

Program Verification: Lecture 27

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Simulation and Bisimulation Maps of Transition Systems

Given two transition systems $\mathcal{A} = (A, \rightarrow_{\mathcal{A}})$ and $\mathcal{B} = (B, \rightarrow_{\mathcal{B}})$, a **simulation map** f from \mathcal{A} to \mathcal{B} , denoted $f : \mathcal{A} \rightarrow \mathcal{B}$, is a function $f : A \rightarrow B$ that is “transition preserving” in the sense that any transition $a \rightarrow_{\mathcal{A}} a'$ in \mathcal{A} is mapped by f to a corresponding transition $f(a) \rightarrow_{\mathcal{B}} f(a')$ in \mathcal{B} .

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A simulation map $f : \mathcal{A} \rightarrow \mathcal{B}$ is called a **bisimulation** iff, in addition, for any state of the form $f(a) \in B$ and any transition $f(a) \rightarrow_{\mathcal{B}} b$ there exists $a' \in A$ and transition $a \rightarrow_{\mathcal{A}} a'$ such that $f(a') = b$.

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Given a transition system $\mathcal{A} = (A, \rightarrow_{\mathcal{A}})$ and subsets $U, V \subseteq A$, we are interested in the **reachability property**:

$$\exists x \in U, \exists y \in V, x \rightarrow_{\mathcal{A}}^* y$$

which we abbreviate to $\exists U \rightarrow^* V$. If this property holds for specific $U, V \subseteq A$ we write: $\mathcal{A} \models \exists U \rightarrow^* V$.

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which we abbreviate to $\exists U \rightarrow^* V$. If this property holds for specific $U, V \subseteq A$ we write: $\mathcal{A} \models \exists U \rightarrow^* V$. Note that $\mathcal{A} \not\models \exists U \rightarrow^* V$ iff $\forall x \in U, \forall y \in V, x \not\rightarrow_{\mathcal{A}}^* y$ holds in \mathcal{A} , abbreviated $\mathcal{A} \models \forall U \not\rightarrow^* V$.

Preservation of Reachability Properties by (Bi)Simulations

The proofs of these two theorems are given in the Appendix.

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Let $f : \mathcal{A} \rightarrow \mathcal{B}$ be a *simulation* map, then for any $U, V \subseteq \mathcal{A}$,
 $\mathcal{A} \models \exists U \rightarrow^* V$ implies $\mathcal{B} \models \exists f(U) \rightarrow^* f(V)$. Equivalently,
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Note that for $U, I \subseteq A$, I is an **invariant** from U iff $\mathcal{A} \models \forall U \not\rightarrow^* A \setminus I$.
 Thus, we can verify the invariant by proving $\mathcal{B} \models \forall f(U) \not\rightarrow^* f(A \setminus I)$.

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 But we could have $\mathcal{B} \models \exists f(U) \rightarrow^* f(A \setminus I)$, while $\mathcal{A} \models \forall U \not\rightarrow^* A \setminus I$
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Note that for $U, I \subseteq A$, I is an **invariant** from U iff $\mathcal{A} \models \forall U \not\rightarrow^* A \setminus I$. Thus, we can verify the invariant by proving $\mathcal{B} \models \forall f(U) \not\rightarrow^* f(A \setminus I)$. But we could have $\mathcal{B} \models \exists f(U) \rightarrow^* f(A \setminus I)$, while $\mathcal{A} \models \forall U \not\rightarrow^* A \setminus I$ (**spurious counterexample**). However, if f is a **bisimulation** no spurious counterexamples can exist.

Equational Abstractions

Simulation and bisimulation maps can be very useful to verify properties of concurrent systems specified as (not necessarily topmost) rewrite theories $\mathcal{R} = (\Sigma, E \cup B, R)$, not by reasoning directly on \mathcal{R} , but by **shifting our ground** and reasoning on a **quotient** of \mathcal{R} .

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The following theorem follows trivially from the fact that if $t \rightarrow_{\mathcal{R}/E \cup B} t'$, then, **a fortiori**, $t \rightarrow_{\mathcal{R}/E \cup B \cup G} t'$.

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The following theorem follows trivially from the fact that if $t \rightarrow_{R/EUB} t'$, then, **a fortiori**, $t \rightarrow_{R/EUBUG} t'$.

