

# Neighbor Tables

## Long-Range Potentials

Today we learn how we can handle long range potentials.

- Neighbor tables
- Long-range potential
- Ewald sums

# Periodic distances

- Minimum Image Convention: take the closest distance

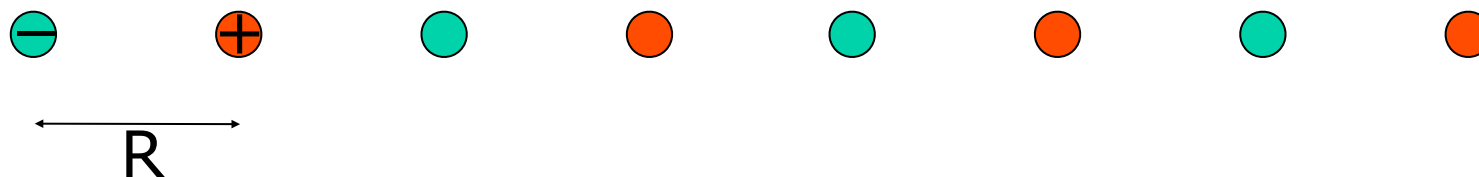
$$|r|_M = \min (r+nL)$$

Potential is **cutoff** so that  $V(r)=0$  for  $r > L/2$  since force needs to be continuous. Remember perturbation theory.

- **Image potential**

$$V_I = \sum_n v(r_i - r_j + nL) - \text{background (if needed)}$$

For long-range potential (e.g. Coulomb) need the **Ewald image potential**. You need a background and convergence method.



# Perturbation theory

- One can restore a cutoff potential by using a **tail correction**

$$V_{\text{tail}} = \frac{\rho}{2} \int dr g(r) \Delta\phi(r)$$

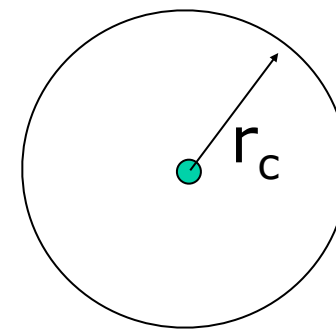
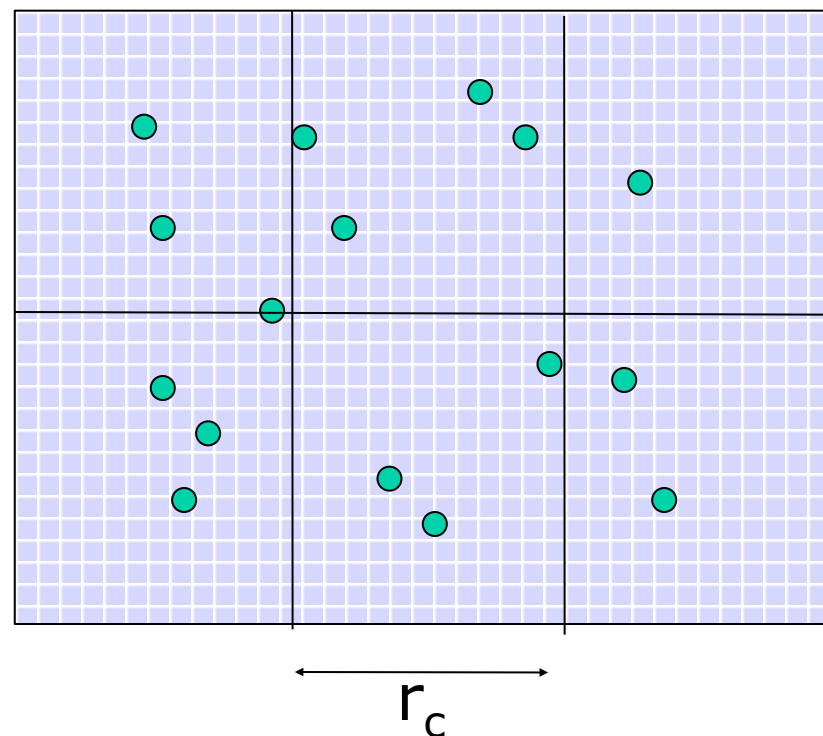
- To do better one has to find **effect of perturbation on  $g(r)$** . E.g. one can use the RHNC (reference-hypernetted-chain) equation: integral equation involving the potential and  $g(r)$ .
- The Stillinger-Lovett condition says that  $S(k)$  at low  $k$  for a charged system is different than in a neutral system.

