

UNIVERSITY OF ILLINOIS
AT URBANA-CHAMPAIGN

Physics 525

Survey of Fundamental Device Physics

Lecture 3. Eugene V Colla



Agenda of the lecture:

- **Dielectrics**
- **Ferroelectrics**
- **Main properties**
- **Relaxors**
- **Applications**



Electric Displacement Field. Dielectric Susceptibility

Electric field E in material causes appearance of local electrical dipole moment and electrical displacement field D could be define as:

$$\vec{D} = \epsilon_0 \vec{E} + \vec{P}$$

ϵ_0 – vacuum permittivity; $\epsilon_0 = 8.85 \times 10^{-12} \text{ F/m}$. Polarization P depends on applied electrical field as: $P = \chi E$ where χ is dielectric susceptibility.

χ is the property on the material.

Finally:

$$\vec{D} = \epsilon_0 \vec{E} + \vec{P} = \epsilon_0 \vec{E} + \chi E = \epsilon_0 (1 + \chi) E = \epsilon_0 \epsilon_r E$$

ϵ_r – relative permittivity; $\epsilon_r = 1 + \chi$



Dielectric Susceptibility. Nonlinear Properties.

In general, χ could depend on the electrical field and equation for the nonlinear dependence of P on E can be presented as:

$$P(E) = P_0 + \epsilon_0 \chi^1 E + \epsilon_0 \chi^2 E^2 + \epsilon_0 \chi^3 E^3 + \dots$$

For non ferroelectric materials the built-in polarization $P_0 = 0$

$\chi^2, \chi^3 \dots$ are the nonlinear susceptibility terms of Tylor expansion. The nonlinear properties of dielectrics and especially of ferroelectrics are widely used in optics.

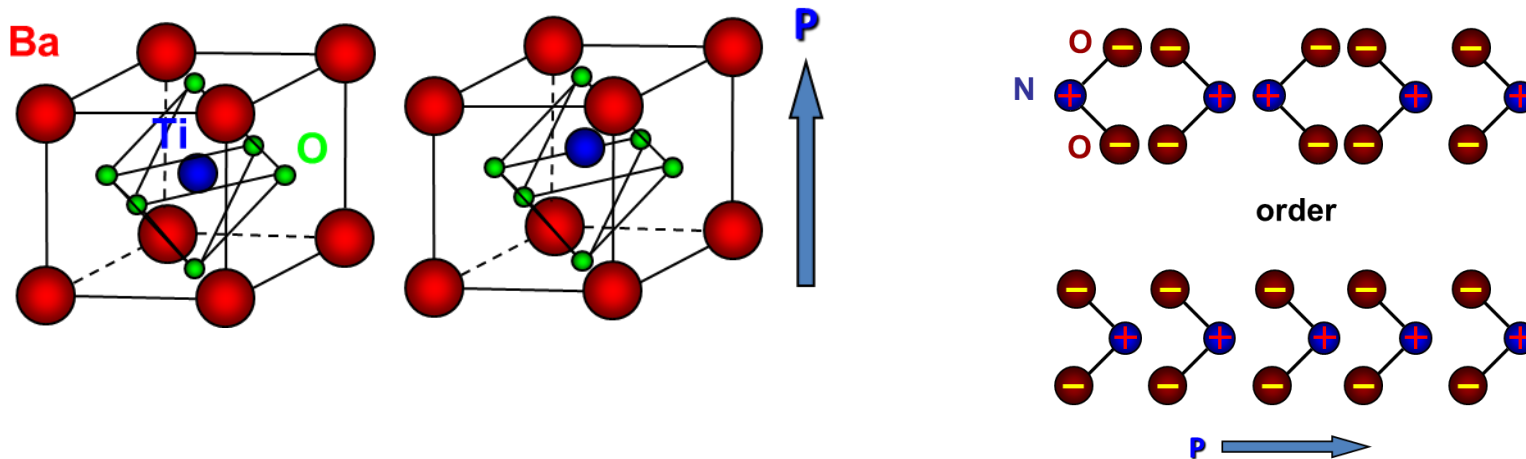


Ferroelectric Phase Transition.

Ferroelectric is a class of dielectric materials exhibiting the spontaneous electric polarization and this polarization can be reversed by applying of the electrical field.

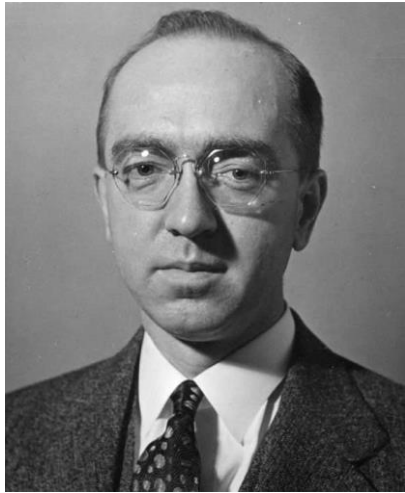
Appearance of the spontaneous polarization is associated with phase transition usually related to changes of the crystallography structure of the material. Usually, this phase transitions are of the first order.

Two main classes of ferroelectrics could be revealed: displacive and order-disorder

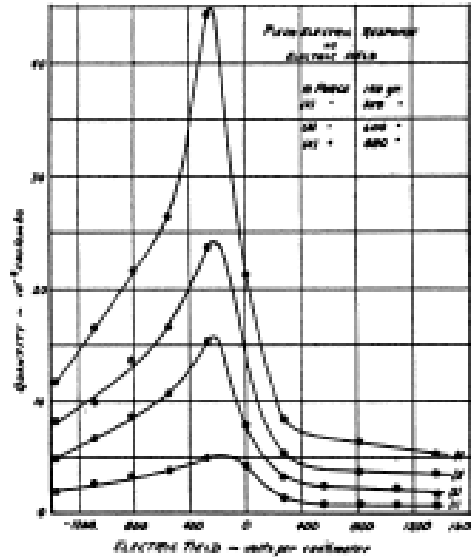


Ferroelectricity. Discovery.

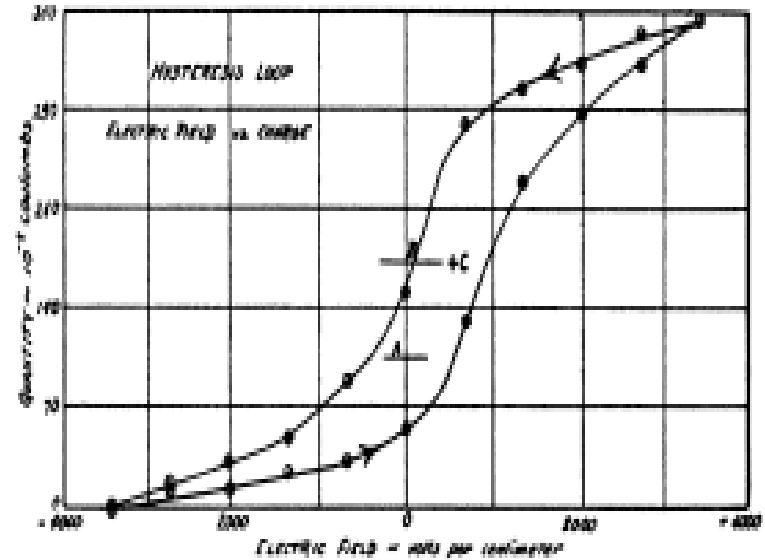
PIEZO-ELECTRIC AND ALLIED PHENOMENA IN ROCHELLE SALT.¹



Joseph Valasek
(1897–1993)



Temperature dependency of dielectric susceptibility of Rochelle salt



P- E hysteresis loops

In 1920 J Valasek (University of Minnesota) demonstrated the ferroelectric properties observe on Rochelle salt ($\text{KNaC}_4\text{H}_4\text{O}_6 \cdot 4\text{H}_2\text{O}$). This gave a start of the intensive research in this area.



Phys.Rev, 17, 475, 1921;



Ferroelectricity. Materials. Potassium Dihydrogen Phosphate. KDP.



Georg Bush
(1908-2000)



Paul Scherrer
(1890-1969)

G. Busch and P. Scherrer, *Naturwissenschaften* 23, 737 (1935)
Eine neue seignette-elektrische Substanz

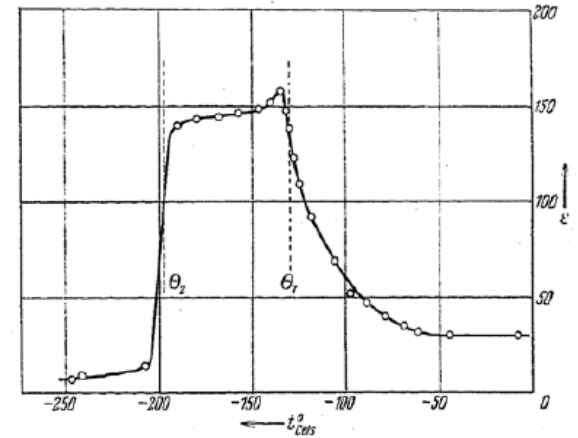
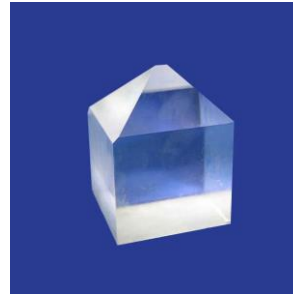
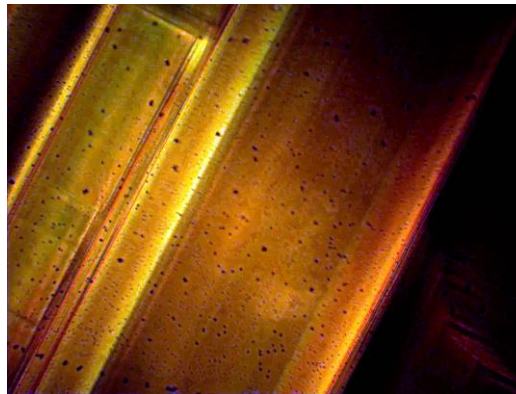
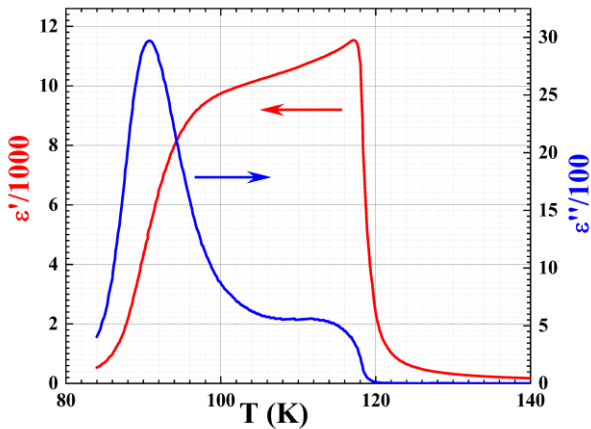


Fig. 1. Temperaturverlauf der Dielektrizitätskonstanten ϵ_{33} an KH_2PO_4 .

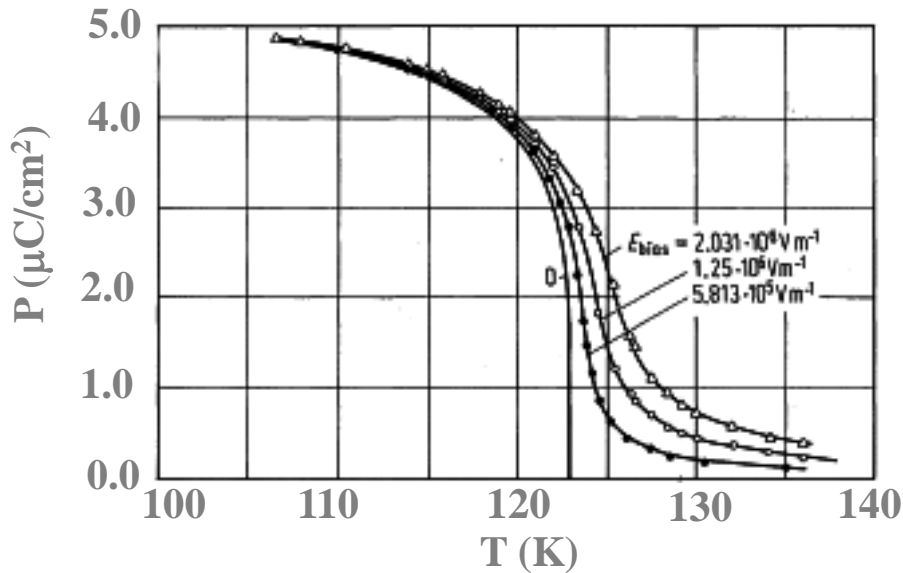


Dielectric susceptibility and the domain structure ($T < 110\text{K}$). Courtesy of Physics 403 Lab



Ferroelectricity. Materials. KDP family.

		T_C (K)	P_s ($\mu\text{C}/\text{cm}^2$)
KDP type	KH_2PO_4	123	4.75
	KD_2PO_4	213	4.83
	RbH_2PO_4	147	5.6



c axis polarization of KDP measured under different DC biases (Chabin, M., Gilletta, F.: *Ferroelectrics* 15 (1977) 149.)

Applications of KDP:



2 Bags 40g Flower Vegetable Planting Potassium Dihydrogen Phosphate Fertilizer \$7.98



Developing KH_2PO_4 and KD_2PO_4 Crystals for the World's Most Power Laser

(*International Materials Reviews*, 47:3, 113-152, 2002)



Ferroelectricity. Materials. Barium Titanate. Perovskites.