Theorem

Given a rewrite theory $\mathcal{R} = (\Sigma, E \cup B, R)$, Σ -equations $G = E' \cup B'$, and a top sort *State*, the unique surjective Σ -homomorphism

$[-]_{EUBUG} : \mathbb{T}_{\Sigma/EUB} \rightarrow \mathbb{T}_{\Sigma/EUBUG}$ induces a **simulation map**

$[-]_{EUBUG} : (T_{\Sigma/EUB, State} \rightarrow_{R/EUB}) \rightarrow (T_{\Sigma/EUBUG, State} \rightarrow_{R/EUBUG})$.

Equational Abstractions (II)

Equational abstractions can make the set of reachable states from an initial state *init* finite. In this way, **invariants** and, more generally, **LTL properties** that cannot be verified by **explicit-state** model checking can be verified using an equational abstraction \mathcal{R}/G .

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In what follows I shall focus on the use of equational abstractions for **symbolic model checking**. Therefore, I will assume a topmost rewrite theory $\mathcal{R} = (\Sigma, E \cup B, R)$ such that $E \cup B$ is FVP. We shall then be interested in equational abstractions of the form \mathcal{R}/G , where $G = E' \cup B'$ is such that $E \cup E' \cup B \cup B'$ is also FVP modulo $B \cup B'$.

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Since for each pattern term with variables p , the quotient homomorphism $[-]_{EUBUG} : \mathbb{T}_{\Sigma/EUB}(X) \rightarrow \mathbb{T}_{\Sigma/EUBUG}(X)$ maps each $[p]_{EUB}$ to $[p]_{EUBUG}$, p in \mathcal{R}/G just describes the **image** under $[-]_{EUBUG}$ of p in \mathcal{R} as the symbolic description of the **set** $\llbracket p \rrbracket_{\mathcal{R}}$ of all $E \cup B$ -equivalence classes of **ground instances** of p , which is just $\llbracket p \rrbracket_{\mathcal{R}/G}$.

Equational Abstractions (III)

In particular, if the **complement** of an invariant I in \mathcal{R} is symbolically described by a finite set of pattern terms p_1, \dots, p_k , in case the symbolic state space to reach an instance of some p_i from a symbolic initial state u is **infinite**, we can use a topmost equational abstraction \mathcal{R}/G whose equations are FVP to try to make the symbolic search space **finite**.

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Then, by the first Theorem in pg. 3 of this 3 of this lecture, we can use symbolic model checking from a symbolic initial state u to show in \mathcal{R}/G that $\forall u \not\rightarrow^* p_i, 1 \leq i \leq k$. However, in some cases we might get some **spurious counterexample**.

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But by the second Theorem in page 3 of this lecture, no spurious counterexamples will exist if the homomorphism

$[-]_{EUBUG} : \mathbb{T}_{\Sigma/EUB} \rightarrow \mathbb{T}_{\Sigma/EUBUG}$ actually defines a **bisimulation**. I shall focus on bisimulations in what follows.

An Equational Abstraction for R&W

Recall that it was impossible to verify the **mutual exclusion** and **one-writer** invariants for BAKERY from $\langle \emptyset, \emptyset \rangle$ by narrowing in a **forwards** direction: one had to narrow **backwards**.

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```

mod R&W is
  sorts Nat Config .
  op <_,_> : Nat Nat -> Config [ctor] .
  op  $\emptyset$  : -> Nat [ctor] .
  op s : Nat -> Nat [ctor] .
  vars R W : Nat .

  rl <  $\emptyset$ ,  $\emptyset$  > => <  $\emptyset$ , s( $\emptyset$ ) > [narrowing] .
  rl < R, s(W) > => < R, W > [narrowing] .
  rl < R,  $\emptyset$  > => < s(R),  $\emptyset$  > [narrowing] .
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The equation $\langle s(s(N)), \emptyset \rangle = \langle s(\emptyset), \emptyset \rangle$ is confluent, terminating and FVP and provides the desired abstraction:

An Equational Abstraction for R&W (II)

```

mod R&W-ABS is
  including R&W .
  vars N M R W : Nat .
  eq < s(s(N)), 0 > = < s(0), 0 > [variant] .
endm

```

Maude> {fold} vu-narrow < 0, 0 > =>* < s(N:Nat), s(M:Nat) > .

No solution.

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No solution.

