

*A Theory of Electrons and Protons.*

By P. A. M. DIRAC, St. John's College, Cambridge.

(Communicated by R. H. Fowler, F.R.S.—Received December 6, 1929.)

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# A Voyage to the Dirac Sea

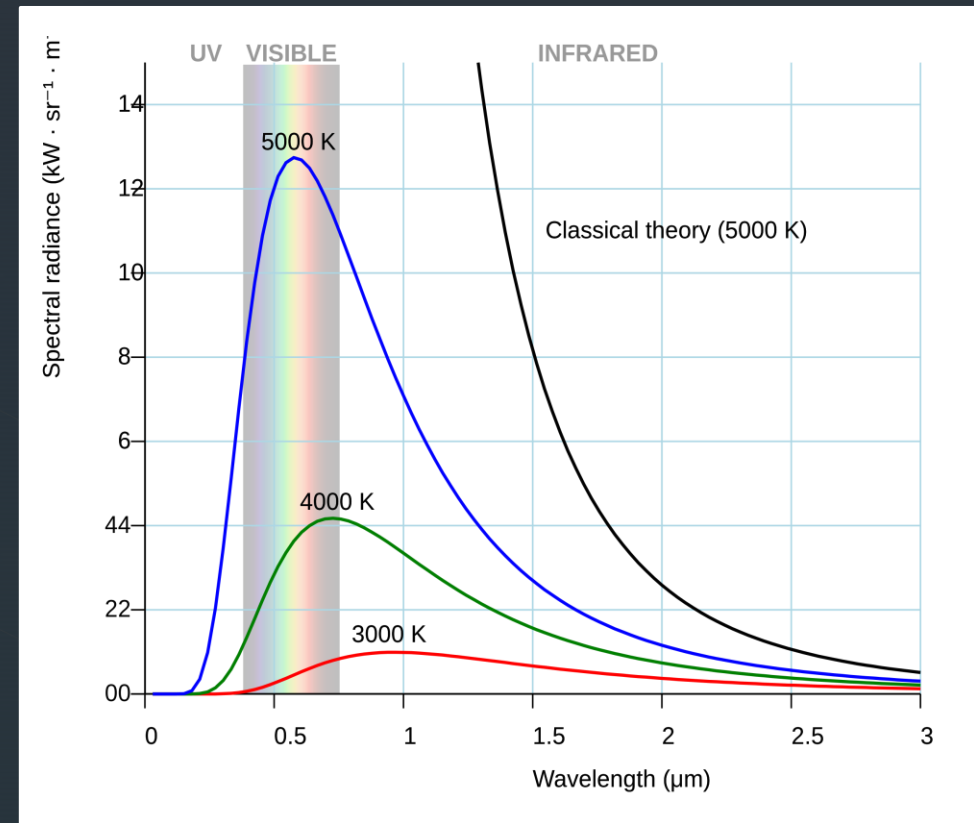
*A tale of two particles*

# Glimpses of a World Beyond Classical: Black-Body Radiation (Plank, 1900)

- EM radiation of body at finite temperature
- **Classical:** arbitrary energy allowed at each frequency
- **Equipartition:** leads to UV divergence...
- **Solution:** Plank used a mathematical "trick"