Arthur R. von Hippel
1898-2003

REVIEWS OF MODERN PHYSICS

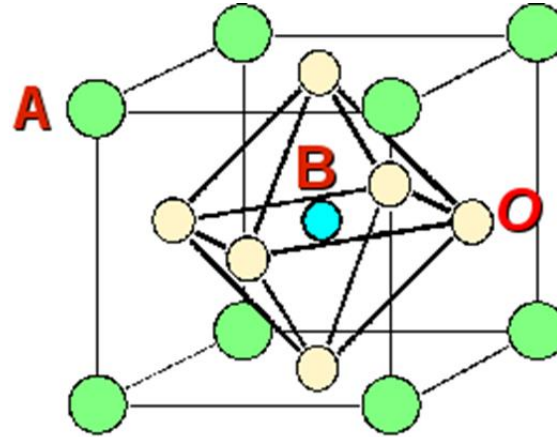
VOLUME 22, NUMBER 3

JULY,

Ferroelectricity, Domain Structure, and Phase Transitions of Barium Titanate*†

A. VON HIPPEL

Laboratory for Insulation Research, Massachusetts Institute of Technology, Cambridge, Massachusetts



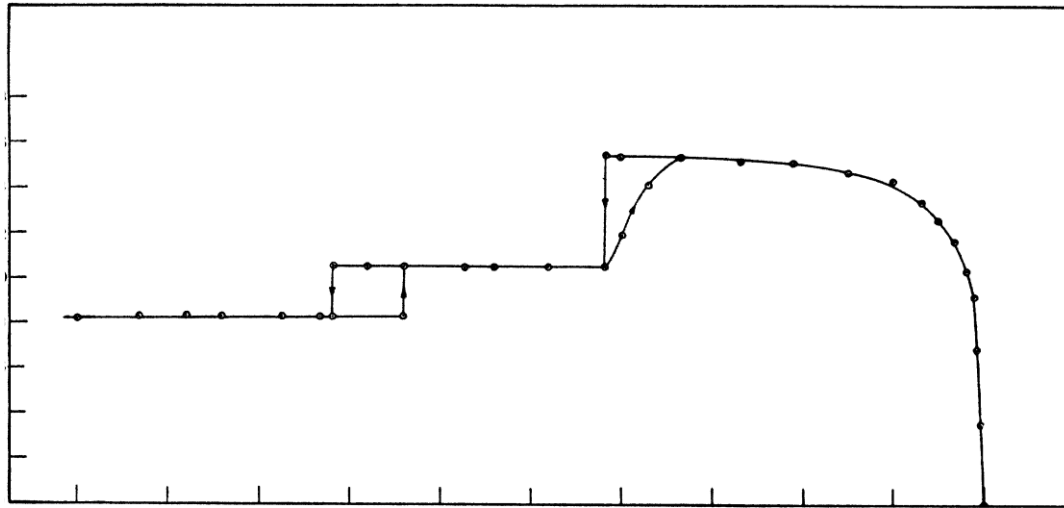
ABO₃

**Perovskite structure
Barium Titanate:
A – Ba
B – Ti**

		T_C (K)	P_s ($\mu\text{C}/\text{cm}^2$)
Perovskites	BaTiO₃	408	26
	KNbO ₃	708	30
	PbTiO ₃	765	>50
	LiTiO ₃	938	50
	LiNbO ₃	1480	71



Ferroelectricity. Materials. Barium Titanate.



PHYSICAL REVIEW

VOLUME 76, NUMBER 8

OCTOBER 15, 1949

The Electric and Optical Behavior of BaTiO_3 Single-Domain Crystals*

WALTER J. MERZ

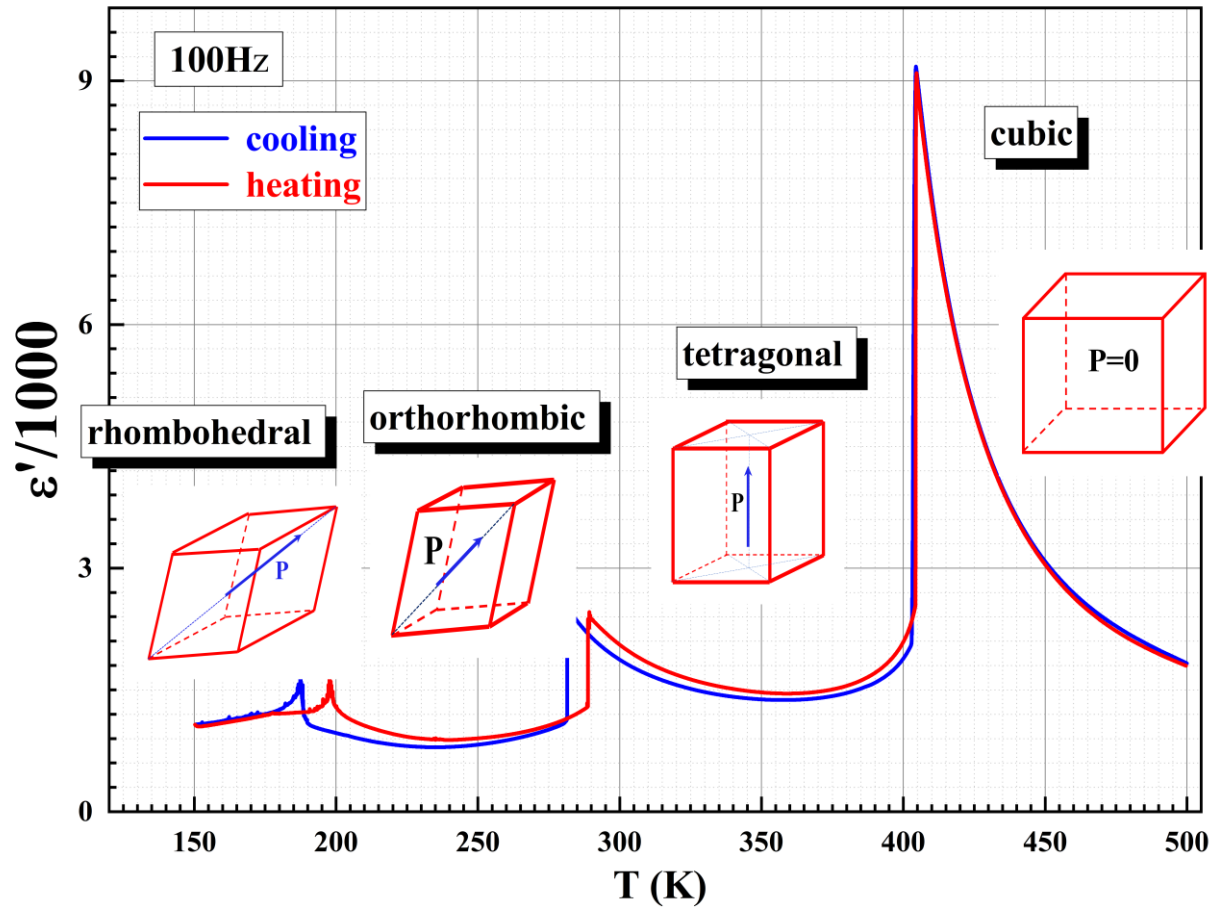
Laboratory for Insulation Research, Massachusetts Institute of Technology, Cambridge, Massachusetts

(Received June 16, 1949)



Ferroelectricity. Materials. Barium Titanate.

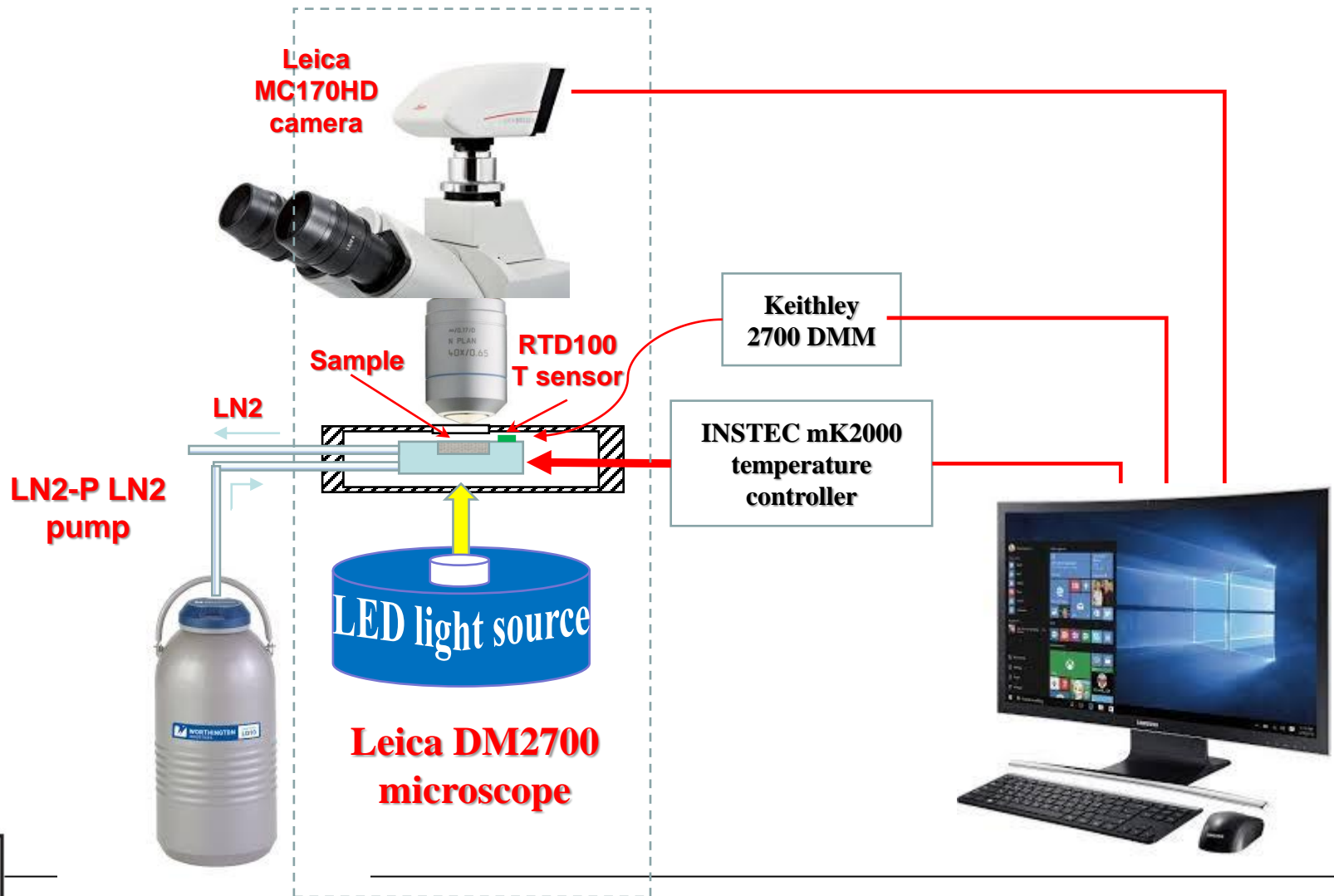
BaTiO₃, single crystal.



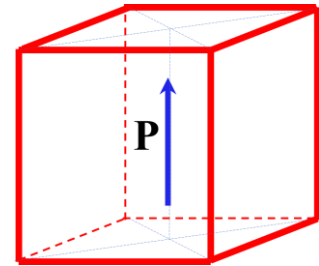
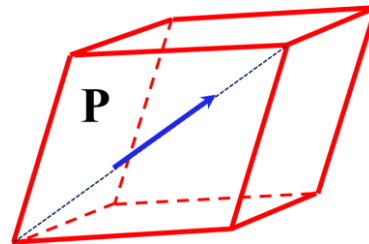
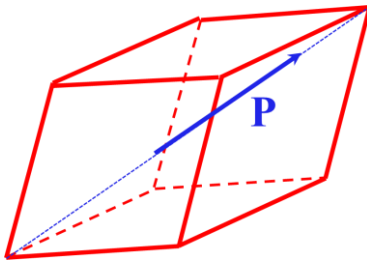
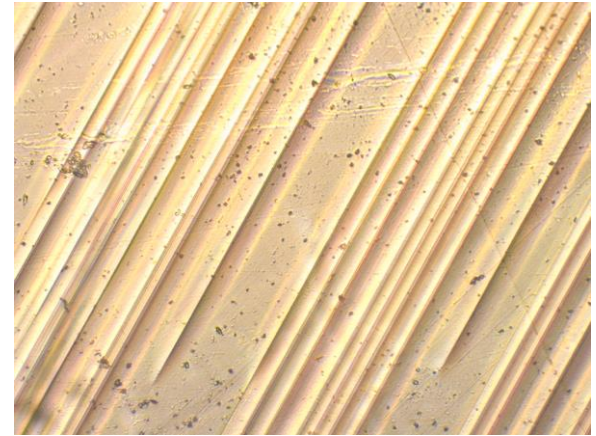
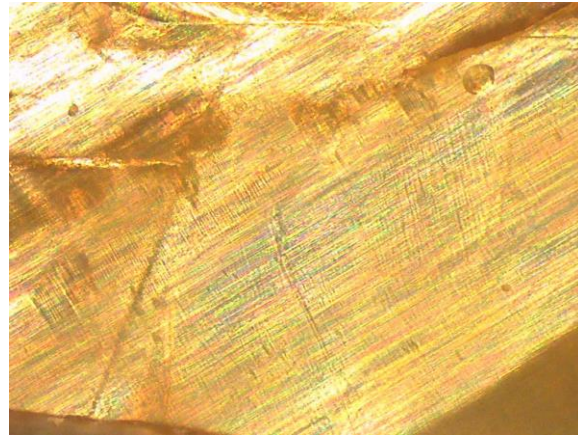
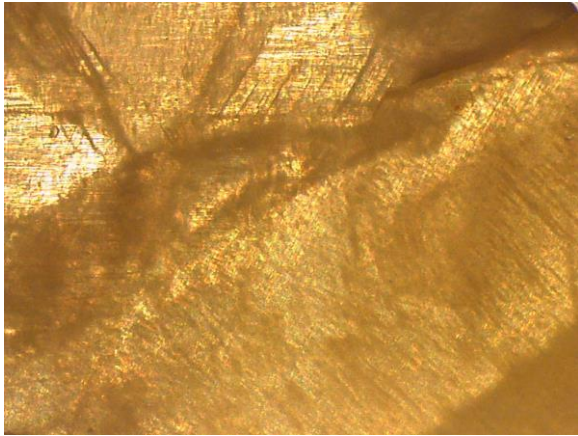
Courtesy of P403 course