$$S(k) = c k^2 \quad \text{for } \text{charged system}$$

$$S(k) = c \quad \text{for } \text{uncharged system}$$

# Complexity of Force Calculations

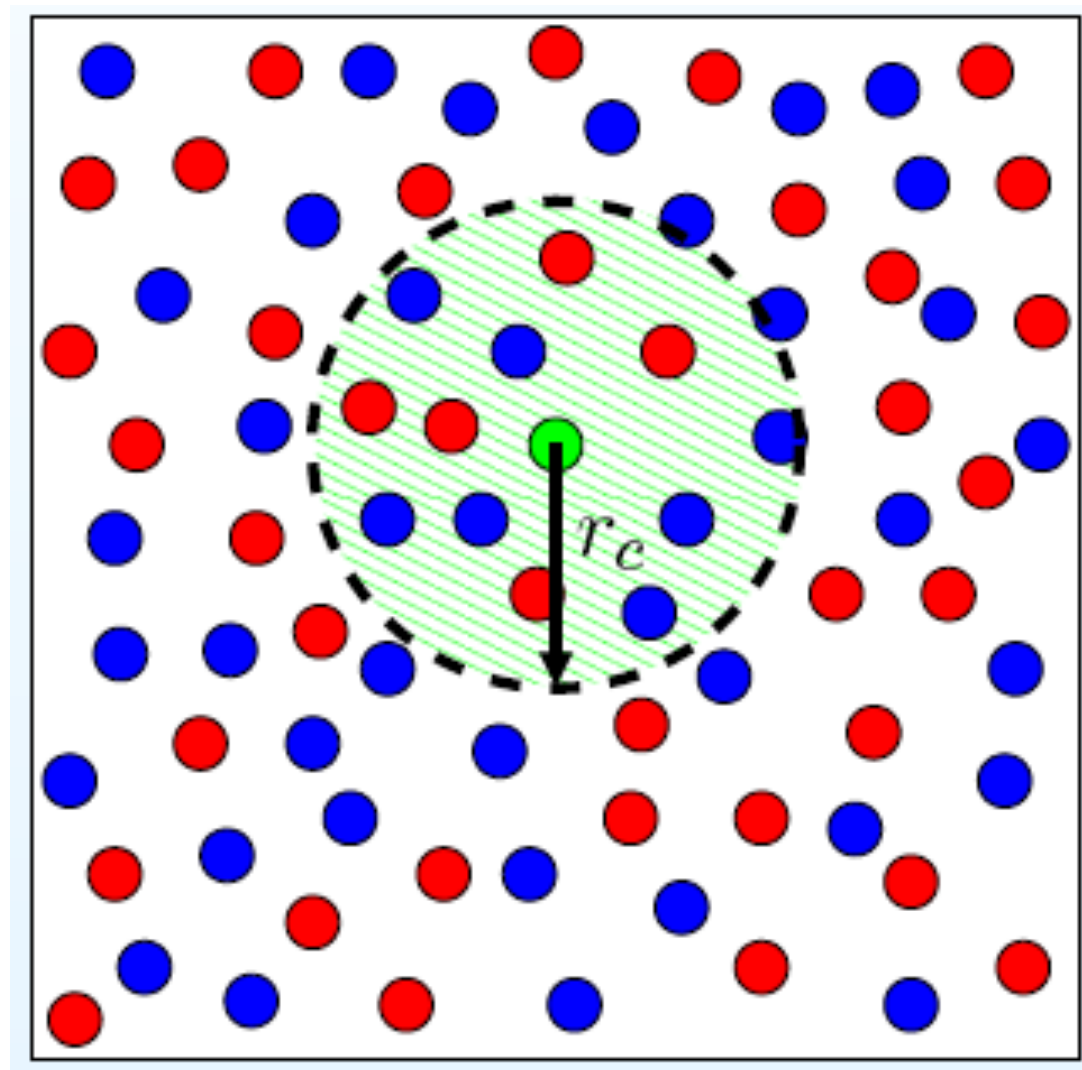
- Complexity is the scaling with the number of degrees particles.
- Number of terms in pair potential is  $N(N-1)/2 \approx O(N^2)$
- For short-range potential you can use neighbor tables to reduce it to  $O(N)$ 
  - (Verlet) **neighbor list** for systems that move slowly.
  - **bin sort list** (map system onto a  $r_c \times r_c$  mesh and find neighbors from the mesh table, only n.n. cells matter).
  - Or both