$$E(\nu) = nh\nu$$

discrete quanta of energy  
in each frequency mode



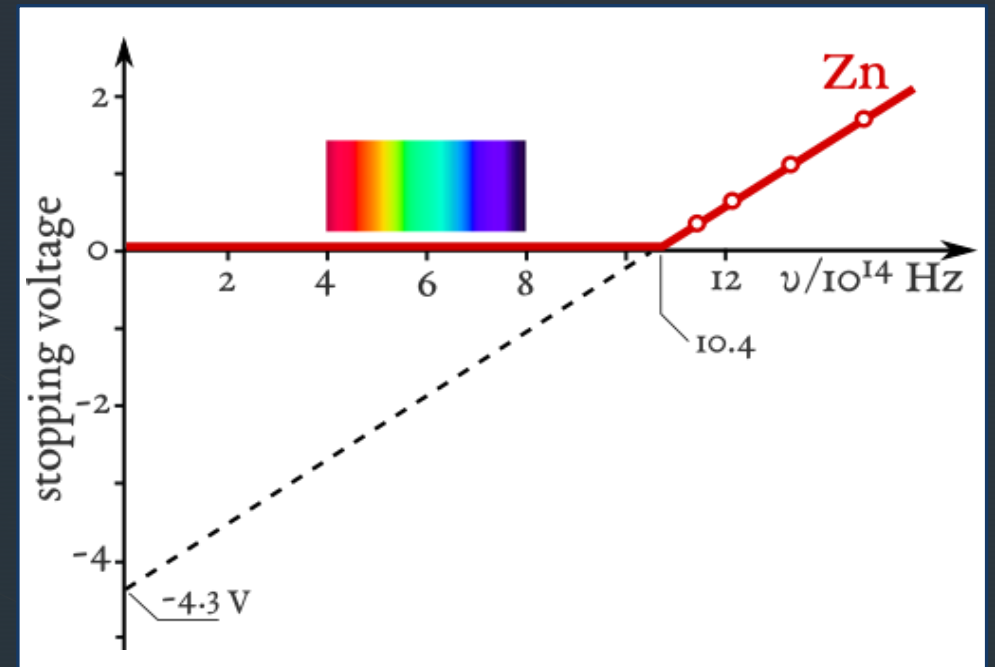


# Glimpses of a World Beyond Classical: Photoelectric Effect (Einstein, 1905)

- Electrons emitted from material due to incident light
- **Classical:** Energy is proportional to intensity of light
- **Experiment:** Minimum frequency required, regardless of intensity...
- **Solution:** Einstein takes Plank's idea seriously... **photons!**

$$E = h\nu$$

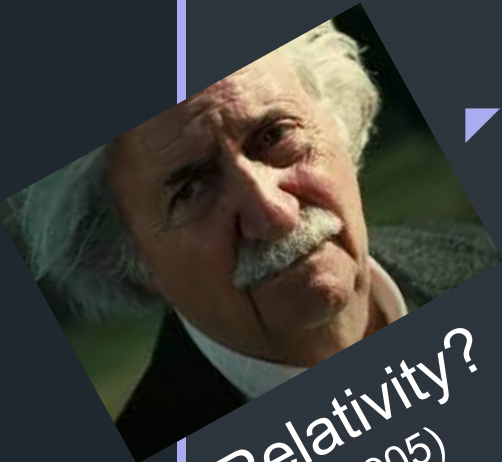
Each electron only absorbs one photon



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Seems to be a pattern...

# A Cool Cat Called Schrödinger



Relativity?  
(1905)

- In 1926, Schrödinger put the pieces together
- We can motivate the Schrödinger equation by considering the classical energy of a *non-relativistic* particle

$$E = T + V$$

non-relativistic dispersion

$$E \rightarrow \hat{E} = i\hbar \frac{\partial}{\partial t}, \quad T \rightarrow \hat{T} = \frac{\hat{p}^2}{2m}, \quad \hat{p} = -i\hbar \frac{\partial}{\partial x}$$

put hats on things

$$\hat{E}\psi = (\hat{T} + \hat{V})\psi$$

and...

$$i\hbar \frac{\partial}{\partial t} \psi = \left( -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V(x) \right) \psi$$

Schrodinger Eq!