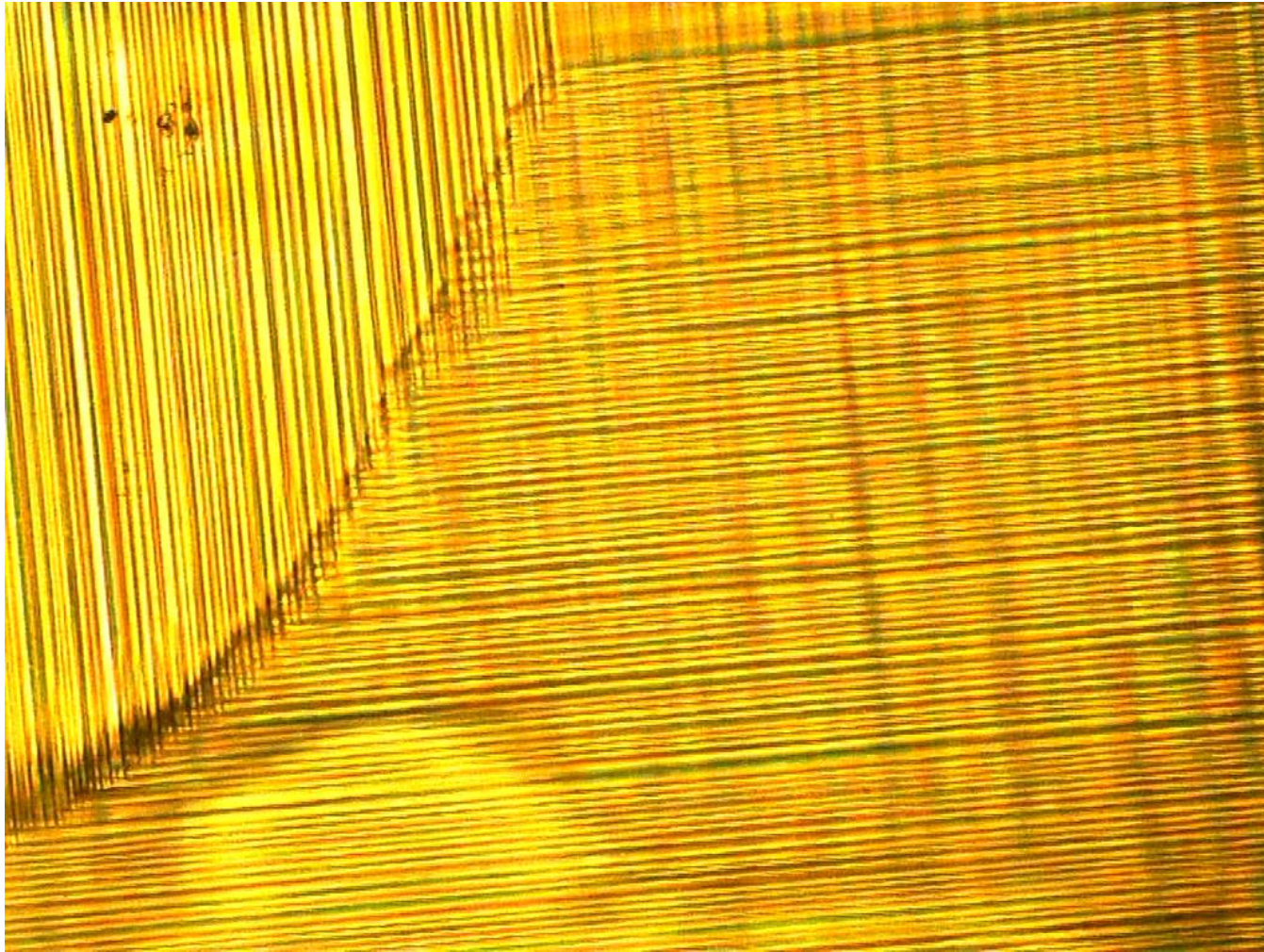
Ferroelectricity. Domains. Polarizing Microscopy.



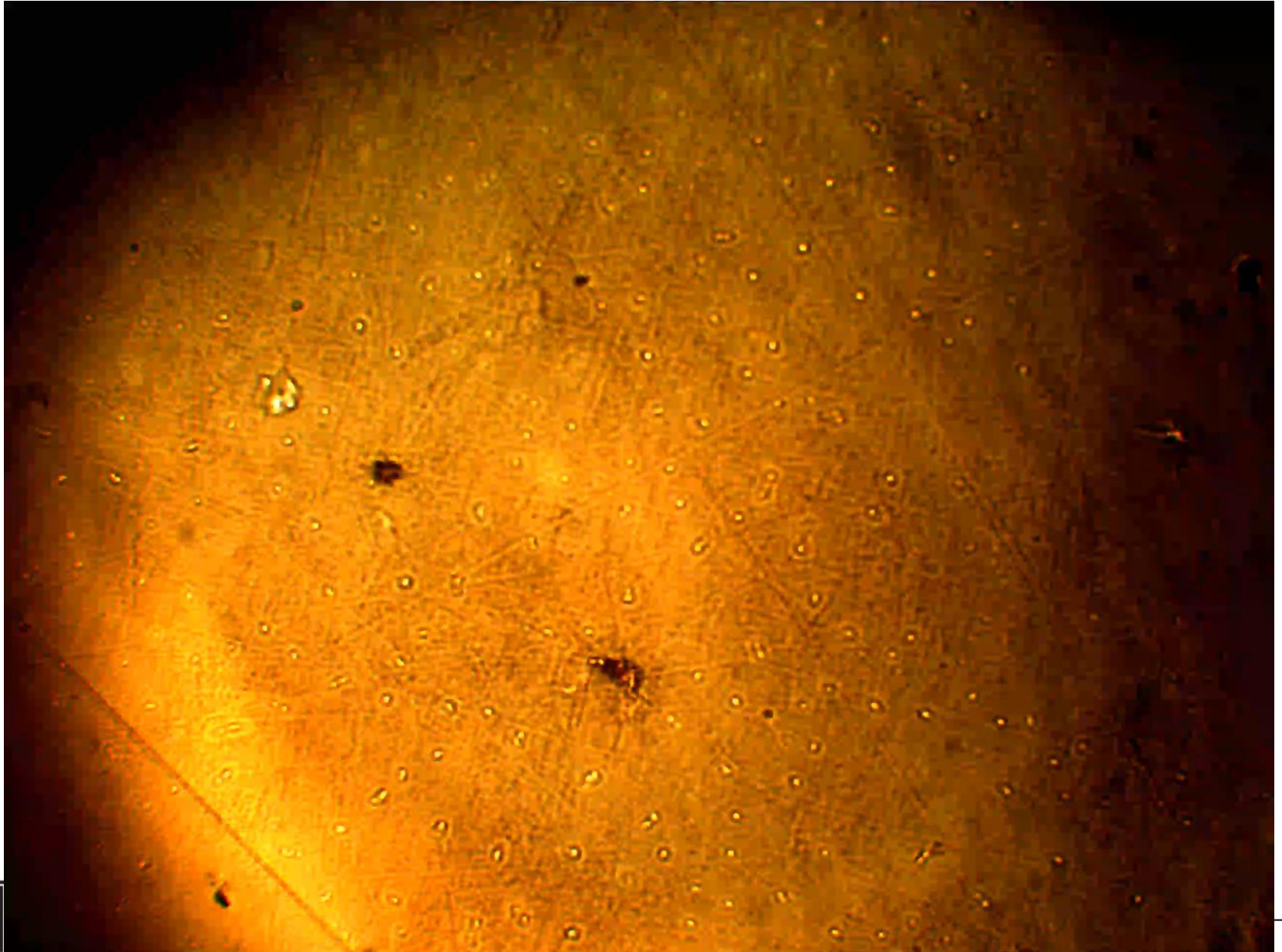
Ferroelectricity. Materials. Barium Titanate. Domains. Different Phases.



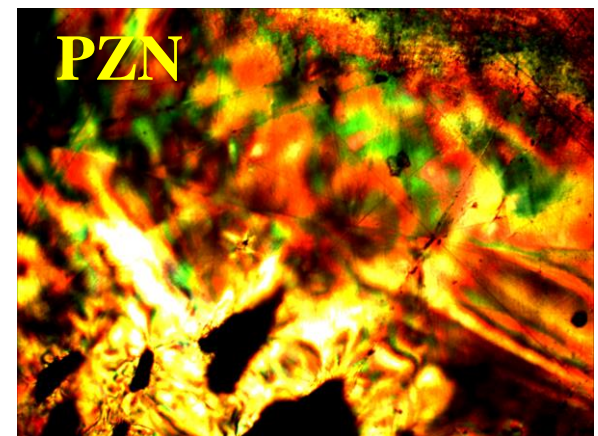
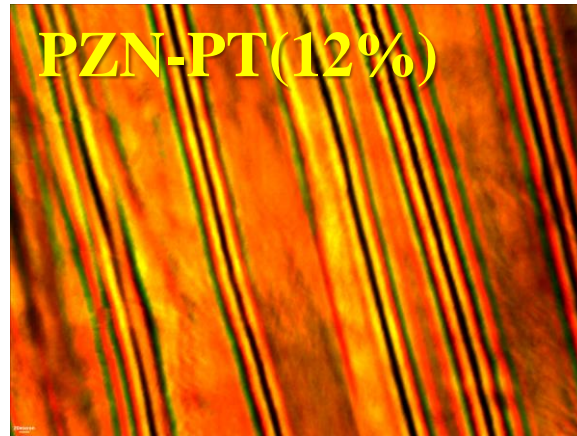
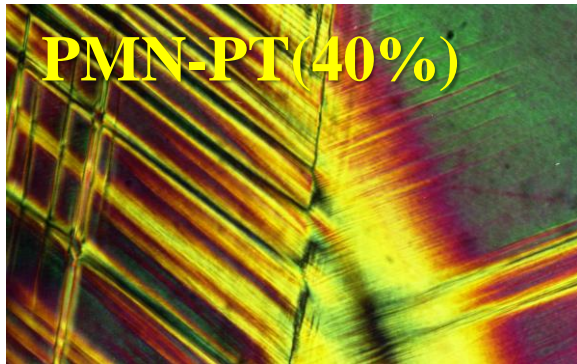
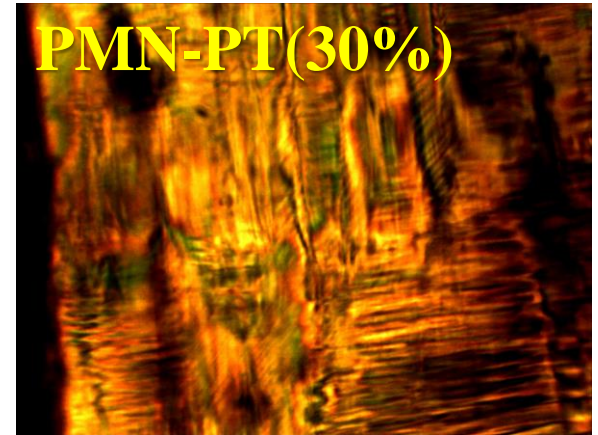
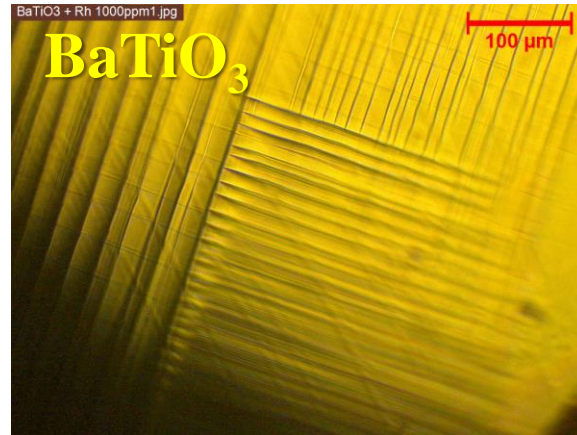
Ferroelectricity. Materials. Barium Titanate. Tetragonal to Cubic Phase Transition.



Ferroelectricity. Materials. DKDP.

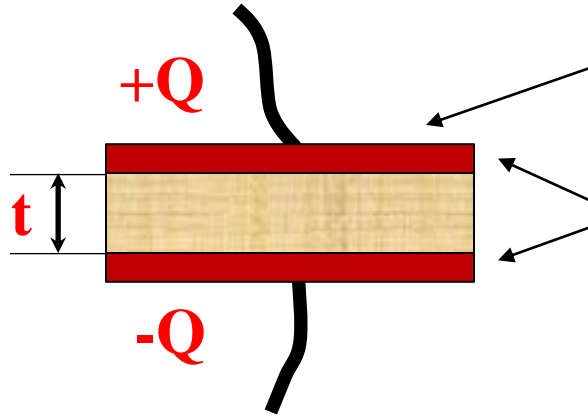


Ferroelectric Domains. The Case of Art.