If  $v(r > r_c) = 0$ , force calculations are  $O(N)$  with  $M$  neighbors

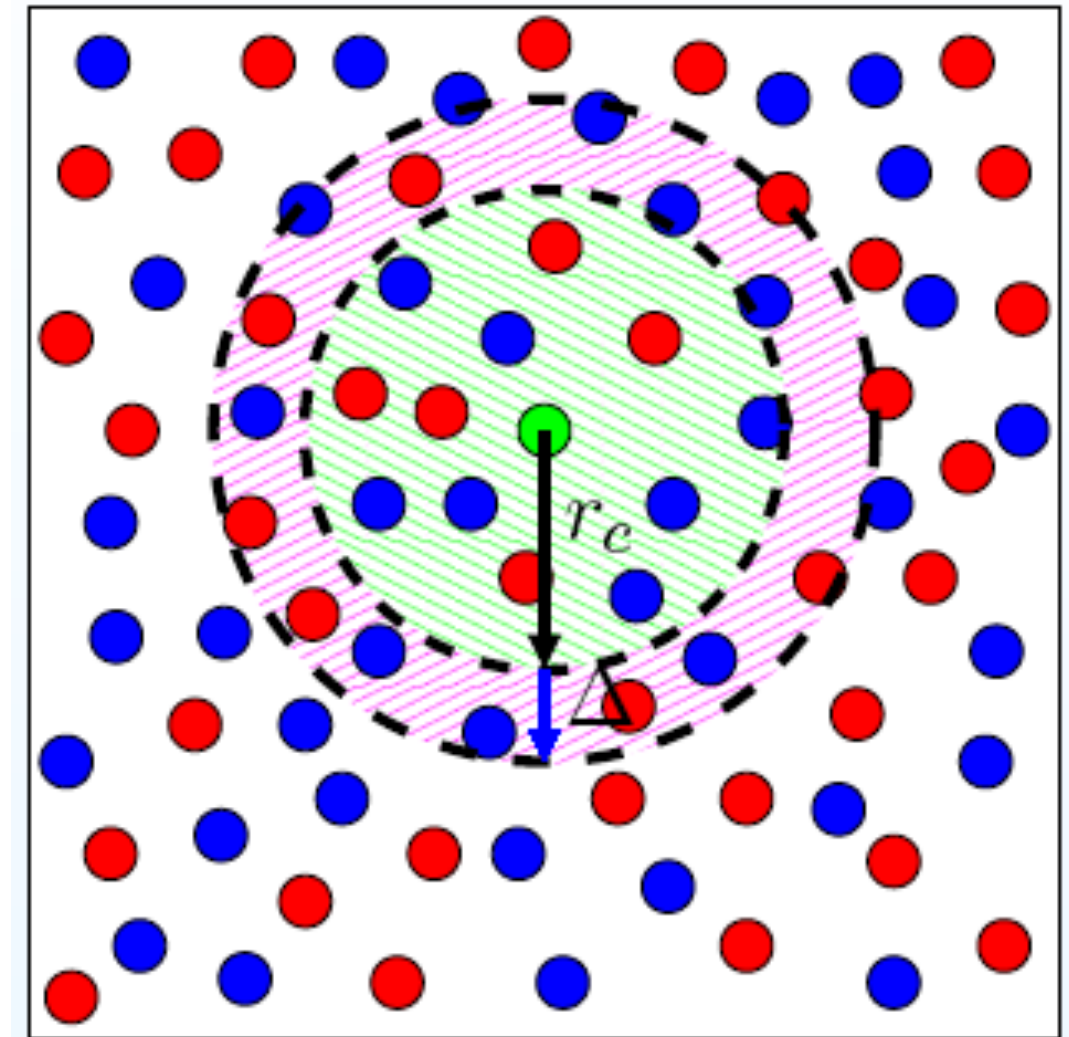
# Neighbor Lists: $O(N^2)$ to $O(N)$

- **Pair Potentials:** If  $V_c(r) = 0$  for  $r > r_c$  (i.e., short-ranged), then
  - Number of computations of the potential is  $mN$ , where  $m$  is average number of neighbors inside  $r_c$  and is independent of system size!
  - So, once we have the **neighbor table**, the calculation is  $O(N)$ !
- Constructing Neighbor Tables:
- $O(N^2)$ , must check if particles fall inside each other's radius.
- only have to reconstruct the table occasionally.



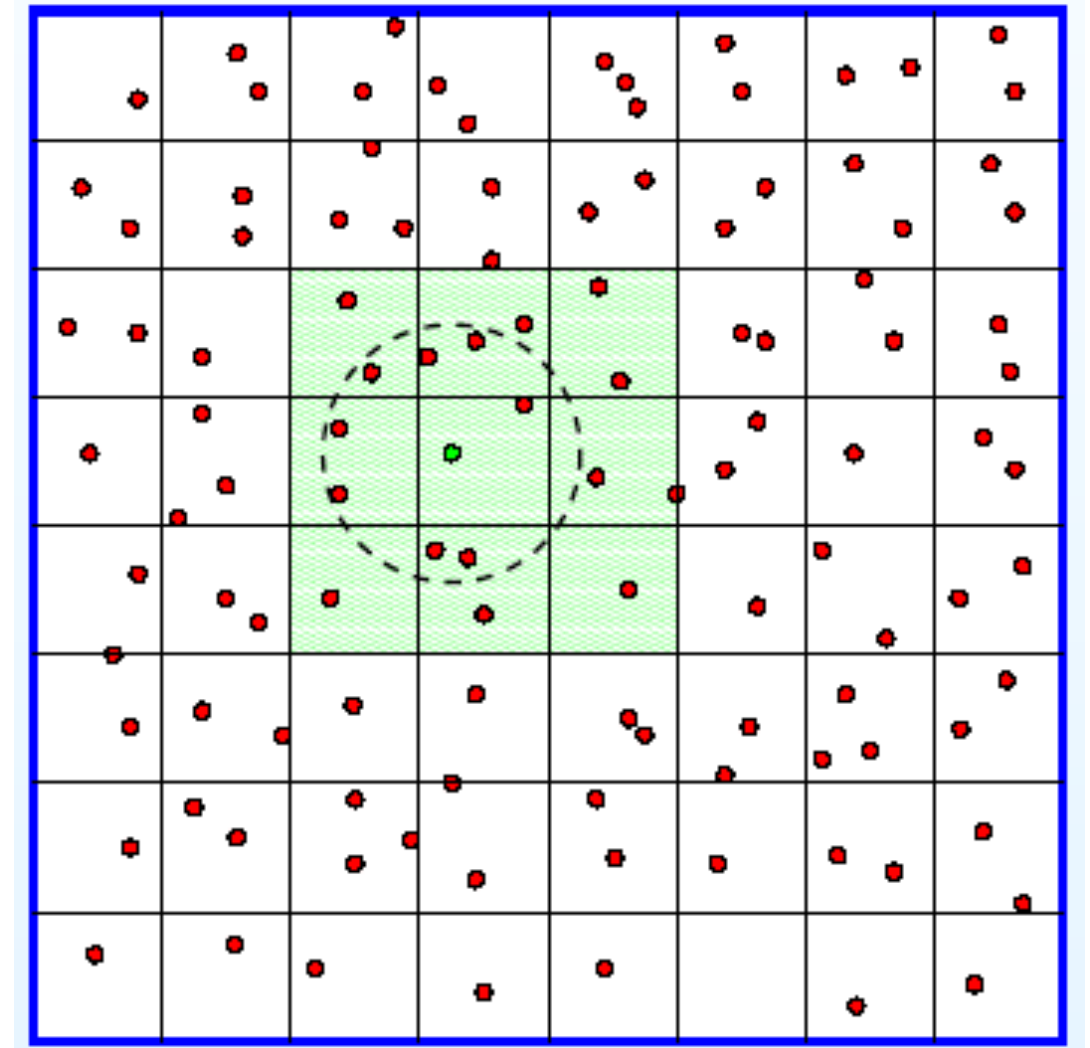
# Maintaining neighbor Lists: use skin depth

- Cut off table at  $r_c + \Delta$  (skin depth).
  - allows a particle to move a while before new table needed.
- Keep track of the two largest distances traveled,  $d_1$  and  $d_2$ ,
- when  $d_1 + d_2 \geq \Delta$  get new table.
- Choose  $\Delta$  to optimize efficiency
  - As  $\Delta$  decreases, fewer neighbors and force evaluations.
  - As  $\Delta$  increases, need to calculate neighbor table less often.  $O(N^2)$  operation.
  - Dynamically optimize  $\Delta$  by fitting  $t(\Delta)$  to a polynomial and minimizing.



