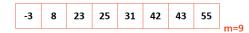


#24: BTree Analysis

October 21, 2020 \cdot *G* Carl Evans

BTree_m



A **BTree of order m** is an m-way tree where:

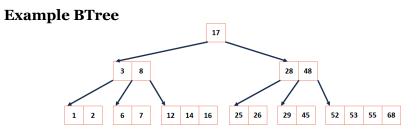
1. All keys within a node are ordered.

BTree Insert, m=3:

BTree Properties

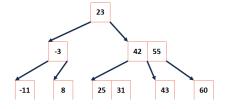
For a BTree of order **m**:

- All keys within a node are ordered.
- All leaves contain no more than **m-1** nodes.
- All internal nodes have exactly **one more child than keys**.
- Root nodes can be a leaf or have **[2, m]** children.
- All non-root, internal nodes have [ceil(m/2), m] children.
- All leaves are on the same level.



What properties do we know about this BTree?

BTree Search





Great interactive visualization of BTrees:

https://www.cs.usfca.edu/~galles/visualization/BTree.html

BTree Properties

For a BTree of order **m**:

- All keys within a node are ordered.
- All leaves contain no more than **m-1** nodes.
- All internal nodes have exactly **one more child than keys**.
- Root nodes can be a leaf or have **[2, m]** children.
- All non-root, internal nodes have **[ceil(m/2), m]** children.
- All leaves are on the same level.

BTree Analysis

The height of the BTree determines maximum number of ______ possible in search data.

... and the height of our structure:

Therefore, the number of seeks is no more than: ______.

...suppose we want to prove this!

BTree Proof #1

In our AVL Analysis, we saw finding an **upper bound** on the height (h given n, aka h = f(n)) is the same as finding a **lower bound** on the keys $(n \text{ given } h, \text{ aka } f^{-1}(h))$.

Goal: We want to find a relationship for BTrees between the number of keys (**n**) and the height (**h**).

BTree Strategy:

- 1. Define a function that counts the minimum number of nodes in a BTree of a given order.
 - a. Account for the minimum number of keys per node.
- 2. Proving a minimum number of nodes provides us with an upper-bound for the maximum possible height.

Proof:

1a. The minimum number of <u>nodes</u> for a BTree of order **m** at each level is as follows:

root:

level 1:

level 2:

level 3:

level h:

1b. The minimum total number of <u>nodes</u> is the sum of all levels:

2. The minimum number of keys:

3. Finally, we show an upper-bound on height:

CS 225 – Things To Be Doing:

- **1.** mp_mosaic ec deadline Monday
- **2.** Daily POTDs stopped for break
- 3. No class Friday for Exam 3