

Tuesday 7/22: Algorithms Pt.2

Recursive pseudocode analysis

Consider the following algorithm that merges two lists into one:

```
01 merge( $L_1, L_2$ : sorted lists of real numbers)
02     if ( $L_1$  is empty)
03         return  $L_2$ 
04     else if ( $L_2$  is empty)
05         return  $L_1$ 
06     else if ( $\text{head}(L_1) \leq \text{head}(L_2)$ )
07         return cons(head( $L_1$ ), merge(rest( $L_1$ ),  $L_2$ ))
08     else
09         return cons(head( $L_2$ ), merge( $L_1$ , rest( $L_2$ )))
```

Note that this is a recursive function, with base cases in lines 02-05, and recursive cases in lines 06-08. To analyze the overall running time, we should first **understand its recursive definition with respect to time complexity**.

Because base cases take fixed amount of work, we have $T(1) = c$.

Now for the recursive step. Lines 06-07 and 08-09 essentially do the same thing with symmetric inputs, and they have the same recursive structure—making one recursive call with input size minus 1. Therefore, we have $T(n) = T(n-1) + d$ for recursive levels, where n represents the sum of length for L_1 and L_2 , and d represents some constant work to construct the return value.

With the recursive definition $T(1) = c$ and $T(n) = T(n-1) + d$, we can then **use unrolling to find the closed form**, which is $nd + c$. This suggests that this algorithm takes $O(n)$ time.

Let's take a look at another example:

```
01 mergesort( $L = a_1, a_2, \dots, a_n$ : list of real numbers)
02     if ( $n = 1$ ) then return  $L$ 
03     else
04          $m = \lfloor n/2 \rfloor$ 
05          $L_1 = (a_1, a_2, \dots, a_m)$ 
06          $L_2 = (a_{m+1}, a_{m+2}, \dots, a_n)$ 
07         return merge(mergesort( $L_1$ ), mergesort( $L_2$ ))
```

Again, let's try constructing a recursive definition of this function regarding time needed.

Line 02 is the base case and it takes constant time, so we have $T(1) = c$.

Lines 03-07 are for the recursive case. There are a few things happening here. Line 04 calculates m , which should be $O(1)$ time. Lines 05-06 are dividing L in half, which should take $O(n)$ time, whether we are using linked lists or arrays (think about why for your own practice). Then line 7 makes two recursive calls with input size $m = n/2$ (we can ignore the rounding here as it will not affect the analysis), and it calls *merge* with two sorted lists whose total size is n . Therefore, the

time spent for each recursive level should be:

$$T(n) = 2T(n/2) + dn + e$$

Because dn is $O(n)$ and e is $O(1)$, we can safely ignore the asymptotically smaller term and simplify $T(n)$ as $2T(n/2) + dn$

Now with $T(1) = c$ and $T(n) = 2T(n/2) + dn$, we can unroll and find the closed form of $dn * \log n$ which is $O(n \log n)$.