Of course, in this example the equational abstraction was not needed: we could symbolically verify these properties from the more general pattern $\langle R, 0 \rangle$. However, folding variant narrowing **may loop** in other examples, yet **may reach a fixpoint** using an equational abstraction; sometimes (like above) **even from a ground initial state**.

Bakery Protocol: Transition System

Token to give ; Token serving ; Set of Processes

Nat Nat [{ idle, wait(Nat), crit(Nat) }]

$\text{rl } N ; M ; [\text{idle}] \text{ PS} \Rightarrow (\text{s } N) ; M ; [\text{wait}(N)] \text{ PS} .$

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Nat

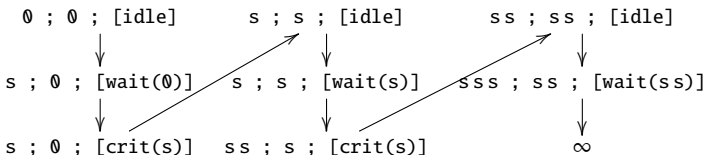
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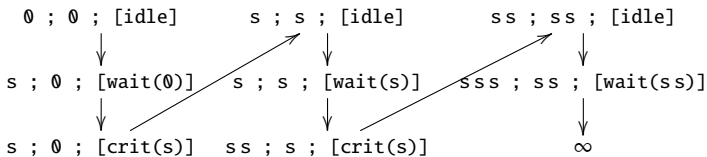
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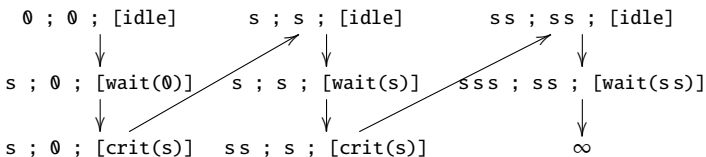
(Transition System: **one initial state - infinite space**)

Bakery Protocol: Symbolic Transition System

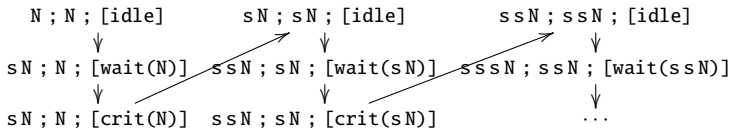


(Transition System: **one initial state** - **infinite state space**)

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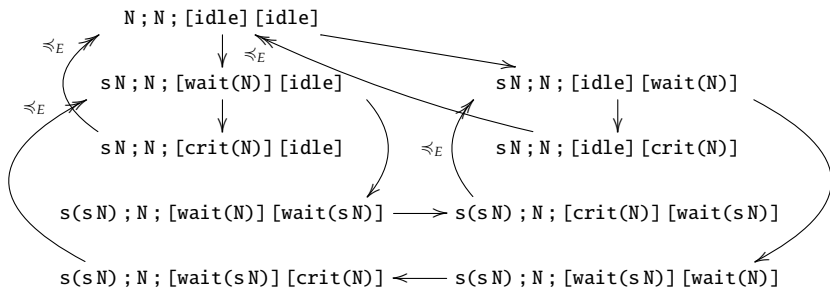


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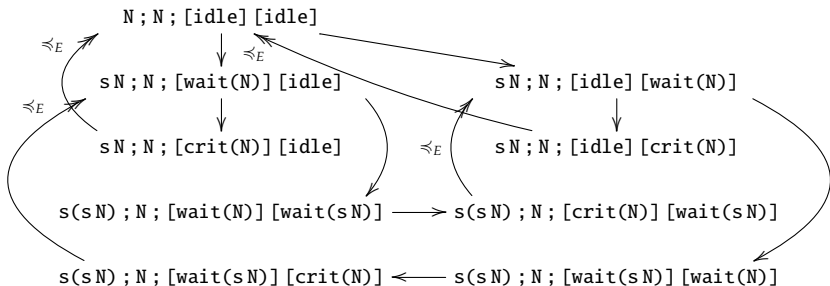
(**Symbolic** Transition System: **infinite initial state set** - **infinite state space**)

Bakery Protocol: Folding the Symbolic Transition System



(Folding Symbolic Transition System : infinite initial state set - finite state space)

