# Lecture 26: Exam 2 Review

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### Overview

- Date, time, place; What to bring
- Material covered
  - Search
  - Minimax
  - Al safety
  - Theorem-proving
  - Transparency
  - HMM
  - Transformers

## Date, time, place

- Monday, April 3, 2023
- 1:00pm
- Here (Lincoln Hall Theater)
- Conflict exams: e-mail address is on course web page

# What to bring

- One 8.5x11 (or A4) sheet of notes, both sides, handwritten or printed in font comparable in size to handwriting
- Your UIUC ID
- Not allowed: calculator, computer, textbook, technological tutor

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### Search

Algorithm	Complete?	Optimal?	Time complexity	Space complexity	Implement the Frontier as a
BFS	Yes	If all step costs are equal	$O\{b^d\}$	$\mathcal{O}\{b^d\}$	Queue
DFS	No	No	$\mathcal{O}\{b^m\}$	$\mathcal{O}\{bm\}$	Stack
UCS	Yes	Yes	Number of nodes, n, with $g(n) \leq C^*$	Number of nodes, n, with $g(n) \leq C^*$	Priority Queue sorted by $g(n)$
Greedy	No	No	$\mathcal{O}\{b^m\}$	$\mathcal{O}\{b^m\}$	Priority Queue sorted by $h(n)$
A*	Yes	Yes	Number of nodes, n, with $f(n) \leq C^*$	Number of nodes, n, with $f(n) \leq C^*$	Priority Queue sorted by $f(n)$

b = branching factor, d = length of best path to goal, m = length of longest path to anywhere,

 $g(n) = \text{cost of best path from start to node n, } C^* = \text{cost of best path to goal,}$ 

h(n) =heuristic underestimate of best path from node n to goal, f(n) = g(n) + h(n)

### A\* search

- A\* search: Using a heuristic to help choose which node to expand
- Proof that Dijkstra's algorithm is optimal:
  - Expanding nodes in order of increasing cost ⇒ first time goal node is expanded it will have the smallest possible cost of any path to goal
- Heuristics that allow A\* to be optimal:
  - Consistent:  $h(p) \le d(p,r) + h(r)$
  - Admissible:  $h(p) \le d(p, Goal)$
- Design a consistent heuristic by relaxing constraints

# Minimax

- Alternating two-player zero-sum games
  - $\Lambda = a \max node$ ,  $V = a \min node$
- Minimax search
  - Max:  $v = \max(v, \text{child})$ . Min:  $v = \min(v, \text{child})$
- Limited-horizon computation and heuristic evaluation functions

 $v(s) = w_1 f_1(s) + w_2 f_2(s) + \cdots$ 

- Alpha-beta search
  - Max:  $v = \max(v, \text{child})$ ,  $\alpha = \max(\alpha, \text{child})$ , prune if  $\alpha \ge \beta$ .
  - Min:  $v = \min(v, \text{child})$ ,  $\beta = \min(\beta, \text{child})$ , prune if  $\alpha \ge \beta$ .
- Computational complexity of minimax and alpha-beta
  - Minimax is  $O\{b^d\}$ . With optimal move ordering, alpha-beta is  $O\{b^{d/2}\}$ .

#### Al Safety: Variance Network

Given a dataset of examples  $D = \{(x_0, y_0), \dots, (x_{n-1}, y_{n-1})\}$ , the network has two outputs,  $f_1(x)$  and  $f_2(x)$ , trained to minimize:

$$\mathcal{L} = \frac{1}{n} \sum_{i=1}^{n} (f_1(x_i) - y_i)^2 + \frac{1}{n-1} \sum_{i=1}^{n} (f_2(x_i) - (f_1(x_i) - y_i)^2)^2$$

...learns to estimate the conditional mean and conditional variance:

$$f_1(x_i) \xrightarrow[n \to \infty]{} E[Y|X = x_i]$$
$$f_2(x_i) \xrightarrow[n \to \infty]{} Var(Y|X = x_i)$$

# Unification

Given a proposition P written in terms of the variables  $\mathcal{V}_P$  and constants C, and a proposition Q written in terms of the variables  $\mathcal{V}_Q$  and constants C, unification is a process with two outcomes:

- Find a substitution  $S: \{\mathcal{V}_P, \mathcal{V}_Q\} \to \{\mathcal{V}_Q, C\}$  such that
- S(P) = S(Q) = U

Unified Meaning Interpretation of C1 State of Affairs Concept C1 Concept

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... or prove that no such substitution exists.