# Improvements: the Cell Method

- divide box into cells of size  $L > r_c + \Delta$ .
  - Need only particle's own and neighboring cells.
- table construction is  $O(N)$ !
- To find neighbors use a linked list
- Memory is also  $N$  (not  $mN$ )



# Charged systems

How can we handle charged systems?

- **Treat like short-ranged potential:** cutoff potential at  $r > L/2$ .

Problems:

- Effect of discontinuity never disappears (  $(1/r)$  ( $r^2$ ) gets bigger.
- Will violate Stillinger-Lovett conditions because Poisson equation is not satisfied.
- Even a problem with dipolar forces.

- **Image potential solves this:**

$$V_I = \sum_n v(r_i - r_j + nL)$$

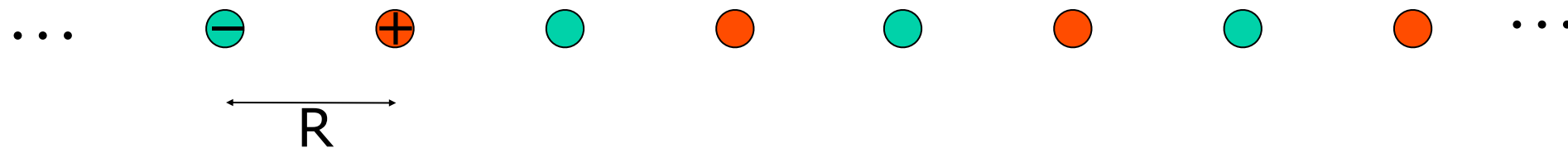
- But summation diverges!

We need to resum: use the Ewald image potential.

- For one component system, need to add a background to make it neutral.



# 1-D Madelung Sum: Prelude to Ewald Sum



**Madelung constant:**  $\frac{\alpha}{R} = \sum_{j \neq i} \frac{(\pm)}{R p_{ij}} \quad r_{ij} = R p_{ij}$

The value of  $\alpha$  will depend on whether it is defined in terms of the lattice constant  $R$ . Start on negative ion, summing (left and right)

$$\alpha = \sum_{j \neq i} \frac{(\pm)}{p_{ij}} = 2 \left[ \frac{1}{1} - \frac{1}{2} + \frac{1}{3} - \frac{1}{4} + \dots \right] \quad \text{Sum is conditionally convergent}$$

$$= 2 \ln 2$$

Note:  $\ln(1 + x) = \left[ x - \frac{x^2}{2} + \frac{x^3}{3} - \frac{x^4}{4} + \dots \right]$

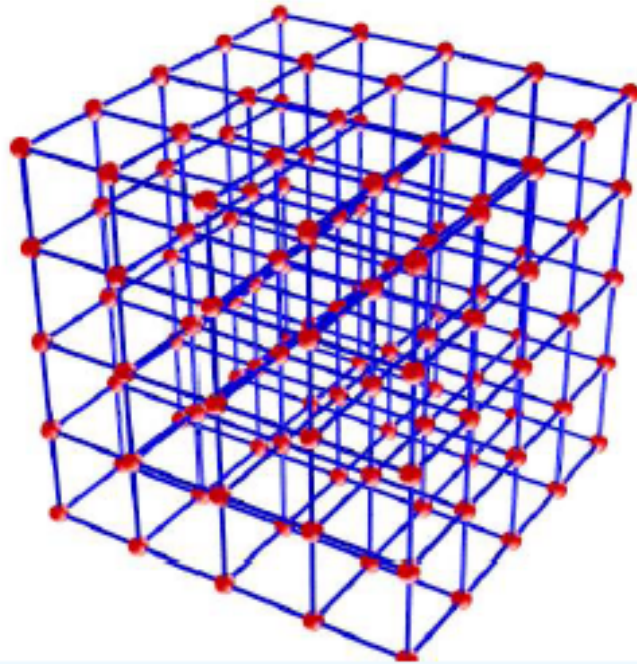
$$V_{\text{electrostatic}} \sim \alpha / R$$

Structure	$\alpha$
NaCl	1.747565
CsCl	1.762675
ZnS	1.6381

In 3D the series presents greater difficulty. Series will not converge unless successive terms in the series are arranged so that + and – terms nearly cancel. Powerful methods were developed by Ewald (*Ann. Physik* **64**, 253 (1921), and Evjen (*Phys. Rev.* **39**, 675 (1932) and Frank (*Phil. Mag.* **41**, 1287 (1950) .

# Long-Ranged Potentials

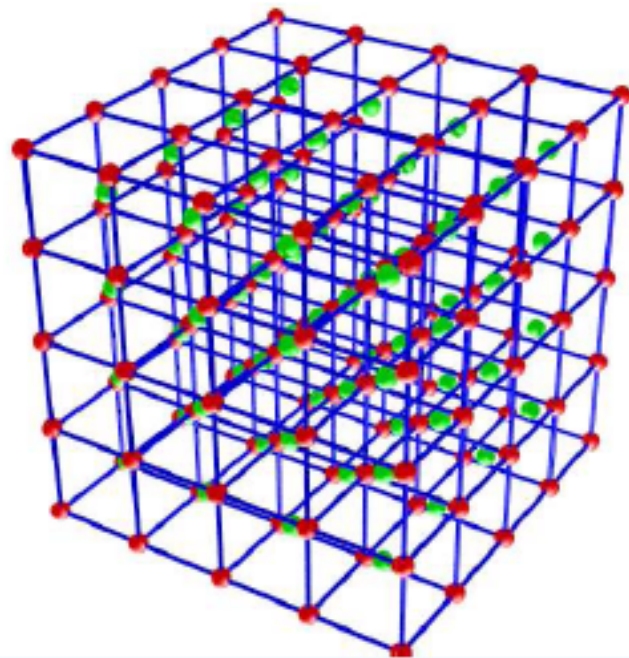
- Why make the potential long ranged?
  - Consider a cubic lattice with +1 charges, and its Coulomb potential.



$$V(r_i) = \sum_{L \neq 0} \frac{1}{|r_i - L|} \approx \int_0^\infty dr 4\pi r^2 \frac{\rho}{r}$$

- Approximate integral diverges!
  - Correct! Non-neutral system with infinite charge has infinite potential.