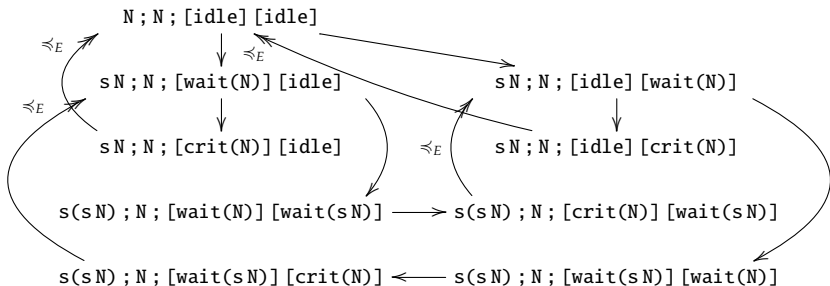
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However, folding variant narrowing loops if we start with a more general symbolic initial state of the form: $N; N \text{ IS}$, where IS is a variable of sort IdleProcesses.

Bakery Protocol: Folding the Symbolic Transition System



(Folding Symbolic Transition System : infinite initial state set - finite state space)

However, folding variant narrowing loops if we start with a more general symbolic initial state of the form: $N; N \text{ IS}$, where IS is a variable of sort IdleProcesses. Let us explore the notion of bisimilar equational abstractions.

Bisimilar Equational Abstractions

We say that an equational abstraction \mathcal{R}/G defines an **bisimilar equational abstraction** of \mathcal{R} iff the simulation map

$$[-]_{EUBUG} : (T_{\Sigma/EUB,State} \rightarrow_{R/EUB}) \rightarrow (T_{\Sigma/EUBUG,State} \rightarrow_{R/EUBUG})$$

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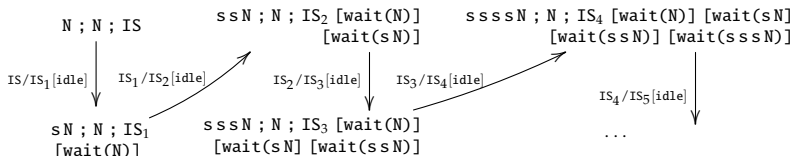
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Theorem

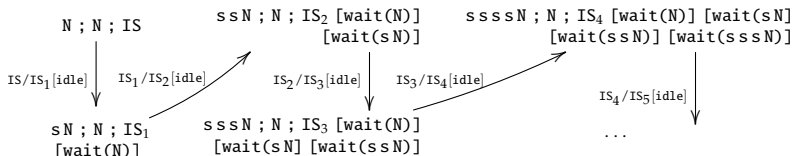
Let $\mathcal{R} = (\Sigma, E \cup B, R)$ be a topmost rewrite theory such that $G = E \cup B$ is FVP, and $G' = E' \cup B'$ is such that $E \cup E' \cup B \cup B'$ is FVP modulo $B \cup B'$. \mathcal{R}/G' defines a bisimilar equational abstraction of \mathcal{R} if for each $(u_0^i = u_1^i) \in G'$, $1 \leq i \leq p$, and $(t_0^j \rightarrow t_1^j) \in R$, $1 \leq j \leq q$, and each $\sigma \in \text{Unif}_G(t_{b'}^j = u_b^i)$, $0 \leq b \leq 1$, $0 \leq b' \leq 1$, there exists a θ such that $u_{b' \oplus 1}^i \sigma =_G t_{b'}^j \theta \wedge t_{b \oplus 1}^j \theta =_G t_{b \oplus 1}^i \sigma$, where \oplus denotes exclusive or.

Bakery Protocol: Infinite-State for some Initial States



(Infinite Folding Logical Transition System : infinite initial state - infinite state space)

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- Many verification problems for infinite-state systems are due to **unbounded number of processes**
- All approaches use a **symbolic finite representation** of an infinite number of processes
- Bisimulation proofs **written by hand** or **hard to reuse**

An Equational Abstraction for the Bakery Protocol

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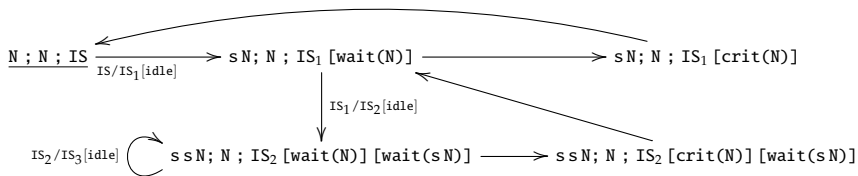
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(Abstract **Bisimilar** Folding Logical Transition System)