

1. Consider the function  $f : \mathbb{N} \rightarrow \mathbb{N}$  defined recursively below.

$$f(n) := \begin{cases} 2 & \text{if } n = 0 \\ 3 & \text{if } n = 1 \\ 3f(n-1) - 2f(n-2) & \text{if } n \geq 2 \end{cases}$$

Prove that  $f(n) = 2^n + 1$  for all  $n \in \mathbb{N}$ .

**Proof.**

*Basis Step:*

We can clearly see that  $f(0) = 2 = 1 + 1 = 2^0 + 1$ .

Similarly, we have that  $f(1) = 3 = 2 + 1 = 2^1 + 1$ .

*Inductive Step:*

Let  $k \in \mathbb{N}$  such that  $k \geq 2$  and suppose, for all  $j \in \mathbb{N}$ , that if  $j < k$ , then  $f(j) = 2^j + 1$ .

Now, recall that  $f(k) = 3f(k-1) - 2f(k-2)$  by the definition of  $f$ .

$$\begin{aligned} f(k) &= 3f(k-1) - 2f(k-2) && \text{by the definition of } f \\ &= 3(2^{k-1} + 1) - 2(2^{k-2} + 1) && \text{by the inductive hypothesis} \\ &= 3 \cdot 2^{k-1} - 2 \cdot 2^{k-2} + 3 - 2 && \text{by distributing multiplication over addition} \\ &= 3 \cdot 2^{k-1} - 2^{k-1} + 1 && \text{because } 2 \cdot 2^{k-2} = 2^{k-1} \\ &= 2 \cdot 2^{k-1} + 1 && \text{because } 3x - 2x = x \text{ for all } x \in \mathbb{R} \\ &= 2^k + 1 && \text{because } 2 \cdot 2^{k-1} = 2^k \end{aligned}$$

Therefore, we know  $f(n) = 2^n + 1$  for all  $n \in \mathbb{N}$  as desired.

Q.E.D.

2. Consider the function  $g : \mathbb{N}_+ \rightarrow \mathbb{N}_+$  defined recursively below.

$$\begin{aligned} g(1) &:= 1 \\ g(z) &:= 2g(z-1) + 3 \quad \text{for all } z \in \mathbb{N} - \{0, 1\} \end{aligned}$$

Let's find a closed form for  $g$  by *unrolling*.

$$\begin{aligned} g(n) &= 2g(n-1) + 3 &&= 2^1 g(n-1) + 2^0 \cdot 3 \\ &= 2(2g(n-2) + 3) + 3 &&= 2^2 g(n-2) + 2^0 \cdot 3 + 2^1 \cdot 3 \\ &= 2^2(2g(n-3) + 3) + 2 \cdot 3 &&= 2^3 g(n-3) + 2^0 \cdot 3 + 2^1 \cdot 3 + 2^2 \cdot 3 \\ &\vdots \\ &= 2^k g(n-k) + 3 \sum_{i=0}^{k-1} 2^i \\ &\vdots \\ &= 2^{n-1} g(n - (n-1)) + 3 \sum_{i=0}^{n-1-1} 2^i \\ &= 2^{n-1} g(1) + 3 \sum_{i=0}^{n-2} 2^i \\ &= 2^{n-1} + 3(2^{n-1} - 1) \\ &= 2^{n+1} - 3 \end{aligned}$$

... by unrolling the definition  $k$  times

We reach our base case when  $n - k = 1$ , which is equivalent to  $k = n - 1$ .

Recall  $\sum_{i=0}^m 2^i = 2^{m+1} - 1$  for all  $m \in \mathbb{N}$ .

Let's prove  $g(n) = 2^{n+1} - 3$  for all  $n \in \mathbb{N}_+$  by induction.

**Proof.**

*Basis Step:*

Observe  $g(1) = 1 = 4 - 3 = 2^{1+1} - 3$ .

*Inductive Step:*

Let  $k \in \mathbb{N}_+$  and assume  $(\forall \ell \in \mathbb{N}_+)(\ell < k \Rightarrow g(\ell) = 2^{\ell+1} - 3)$ . Now, observe the following derivation.

$$\begin{aligned} g(k) &= 2g(k-1) + 3 \quad \text{by the definition of } g \\ &= 2(2^k - 3) + 3 \quad \text{by the inductive hypothesis} \\ &= 2^{k+1} - 2 \cdot 3 + 3 \\ &= 2^{k+1} - 3 \end{aligned}$$

Therefore,  $g(n) = 2^{n+1} - 3$  for all  $n \in \mathbb{N}_+$  as desired.

Q.E.D.

