

Program Verification: Lecture 26

José Meseguer

University of Illinois at Urbana-Champaign

Extending Narrowing-Based Symbolic Model Checking

So far, the narrowing-based symbolic model checking of infinite-state systems applies to topmost theories of the form $\mathcal{R} = (\Omega, B, R)$, where B is a set of equational axioms.

Extending Narrowing-Based Symbolic Model Checking

So far, the narrowing-based symbolic model checking of infinite-state systems applies to topmost theories of the form $\mathcal{R} = (\Omega, B, R)$, where B is a set of equational axioms.

This leaves out topmost theories of the form, $\mathcal{R} = (\Sigma, E \cup B, R)$.

Extending Narrowing-Based Symbolic Model Checking

So far, the narrowing-based symbolic model checking of infinite-state systems applies to topmost theories of the form $\mathcal{R} = (\Omega, B, R)$, where B is a set of equational axioms.

This leaves out topmost theories of the form, $\mathcal{R} = (\Sigma, E \cup B, R)$. But it is quite common for concurrent systems to update their states by means of **auxiliary functions** defined by equations E modulo B .

Extending Narrowing-Based Symbolic Model Checking

So far, the narrowing-based symbolic model checking of infinite-state systems applies to topmost theories of the form $\mathcal{R} = (\Omega, B, R)$, where B is a set of equational axioms.

This leaves out topmost theories of the form, $\mathcal{R} = (\Sigma, E \cup B, R)$. But it is quite common for concurrent systems to update their states by means of **auxiliary functions** defined by equations E modulo B . Can we **extend** narrowing to richer topmost theories?

Extending Narrowing-Based Symbolic Model Checking

So far, the narrowing-based symbolic model checking of infinite-state systems applies to topmost theories of the form $\mathcal{R} = (\Omega, B, R)$, where B is a set of equational axioms.

This leaves out topmost theories of the form, $\mathcal{R} = (\Sigma, E \cup B, R)$. But it is quite common for concurrent systems to update their states by means of **auxiliary functions** defined by equations E modulo B . Can we **extend** narrowing to richer topmost theories?

Besides symbolic verification of invariants by narrowing, since LTL allows verification of richer properties than just invariants, this raises the question:

Extending Narrowing-Based Symbolic Model Checking

So far, the narrowing-based symbolic model checking of infinite-state systems applies to topmost theories of the form $\mathcal{R} = (\Omega, B, R)$, where B is a set of equational axioms.

This leaves out topmost theories of the form, $\mathcal{R} = (\Sigma, E \cup B, R)$. But it is quite common for concurrent systems to update their states by means of **auxiliary functions** defined by equations E modulo B . Can we **extend** narrowing to richer topmost theories?

Besides symbolic verification of invariants by narrowing, since LTL allows verification of richer properties than just invariants, this raises the question: Could symbolic model checking of invariants be extended to **symbolic LTL model checking** of infinite-state systems?

Extending Narrowing-Based Symbolic Model Checking

So far, the narrowing-based symbolic model checking of infinite-state systems applies to topmost theories of the form $\mathcal{R} = (\Omega, B, R)$, where B is a set of equational axioms.

This leaves out topmost theories of the form, $\mathcal{R} = (\Sigma, E \cup B, R)$. But it is quite common for concurrent systems to update their states by means of **auxiliary functions** defined by equations E modulo B . Can we **extend** narrowing to richer topmost theories?

Besides symbolic verification of invariants by narrowing, since LTL allows verification of richer properties than just invariants, this raises the question: Could symbolic model checking of invariants be extended to **symbolic LTL model checking** of infinite-state systems?

Before answering these two questions (in the positive), this lecture first introduces some symbolic techniques needed for this purpose.

The Need for $E \cup B$ -Unification

Symbolic model checking of a topmost rewrite theory

$\mathcal{R} = (\Omega, B, R)$ is based on the **modulo** B narrowing relation $\rightsquigarrow_{R,B}$.

