

# VARIATIONAL PRINCIPLE Gr § 7

Goal: Find ground state energy  $E_{gs}$  of a  $\hat{H}$  that cannot be exactly e-solved.

Idea: GUESS the form of  $\Psi_{gs} \dots$  }  $\Psi_{trial}$   
including some adjustable params } wavefunc<sup>n</sup>

Classic example: Helium ground-state energy  $\rightarrow$  textbook

## INGREDIENTS

① know that any  $\Psi_{trial} = \sum_n C_n \underbrace{\Psi_n}_{\substack{\text{e-states of } \hat{H}, \\ \text{which we can't find}}} \rightarrow |n\rangle$

②  $E_{gs} \leq E_n^{any}$   $\rightarrow$  derive variational theorem:

## DERIVATION

Consider expectation value  $\langle \Psi_{trial} | \hat{H} | \Psi_{trial} \rangle$

$$\stackrel{\text{①}}{=} \left[ \sum_m C_m^* \langle m | \right] \hat{H} \left[ \sum_n C_n |n\rangle \right]$$

$\uparrow$  (unknown)  $\sim$   
e-states of  $\hat{H}$

$$= \sum_m \sum_n C_m^* C_n \underbrace{\langle m | \hat{H} | n \rangle}_{E_n \delta_{mn}}$$

$$= \sum_m \sum_n C_m^* C_n E_n \underbrace{\langle m | n \rangle}_{\delta_{mn}} = \sum_n |C_n|^2 E_n$$

$\delta_{mn} \rightarrow$  (only  $m=n$  survives)

recall:  $|C_n|^2$  are probabilities for obtaining  $E_n$   
if you measure  $E$  of trial wavefunction

apply (2): each  $E_n \geq E_{gs}$

$$\therefore \langle \Psi_{\text{trial}} | \hat{H} | \Psi_{\text{trial}} \rangle = \sum_n E_n |C_n|^2$$

$$\stackrel{(2)}{\geq} \sum_n E_{gs} |C_n|^2 = E_{gs} \underbrace{\sum_n |C_n|^2}_{\text{Total prob} = 1}$$

$$\therefore \boxed{E_{gs} \leq \langle \Psi_{\text{trial}} | \hat{H} | \Psi_{\text{trial}} \rangle}$$

↑  
 $\langle \hat{H} \rangle_{\text{TRIAL}}$  provides an UPPER LIMIT on  $E_{gs}$

Variational  
Technique to find (approximately) Egs:

MINIMIZE  $\langle \hat{H} \rangle_{\text{TRIAL}}$  with to the  
adjustable parameters that you included in  $\Psi_{\text{trial}}$

⊙ TIPS for choosing  $\Psi_{\text{trial}}$

■ must be normalizable!  
almost always,  $\Psi_{\text{trial}} = (\text{falling exponential}) \times (\text{simple function})$

asymptotic behaviour  $\uparrow$   
ensures normalizability

1D:  $\Psi_{\text{trial}}(x) \sim e^{-x^2/2\sigma^2} \cdot f(x)$   
GAUSSIAN maybe

radial:  $\Psi_{\text{trial}}(r) \sim e^{-r/a} \cdot f(r)$   
maybe

■ don't forget: include ADJUSTABLE PARAMS  $a_i$   
in  $\Psi_{\text{trial}}$ ! MINIMIZATION  
procedure is solving:  $\frac{\partial \langle \hat{H} \rangle_{\text{TRIAL}}}{\partial a_i} = 0$   
for  $\{a_i\}_{\text{BEST}}$

COROLLARY: finding 1st excited state energy ...

Possible IFF you can find a  $\Psi_{\text{TRIAL}}$

sometimes  
possible

$$\Rightarrow \langle \Psi_{\text{trial}} | \Psi_{\text{gs}} \rangle = 0 \text{ for sure}$$



$|\Psi_{\text{trial}}\rangle$

is

ORTHOGONAL  
to  $|\Psi_{\text{gs}}\rangle$

Most common case: 1D problems where you

know  $\Psi_{\text{gs}}(x) = \text{EVEN}$

then pick  $\Psi_{\text{trial}}(x) = \text{ODD}$

then  $\langle \Psi_{\text{trial}} | \Psi_{\text{gs}} \rangle$

ODD EVEN

$$= \int_{-\infty}^{\infty} dx \text{ even}(x) \cdot \text{odd}(x) = 0$$

then

$$\langle \hat{H} \rangle_{\text{TRIAL}} \geq E_{\text{1st excited}}$$

Proof:  $\langle \hat{H} \rangle_{\text{TRIAL}} = \sum_n |c_n|^2 E_n$

$$\geq E_{\text{gs}} |c_{\text{gs}}|^2 + E_{\text{1st excited}} \sum_{n \neq \text{gs}} |c_n|^2$$

zero when  $\Psi_{\text{TRIAL}} \perp \Psi_{\text{gs}}$

WHEN do we know a priori that  $\psi_{gs}$  is EVEN ???

answ: When  $V(x)$  is even

reason: Parity  $\hat{P}$  is a symmetry of  $\hat{H}$   
( $x \rightarrow -x$ )

... which means  $[\hat{P}, \hat{H}] = 0$

... which means  $\hat{P} \hat{H}$  share a common set of e-states

... and e-states of  $\hat{P} = \begin{cases} \text{even functions} & +1 \\ \text{odd functions} & -1 \end{cases}$  <sup>e-value</sup>

$\therefore$  all energy e-functions are even or odd

$\&$  EVEN will always be ground state

$\therefore$  has least wiggles  $\rightarrow$  lowest average slope

$\rightarrow$  lowest  $\langle p \rangle = \langle i\hbar \frac{\partial}{\partial x} \rangle$

$\rightarrow$  lowest  $\langle T \rangle$

★ How close to  $E_{gs}$ ?  $\rightarrow$  next time