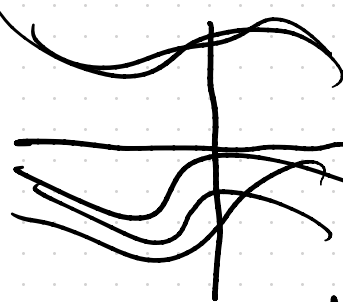


# Lecture 16



$N$  bands occupied

$$P = \frac{v}{(2\pi)^3} \int d^3 k \sum_{a=1}^N |\Psi_{ak}\rangle \langle \Psi_{ak}|$$

$$|\tilde{\Psi}_{ak}\rangle = \sum_{b,c=1}^N |\Psi_{bk}\rangle W_{k_i \leftarrow k_0}^{bc}(\vec{k}_\perp) e^{-i\varphi_a(k_\perp)} g_a^c(k_\perp, k_0)$$

Wilson  
line

$$\rightarrow W_{k_i \leftarrow k_0}(\vec{k}_\perp) = \mathcal{P} e^{i \int_{k_0}^{k_i} dk'_i A_i(k'_i, \vec{k}_\perp)}$$

depends on  
 $\vec{k}_\perp, k_0$

$\rightarrow \vec{g}_a(k_\perp, k_0)$  is an eigenvector of the

Wilson loop

$W_{2\pi \leftarrow 0}(\vec{k}_\perp)$  w/ eigenvalue

$$e^{i\varphi_a(k_\perp)}$$

only depends on  $\vec{k}_\perp$

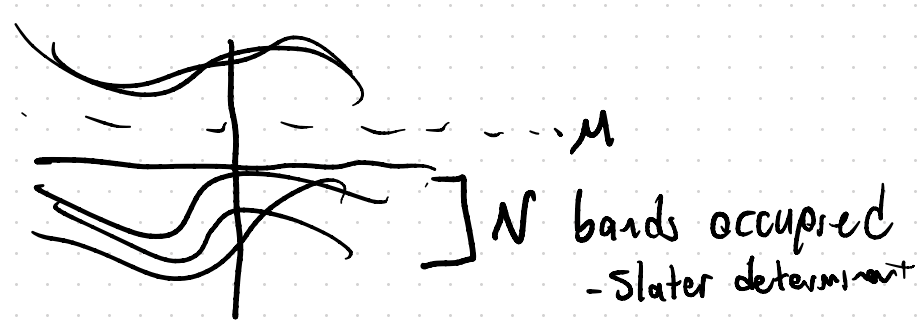
Hybrid  
Wannier fns

$$|W_{a n \vec{k}_\perp}\rangle = \frac{1}{2\pi} \int_0^{2\pi} dk_\parallel |\tilde{\Psi}_{a k}\rangle e^{-ik_\parallel n}$$

Berry phase - displacement  
relative to the  
origin

$$P_{X_i} P |W_{a n \vec{k}_\perp}\rangle = \left( n + \frac{\varphi_a(k_\perp)}{2\pi} \right) |W_{a n \vec{k}_\perp}\rangle$$

unit cell



Observables: consider an insulator at  $T=0$

We can try to compute  
State

$\langle \vec{X} \rangle_0$  in this ground

$$\vec{X} = \sum_i x_i \vec{t}_i$$

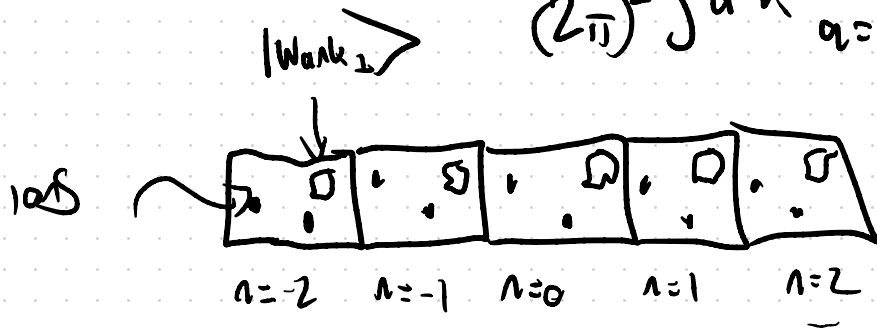
$$\langle \vec{X} \rangle_0 = \sum_i \vec{t}_i \langle x_i \rangle_0$$

