

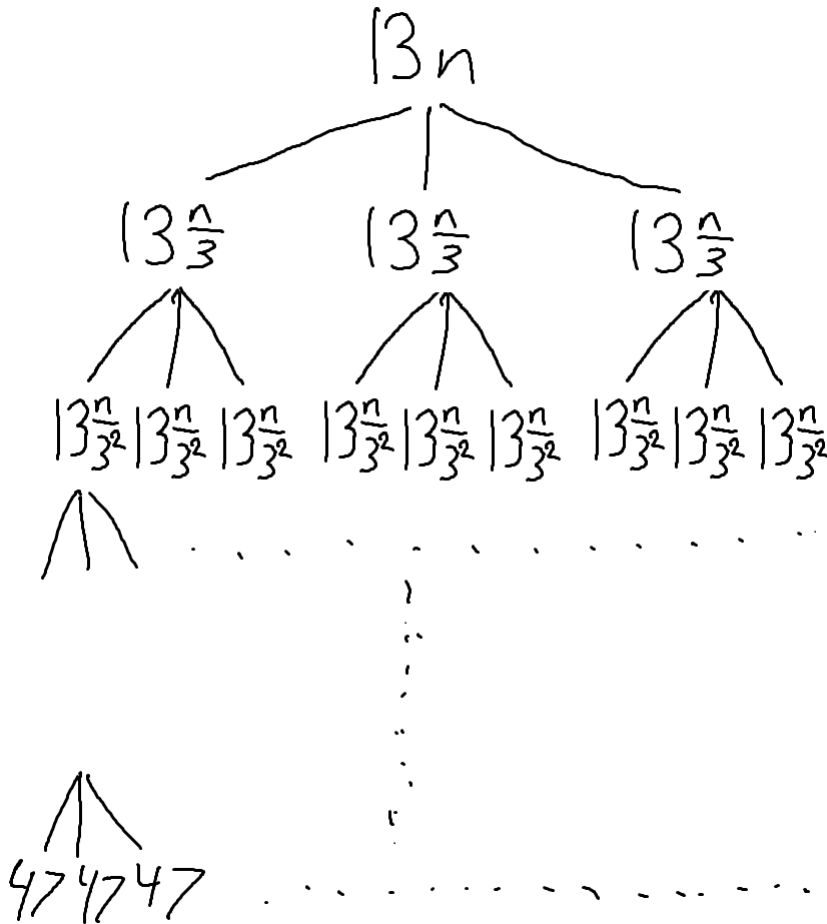
# Discussion Problem Solutions for Examlet E

CS 173: Discrete Structures

Wednesday

## Problem 13.1. in Discussion Manual

- (a) Assume  $n$  is a power of 3 so that the input will always be an integer. Then we get the following recursion tree:



The tree is described by the following table:

level	"problem size"	# nodes	work per node	total for level
0	$n$	1	$13n$	$13n$
1	$\frac{n}{3}$	3	$13 \frac{n}{3}$	$13n$
2	$\frac{n}{3^2}$	$3^2$	$13 \frac{n}{3^2}$	$13n$
3	$\frac{n}{3^3}$	$3^3$	$13 \frac{n}{3^3}$	$13n$
...				
$k$	$\frac{n}{3^k}$	$3^k$	$13 \frac{n}{3^k}$	$13n$
...				
$h$	$\frac{n}{3^h} = 1$	$3^h$	$T(1) = 47$	$47 * 3^h$

(Notice that the final row (the leaf level) follows the same pattern for problem size and number of nodes as the rows above it, but that we also know the problem size must be 1 since that's the function's base case - this is why I've written both  $\frac{n}{3^h}$  and 1 in that cell, and this is how we are able to solve for  $h$ . Note that the work per node and hence total for level does not follow the pattern of the levels above it; this is why our later summation only sums through  $h - 1$  and then we have to add in the work in the leaves separately.)

We have  $\frac{n}{3^h} = 1$ , i.e.  $h = \log_3 n$ , so there are  $3^{\log_3 n} = n$  leaves. Thus the total work at the leaves is  $n \cdot T(1) = 47n$ .

From the table, the total work for all non-leaf levels is

$$\sum_{k=0}^{(\log_3 n)-1} 13n = 13n \log_3 n.$$

Putting it all together, our final closed form is  $47n + 13n \log_3 n$ .

