

Homework 1

- [10 pt] Consider a simple two-state system where a particle is either absent $|0\rangle$ or present $|1\rangle$. The first has energy E_0 , while the second has energy E_1 . This is a simple model for fermions, where a state can only be occupied by one particle at a time. Define the *annihilation operator* \hat{a} by $\hat{a}|1\rangle = |0\rangle$ and $\hat{a}|0\rangle = 0$, and the *creation operator* as the Hermitian conjugate, \hat{a}^\dagger .
 - Write the matrix forms for \hat{a} , \hat{a}^\dagger , $\hat{a}^\dagger\hat{a}$ and $\hat{a}\hat{a}^\dagger$.
 - What is the anticommutator $\{\hat{a}, \hat{a}^\dagger\}$?
 - Write the Hamiltonian operator \hat{H} in terms of creation and annihilation operators.
- [15 pt] Prove that if an operator \hat{A} is Hermitian, then the operator $\hat{U} = \exp(i\theta\hat{A})$ is unitary for real values of θ . (*Hint*: write \hat{A} and \hat{U} in terms of an eigenfunction expansion).
- [15 pt] Consider the 1D problem of particles in a box of length a . In this case, the energy is purely due to kinetic energy. If we have identical, non-interacting fermions, then the ground state energy of N particles is the sum of the lowest N single particle energies. Determine the large N limit of the kinetic energy per particle E/N in terms of the density $n = N/a$ as

$$\frac{E}{N} = A \cdot n^\alpha$$

and find the values of A and α .

- [10 pt] Consider a set of functions $r(\theta) \geq 0$ in polar coordinates. The area and perimeter are:

$$A[r] = \int_0^{2\pi} \frac{1}{2} (r(\theta))^2 d\theta$$

$$P[r] = \int_0^{2\pi} \sqrt{(r(\theta))^2 + \left(\frac{dr}{d\theta}\right)^2} d\theta$$

Use the Euler-Lagrange equations to write the expression that would minimize $P[r]$ for a given $A[r] = A_0$. This should be a differential equation. Identify a solution to this equation.

- [25 pt] The harmonic oscillator Hamiltonian,

$$\hat{H}^0 = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2} m\omega^2 x^2$$

has solutions in terms of Hermite polynomials with $\alpha = \sqrt{\hbar/m\omega}$,

$$\psi_n^0(x) = \sqrt{\frac{1}{\alpha 2^n n! \sqrt{\pi}}} e^{-\frac{1}{2}(x/\alpha)^2} H_n(x/\alpha)$$

with energy $E_n = (n + \frac{1}{2})\hbar\omega$. There is a creation operator \hat{a}^\dagger where $\hat{a}^\dagger|\psi_n^0\rangle = \sqrt{n+1}|\psi_{n+1}^0\rangle$ and an annihilation operator \hat{a} where $\hat{a}|\psi_n^0\rangle = \sqrt{n}|\psi_{n-1}^0\rangle$. You can show that $[\hat{a}, \hat{a}^\dagger] = 1$ and $\hat{H}^0 = \hbar\omega(\hat{a}^\dagger\hat{a} + \frac{1}{2})$. Moreover, the position operator \hat{x} is

$$\hat{x} = \frac{\alpha}{\sqrt{2}}(\hat{a} + \hat{a}^\dagger)$$

Consider adding a quartic term to the potential,

$$\hat{H} = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2}m\omega^2 x^2 + \gamma \left(\frac{x}{\alpha}\right)^4$$

for $\gamma > 0$.

- a. Write out the new Hamiltonian in terms of the operators \hat{a} and \hat{a}^\dagger .
 - b. Using $|\psi_n^0\rangle$ as orthonormal basis functions, what is the matrix form of the Hamiltonian H_{mn} ? (*Hint*: you can evaluate $\langle \psi_m^0 | (\hat{x}/\alpha)^4 | \psi_n^0 \rangle$ as the inner product of $(\hat{x}/\alpha)^2 | \psi_m^0 \rangle$ and $(\hat{x}/\alpha)^2 | \psi_n^0 \rangle$).
 - c. Using your favorite language for solving numerical problems, estimate the ground state energy for $\hbar\omega = \gamma = 1$, using a finite number of basis functions. How does your estimate change with the number of basis functions that you use?
6. [25 pt] The He singlet state can be approximately solved using the variational principle. Take the two electron Hamiltonian (in atomic units),

$$\hat{H} = -\frac{1}{2}\nabla_1^2 - \frac{1}{2}\nabla_2^2 - \frac{2}{r_1} - \frac{2}{r_2} + \frac{1}{|\vec{r}_1 - \vec{r}_2|}$$

The spin singlet state is

$$|\Psi\rangle = \frac{1}{\sqrt{2}} [|\psi(r_1)\rangle |\uparrow_1\rangle \otimes |\psi(r_2)\rangle |\downarrow_2\rangle - |\psi(r_1)\rangle |\downarrow_1\rangle \otimes |\psi(r_2)\rangle |\uparrow_2\rangle]$$

with the same spatial orbital $\psi(r)$ for both electrons. Taking inspiration from the H atom, let's use the normalized orbital

$$\psi(r) = \frac{1}{\sqrt{\pi}} a^{-3/2} e^{-r/a}$$

where a is a variable to be determined. If we considered the He^+ ion, then a would be $1/Z = 1/2$, and the energy would be $-Z^2/2 = -2$, so if there were *no interaction*, the energy of He would be -4 Hartree. We'll use the variational principle to find the optimal a value and estimate the energy.

- a. First, determine $\langle \Psi | \hat{H}^0 | \Psi \rangle$, where \hat{H}^0 is the *non-interacting* part of the Hamiltonian.
- b. To evaluate the electron-electron interaction term, show that it can be written as

$$\int d^3r_1 |\psi(r_1)|^2 \int d^3r_2 \frac{|\psi(r_2)|^2}{|\vec{r}_1 - \vec{r}_2|}$$

- c. Next, recall that the inner integral is the electrostatic potential at \vec{r}_1 from the density $|\psi(r)|^2$, and that for a spherical density $\rho(r)$, the potential is

$$V(r) = \frac{4\pi}{r} \int_0^r u^2 \rho(u) du + 4\pi \int_r^\infty u \rho(u) du$$

Use this to evaluate the electron-electron interaction energy.

- d. Finally, write the total energy $E(a)$, and find the minimizer. What is the ground state energy? How does it compare with the known value of -2.903724377 Hartree?
- e. Recall that for a single electron, $a = 1/Z$. What does your optimal value of a tell you about the nuclear charge that each electron “sees”?