Ferroelectricity. Switching the Polarization. P-E Hysteresis.

Q



A

$$P = Q \cdot t$$

$$p = \frac{P}{V} = \frac{Q * t}{A * t} = \frac{Q}{A};$$

Sample as a flat capacitor

p – polarization per unit volume
(C/m²; convenient units - μC/cm²)



Ferroelectricity. P-E Hysteresis. Measuring Technique.

Crossing the critical temperature point ferroelectric exhibits the polarization – separation the charges and this causes the appearance of polarization current I_p

$$I_p = \frac{dQ}{dt}$$

Polarization can be calculated as:

$$p = \frac{P}{V} = \frac{1}{A} \int I_p dt$$



Ferroelectricity. P-E Hysteresis. Measuring Technique.

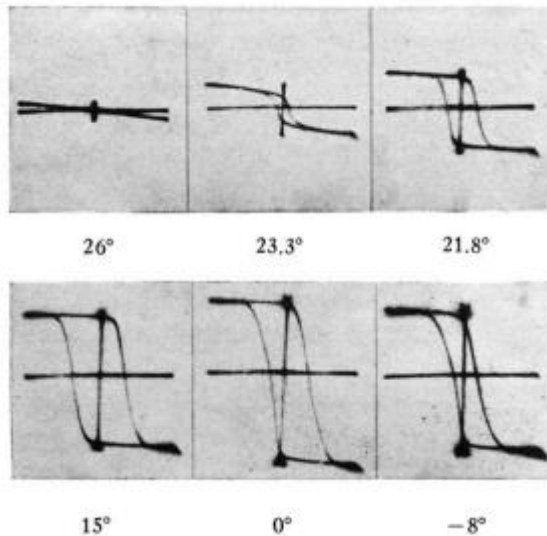
FEBRUARY 1, 1930

PHYSICAL REVIEW

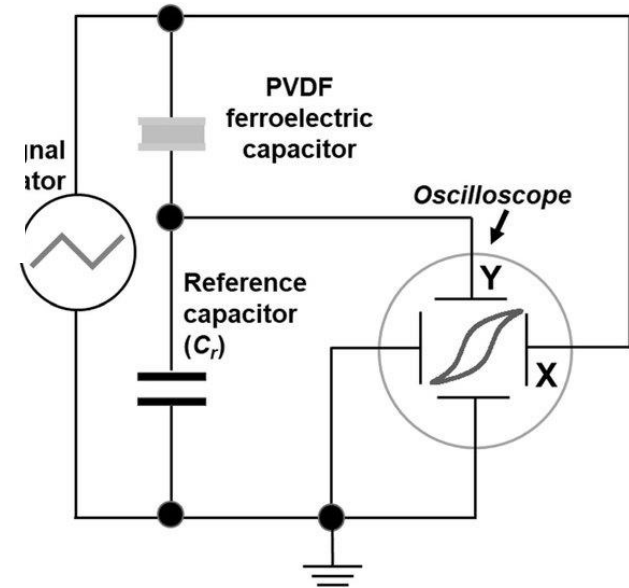
VOLUME 35

ROCHELLE SALT AS A DIELECTRIC

BY C. B. SAWYER AND C. H. TOWER
THE BRUSH LABORATORIES, CLEVELAND
(Received November 6, 1929)



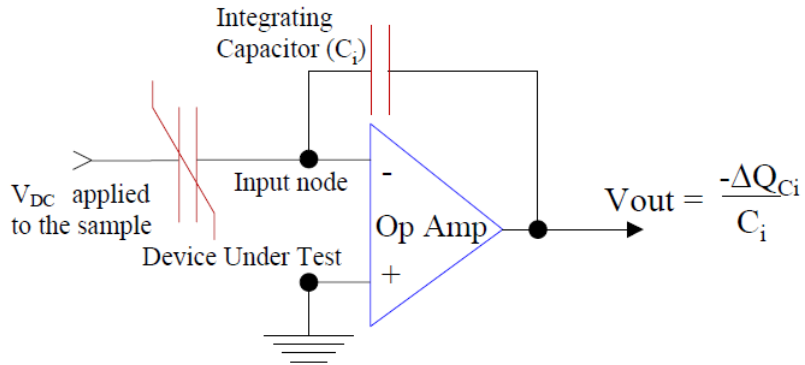
Hysteresis and saturation of Rochelle salt plate



Sawyer-Tower measuring technique

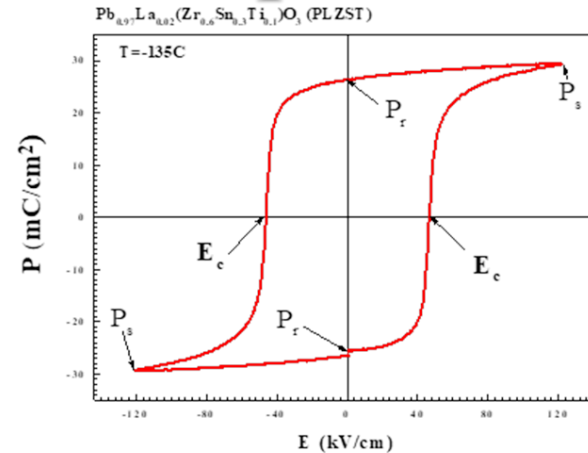


Ferroelectricity. P-E Hysteresis. Measuring Technique.

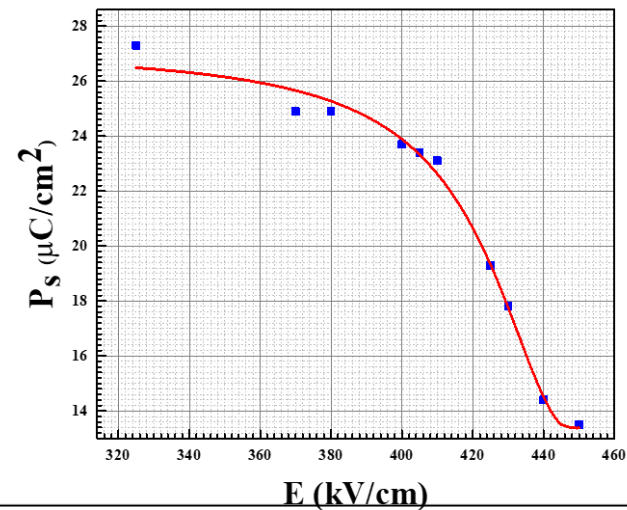


Measuring idea realized in RT66B tester.
(courtesy of Radiant Technology Inc.)

Saturation polarization vs temperature. BaTiO₃,
single crystal. (courtesy Physics 403)



Saturation polarization vs temperature measured on
BaTiO₃ single crystal. (courtesy Physics 403)



Ferroelectricity. Materials. Relaxors.

B-site complex	Lead magnesium niobate (PMN)	$\text{PbMg}_{1/3}\text{Nb}_{2/3}\text{O}_3$
	Lead scandium tantalate (PST)	$\text{PbSc}_{1/2}\text{Ta}_{1/2}\text{O}_3$
	Lead zinc niobate (PZN)	$\text{PbZn}_{1/2}\text{Nb}_{1/2}\text{O}_3$
	Lead indium niobate (PIN)	$\text{PbIn}_{1/2}\text{Nb}_{1/2}\text{O}_3$
A-site complex	Lead lanthanum titanate (PLT)	$\text{Pb}_{1-x}\text{La}_x\text{TiO}_3$
Both sites complex	Lead lanthanum zirconate titanate (PLZT)	$\text{Pb}_{1-x}\text{La}_x\text{Zr}_y\text{Ti}_{1-y}\text{O}_3$
	Potassium lead zinc niobate	$\text{K}_{1/3}\text{Pb}_{2/3}\text{Zn}_{2/9}\text{Nb}_{7/9}\text{O}_3$



L. Eric Cross¹
(1923-2016)



Smolenskii G.A.²
(1910 – 1986)

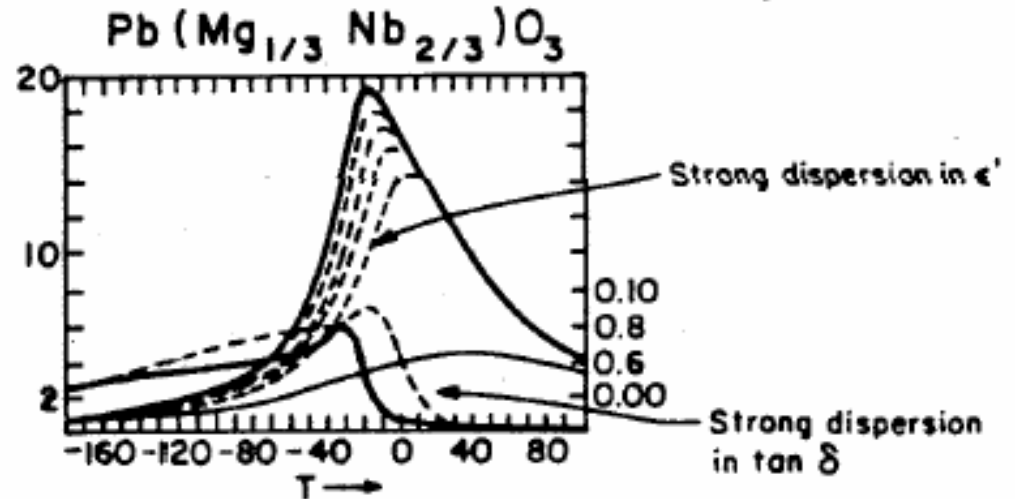
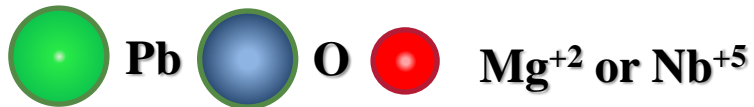
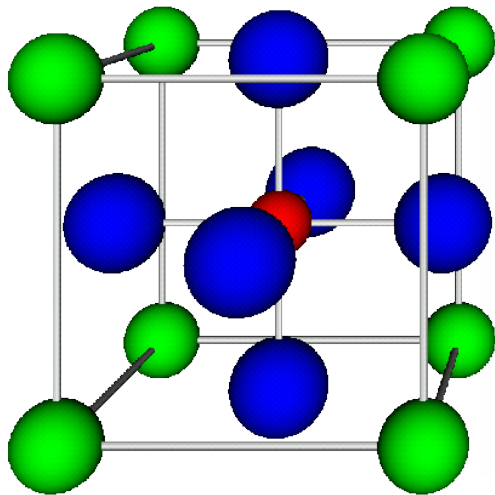
1. Pennsylvania State University, USA
2. A.F. Ioffe Institute, USSR

$\text{AB}_{1(1-x)}\text{B}_2\text{O}_3$ $\text{A}_{1(1-x)}\text{A}_2\text{BO}_3$ $\text{A}_{1(1-x)}\text{A}_2\text{B}_{1(1-y)}\text{B}_2\text{O}_3$ typical complex oxides with perovskite structure



Ferroelectricity. Materials. Relaxors.

Lead magnesium niobate
(PMN)

