rank of $u$ will never change
2. Every charge will increase the rank of parent

How many total charges of this kind in a bucket?

Final Result

## Even Better

In case that seems to slow tightest bound is

$$
\Theta(m \alpha(m, n))
$$

Where $\alpha(m, n)$ is the inverse Ackermann function which grows much slower than $\log ^{*} \mathrm{n}$.

Proof well outside this class.