$$\begin{aligned} \langle x_i \rangle_0 &= \langle P x_i P \rangle_0 = \frac{1}{(2\pi)^3} \int dk_i dk_{\perp} \sum_{a=1}^N \langle \Psi_{ak} | x_i | \Psi_{ak} \rangle \\ &= \frac{1}{(2\pi)^3} \int dk_i dk_{\perp} \sum_{a=1}^N \langle \tilde{\Psi}_{ak} | x_i | \tilde{\Psi}_{ak} \rangle \end{aligned}$$

$$= \frac{1}{(2\pi)^2} \int dk_{\perp}^2 \sum_{a=1}^N \sum_{n,m} \langle W_{an k_{\perp}} | X_i | W_{am k_{\perp}} \rangle e^{ik_{\perp}(n-m)}$$

$$= \frac{1}{(2\pi)^2} \int d^2 k_{\perp} \sum_{a=1}^N \sum_n \langle W_{an k_{\perp}} | P X_i P | W_{an k_{\perp}} \rangle$$

$$= \frac{1}{(2\pi)^2} \int d^2 k_{\perp} \sum_{a=1}^N \sum_{n=-\infty}^{\infty} \left( 1 + \frac{\varphi_a(k_{\perp})}{2\pi} \right)$$



$$d_i = -e \langle X_i \rangle + \sum_{\substack{100S \\ \alpha}} q_{\alpha} R_{\alpha i}$$

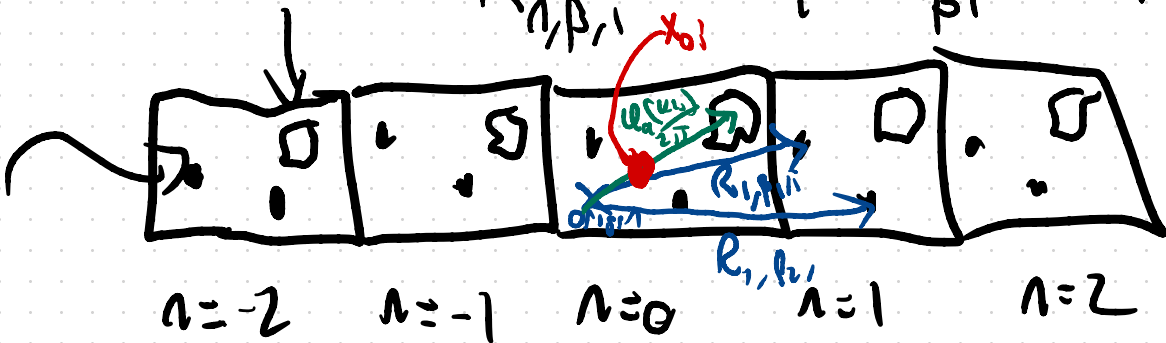
Two observations: total charge in each unit cell is zero