Spin?  
(1925)

# The Klein-Gordon Equation: A Relativistic Quantum Theory

- In 1926, Klein and Gordon developed a quantum theory which is manifestly Lorentz invariant
- We can do so by quantizing the *relativistic* dispersion relation

$$E^2 = (pc)^2 + (mc^2)^2$$

relativistic dispersion

$$E \rightarrow \hat{E} = i\hbar \frac{\partial}{\partial t}, \quad p \rightarrow \hat{p} = -i\hbar \frac{\partial}{\partial x}$$

put hats on it... again

$$\left[ \frac{1}{c^2} \frac{\partial^2}{\partial t^2} - \frac{\partial^2}{\partial x^2} + \frac{m^2 c^2}{\hbar^2} \right] \psi = 0$$

Klein-Gordon Eq!

Lorentz invariant!

But problems persist...



## A Problem in KG Equation: Probability Density is not Positive-Definite

- Continuity Equation

$$\frac{\partial \rho}{\partial t} + \nabla \cdot \mathbf{J} = 0 \quad \rho = i\hbar(\psi^* \frac{\partial \psi}{\partial t} - \psi \frac{\partial \psi^*}{\partial t})$$

- One more initial condition can give us negative density:

$$\frac{\partial^2 \psi}{\partial t^2} \text{ in KG Equation } \implies \psi|_{t=0} \text{ \& \ } \boxed{\frac{\partial \psi}{\partial t}|_{t=0}} \text{ are needed}$$



An extra degree of freedom

- Choose eigenstate as an example:

$$\text{If } \psi = \Phi(\mathbf{r})e^{-iEt/\hbar} \implies \rho = 2E|\Phi|^2 < 0 \text{ for } E < 0$$

# How to Construct the Dirac Equation

Taking the square root of the KG Equation

- First-order in both space and time

$$(A\partial_x + B\partial_y + C\partial_z + \frac{i}{c}D\partial_t)\psi = \zeta\psi$$

- Recover KG equation to get relativistic E-P relation again

$$(A\partial_x + B\partial_y + C\partial_z + \frac{i}{c}D\partial_t)^2 = \nabla^2 - \frac{1}{c^2}\partial_t^2 \quad \zeta^2 = (\frac{mc}{\hbar})^2$$

- Four numbers are not enough, we need four matrices!

$$\{\gamma^\mu, \gamma^\nu\} = 2\eta^{\mu\nu} I_{4\times 4}$$

$$(i\hbar\gamma^\mu\partial_\mu - mc)\psi = 0$$

- Probability density is positive-definite again

$$\frac{\partial}{\partial t}(\psi^\dagger\psi) + \nabla \cdot (\psi^\dagger\boldsymbol{\alpha}\psi) = 0 \quad \rho = \psi^\dagger\psi \geq 0$$

Spinor!

But, how to explain the NEGATIVE energy solution?



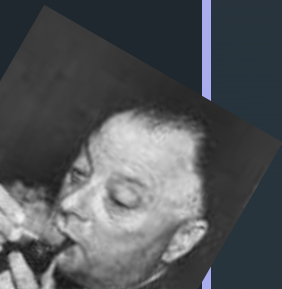
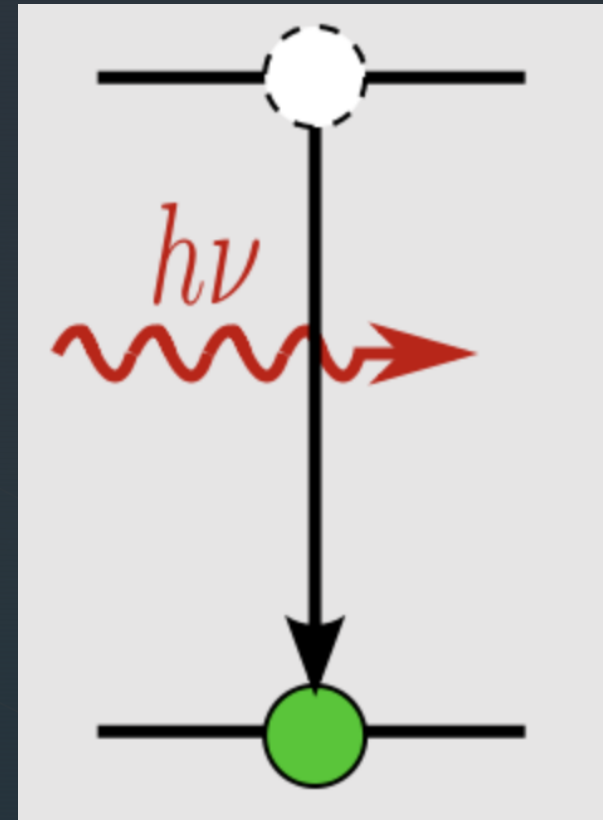
# A Theory of Electrons and Protons: Accounting for Negative Energies