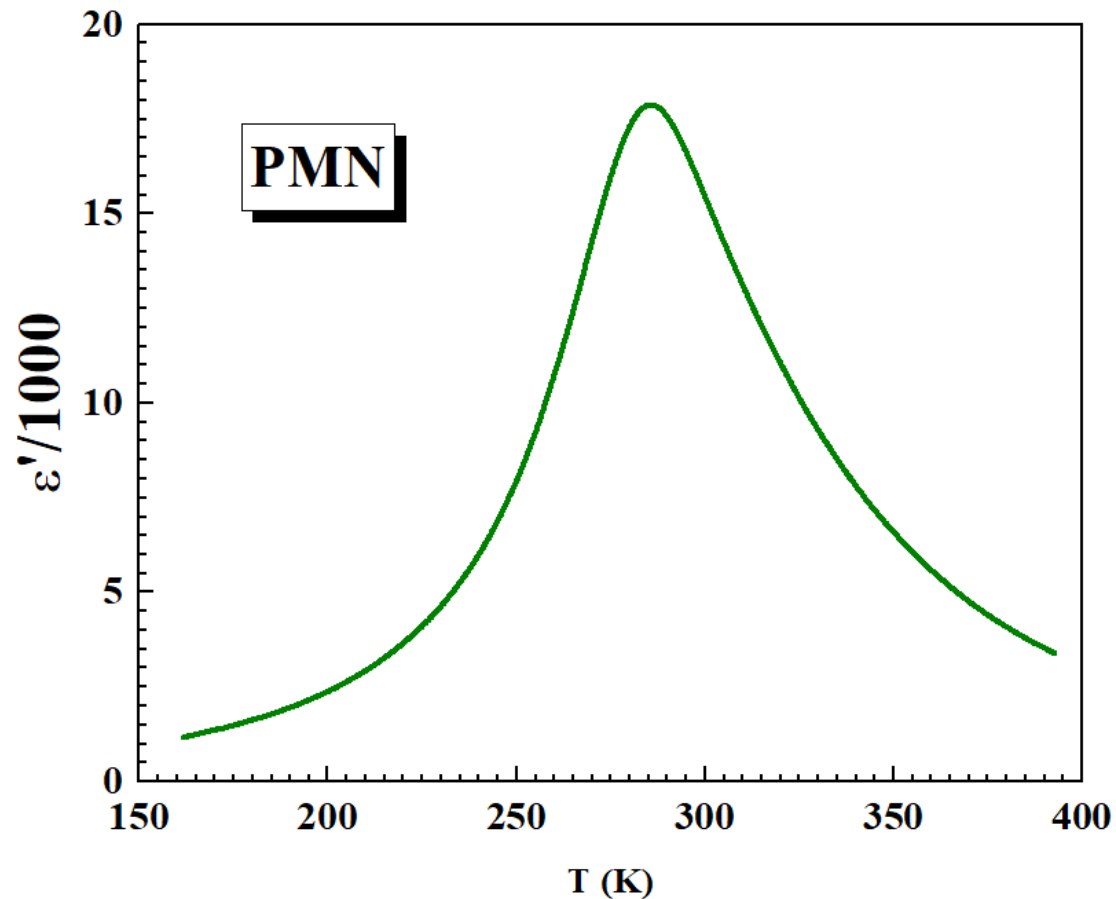
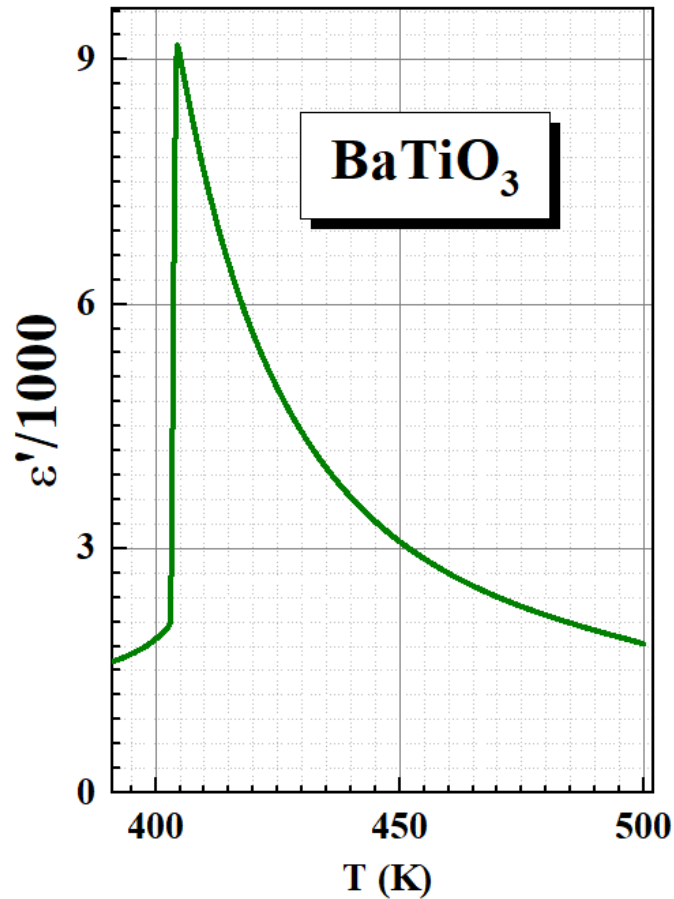


G. A. Smolenskii and A. I. Agranovskaya, "Dielectric Polarization of a Number of Complex Compounds," Soviet Physics Solid State, Vol. 1, 1960, pp. 1429-1437.



Ferroelectricity. Materials. Relaxors. Main Properties.

Broad peak of dielectric permittivity



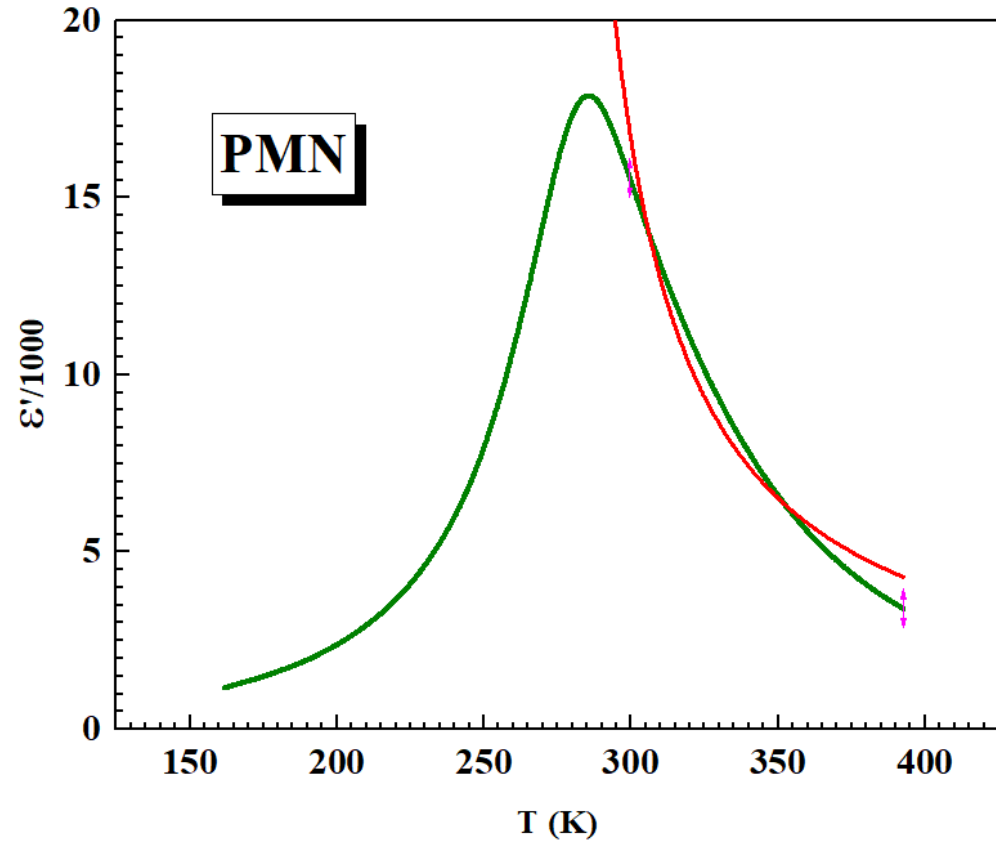
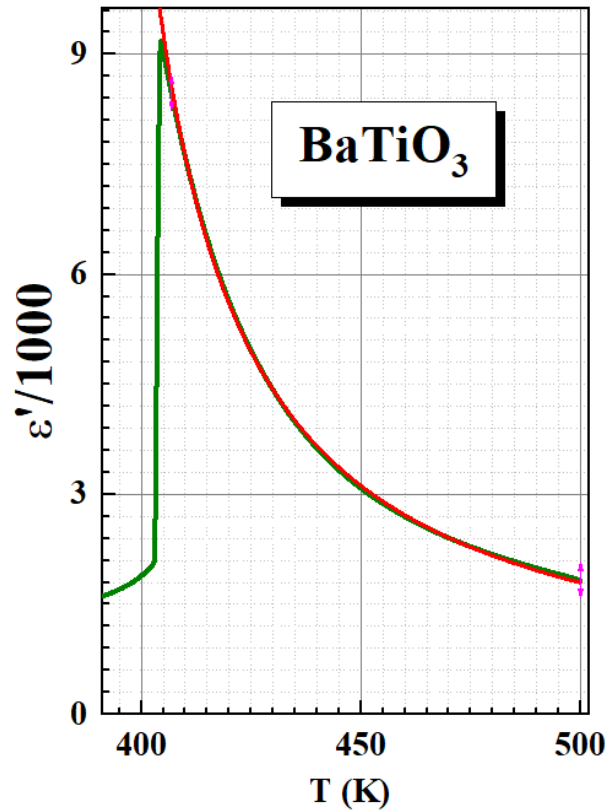
Courtesy of P403 Lab



Ferroelectricity. Materials. Relaxors. Main Properties.

Relaxors do not obey the Curie-Weiss Law

$$\chi' = \frac{C}{T - T_{CW}}; \varepsilon' = \chi' + 1; \chi'$$

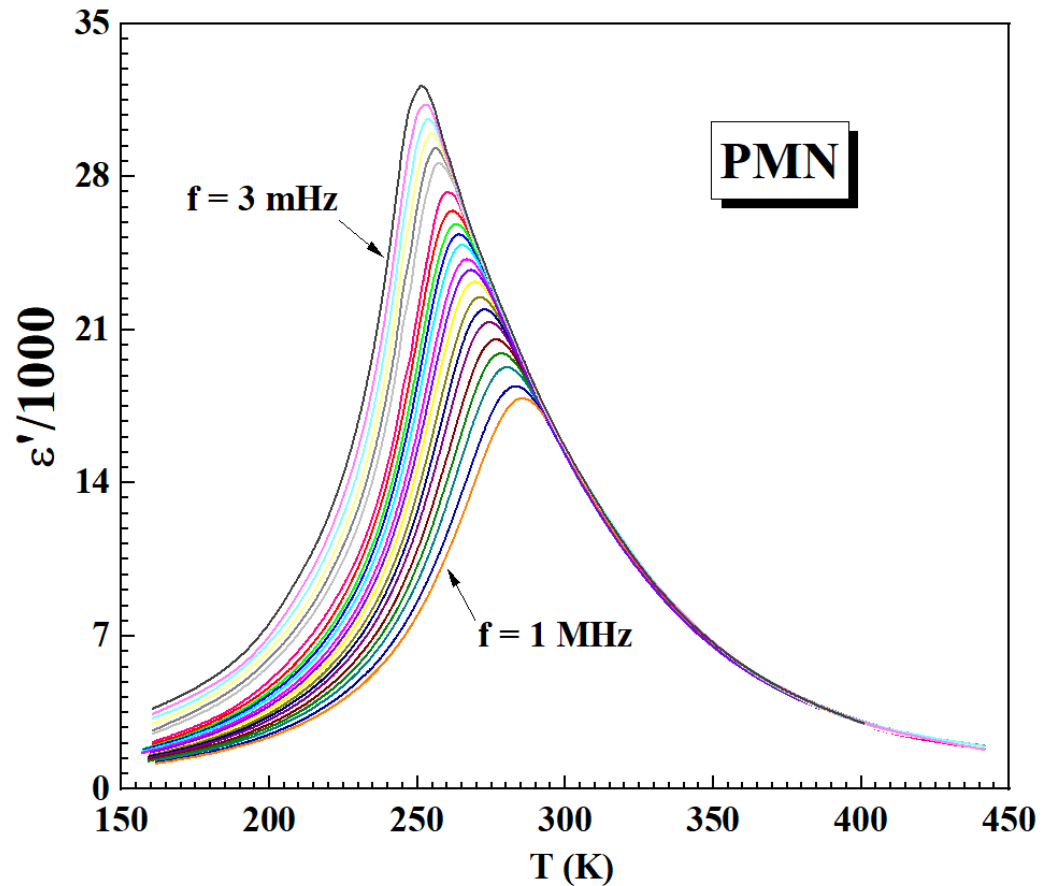
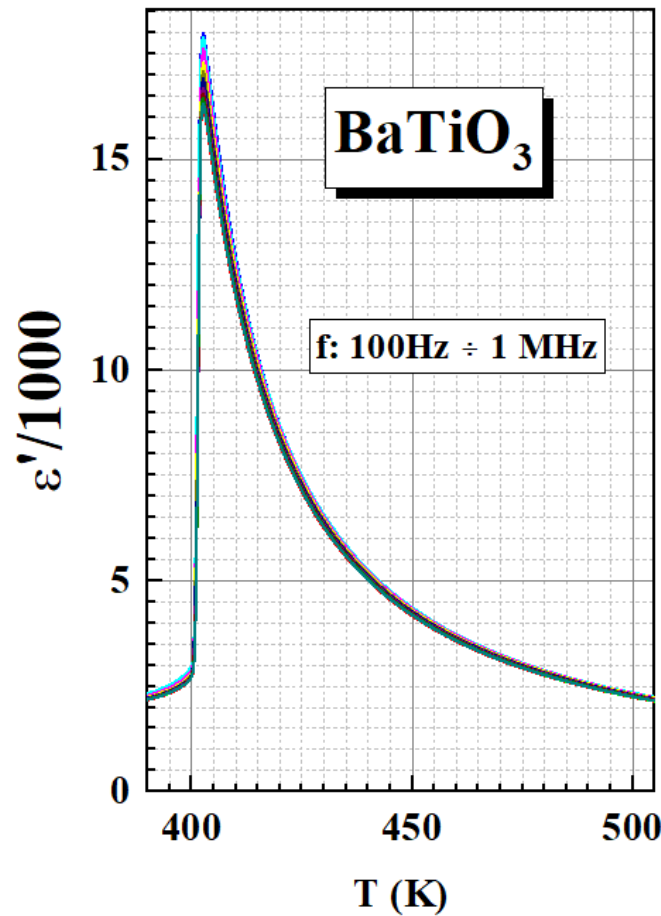


Courtesy of P403 Lab



Ferroelectricity. Materials. Relaxors. Main Properties.