$N$  electrons/unit cell  $\Rightarrow$

$\alpha$  indexes ions  $(n, \beta)$

$$R_{n,\beta,i} = n_i + r_{\beta i}$$

$\beta$  - ions in one unit cell



$x_{0i}$  - center of ionic charge

$$x_{0i} = \frac{1}{q_{\text{tot}}} \sum_{\beta} q_{\beta} r_{\beta i}$$

$$q_{\text{tot}} = \sum_{\beta} q_{\beta}$$

$$\begin{aligned}
 d_i &= -e \langle x_i \rangle + \sum_{\text{ions}} q_\alpha R_{\alpha i} \\
 &= \sum_{n=-\infty}^{\infty} \left( -e \frac{1}{(2\pi)^2} \int d^2 k_\perp \sum_{a=1}^N \left( n + \frac{\varphi_a(k_\perp)}{2\pi} \right) + q_{\text{tot}} (n + x_{0i}) \right) \\
 &= \sum_{n=-\infty}^{\infty} \left[ \cancel{q_{\text{tot}} n - e N n} + -e \sum_{a=1}^N \frac{\varphi_a(k_\perp)}{2\pi} + q_{\text{tot}} x_{0i} \right]
 \end{aligned}$$

$\left( e \frac{2\pi i x}{L} \right)$

Charge neutrality  $q_{\text{tot}} = +Ne$

$$d_i = e \sum_{n=-\infty}^{\infty} \frac{1}{(2\pi)^2} \int d^2 k (x_{0i} - \frac{\varphi_a(k_\perp)}{2\pi})$$

$\rho_i$  - dipole moment per unit cell

$$\rho_i = \frac{e}{(2\pi)^2} \sum_{a=1}^N \int d^2 k_{\perp} (x_{0i} - \frac{\varphi_a(k_{\perp})}{2\pi}) \quad (\text{is 'polarization density'})$$

$$d_i = \sum_n \rho_i$$

Recall  $e^{i\varphi_a(k_{\perp})}$  are eigenvalues of the Wilson loop  $W_{\vec{r}, \vec{r}_0}(k_{\perp})$

$$\begin{aligned} \sum_{a=1}^N \varphi_a(k_{\perp}) &= \text{Im} \ln e^{i \sum_{a=1}^N \varphi_a(k_{\perp})} \\ &= \text{Im} \ln \prod_{a=1}^N e^{i \varphi_a(k_{\perp})} \end{aligned}$$

$$= \text{Im} \ln \det W$$

$$\det \mathcal{P} e^{i \int_0^{2\pi} dk_i A_i(k_i, k_\perp)}$$

$$= e^{i \int_0^{2\pi} dk_i \text{tr} A_i(k_i, k_\perp)}$$

$$\sum_{a=1}^2 \varphi_a(k_\perp) = \int_0^{2\pi} dk_i \text{tr} A_i(k_i, k_\perp)$$

$$\rho_i = \frac{e}{(2\pi)^3} \int d^3 k \left[ N x_{0i} - \text{tr} (A_i(\vec{k})) \right]$$

putting back units

$$\vec{\rho} = N e \vec{x}_0 - \frac{e}{(2\pi)^3} \int d^3 k \text{tr} (\vec{A}(\vec{k}))$$

dipole moment  
per unit cell



What about gauge transformations?

$$|\Psi_{ak}\rangle \rightarrow \sum_b |\Psi_{bk}\rangle U_{ba}(k)$$

$U(k) - N \times N$   
unitary matrix

$$U(k+\vec{\epsilon}) = U(\vec{k})$$

$$\vec{A}(k) \rightarrow U^\dagger(k) \vec{A} U(k) + i U^\dagger \nabla_k U$$

$$\text{tr}(\vec{A}(k)) \rightarrow \text{tr}(\vec{A}(k)) + i \text{tr}[U^\dagger \nabla_k U] \quad \left\{ \text{tr}[U^\dagger \nabla_k U] = \nabla_k \text{tr} \log U \right\}$$

$$\rightarrow \text{tr}(\vec{A}(k)) + i \nabla_k (\text{tr} \log U)$$

$$\rightarrow \text{tr}(\vec{A}(k)) + i \nabla_k \log \det U$$

$$U \text{ unitary} \rightarrow \det U(k) = e^{i\theta(k)}$$

$$U \text{ periodic } e^{i\theta(\mathbf{k} + \vec{G})} = e^{i\theta(\mathbf{k}) + 2\pi i N \vec{G}}$$