Problem:

- Dirac equation implies negative energy solutions  
-> Electron could emit infinite photons

Solution:

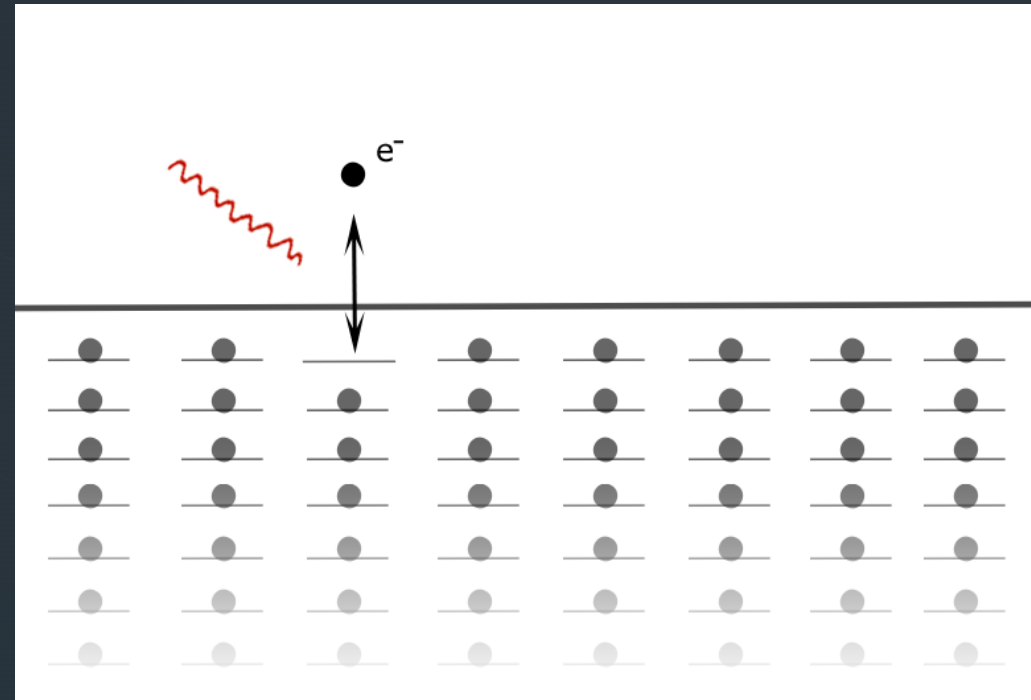
- Negative energy states are all filled in a giant electron "sea" -> Pauli Exclusion applies





# A Theory of Electrons and Protons: When a Vacuum isn't a Vacuum!

- So, the vacuum is not actually a vacuum??
- It's just an infinite negative charge!
- With an occasional "anti-electron" hole
  - Dirac theorized this to be the proton





Oppenheimer first reading  
Dirac's "A Theory of Electrons  
and Protons" (colorized)

# Stormy Seas: Oppenheimer's Response

- The proton can't be the anti-electron, since they need to annihilate each other
- If the proton and electron annihilated each other, the universe would be nothing but radiation
- There must be an infinite density of positive electricity to prevent the divergence of the E field to be infinite everywhere