Frequency dispersion of the permittivity peak position

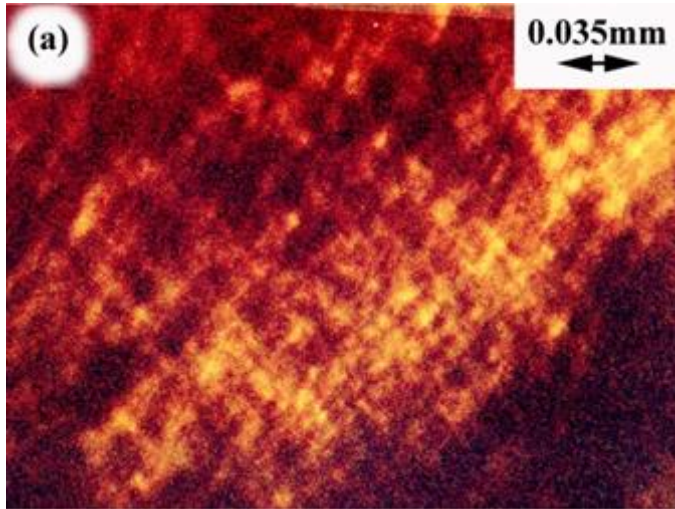


Courtesy of P403 Lab

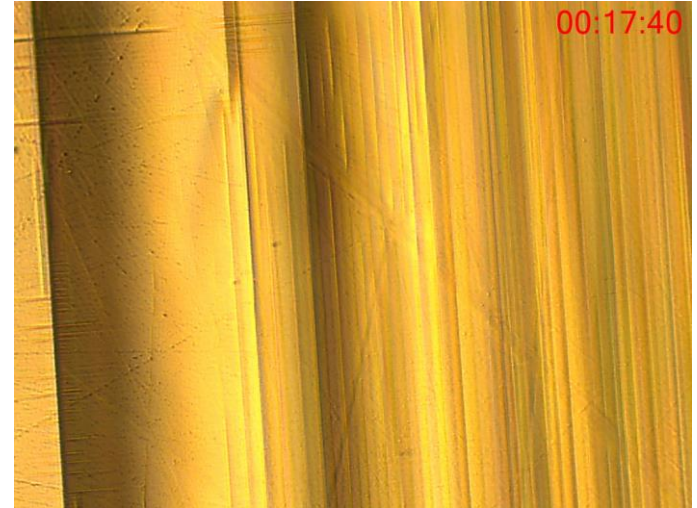


Ferroelectricity. Materials. Relaxors. Main Properties.

Long range ferroelectric order. Ferroelectric domains.



Relaxor (PMN-PT10%)

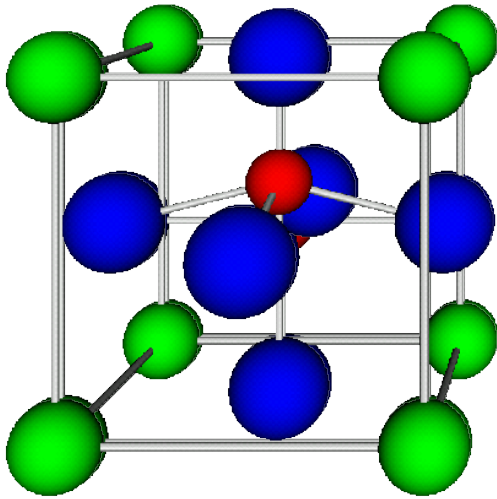


BaTiO₃

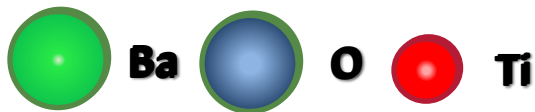


Ferroelectricity. Materials. Relaxors. Main Properties. Structure.

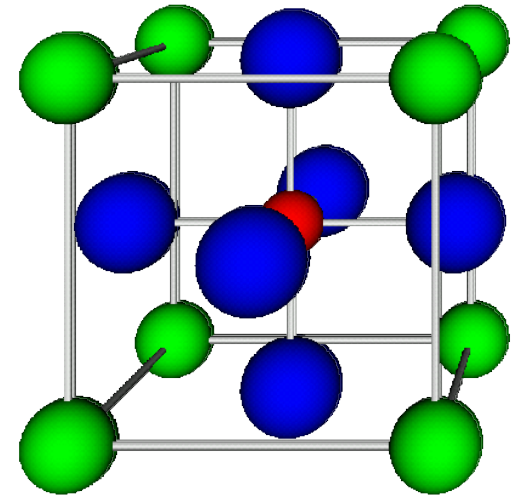
Regular ferroelectric BaTiO_3



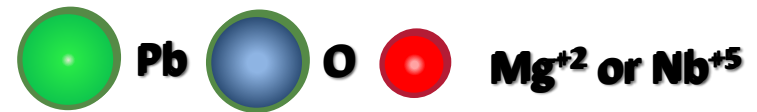
$T \neq T_c$ (tetragonal)



Relaxor - PMN $\text{Pb}(\text{Mg}_{1/3} \text{Nb}_{2/3})\text{O}_3$



(cubic)

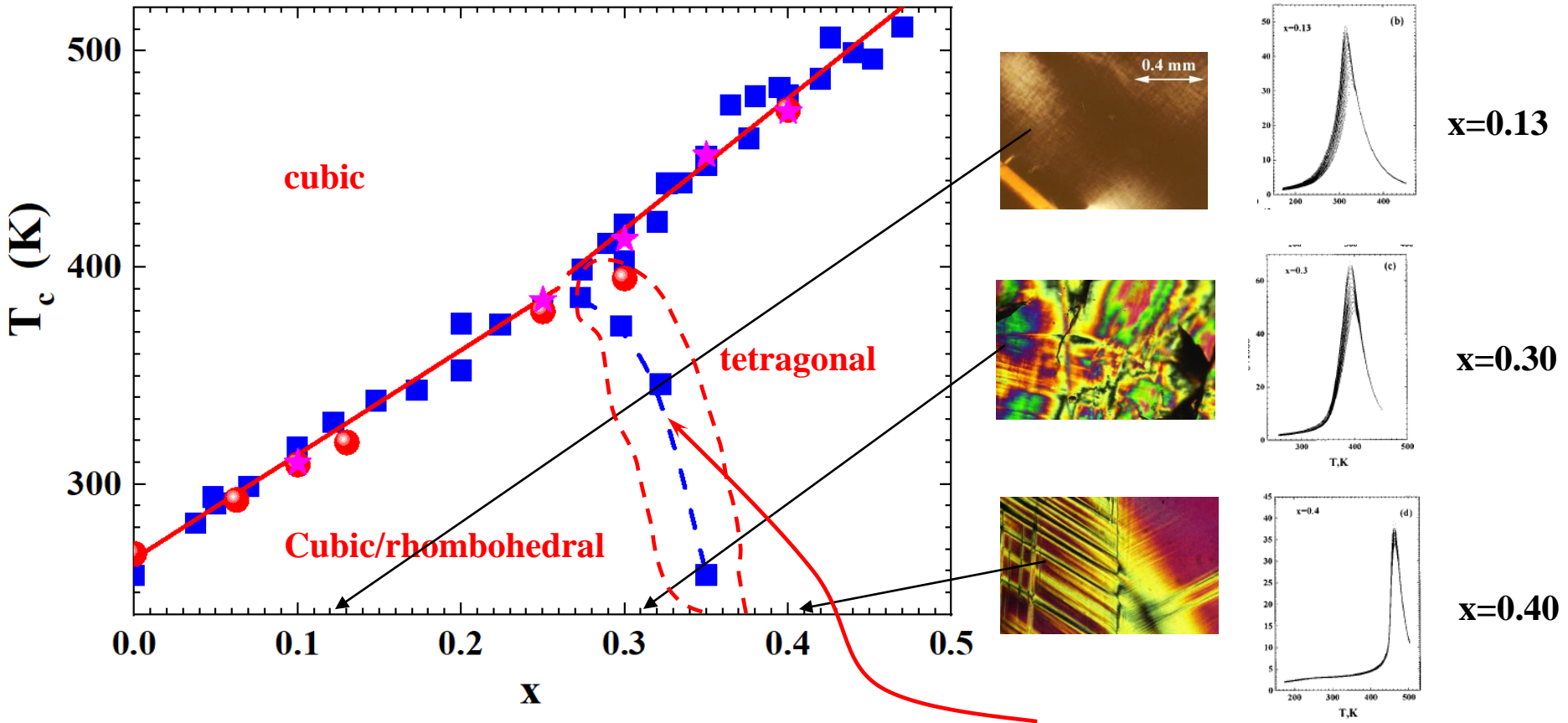


Courtesy of P403 Lab



Ferroelectricity. Materials. Relaxors. Main Properties. PMN-PT Solid Solution.

PbTiO_3 : $T_c=763$ K



Eugene V Colla et al., JAP, 83, 3298, (1998)

Morphotropic phase boundary



Piezoelectricity.

Piezoelectric materials are a class of materials which can be polarized, in addition to an electric field, also by application of a mechanical stress*

Direct piezoelectric effect: stress X_{jk} applied to piezoelectric material results in charge density D_i

$$D_i = d_{ijk} X_{jk} \quad (1)$$

d_{ijk} – third rank tensor of piezoelectric coefficients. Units - $\frac{C}{N} \equiv \frac{A \cdot s^3}{kg \cdot m}$

Converse piezoelectric effect: piezoelectric material changes the dimensions by application of the electrical field E

$$x_{ij} = d_{kij} E_k = d_{ijk}^t E_k$$

x_{ij} – strain, d_{ijk}^t – transposed matrix. Units - $\frac{m}{V} \equiv \frac{A \cdot s^3}{kg \cdot m}$

direct and converse piezoelectric effects are thermodynamically identical i.e.

$$d_{direct} = d_{converse}$$

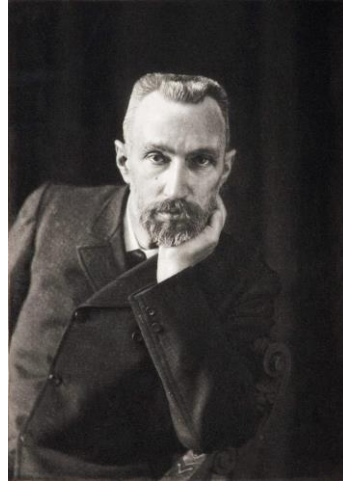


* D. Damjanovic, Rep. Prog. Phys. 61, 1267-1324 (1998)

Ferroelectricity. Main Properties. Piezoelectricity.



Paul-Jacques Curie
(1855-1941)



Pierre Curie
(1850-1906)

Natural Piezoelectric' s:

Quartz

Cane sugar,

Rochelle salt

Topaz

Tourmaline

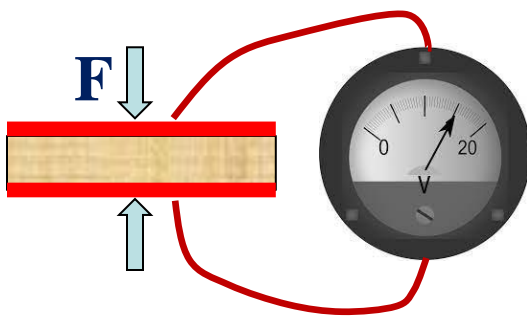
Some manmade materials:

Barium Titanate

Lead Zirconate Titanate

Lead Titanate

(Ferroelectrics in red)



Bulletin de la Société minéralogique de France, volume 3, 4, 1880.

Développement par compression de l'électricité polaire dans
les cristaux hémihédres à faces inclinées,

par MM. JACQUES et PIERRE CURIE.



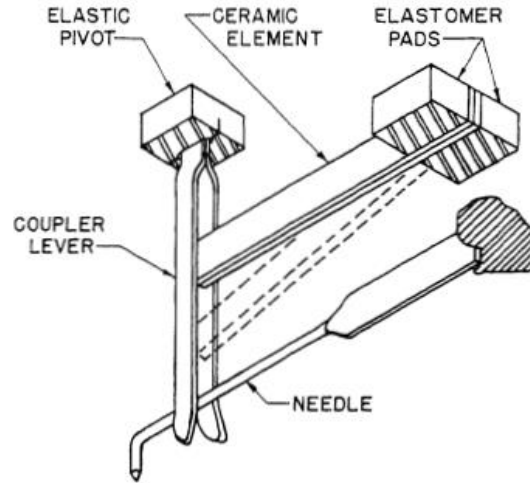
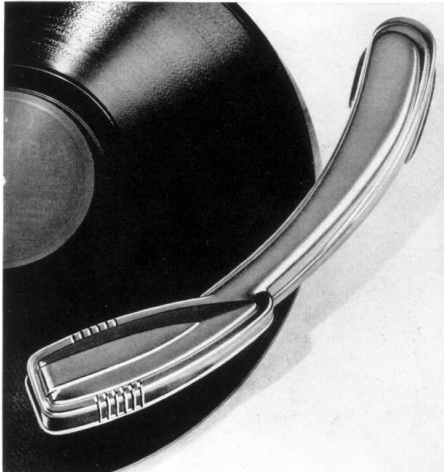
Piezoelectricity. Materials

Material	Orientation	Piezoelectric coefficient d (pC/N)
BaTiO₃	111	d₃₃=289
PMN-PT (33%)		d₃₃=2820
PZN-PT (8%)		d₃₃=2500
Rochelle salt		27
PZT		d₃₁=110
Quartz		2.3



Ferroelectricity. Materials. Piezoelectricity. Applications.

Piezoelectric tone-arm.



