## CS 476 Homework \#14, due at 10:45am on 5/4

Note: Answers to the exercises listed below should be emailed to reedoei2@illinois.edu in typewritten form (latex formatting preferred) by the deadline mentioned above. You should also email to reedoei2@illinois.edu the Maude code and screenshots for Problem 2.

1. Part I. Let $\mathcal{A}=\left(\mathbb{A}, \rightarrow_{\mathcal{A}}\right)$ be a $\Sigma$-transition system. Let $a \in A_{[s]}$ be a state, and let $a^{\prime} \in \operatorname{Reach}_{\mathcal{A}}(a)$. Logically speaking, as for any other two sets, for any such $\mathcal{A}, a \in A_{[s]}$ and $a^{\prime} \in \operatorname{Reach} \mathcal{A}_{\mathcal{A}}(a)$, there are three possibilities:
(a) $\operatorname{Reach}_{\mathcal{A}}\left(a^{\prime}\right) \supseteq \operatorname{Reach}_{\mathcal{A}}(a)$,
(b) $\operatorname{Reach}_{\mathcal{A}}\left(a^{\prime}\right) \subseteq \operatorname{Reach}_{\mathcal{A}}(a)$, or
(c) $\operatorname{Reach}_{\mathcal{A}}\left(a^{\prime}\right) \cup \operatorname{Reach}_{\mathcal{A}}(a) \neq \operatorname{Reach}_{\mathcal{A}}\left(a^{\prime}\right)$ and $\operatorname{Reach}_{\mathcal{A}}\left(a^{\prime}\right) \cup \operatorname{Reach}_{\mathcal{A}}(a) \neq \operatorname{Reach}_{\mathcal{A}}(a)$.

Does any of those three possibilities always hold for any $\mathcal{A}, a \in A_{[s]}$ and $a^{\prime} \in \operatorname{Reach}_{\mathcal{A}}(a)$ ? If so, give a proof; and if not, give a counterexample.

Part II. Suppose, as above, a $\Sigma$-transition system $\mathcal{A}=\left(\mathbb{A}, \rightarrow_{\mathcal{A}}\right)$ where $\mathbb{A}$ protects the Boolean data type, i.e., the signature $\Sigma_{\text {Bool }}$ of Boolean operations is a subsignature $\Sigma_{\text {Bool }} \subseteq \Sigma$, and $\left.\mathbb{A}\right|_{\Sigma_{\text {Bool }}} \cong \mathbb{B}$, with $\mathbb{B}$ the standard, two-element Boolean Algebra. Let $a \in A_{[s]}$ and $a^{\prime} \in \operatorname{Reach}_{\mathcal{A}}(a)$, and let $I$ be a unary Boolean-valued predicate whose input kind is $[s]$. Prove that there is implication relation [in one of the directions] between the following two statements:

$$
\mathcal{A}, a \models \square I \quad ? ? \quad \mathcal{A}, a^{\prime} \models \square I
$$

and give a counterexample showing that the inverse implication does not hold in general. Hint. Note that if $\Sigma$ is unsorted and its set $F$ of function symbols is empty, a $\Sigma$-transition system is just a transition system, i.e., a directed graph (see $S T A C S, \S 7.2$ ). Therefore, your counterexample can just be a simple directed graph example.

For Extra Credit. (Backwards Reachability Analysis). You can earn 10 more points on Problem 1 if you solve correctly the following problem. The problem's solution is not just of theoretical interest: it is eminently practical, since it is the basis of the so-called backwards reachability analysis of a system's properties. Let $\mathcal{A}=\left(\mathbb{A}, \rightarrow_{\mathcal{A}}\right), a \in A_{[s]}$, and $I$, a state predicate, be exactly as in Part II above. Suppose that $I$ is an invariant from initial state $a$, i.e., $\mathcal{A}, a \vDash \square I$. Let $\llbracket \neg I \rrbracket_{\mathbb{A}}=\left\{a \in A_{[s]} \mid I(a)=\right.$ false $\left._{\mathbb{A}}\right\}$. By the protecting Booleans assumption, we of course have, $\llbracket \neg I \rrbracket_{\mathcal{A}}=A_{[s]} \backslash \llbracket I \rrbracket_{\mathcal{A}}$, i.e., $\llbracket \neg I \rrbracket_{\mathcal{A}}$ is the set of states in $A_{[s]}$ where $I$ does not hold. Call $\llbracket \neg I \rrbracket_{\mathcal{A}}$, a co-invariant from initial state $a$ (in the exact sense that its complement is an invariant from $a$ ).