- Consider a cubic lattice with charge neutrality, i.e. with  $\pm 1$  charges.



$$V_{\text{cell}} = \frac{1}{2} \sum_{j \neq i} \sum_L \frac{Z_i Z_j}{|r_i - r_j - L|}$$

- Again need convergent lattice sum.
  - Energy is finite in charge neutral cell

# What is Long-Ranged Potential?

- A potential is long-ranged if the real-space lattice sum does not (naively) converge.
  - In 3D, a potential is long-ranged if converges at rate  $< r^{-3}$ .
  - In 2D, a potential is long-ranged if converges at rate  $< r^{-2}$ .
  - In practice, we often use techniques for potentials that are not strictly long-ranged.
- MOTIVATION for bothersome math: Most interesting systems contain charge:
  - Any atomic system at the level of electrons and nuclei.
  - Any system with charged defects (e.g., Frenkel defects)
  - Any system with dissolved ions (e.g. biological cases)
  - Any system with partial charges (e.g. chemical systems)

# Use Fourier Transform: large $r$ =small $k$

If  $f(r)$  is a continuous periodic function such that  $f(r+L)=f(r)$ , with  $L_n = n_x L_x \hat{x} + n_y L_y \hat{y} + n_z L_z \hat{z}$ , then

$$f(r) = \sum_k e^{ik \cdot r} f_k ; \quad k = m_x \frac{2\pi}{L_x} \hat{x} + m_y \frac{2\pi}{L_y} \hat{y} + m_z \frac{2\pi}{L_z} \hat{z}$$

$$f_k = \frac{1}{\Omega} \int_{\text{all space}} dr e^{-ik \cdot r} f(r)$$

$k$ 's are discrete!

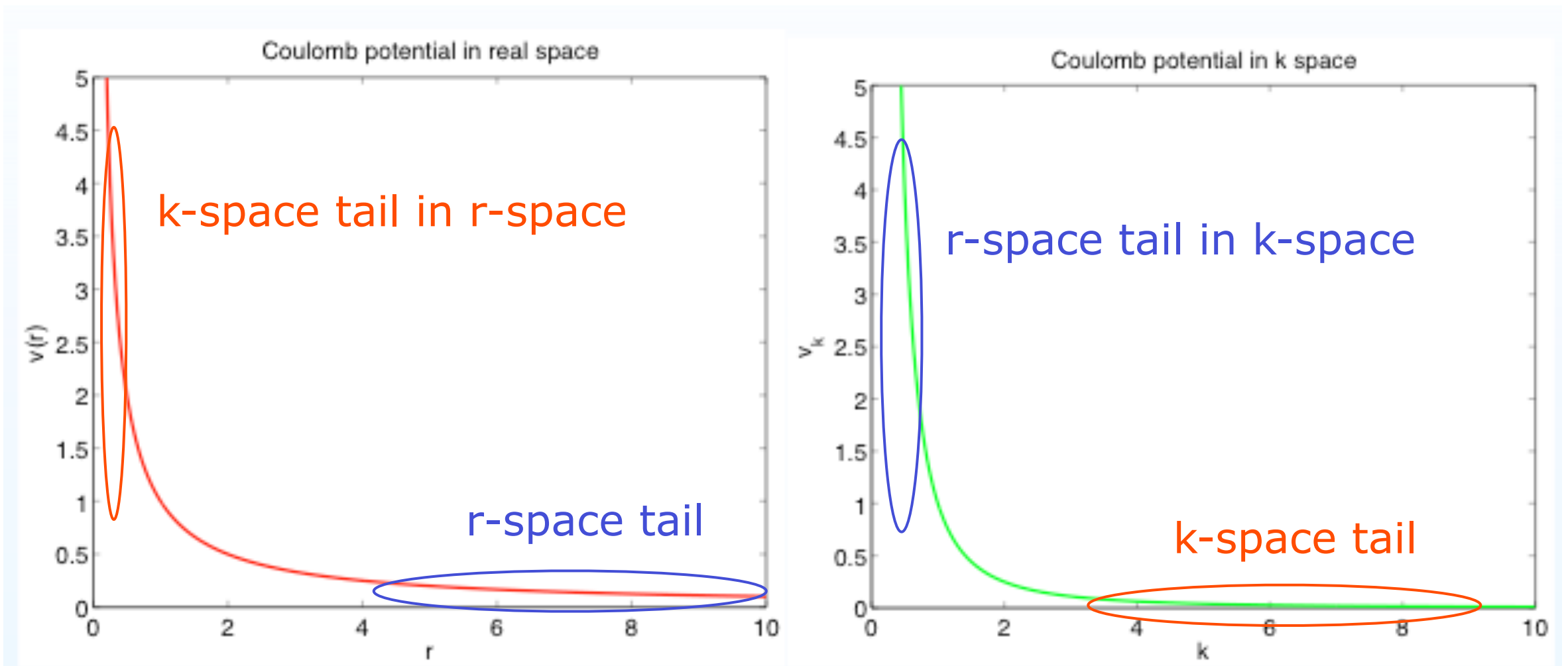
With  $f(r) \sim v(r) = \frac{e^2}{r}$ ,

$$v_k = \frac{1}{\Omega} \int dr e^{-ik \cdot r} v(r) = \frac{4\pi e^2}{k^2}$$

Potential decays slowly in  $k$ -space also.