# How to detect a positron: instrumentation

## 1911 Wilson Cloud Chamber



**Directly observe particle tracks**

**Piston + film allowed rapid observations**

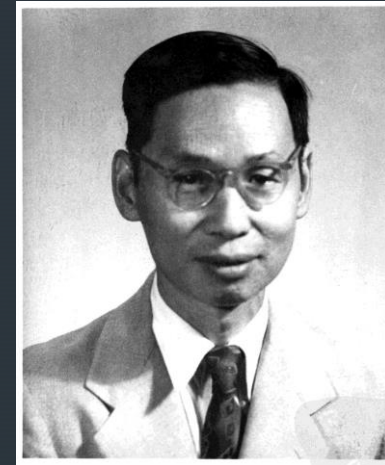
## 1927 Dmitri Skobeltsyn



**Discovers ionizing cosmic rays**

**Adds magnetic field to cloud chamber**

## 1929 Chung-Yao Chao



**Grad student at Caltech**

**First noticed positive charge particle tracks in cloud chamber**

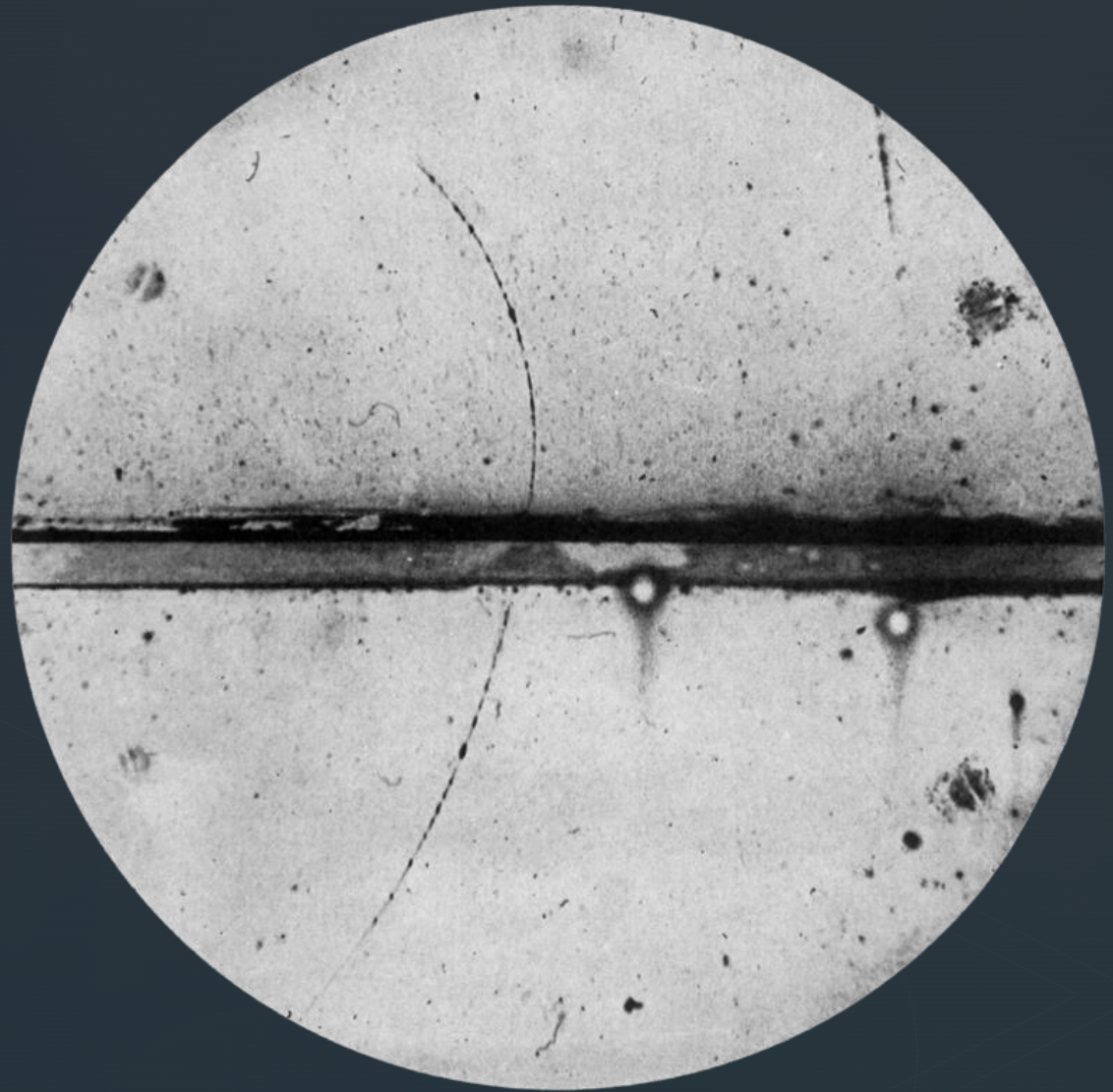


# 1933 Anderson's Discovery of the Positron!



Carl Anderson with his cloud chamber surrounded by an electromagnet, Caltech, 1932 (Caltech.edu)

- 1300 photographs, 15 positron tracks
- lead plate used to illustrate trajectory direction and determine sign of charge
- Close to electron charge, protons eliminated due to curvature



# Implications of positron discovery

Anderson notes the following conclusions from the positron discovery.

## The Positive Electron

CARL D. ANDERSON, *California Institute of Technology, Pasadena, California*  
(Received February 28, 1933)

"The positron should prove a stimulus to search for evidence of the existence of negative Protons."

"[the charge of the] positron is very probably equal to that of a free negative electron"

"If the neutron should prove to be a fundamental particle ... the proton will then in all probability be represented as a complex particle consisting of a neutron and positron."

# Where is the Dirac Equation Now?

## From the Sea to QED

Used in modern quantum electrodynamics