## Forward-chaining

Forward-chaining is a method of proving a theorem, *T*:

- Starting state: a database of known true propositions,  $\mathcal{D} = \{P_1, P_2, \dots\}$
- Actions: the set of possible actions is defined by a set of rules, where each rule has the form  $P \implies Q$ .
- Neighboring states: if  $P_1$  unifies to P creating  $S(P) = S(P_1)$ , then create the new database  $\mathcal{D}' = \{P_1, P_2, \dots, S(Q)\}$
- Termination: search terminates when we find a database containing T

# Backward-chaining

Backward-chaining is a method of proving a theorem, T:

- Starting state: a goalset containing only one goal, the result to be proven,  $\mathcal{G} = \{T\}$
- Actions: the set of possible actions is defined by rules of the form  $P_1 \wedge P_2 \wedge \cdots \wedge P_n \Longrightarrow Q$
- Neighboring states: if Q unifies with some  $Q' \in G$  producing S(Q) = S(Q') then:
  - Remove Q' from  $\mathcal{G}$
  - Replace it with  $S(P_1) \wedge S(P_2) \wedge \cdots \wedge S(P_n)$
- Termination: search terminates if all propositions in the goalset are known to be true.

### **Bayesian Networks**

- Bayesian network: Each variable is a node; An arrow between two nodes means that the child depends on the parent.
- Inference using a Bayesian network:

$$P(B = T, J = T) = \sum_{e=T}^{F} \sum_{a=T}^{F} P(B)$$
  
= T)P(E = e)P(A = a|B = T, E = e)P(J = T|A = a)

- Key ideas:
  - Independent = no common ancestors
  - Conditionally independent = (1) no common descendants, and (2) none of the descendants of one are ancestors of the other

# Relevance scoring in neural networks

• Unnormalized relevance:

$$\tilde{R}(f_c, x_d) = \frac{\partial f_c}{\partial x_d} x_d f_c$$

• Normalized relevance:

$$R(f_c, x_d) = \frac{\frac{\partial f_c}{\partial x_d} x_d}{\sum_{d'} \frac{\partial f_c}{\partial x_{d'}} x_{d'}} f_c$$

The summation is usually done over a layer, so that  $\sum_d R(f_c, x_d) = f_c$  over each layer.

## Hidden Markov Models

• HMM: Probabilistic reasoning over time  $\pi_i = P(Y_0 = i)$ 

$$a_{i,j} = P(Y_t = j | Y_{t-1} = i)$$
  

$$b_j(x_t) = P(X_t = x_t | Y_t = j)$$

• Viterbi algorithm

$$v_t(j) = \max_{i \in \mathcal{Y}} v_{t-1}(i) a_{i,j} b_j(x_t)$$
  
$$\psi_t(j) = \operatorname*{argmax}_{i \in \mathcal{Y}} v_{t-1}(i) a_{i,j} b_j(x_t)$$

#### **Vector Semantics**

• Skip-Gram:

$$\mathcal{L} = -\frac{1}{T} \sum_{t=0}^{T-1} \sum_{j=-c,j\neq 0}^{c} \ln P(w_{t+j}|w_t)$$

- Continuous Bag of Words (CBOW):  $\mathcal{L} = -\frac{1}{T} \sum_{t=0}^{T-1} \sum_{j=-c,j\neq 0}^{c} \ln P(w_t | w_{t+j})$ 
  - Softmax probability, Dot-product similarity:

$$P(W_t = m | W_{t+j} = n) = \frac{\exp(v_m @ v_n)}{\sum_{m'} \exp(v_{m'} @ v_n)}$$

• Train using SGD:

$$v_m \leftarrow v_m - \eta \nabla_{v_m} \mathcal{L} = v_m + \frac{\eta}{T} \sum_{t:w_t = m} \sum_{j = -c, j \neq 0}^{c} \left( 1 - P(W_t = m | w_{t+j}) \right) v_{w_{t+j}}$$

## Transformer

• Stack up  $v_t$ ,  $k_t$ , and  $q_i$  into matrices:

$$v = \begin{bmatrix} v_1 \\ \vdots \\ v_n \end{bmatrix}, k = \begin{bmatrix} k_1 \\ \vdots \\ k_n \end{bmatrix}, q = \begin{bmatrix} q_1 \\ \vdots \\ q_m \end{bmatrix}$$

- $\alpha_{i,t}$  is the t<sup>th</sup> output of a softmax whose input vector is  $q_i@k^T$ :  $\alpha_{i,t} = \operatorname{softmax}_t(q_i@k^T) = \frac{\exp(q_i@k_t)}{\sum_{\tau} \exp(q_i@k_{\tau})}$
- $c_i$  is the product of the vector  $\operatorname{softmax}(q_i@k^T)$  times the v matrix:  $c_i = \operatorname{softmax}(q_i@k^T)@v = \sum_t \alpha_{i,t} v_t$

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