(b) We'll just describe the tree with a table instead of drawing it:

level	"problem size"	# nodes	work per node	total for level
0	$n$	1	3	3
1	$n - 1$	2	3	6
2	$n - 2$	4	3	12
3	$n - 3$	8	3	24
...				
$k$	$n - k$	$2^k$	3	$3 \cdot 2^k$
...				
$h$	$n - h = 1$	$2^h$	$T(1) = 1$	$1 \cdot 2^h$

From  $n - h = 1$  we get  $h = n - 1$ , so there are  $2^h = 2^{n-1}$  leaves, for a total work in the leaves of  $2^{n-1} \cdot T(1) = 2^{n-1}$ .

The total work for all non-leaf levels is

$$\sum_{k=0}^{n-2} (3 \cdot 2^k) = 3 \sum_{k=0}^{n-2} 2^k = 3(2^{n-1} - 1).$$

Thus our closed form is  $2^{n-1} + 3(2^{n-1} - 1) = 4 \cdot 2^{n-1} - 3 = 2^{n+1} - 3$ .

## Thursday

### Problem 13.3. in Discussion Manual

- (b) Let  $T$  be a parity tree; we will prove  $T$  has the parity property by induction on its height  $h$ .

Base: For height 0,  $T$  is just a solitary root. That root is also a leaf so it is orange by rule 1 of parity trees. Thus there is an odd number of leaves (1) and the root is orange, so  $T$  has the parity property.

*(Commentary: You might think you need two base cases here: height 0 for an orange-root case and height 1 for blue-root. However, while including an extra base case doesn't invalidate the proof, it's not actually necessary here - to see that, try following through the logic of the induction step below using the concrete height 1 tree plugged in for  $T$  everywhere.)*

Induction: Suppose that all parity trees with height less than  $h$  have the parity property. Then for parity tree  $T$  with height  $h$ , consider its left and right subtrees  $T_\ell$  and  $T_r$ , and let  $n_\ell$  and  $n_r$  be the number of leaves in the respective subtrees. Notice that  $T_\ell$  and  $T_r$  are also parity trees, so since they have height smaller than  $h$ , by the IH we know they both have the parity property. *(You can not say that they have height  $h - 1$  - one of them definitely does, but the other could be arbitrarily shorter. This is why it is important that we are using a strong IH.)* Now we get four cases:

Case 1:  $n_\ell$  and  $n_r$  are both even. Then by the parity property,  $T_\ell$  and  $T_r$  both have blue roots. Then by rule 2 of parity trees,  $T$  also has a blue root. And we know the total number of leaves is  $n_\ell + n_r$  which is even (because its the sum of two evens), so  $T$  has the parity property.

Case 2:  $n_\ell$  and  $n_r$  are both odd. Then by the parity property,  $T_\ell$  and  $T_r$  both have orange roots. Then by rule 2 of parity trees,  $T$  has a blue root. And we know the total number of leaves is  $n_\ell + n_r$  which is even (because its the sum of two odds), so  $T$  has the parity property.

Case 3:  $n_\ell$  is even and  $n_r$  is odd. Then by the parity property,  $T_\ell$  has a blue root and  $T_r$  has an orange root. Then by rule 2 of parity trees,  $T$  has an orange root. And we know the total number of leaves is  $n_\ell + n_r$  which is odd (because its the sum of an even and an odd), so  $T$  has the parity property.

Case 4:  $n_\ell$  is odd and  $n_r$  is even. See case 3 with the roles of  $T_\ell$  and  $T_r$  reversed.

Thus  $T$  has the parity property in every case.

### Problem 13.2. in Discussion Manual

- (a) Proof by induction on the tree height.

Base: Notice that trees from this grammar always have height at least 1. The only ways to produce a tree of height 1 are the third and fourth rules; in each case the tree ends up with one node labeled  $a$  and at most one labeled  $b$ .

Induction: Assume that any tree of height less than some  $k > 1$  has at least as many  $a$  nodes as  $b$ s. Now consider a generated tree with height  $k$ . The root must be labelled  $S$  and the grammar rules that can produce trees of height greater than 1 give us two cases for what the children are:

Case 1: The root's children are labeled  $a$ ,  $S$ ,  $b$ , and  $S$ . Let  $T_1$  and  $T_2$  be the subtrees rooted at the nodes labeled  $S$ , and let  $a_1, a_2, b_1, b_2$  be how many  $a$  nodes and  $b$  nodes are in

each subtree. Since  $T_1$  and  $T_2$  have height less than  $k$ , the IH applies to them, so  $a_1 \geq b_1$  and  $a_2 \geq b_2$ . Putting these two inequalities together and adding one, we establish that  $a_1 + a_2 + 1 \geq b_1 + b_2 + 1$ . And  $a_1 + a_2 + 1$  is just the total number of  $a$  nodes in the tree while  $b_1 + b_2 + 1$  is the total number of  $b$  nodes, so we have shown that there are at least as many  $as$  overall as  $bs$ .