3. When we analyse the binary\_search algorithm, we might describe the amount of computational work it takes to search through a list with the recursive function  $T : \mathbb{N}_+ \rightarrow \mathbb{N}_+$  given below.

$$T(n) := \begin{cases} 4 & \text{if } n = 1 \\ T(n/2) + 4 & \text{if } n \geq 2 \end{cases}$$

Let's find a closed form for  $T$  by *unrolling*.

$$\begin{aligned} T(n) &= T(n/2) + 4 &&= T(n/2^1) + 1 \cdot 4 \\ &= T(n/4) + 4 + 4 &&= T(n/2^2) + 2 \cdot 4 \\ &= T(n/8) + 4 + 4 + 4 = T(n/2^3) + 3 \cdot 4 \\ &\vdots \\ &= T(n/2^k) + 4k \\ &\vdots \\ &= T\left(\frac{n}{2^{\log_2(n)}}\right) + 4\log_2(n) \\ &= T(1) + 4\log_2(n) \\ &= 4 + 4\log_2(n) \end{aligned}$$

...by unrolling the definition  $k$  times

We reach our base case when  $n/2^k = 1$ , which is equivalent to  $k = \log_2(n)$ .

Let's prove  $T(n) = 4\log_2(n) + 4$  for all  $n \in \mathbb{N}_+$  by induction.

**Proof.**

*Basis Step:*

Observe that  $T(1) = 4 = 4 + 0 = 4 + \log_2(1)$ .

*Inductive Step:*

Let  $k \in \mathbb{N}_+$  and suppose, for all  $j \in \mathbb{N}_+$ , that  $T(j) = 4\log_2(j) + 4$  whenever  $j < k$ . We can now plainly see the following.

$$\begin{aligned} T(k) &= T(k/2) + 4 &&\text{by the definition of } T \\ &= (4\log_2(k/2) + 4) + 4 &&\text{by the inductive hypothesis} \\ &= 4(\log_2(k) - \log_2(2)) + 4 + 4 \\ &= 4\log_2(k) - 4 + 4 + 4 \\ &= 4\log_2(k) + 4 \end{aligned}$$

Therefore,  $T(n) = 4\log_2(n) + 4$  for all  $n \in \mathbb{N}_+$  as desired. Q.E.D.

4. When we analyse merge\_sort, we might describe the amount of computational work it takes to sort a list with the recursive function  $T : \mathbb{N}_+ \rightarrow \mathbb{N}_+$  given below.

$$T(n) := \begin{cases} 0 & \text{if } n = 1 \\ 2T(n/2) + n & \text{if } n \geq 2 \end{cases}$$

Let's find a closed form for  $T$  by *unrolling*.

$$\begin{aligned} T(n) &= 2T(n/2) + n &&= 2^1 T(n/2^1) + 1n \\ &= 2(2T(n/4) + n/2) + n &&= 2^2 T(n/2^2) + 2n \\ &= 2(2(2T(n/8) + n/4) + n/2) + n &&= 2^3 T(n/2^3) + 3n \\ &\vdots \\ &= 2^k T(n/2^k) + kn &&\dots \text{by unrolling the definition } k \text{ times} \\ &\vdots \\ &= 2^{\log_2(n)} T\left(\frac{n}{2^{\log_2(n)}}\right) + \log_2(n)n &&\text{We reach our base case when } n/2^k = 1, \\ &= nT(1) + n \log_2(n) &&\text{which is equivalent to } k = \log_2(n). \\ &= n \log_2(n) \end{aligned}$$

Let's prove that  $T(n) = n \log_2(n)$  for all  $n \in \mathbb{N}_+$  by induction.

**Proof.**

*Basis Step:*

Observe that  $T(1) = 0 = 1 \cdot \log_2(1)$ .

*Inductive Step:*

Let  $k \in \mathbb{N}_+$  and assume, for every positive natural number  $m < k$ , that  $T(m) = m \log_2(m)$ . We can now make the following observation.

$$\begin{aligned} T(k) &= 2T\left(\frac{n}{2^k}\right) + k &&\text{by the definition of } T \\ &= 2\left(\frac{k}{2} \log_2\left(\frac{k}{2}\right)\right) + k &&\text{by the inductive hypothesis} \\ &= \frac{2k}{2} (\log_2(k) - \log_2(2)) + k \\ &= k(\log_2(k) - 1) + k \\ &= k \log_2(k) - k + k \\ &= k \log_2(k) \end{aligned}$$

Therefore, we conclude  $T(n) = n \log_2(n)$  for all  $n \in \mathbb{N}_+$  as desired.

Q.E.D.