Let $\mathcal{A}^{-1}=\left(\mathbb{A}, \rightarrow_{\mathcal{A}}^{-1}\right)$ be the inverse, or reverse, $\Sigma$-transition system of $\mathcal{A}$, where for each kind $[s], \rightarrow_{\mathcal{A},[s]}^{-1}$ is the inverse relation of $\rightarrow_{\mathcal{A},[s]}$, i.e., for each $a, a^{\prime} \in A_{[s]}$ we have the equivalence,

$$
a \rightarrow_{\mathcal{A},[s]} a^{\prime} \quad \Leftrightarrow \quad a^{\prime} \rightarrow_{\mathcal{A},[s]}^{-1} a .
$$

Prove the following equivalence:

$$
\mathcal{A}, a \models \square I \quad \Leftrightarrow \quad\left(\forall a^{\prime} \in \llbracket \neg I \rrbracket_{\mathcal{A}}\right) a \notin \operatorname{Reach}_{\mathcal{A}^{-1}}\left(a^{\prime}\right)
$$

2. Consider the following dining philosophers example, that you can retrieve from the course web page:
```
fmod NAT/4 is
    protecting NAT .
    sort Nat/4 .
    op [_] : Nat -> Nat/4 .
    op _+_ : Nat/4 Nat/4 -> Nat/4 .
    op _*_ : Nat/4 Nat/4 -> Nat/4 .
    op p : Nat/4 -> Nat/4 .
    vars N M : Nat .
    ceq [N] = [N rem 4] if N >= 4 .
    eq [N] + [M] = [N + M] .
    eq [N] * [M] = [N * M] .
    ceq p([O]) = [N] if s(N) := 4 .
    ceq p([s(N)]) = [N] if N < 4 .
endfm
mod DIN-PHIL is
    protecting NAT/4 .
    sorts Oid Cid Attribute AttributeSet Configuration Object Msg .
    sorts Phil Mode .
    subsort Nat/4 < Oid .
    subsort Attribute < AttributeSet .
    subsort Object < Configuration .
    subsort Msg < Configuration .
    subsort Phil < Cid .
    op __ : Configuration Configuration -> Configuration
                    [ assoc comm id: none ] .
op _`,_ : AttributeSet AttributeSet -> AttributeSet
                                    [ assoc comm id: null ] .
    op null : -> AttributeSet .
    op none : -> Configuration .
    op mode':_ : Mode -> Attribute [ gather ( & ) ] .
    op holds':_ : Configuration -> Attribute [ gather ( & ) ] .
    op <_:_l_> : Oid Cid AttributeSet -> Object .
    op Phil : -> Phil .
    ops t h e : -> Mode .
    op chop : Nat/4 Nat/4 -> Msg [comm] .
    op init : -> Configuration .
    op make-init : Nat/4 -> Configuration .
    vars N M K : Nat .
    var C : Configuration.
    ceq init = make-init([N]) if s(N) := 4 .
    ceq make-init([s(N)])
        = < [s(N)] : Phil | mode : t , holds : none > make-init([N]) (chop([s(N)],[N]))
        if N < 4.
    ceq make-init([0]) =
        < [0] : Phil | mode : t , holds : none > chop([0],[N]) if s(N) := 4 .
    rl [t2h] : < [N] : Phil | mode : t , holds : none > =>
    < [N] : Phil | mode : h , holds : none > .
    crl [pickl] : < [N] : Phil | mode : h , holds : none > chop([N],[M])
        => < [N] : Phil | mode : h , holds : chop([N],[M]) > if [M] = [s(N)] .
```

```
    rl [pickr] : < [N] : Phil | mode : h , holds : chop([N],[M]) >
    chop([N],[K]) =>
    < [N] : Phil | mode : h , holds : chop([N], [M]) chop([N],[K]) > .
    rl [h2e] : < [N] : Phil | mode : h , holds : chop([N], [M])
    chop([N],[K]) > => < [N] : Phil | mode : e ,
    holds : chop([N], [M]) chop([N],[K]) > .
    rl [e2t] : < [N] : Phil | mode : e , holds : chop([N],[M])
    chop([N],[K]) > => chop([N],[M]) chop([N],[K])
    < [N] : Phil | mode : t , holds : none > .
endm
```

There are four philosophers, that you can imagine eating in a circular table. Initially they are all in thinking mode ( t ), but they can go into hungry mode ( h ), and after picking the left and right chopsticks (they eat Chinese food) into eating mode (e), and then can return to thinking.

The identities of the philosophers are naturals modulo 4, with contiguous philosophers arranged in increasing order from left to right (but wrapping around to 0 at 4). The chopsticks are numbered, with each chopstick indicating the two philosophers next to it.
Prove, by giving appropriate search commands from the initial state init, the following properties:

- (contiguous mutual exclusion): it is never the case that two contiguous philosophers are eating simultaneously.
- (mutual non-exclusion): it is however possible for two philosophers to eat simultaneously.
- (three exclusion): it is impossible for three philosophers to eat simultaneously.
- (deadlock) the system can deadlock.

