

# Induction, Episode IV: A New Proof Technique

## Part a: Mathematical Foundation and Helpful Analogies

Ian Ludden

# Learning Objectives

By the end of this lesson, you will be able to:

# Learning Objectives

By the end of this lesson, you will be able to:

- State the Well-Ordering Principle and the Principle of Mathematical Induction.

# Learning Objectives

By the end of this lesson, you will be able to:

- State the Well-Ordering Principle and the Principle of Mathematical Induction.
- Explain induction to your smart ten-year-old cousin.

# The Well-Ordering Principle

## Fact (The Well-Ordering Principle)

*Every nonempty subset of  $\mathbb{Z}^+$  contains a smallest element. (We say that  $\mathbb{Z}^+$  is **well-ordered**.) This holds for  $\mathbb{N}$  too.*

# The Well-Ordering Principle

## Fact (The Well-Ordering Principle)

Every nonempty subset of  $\mathbb{Z}^+$  contains a smallest element. (We say that  $\mathbb{Z}^+$  is **well-ordered**.) This holds for  $\mathbb{N}$  too.

- Not true for  $\mathbb{Q}^+$ ,  $\mathbb{R}^+$

$$q \quad 0 < q/2 < q$$

# The Well-Ordering Principle

## Fact (The Well-Ordering Principle)

*Every nonempty subset of  $\mathbb{Z}^+$  contains a smallest element. (We say that  $\mathbb{Z}^+$  is **well-ordered**.) This holds for  $\mathbb{N}$  too.*

- Not true for  $\mathbb{Q}^+$ ,  $\mathbb{R}^+$
- Interesting, but is it useful??

# The Principle of Mathematical Induction

Deduction

## Theorem

Let  $S(n)$  denote a statement (logical expression) about  $n$ , where  $n$  can be replaced with any natural number. If

(a)  $S(0)$  is true (the **base case**), and

(b) For all  $k \in \mathbb{Z}^+$ ,  $\bigwedge_{n=0}^{k-1} S(n) \rightarrow S(k)$  (the **inductive step**),  
then  $S(n)$  is true for all  $n \in \mathbb{N}$ .

$$S(0) \wedge S(1) \wedge \dots \wedge S(k-1) \rightarrow S(k)$$

$$\sum_{h=0}^{k-1}$$



# The Principle of Mathematical Induction

## Theorem

Let  $S(n)$  denote a statement (logical expression) about  $n$ , where  $n$  can be replaced with any natural number. If

- (a)  $S(0)$  is true (the **base case**), and
  - (b) For all  $k \in \mathbb{Z}^+$ ,  $\bigwedge_{n=0}^{k-1} S(n) \rightarrow S(k)$  (**the inductive step**),
- then  $S(n)$  is true for all  $n \in \mathbb{N}$ .

Proof (sketch).

Let  $S(n)$  be a statement defined  $\forall n \in \mathbb{N}$ . Suppose (a) and (b) hold.

Let  $F = \{f \in \mathbb{N} : \neg S(f)\}$ , we want to show  $F = \emptyset$ .

Suppose to the contrary that  $F \neq \emptyset$ .

Then by the wellordering principle,  $F$  has a smallest element,  $m$ .

If  $m=0$ , then  $S(0)$  is false, but it's true.

If  $m>0$ , then  $S(n)$  is true for all  $0 \leq n < m-1$ .

By (b),  $S(m)$  is true.  $\Rightarrow F = \emptyset$

$p$

$\neg p \rightarrow F$

$\neg(p \wedge F) \vee F$   
 $\Rightarrow \text{true}$

# The Principle of Mathematical Induction

## Theorem

Let  $S(n)$  denote a statement (logical expression) about  $n$ , where  $n$  can be replaced with any natural number. If

(a)  $S(0)$  is true (the **base case**), and

(b) For all  $k \in \mathbb{Z}^+$ ,  $\bigwedge_{n=0}^{k-1} S(n) \rightarrow S(k)$  (**the inductive step**),

then  $S(n)$  is true for all  $n \in \mathbb{N}$ .

Proof (sketch).

More flexible version:

For  $n_0 \in \mathbb{Z}$ :

$$\left[ S(n_0) \wedge \left[ \forall k > n_0 \left[ \bigwedge_{n=n_0}^{k-1} S(n) \rightarrow S(k) \right] \right] \right] \rightarrow \forall n \geq n_0 S(n).$$

# Analogy 1: Dominos

Imagine an infinitely long line of dominos standing on end.

# Analogy 1: Dominos

Imagine an infinitely long line of dominos standing on end.

$$\left[ \underbrace{S(n_0)} \wedge \left[ \forall k > n_0 \left[ \bigwedge_{n=n_0}^{k-1} S(n) \rightarrow \underline{S(k)} \right] \right] \right] \rightarrow \forall n \geq n_0 S(n).$$



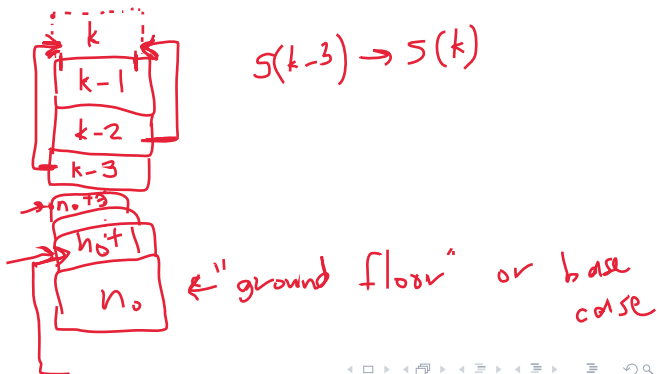
## Analogy 2: Infinite Skyscraper

Imagine you're a civil engineer tasked with building a skyscraper with infinitely many floors.

# Analogy 2: Infinite Skyscraper

Imagine you're a civil engineer tasked with building a skyscraper with infinitely many floors.

$$\left[ \underbrace{S(n_0)} \wedge \left[ \forall k > n_0 \left[ \bigwedge_{n=n_0}^{k-1} S(n) \rightarrow S(k) \right] \right] \right] \rightarrow \forall n \geq n_0 S(n).$$



# Recap: Learning Objectives

By the end of this lesson, you will be able to:

- State the Well-Ordering Principle and the Principle of Mathematical Induction.
- Explain induction to your smart ten-year-old cousin.