Case 2: The root's children are labeled  $S, a, S$ . The logic here is exactly like case 1 except with one fewer  $b$  node, so there are definitely at least as many  $as$  as  $bs$ .

Thus in every case there are at least as many  $as$  as  $bs$ .

## Friday

### Problem 1. from Big-O Tutorial Problems

- (a) We want to show there are positive reals  $k, c$  such that  $\forall n \geq k, 0 \leq 2^n \leq c \cdot n!$ . Let  $k = 4$  and  $c = 1$ . Then it remains to show that  $\forall n \geq 4, 0 \leq 2^n \leq n!$ . This follows from Claim 50 in the textbook.

*(Commentary: Note that  $k = 4$  is not the tightest bound on  $n$ . You can attempt to compute the tightest bound by “solving” the inequality  $2^n \leq n!$ . If it’s not clear to you how to do this; try taking the  $\log_2$  of both sides and applying log rules. You should end up with a claim that matches  $n \geq k$ .)*

- (b) This statement is false. As a counterexample, consider  $f(n) = 2^n$  and  $g(n) = 1$ . Then  $f(n)$  is  $O(2^n)$  and  $g(n)$  is  $O(n!)$ , but  $f(n)$  is not  $O(g(n))$ . *(Commentary: Informally, “ $g(n)$  is  $O(n!)$ ” provides an upper bound on how fast  $g$  can grow, but it does not provide a lower bound.)*

### Problem 2. from Big-O Tutorial Problems

Fix  $f, g, h$ , and assume that  $f(n)$  is  $O(g(n))$  and  $g(n)$  is  $O(h(n))$ . Then by definition of big-O, there are (positive real)  $k_0, c_0$  such that  $\forall n \geq k_0, 0 \leq f(n) \leq c_0 g(n)$ , and also  $k_1, c_1$  such that  $\forall n \geq k_1, 0 \leq g(n) \leq c_1 h(n)$ . Now we want to show there are  $k, c$  such that  $\forall n \geq k, 0 \leq f(n) \leq c h(n)$ .

Let  $k = \max(k_0, k_1)$  and  $c = c_0 c_1$ . Then we need to show  $\forall n \geq \max(k_0, k_1), 0 \leq f(n) \leq (c_0 c_1) \cdot h(n)$ . To do this, fix  $n \geq \max(k_0, k_1)$ . Then we have  $0 \leq f(n)$  (since  $n \geq \max(k_0, k_1) \geq k_0$ ), and also:

$$\begin{aligned} f(n) &\leq c_0 g(n) && \text{(since } n \geq \max(k_0, k_1) \geq k_0 \text{)} \\ &\leq c_0 (c_1 h(n)) && \text{(since } n \geq \max(k_0, k_1) \geq k_1 \text{)} \\ &= (c_0 c_1) \cdot h(n) && \text{(rearrange)} \end{aligned}$$

Thus,  $f(n)$  is also  $O(h(n))$ .

### Problem 14.2. in Discussion Manual

- (b) For this problem let’s fix  $c = 1$  and find the tightest bound on  $n$  (*i.e.*,  $k$ ). When  $c = 1$ , we have  $\frac{x^3+2x}{2x+1} \leq x^2$ . This simplifies to  $x^3 + 2x \leq 2x^3 + x^2$ . We can divide both sides by  $x$  and move the terms to the same side and get  $x^2 + x - 2 \geq 0$ . Factoring, we have  $(x+2)(x-1) \geq 0$ . This gets us  $x \geq -2$  and  $x \geq 1$  or  $x \leq -2$  and  $x \leq 1$ . The only feasible option here is that  $x \geq 1$ . Thus,  $c = 1$  and  $k = 1$ .

*(Commentary: To show more concretely that these values work; try setting  $x \geq k$  (in this case  $k = 1$ ), and working to get  $\frac{x^3+2x}{2x+1} \leq x^2$ .)*

- (d) In this case we will choose  $c$  and  $k$  upfront and show that the big-O inequality must hold. Let’s set  $c = 1$  and  $k = 3$ .