# Origin of Convergence Problem

With  $f(r) \sim v(r) = \frac{e^2}{r}$ ,  $v_k = \frac{1}{\Omega} \int dr e^{-ik \cdot r} v(r) = \frac{4\pi e^2}{k^2}$



- r-space convergence issue comes from  $r \rightarrow \infty$  ( $k \rightarrow 0$ ).
- k-space convergence issue comes from  $k \rightarrow \infty$  ( $r \rightarrow 0$ ).

# Ewald summation method

- Key idea: Split potential into k-space and real-space parts. We can do since Fourier Transform is linear.

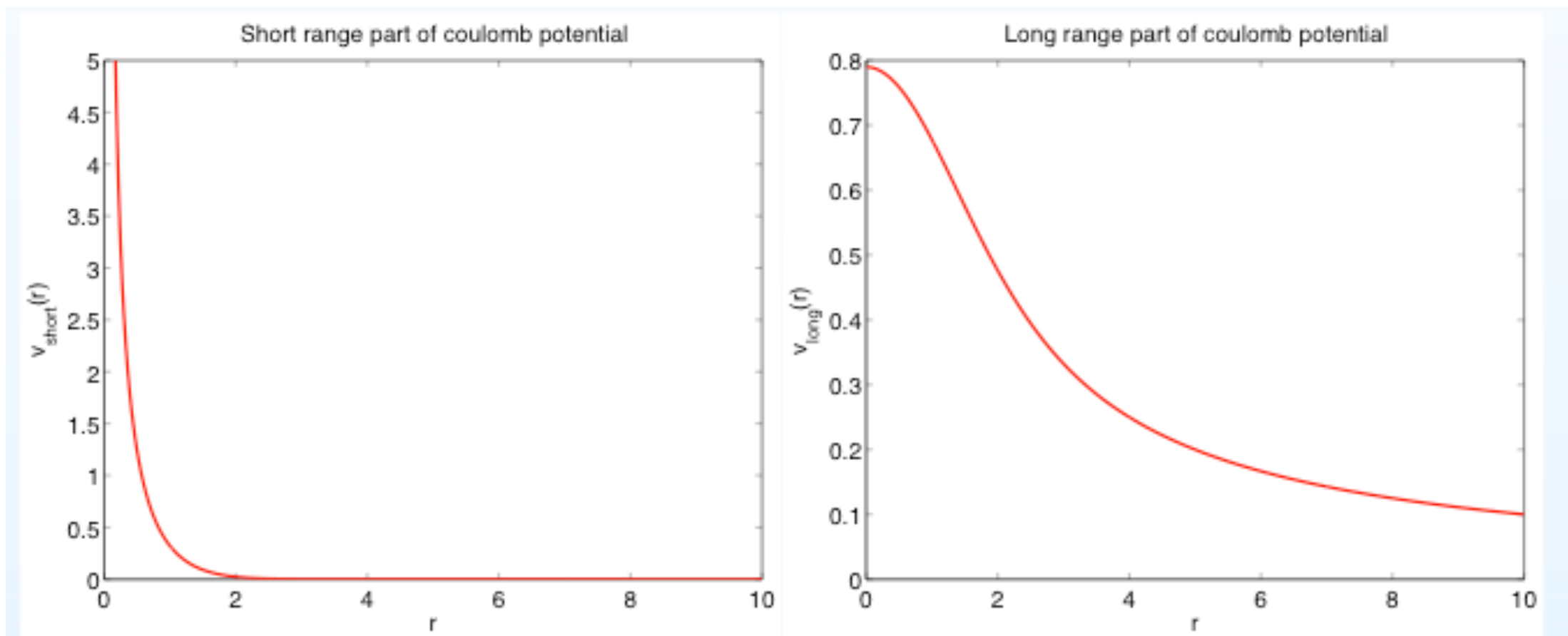
$$V = \sum_{i < j, n} \phi(r_i - r_j + nL)$$

$$V = \sum_k \phi_k (|\rho_k|^2 - N)$$

$$\rho_k = \sum_r e^{ik \cdot r}$$

$$\phi_k = \frac{1}{\Omega} \int dr; e^{ik \cdot r} \phi(r) = \frac{4\pi e^2}{k^2}$$

- SLOW convergence at large  $r$  (in r-space) and slow at small  $k$  (in k-space)



# Classic Ewald

- Split up via Gaussian charge distribution

$$\text{erf}(z) = \frac{2}{\sqrt{\pi}} \int_0^z dt e^{-t^2}$$

$$v_{\text{short}}(r) = \frac{q_1 q_2}{r} \text{erfc}(\kappa r) \quad v_{\text{long}}(r) = \frac{q_1 q_2}{r} - v_{\text{short}}(r) = \frac{q_1 q_2}{r} \text{erf}(\kappa r)$$

decays fast for large  $r$        $v_{\text{long},k} = \frac{4\pi q_1 q_2}{\Omega k^2} e^{-k^2/4\kappa^2}$  decays fast for large  $k$

- Choose Ewald parameter  $\kappa$  such that  $v_{\text{short}}(r) = 0$  at  $r=L/2$ .
- Need only one image in real space: min. image potential.