$$S_{\text{QED}} = \int d^4x \left[ -\frac{1}{4} F^{\mu\nu} F_{\mu\nu} + \bar{\psi} (i\gamma^\mu D_\mu - m) \psi \right]$$

$\psi$  is a Dirac field!

- Modern quantum field theory describing EM interactions
- The electron ( $\psi$ ) still obeys a Dirac equation
- The modern "theory of electrons and photons"



Feynman in 1959  
after discovering  
QED (colorized)



# Dirac Equation and the Standard Model

Dirac kinetic term in the action for each fermion ( $\psi$ )

$$i\bar{\psi}\gamma^\mu\partial_\mu\psi \longrightarrow i\gamma^\mu\partial_\mu\psi$$

e.g. The electroweak interaction

$$\mathcal{L}_{\text{EW}} = \sum_{\psi} \bar{\psi}\gamma^\mu \left( \boxed{i\partial_\mu} - g' \frac{1}{2} Y_{\text{W}} B_\mu - g \frac{1}{2} \boldsymbol{\tau} \mathbf{W}_\mu \right) \psi$$

...and quantum chromodynamics

$$\mathcal{L}_{\text{QCD}} = i\bar{U} \left( \boxed{\partial_\mu} - ig_s G_\mu^a T^a \right) \gamma^\mu U + i\bar{D} \left( \boxed{\partial_\mu} - ig_s G_\mu^a T^a \right) \gamma^\mu D.$$

Up quark Down quark

# Only 1154 Citations

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- The Dirac Sea was a landmark paper that predicted the existence of antimatter
- Dirac's interpretation was made mostly obsolete by the development of modern QED
- Even though it wasn't as timeless as its predecessor (which has 6000+ citations), it was a fascinating look into Dirac's mind and the interesting conclusions he reached with the limited knowledge of his time.



Thanks for listening!!!  
Dirac Sea you later!!