Now, we have  $x \geq k$ , or  $x \geq 3$ , and we want to show that in this case,  $2^x + 17 \leq 3^x$ . Let’s rephrase the claim to be  $2^x \leq 3^x - 17$ . We will show this using induction on  $x$ .

Base case:  $x = 3, 2^3 \leq 3^3 - 17. 8 \leq 10$ ; the base case holds.

IH: Suppose  $2^x \leq 3^x - 17$  for  $x = 3..k - 1$ . Then our goal is to show that  $2^k \leq 3^k - 17$ .

Let's start with  $2^k$ . This can be written as  $2 * 2^{k-1}$ . We know that  $2^{k-1} \leq 3^{k-1} - 17$  by the IH. Then,  $2^k \leq 2 * (3^{k-1} + 17) = 2 * 3^{k-1} - 34$ . Using algebra, we can show that  $2^k \leq 2 * 3^{k-1} - 34 < 3 * 3^{k-1} - 34 = 3^k - 34 < 3^k - 17$ . Thus, we have shown  $2^k \leq 3^k - 17$ .

## Monday

### Problem 15.3. in Discussion Manual

- (a) crunch computes how many nonnegative numbers are in the array.
- (b)  $T(1) = d$   
 $T(n) = 2T(\frac{n}{2}) + c$
- (c) Answer:  $\Theta(n)$ .

**Justification using unrolling:**

- $T(n) = 2T(\frac{n}{2}) + c$
- $T(n) = 2[2T(\frac{n}{2^2}) + c] + c = 2^2T(\frac{n}{2^2}) + 2c + c$
- $T(n) = 2^2[2T(\frac{n}{2^3}) + c] + 2c + c = 2^3T(\frac{n}{2^3}) + 2^2c + 2c + c$

Based on the above, we predict the general form is that for any  $k$ ,

$$T(n) = 2^k T\left(\frac{n}{2^k}\right) + \sum_{i=0}^{k-1} 2^i c = 2^k T\left(\frac{n}{2^k}\right) + c(2^k - 1)$$

When we choose  $k$  such that  $2^k = n$ , this becomes  $nT(1) + c(n - 1) = dn + cn - c$ , which is  $\Theta(n)$ .

### Problem 15.4. in Discussion Manual

- (a) `FindPeak(-1,3,6,7,0)`:
- skip several false ifs
  - set `k=3`
  - skip line 8's if
  - line 10: since `6<7`, we return `FindPeak(7,0)+3`

`FindPeak(7,0)`:

- line 3: since `7>0`, we return 1

Thus the original call returns `1+3=4`

And the peak is indeed at position 4 (starting from that 7, the array strictly decreases in both directions until its ends)

- (b) **3.** If `n` were 1, we would have returned on line 1. If `n` were 2, we would return on either line 4 or line 6 (because the first item is either greater than or less than the second/last). However on an input array with 3 elements whose peak is in the center, like `[5, 6, 4]`, we can reach line 7. (Note that to argue that 3 is the smallest, we had to argue both that 3 works and that no smaller number works.)
- (c)  $T(1) = T(2) = c$   
 $T(n) = T(n/2) + d$

- (d)  $\Theta(\log(n))$ . We find this by unrolling:  $T(n) = T(n/2) + d = T(n/2^2) + 2d = T(n/2^3) + 3d = \dots = T(n/2^k) + kd = T(n/2^{\log(n)}) + \log(n)d = c + \log(n)d$

### Problem 15.5. in Discussion Manual

- (a) Foo( $n$ ) computes the  $n$ th Fibonacci number.
1.  $O(n)$ . We have a for-loop which does a constant amount of work  $O(n)$  times; everything else in the program just adds an additional constant amount of work.

- (b) RecursiveFoo( $n$ : non-negative integer)

```
    if n=0 or n=1
        return n
    else
        return RecursiveFoo(n-1) + RecursiveFoo(n-2)
```

This algorithm just follows the (recursive) definition of Fibonacci exactly - to compute the  $n$ th Fibonacci number, it just computes and then adds together the  $(n-1)$ th and  $(n-2)$ th.

- (c) We've established Foo runs in linear time; meanwhile RecursiveFoo is exponential time with respect to  $n$ . We can write a recurrence for RecursiveFoo's runtime:  $T(0) = T(1) = c$ ,  $T(n) = T(n-1) + T(n-2) + d$ . Computing the closed form for that recurrence is outside the scope of this class, but it's definitely exponential - one way to see that is to first bound it below by a similar recurrence where  $T(n) = 2T(n-2) + d$  instead.