Astatic B-1 or B-2 Hermetically Sealed Crystal Turntable Cartridge

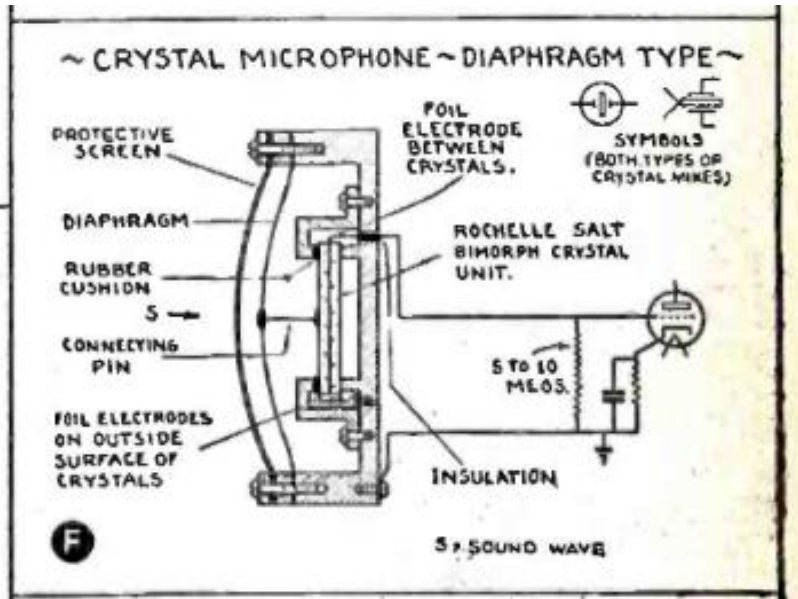
*Piezo Electric Pick-up
Quartz Replaced
By Salt Crystals*

the needle which follows the groove along the record twists the crystal. Fig. 24 shows the crystal and needle armature and under the provided case removed. The crystal element is held in place by the two rubber supports. The needle tracking the record groove the crystal and produces a signal on the faces of the crystal.



Ferroelectricity. Materials. Piezoelectricity. Applications.

Piezoelectric Microphones



Crystal
HAND
MICROPHONES

SHURE
MODEL
71AS

"CLOSE-TALKING" MODELS

The Shure Model 71AS Crystal Hand Microphone makes available the fine performance of Shure Crystal Microphones in the hand mounting so essential for many applications. The instrument is of the "close-talking" type and is specially designed to minimize crowd noise. The crystal unit is mounted in a beautiful chromium-plated cast case with rubber-black-japan handle. A conveniently located "push-to-talk" switch is built into the handle.

Specifications: Diameter of case, 3-1/16 inches (7.77 cm.) Case Thickness: 1-5/16 inches (3.33 cm.) Overall Length: 9 inches (22.86 cm.) Net Weight, including cable: 1/4 lbs. (5.67 kg.) Shipping Weight: 1 3/4 lbs. (7.94 kg.)

Model 71AS. Crystal Hand Microphone, "close-talking" type. Complete with 7 feet of special rubber-jacketed, shielded, single-conductor cable. Complete instructions. Code: Rurec.

\$26⁵⁰

List Price:



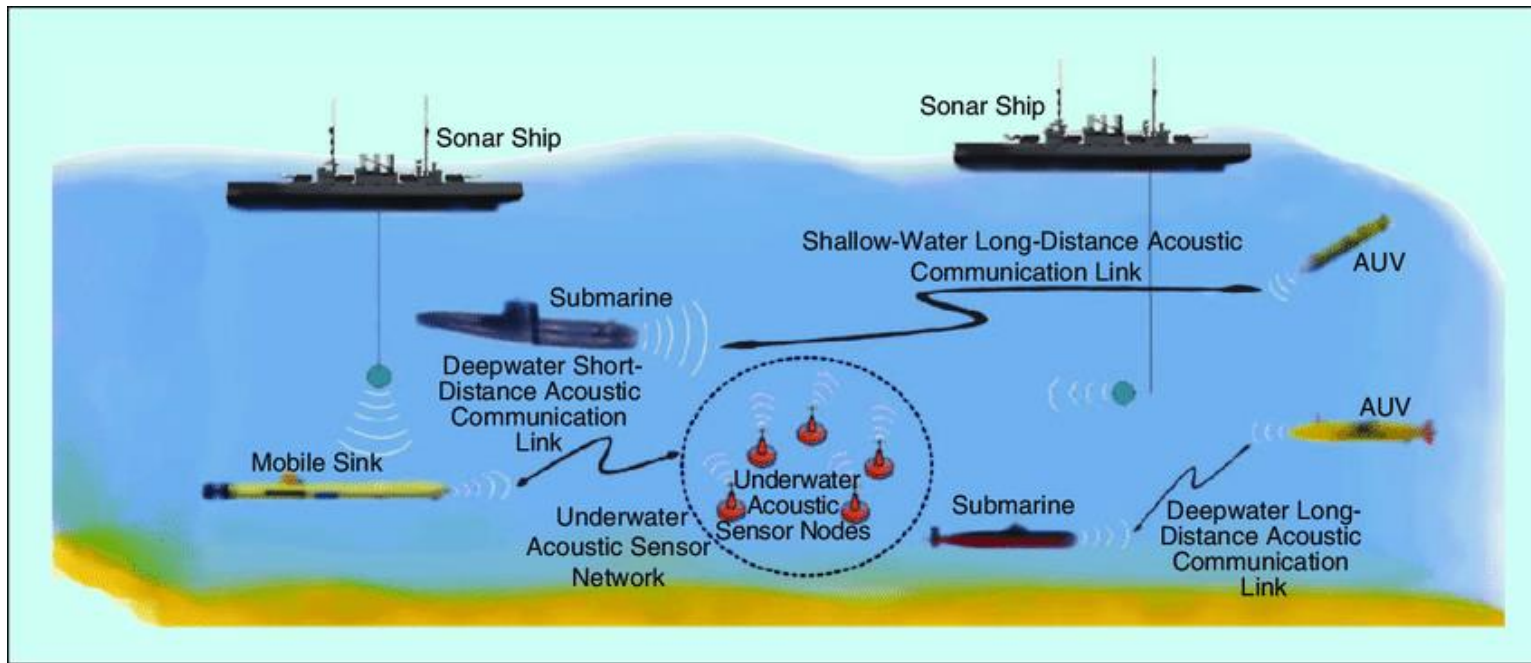
Courtesy



Ferroelectricity. Materials. Piezoelectricity. Applications.

Underwater Sonars

(sound navigation and ranging or sonic navigation and ranging)



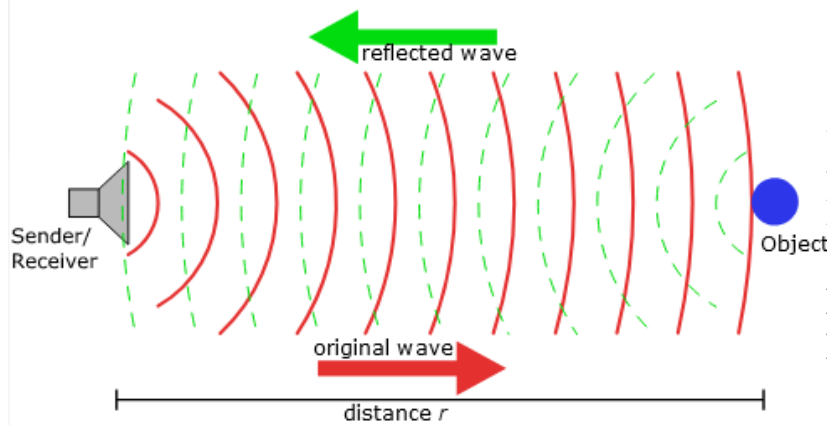
Underwater communication

JUNE 2012 | IEEE VEHICULAR TECHNOLOGY MAGAZINE



Ferroelectricity. Materials. Relaxors. Piezoelectricity. Applications.

Underwater Sonars



Materials:

Rochelle salt (1940),
Ammonium dihydrogen phosphate (ADP) (WWII),
Barium titanate (~1950)
lead zirconate titanate (PZT) (now),



Ferroelectricity. Materials. Piezoelectricity. Transducers.

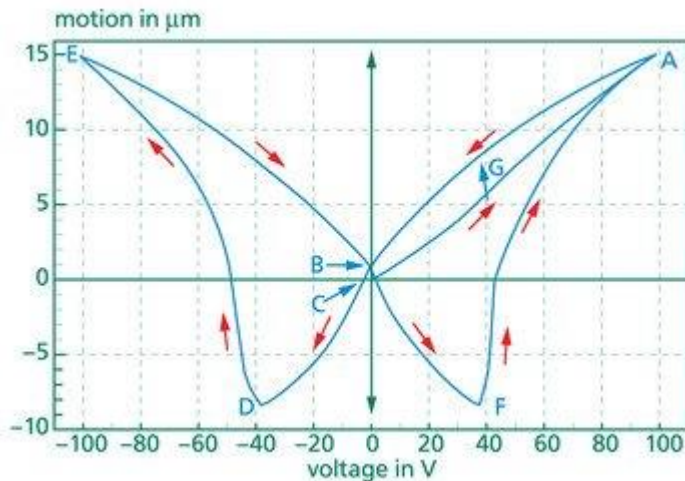


- PZT multilayer stack without housing
- Without pre-load
- Motion up to 123 μm

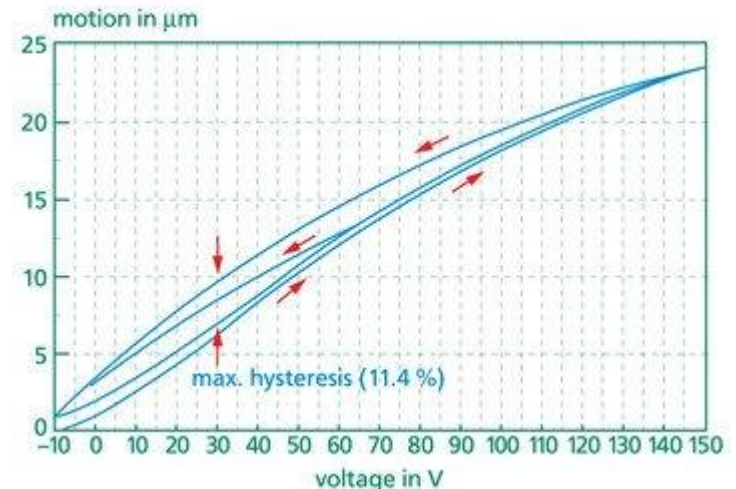
Electrical field

$$\frac{\Delta l}{L_0} = d \cdot E$$

Piezoelectric coefficient (in general it is nonlinear for E)



Typical hysteretic behavior of the transducer in respect of bipolar applied field



Typical hysteresis curve of a multilayer piezostack under unipolar bias



Ferroelectricity. Materials. Piezoelectricity. Transducers.

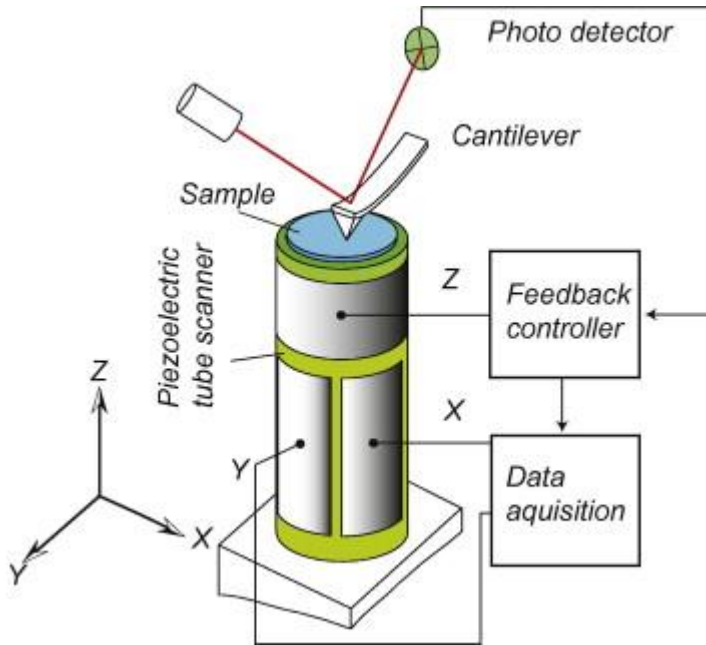


series PHL		unit	PHL 18/20	PHL 40/20	PHL 60/20	PHL 80/20	PHL 100/20	
part no.			P-141-00	P-142-00	P-143-00	P-144-00	P-145-00	
motion (-10/+20)%*		μm-	20	41	61	82	103	
capacitance (±20%)**		μF	7	14	20	26	34	
resolution		nm	0.04	0.08	0.12	0.16	0.21	
stiffness		N/μm	175	85	55	40	35	
blocking force		N	3500	3500	3500	3500	3500	
operating voltage		V	-20...+130					
connector voltage		-	LEMO 05.302					
cable length		m	1					
dimensions	length L	mm	36	54	72	90	108	
	diameter D	mm	20	20	20	20	20	

The maximum force generated by transducer could be estimated as $F_{\max} \approx k_t \cdot \Delta l$
 k_t – piezo actuator stiffness



Ferroelectricity. Piezoelectricity. Transducers. Scanning Probe Microscopy



Schematic of an Atomic Force Microscope with a piezoelectric tube scanner for the positioning of the sample.