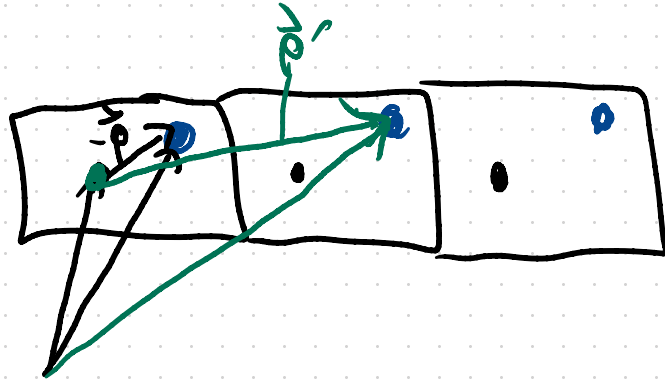
$$\rho \rightarrow \tilde{\rho} - \frac{ie}{(2\pi)^3} \int d^3k \nabla_k \log \det U$$

$$= \tilde{\rho} + \frac{e}{(2\pi)^3} \int d^3k \nabla_k \theta(\mathbf{k})$$

$$= \tilde{\rho} + e \vec{t} \quad \vec{t} \in \Gamma \quad \text{that is the winding of } \theta(\mathbf{k})$$

$\tilde{\rho}$  is only well-defined modulo  $e\vec{t}$  for  $\vec{t} \in \Gamma$  the Bravais lattice

$$|W_{nk_I}\rangle$$



- - center of ionic charge
- - center of electronic charge

$$\vec{p}' = \vec{p} - e\vec{t}$$

$$e^{i \frac{nk_i}{2\pi}}$$

$$\theta(k) = n_i k_i$$

$$\nabla_k \theta = \vec{n}$$

$$\int \nabla_k \theta = 2\pi \vec{n}$$

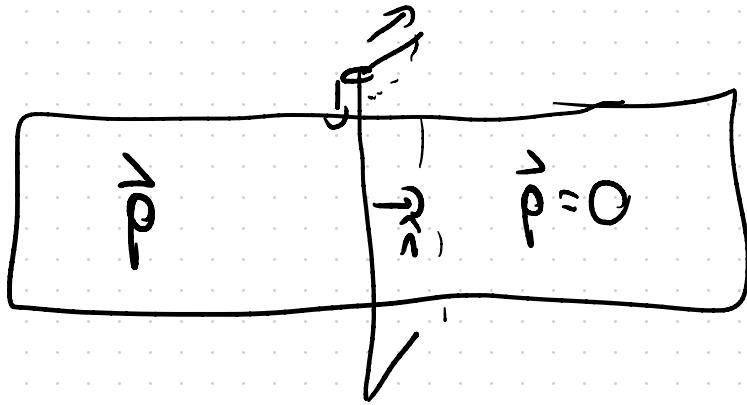
How do we detect any of this

Maxwell's eqns

$$\rho_b = -\frac{1}{\epsilon_0} \nabla \cdot \vec{p}$$

unit cell volume

$\frac{\vec{p}}{v}$  - dipole moment per unit volume



$$\sigma_b = -\frac{\Delta \vec{p}}{v} \cdot \hat{n} = \frac{\vec{p} \cdot \hat{n}}{v}$$

$$\vec{p} = \underbrace{e \vec{t} N}_{\substack{\text{ionic contribution} \\ \uparrow \\ \text{+ gauge ambiguity}}} - \frac{e}{(2\pi)^3} \int d^3k \operatorname{tr}(\vec{A}(k))$$

ionic contribution  
+ gauge ambiguity

- $\vec{p} \bmod e\vec{t}$  is intrinsic
- contributes fractional # of electrons/unit cell to  $\sigma_6$
- cannot be changed by adding  $e$ 's to the band