- Total Potential is then:

$$V = C + \sum_{j \neq i} \left[ v_{\text{short}}(r_{ij}) + \sum_{|k| < k_c} e^{ik \cdot r_{ij}} v_{\text{long},k} \right], \quad r_{ij} = \min_L |r_i - r_j - L|$$

- Extra term for insulators:

$$V_{\text{dipole}} = \frac{2\pi}{(2\varepsilon + 1)\Omega} \left| \sum_i \mu_i \right|^2$$

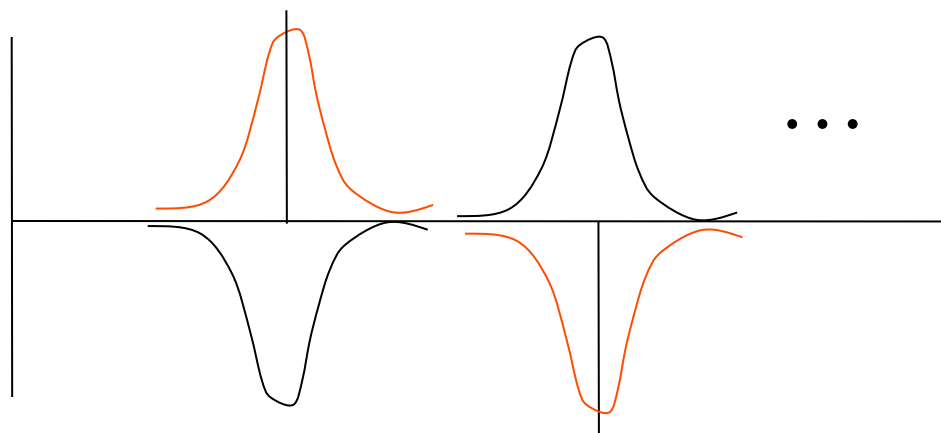
# Classic Ewald

- In this conventional break up,

$$v_{\text{short}}(L/2) = \frac{2q_1q_2}{L} \text{erfc}(\kappa L/2) \neq 0 \quad \text{leads to numerical error}$$

- Summation in k-space is truncated at desired accuracy.
- Adjust  $\kappa$  to minimize total error!

- Physical “trick”: Poisson Eq. is linear so we are free to add and subtract charge that conserves system neutrality, making sure charges screen out long-range part.



Gaussian charge (black) screens pt. charge.  
Gaussian charge (red): Ewald sum!

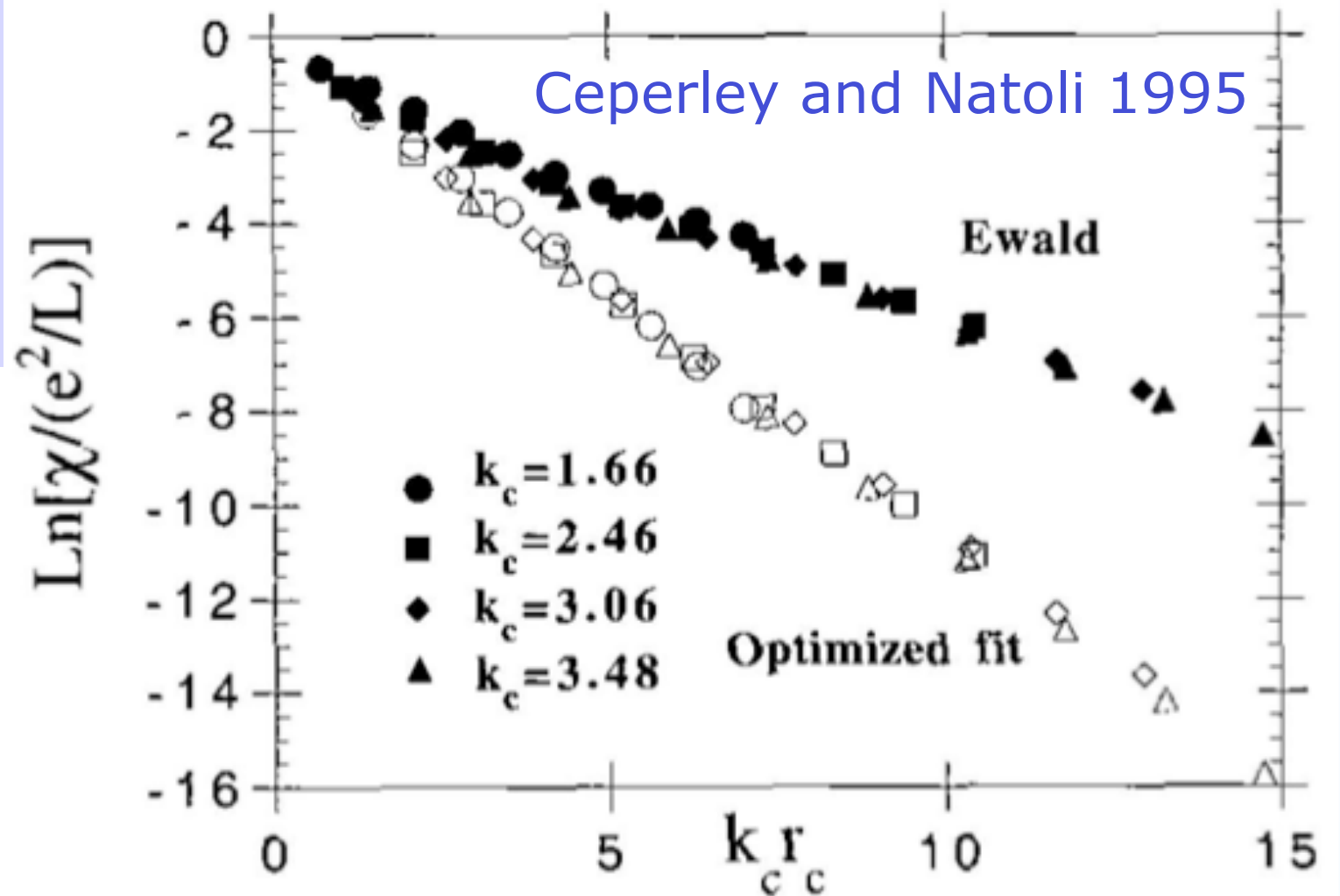


# Ewald: optimizing breakup

- Improve the conventional break up, by

$$v_{\text{short}}(r) = \sum_n c_n h_n(r) \quad \text{or} \quad v_{\text{long}}(r) = \sum_n c_n h_n(r)$$

- $h_n$ 's satisfy B.C.
- Choose k-space cutoff,  $k_c$ .
- Write error that comes from neglecting higher k's.
- Minimize error w.r.t.  $c_n$ 's.