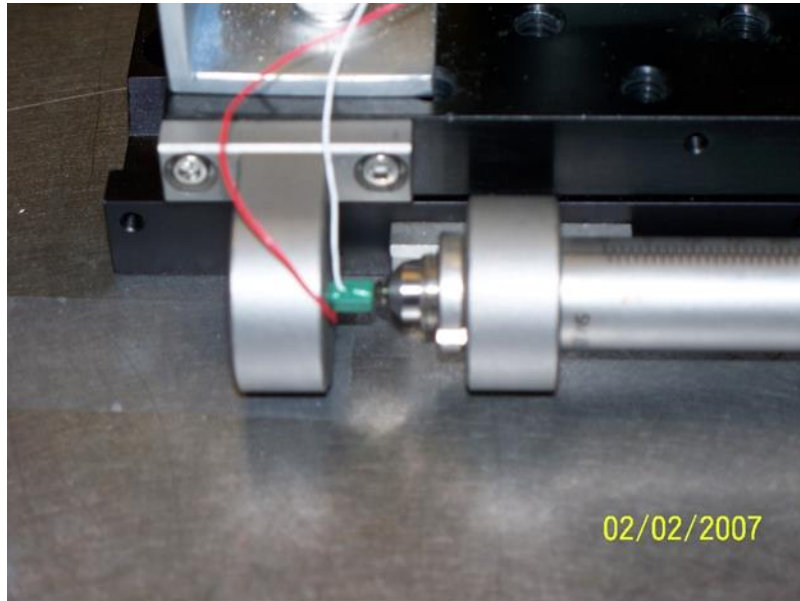
Specifications

XY travel range, μm	40 x 40
Z range, μm	5
Resonant frequency XY, kHz	5
Resonant frequency Z, kHz	50
Resolution (closed loop), nm	1
Resolution (open loop), nm	0.1

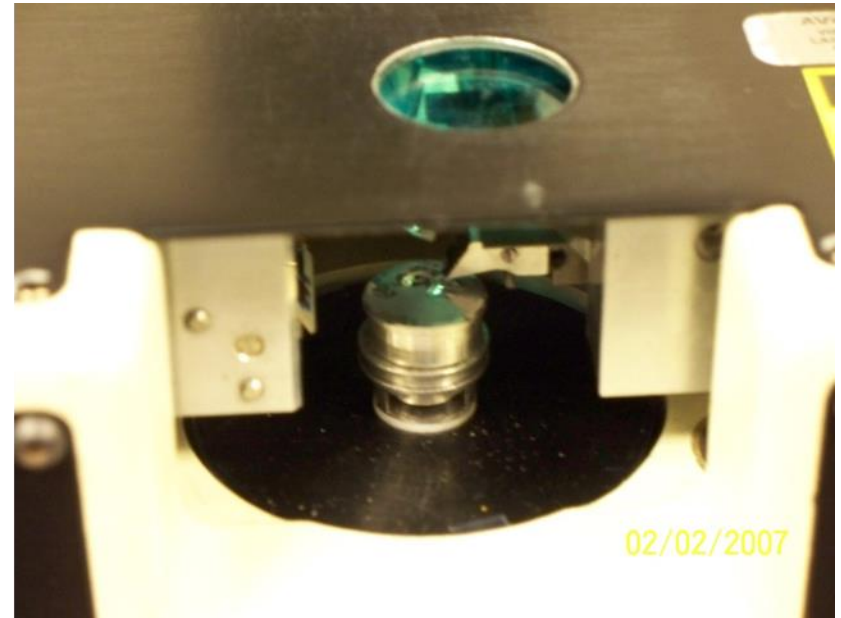
S. Kuiper, G. Schitter, Mechatronics v20, 656-665 (2010)



Ferroelectricity. Piezoelectricity. Transducers. Applications in P403 Lab.



Quantum Optics

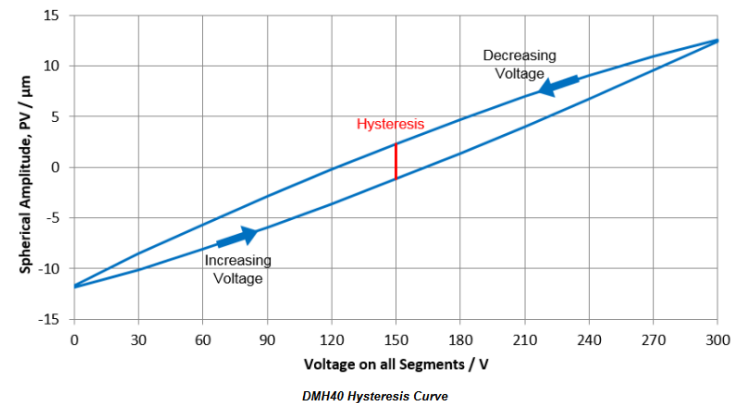
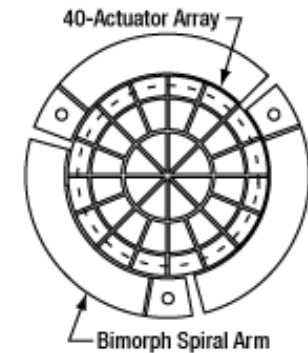
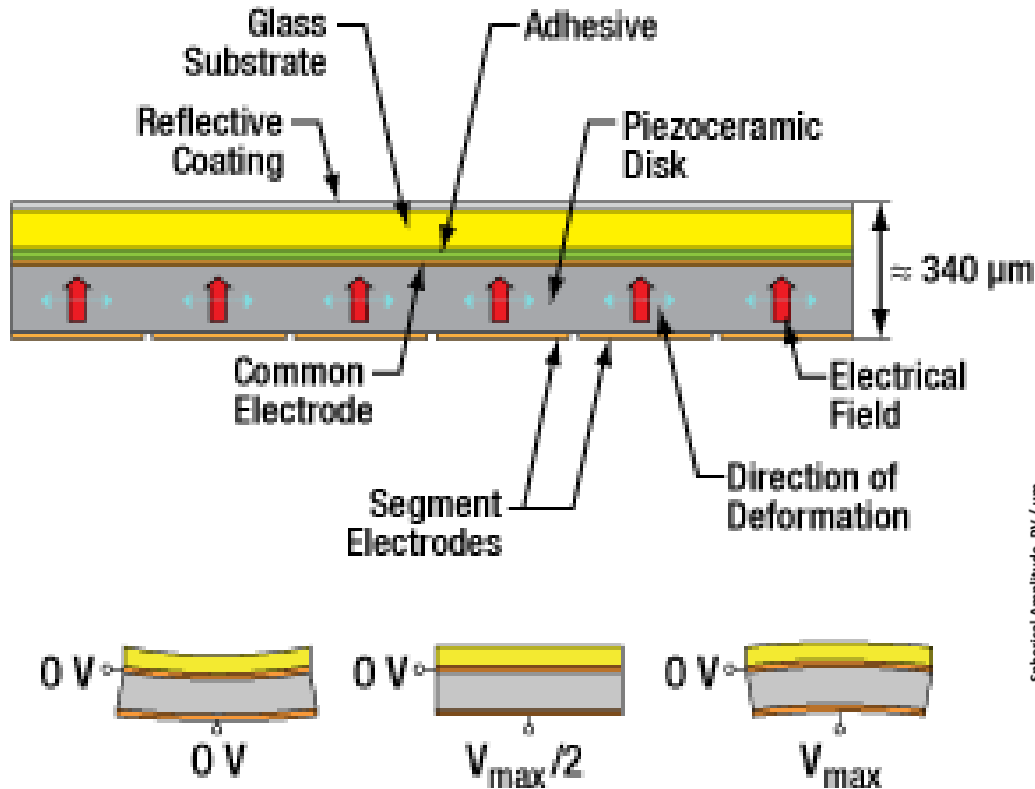


AFM experiment



Ferroelectricity. Piezoelectricity. Transducers. Adaptive Optics.

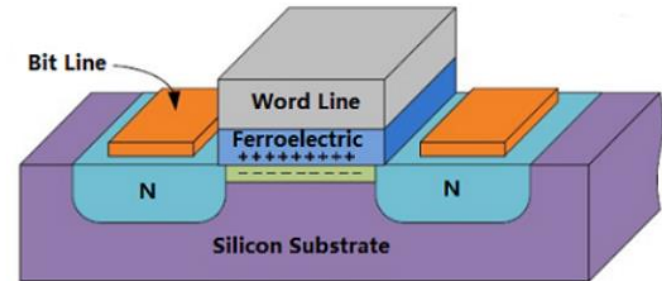
THORLABS



Active elements: PZT (lead zirconate titanate)



Ferroelectricity. Non-volatile Memory.

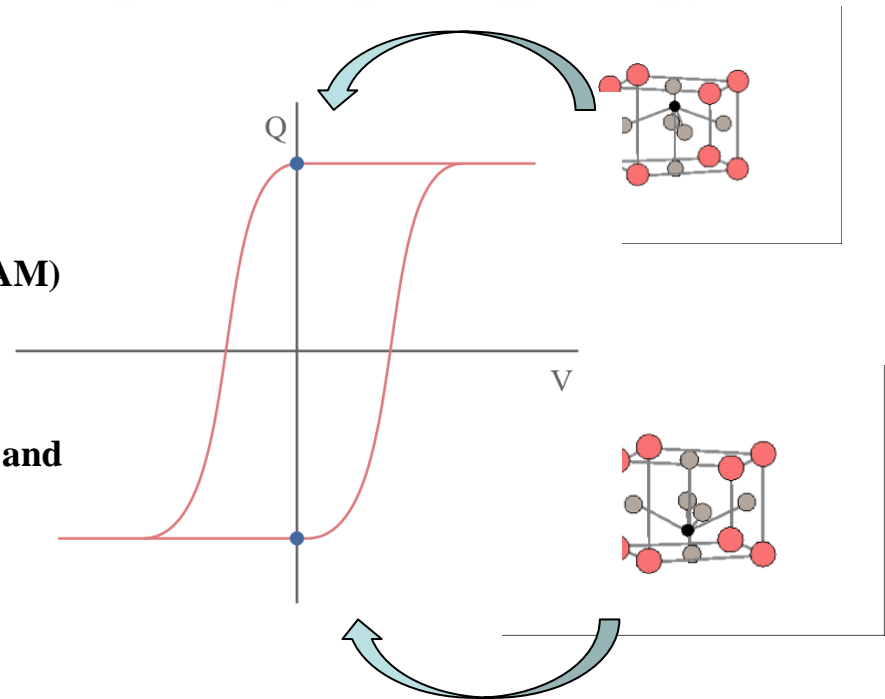


4-Kbit ferroelectric random access memory (F-RAM)

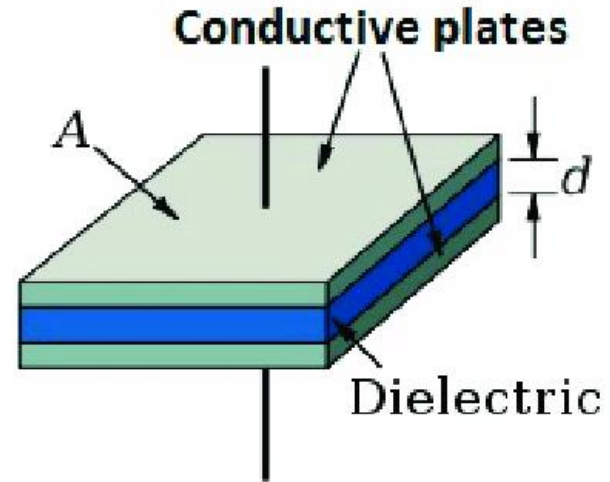
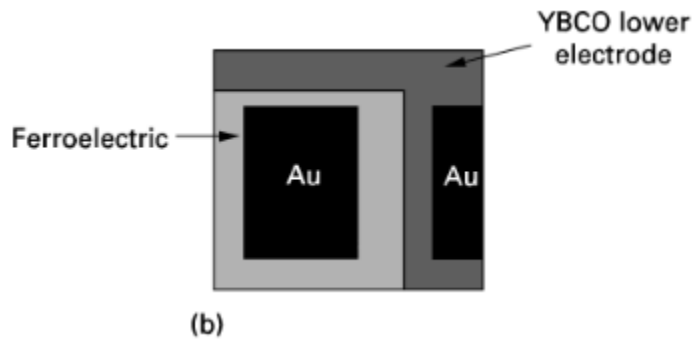
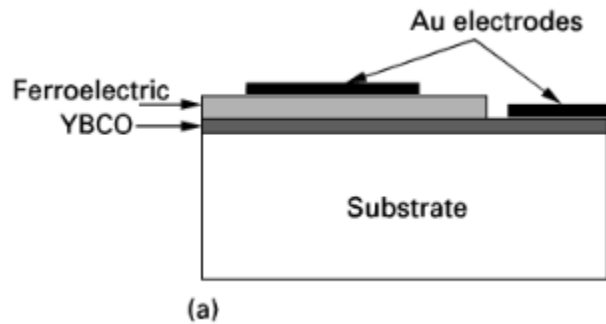
logically

organized as 8 K × 8

- ❑ High-endurance **100 trillion (10^{14})** read/writes
- ❑ **151-year data retention** (see the Data Retention and Endurance table)
- ❑ NoDelay™ writes
- ❑ Advanced high-reliability ferroelectric process
- ❑ 70-ns access time, 130-ns cycle time



Ferroelectricity. Capacitors.



Advantages and disadvantages of the capacitors with ferroelectrics:

1. **Huge dielectric constant ($\epsilon > 10,000$)**
2. **Temperature dependence of ϵ**
3. **Nonlinearity $C(V)$ – can be used for tuning the capacitor**

D O'Neill et al.; J. Of Materials. Science: Materials in Electronics
v9 199 (1998); "Thin film ferroelectrics for capacitor applications"



Homework

The flat capacitor is filled by dielectric with dielectric permittivity is distributed along the x axis as $\epsilon = \epsilon_1 + \epsilon_2 * x$
Thickness of the dielectric is t and electrodes area A .

Derive the equation of this capacitance of this capacitor

Reference materials:

Equation for flat capacitor:

$$C = \frac{\epsilon_0 \epsilon A}{t}$$

$$\int \frac{1}{ax + b} dx = \frac{1}{a} \ln(ax + b)$$

$$\ln x - \ln y = \ln \left(\frac{x}{y} \right)$$

$$\epsilon_0 = 8.854 \times 10^{-12} \frac{F}{m}$$