# Look at k-space: the algorithm

$$\begin{aligned} V_{\text{long}} &= \frac{1}{2} \sum_{j \neq i} \sum_k e^{ik \cdot (r_i - r_j)} v_k = \frac{1}{2} \sum_{ij} \sum_k e^{ik \cdot (r_i - r_j)} v_k - \frac{1}{2} \sum_k v_k \\ &= \frac{1}{2} \sum_k \left[ \sum_i e^{ik \cdot r_i} \right] \left[ \sum_j e^{-ik \cdot r_j} \right] v_k + C = \frac{1}{2} \sum_k \rho_k \rho_{-k} v_k + C \end{aligned}$$

- $\rho_k = \rho_{-k}^*$  so only have to compute one of them.
- Computation of  $\rho_k \sim NM_k$ , with  $M_k$  the number of  $k$  vectors.

Algorithm for computing k-space sums:

```
for k in k-vector-list:  
    V_long = V_long +  $\rho_k \rho_{-k} v_k$ 
```

Changes due to moving a few particles can be calculated more quickly

# Look at k-space: the algorithm

$$V_{\text{long}} = \frac{1}{2} \sum_k \rho_k \rho_{-k} v_k + C$$

Algorithm to compute  $\rho_k$

- Complex multiply is much faster than complex exponentiation.  
Use sin's and cos's!

$$e^{ik \cdot r} = \left[ e^{i \frac{2\pi}{L_x} r_x} \right]^{m_1} \left[ e^{i \frac{2\pi}{L_y} r_y} \right]^{m_2} \left[ e^{i \frac{2\pi}{L_z} r_z} \right]^{m_3}$$

# Look at k-space: the algorithm

Algorithm for quickly computing  $\rho_k$ :

```
Create list of k and (m1 m2 m3) indices
rho = 0          # zero out matrix
for i in particles:
    for j in [1,2,3]:
        Cj[j] = exp(I r[i].b[j])
        for m in range(-mmax,mmax):
            Cjm[j,m] = Cj[j]^m
    for m in mindex_list:
        eikr = Cjm[1,m[1]]*Cjm[2,m[2]]*Cjm[3,m[3]]
        rho[k] = rho[k] + eikr
```

- Use neighbor tables and optimize  $\kappa$ , Ewald is  $O(N^{3/2})$
- If we do not reoptimize, then  $O(N^2)$
- With efficient code, prefactor is small.

# How to do it

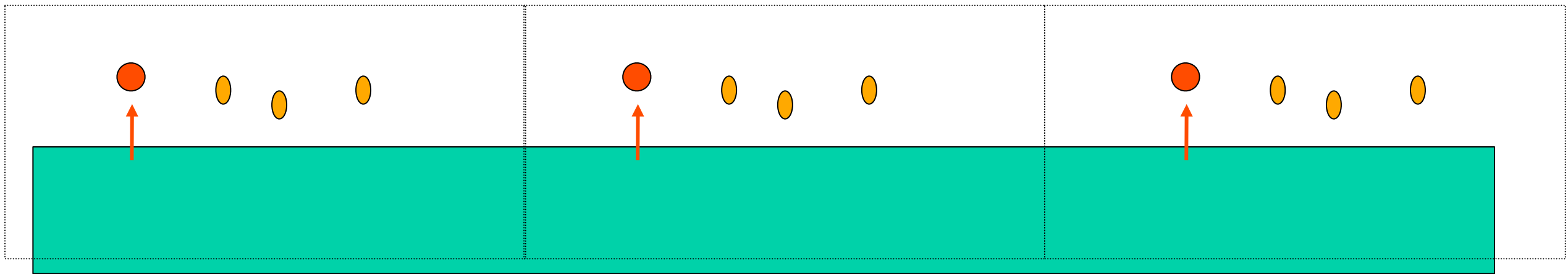
- r-space part same as short-ranged potential  $O(N^{3/2})$
- k-space part:
  1. Compute  $\exp(ik_0x_i) = (\cos(k_0x_i), \sin(k_0x_i))$ ,  $k_0 = 2\pi/L_i$ .  $O(N)$
  2. Compute powers  $\exp(i2k_0x_i) = \exp(ik_0x_i) * \exp(ik_0x_i)$  etc.  
Get all values of  $\exp(ik \cdot r_i)$  with just multiplications.  $O(N^{3/2})$
  3. Sum over particles to get  $\rho_k$  all  $k$ .  $O(N^{3/2})$
  4. Sum over  $k$  to get the potentials.  $O(N^{1/2})$
  5. Forces can also be done by taking gradients.  $O(N^{3/2})$
- Constant terms to be added.  $O(1)$
- Checks: perfect cubic lattice:  $V = -1.4186487/a$ .

# Complexity of Fast Multipole

- Coulomb potentials with Ewald sums are  $O(N^{3/2})$  if you adjust  $\kappa$  and use neighbor tables.
- Fast Multipole Methods are  $O(N)$  for large  $N$ .
  - Divide space into cells recursively
  - Find dipole moment of each cell
  - Find rules for how dipole moments for supercells are related to moments for smaller cells.
  - Effective for large systems for molecular dynamics
- Other related method: Particle cell methods (Hockney)
  - Compute the k-space parts on a grid with FFTs.
  - $N \ln(N)$

# Problems with Image potential

- Introduces a lattice structure which may not be appropriate.
- Example: a charge layer.



- We assume charge structure continues at large  $r$ .
- Actually nearby fluid will be anticorrelated.
- This means such structures will be penalized.
- One should always consider the effects of boundary conditions, particularly when electrostatic forces are around!