The Need for $E \cup B$ -Unification

Symbolic model checking of a topmost rewrite theory

$\mathcal{R} = (\Omega, B, R)$ is based on the **modulo** B narrowing relation $\rightsquigarrow_{R,B}$.

To extend this kind of symbolic model checking to admissible topmost rewrite theories of the form $\mathcal{R} = (\Sigma, E \cup B, R)$ we need to perform narrowing **modulo** $E \cup B$ with a relation $\rightsquigarrow_{R,E \cup B}$.

The Need for $E \cup B$ -Unification

Symbolic model checking of a topmost rewrite theory $\mathcal{R} = (\Omega, B, R)$ is based on the **modulo** B narrowing relation $\rightsquigarrow_{R,B}$.

To extend this kind of symbolic model checking to admissible topmost rewrite theories of the form $\mathcal{R} = (\Sigma, E \cup B, R)$ we need to perform narrowing **modulo** $E \cup B$ with a relation $\rightsquigarrow_{R,E \cup B}$. The definition of narrowing modulo in Lecture 23 remains the same, just by generalizing B to $E \cup B$:

The Need for $E \cup B$ -Unification

Symbolic model checking of a topmost rewrite theory $\mathcal{R} = (\Omega, B, R)$ is based on the **modulo** B narrowing relation $\rightsquigarrow_{R,B}$.

To extend this kind of symbolic model checking to admissible topmost rewrite theories of the form $\mathcal{R} = (\Sigma, E \cup B, R)$ we need to perform narrowing **modulo** $E \cup B$ with a relation $\rightsquigarrow_{R,E \cup B}$. The definition of narrowing modulo in Lecture 23 remains the same, just by generalizing B to $E \cup B$:

Given a rewrite theory $\mathcal{R} = (\Sigma, E \cup B, R)$, and a term $t \in T_{\Sigma}(X)$, an **R -narrowing step** modulo $E \cup B$, denoted $t \rightsquigarrow_{R,E \cup B}^{\theta} v$ holds iff there exists a **non-variable** position p in t , a rule $l \rightarrow r$ in R , and a $E \cup B$ -unifier $\theta \in \text{Unif}_{E \cup B}(t|_p = l)$ such that $v = t[r]_p \theta$.

The Need for $E \cup B$ -Unification

Symbolic model checking of a topmost rewrite theory $\mathcal{R} = (\Omega, B, R)$ is based on the **modulo** B narrowing relation $\rightsquigarrow_{R,B}$.

To extend this kind of symbolic model checking to admissible topmost rewrite theories of the form $\mathcal{R} = (\Sigma, E \cup B, R)$ we need to perform narrowing **modulo** $E \cup B$ with a relation $\rightsquigarrow_{R, E \cup B}$. The definition of narrowing modulo in Lecture 23 remains the same, just by generalizing B to $E \cup B$:

Given a rewrite theory $\mathcal{R} = (\Sigma, E \cup B, R)$, and a term $t \in T_{\Sigma}(X)$, an **R -narrowing step** modulo $E \cup B$, denoted $t \rightsquigarrow_{R, E \cup B}^{\theta} v$ holds iff there exists a **non-variable** position p in t , a rule $l \rightarrow r$ in R , and a $E \cup B$ -unifier $\theta \in \text{Unif}_{E \cup B}(t|_p = l)$ such that $v = t[r]_p \theta$.

But the million-dollar question is: How do we **compute** a complete set $\text{Unif}_{E \cup B}(t|_p = l)$ of $E \cup B$ -unifiers?

$E \cup B$ -Unification

The notion of a $E \cup B$ -**unifier** of a Σ -equation $u = v$ is as expected: it is a substitution θ such that $u\theta =_{E \cup B} v\theta$.

$E \cup B$ -Unification

The notion of a $E \cup B$ -**unifier** of a Σ -equation $u = v$ is as expected: it is a substitution θ such that $u\theta =_{E \cup B} v\theta$.

The notion of a **complete set** $Unif_{E \cup B}(u = v)$ of $E \cup B$ -**unifiers** is also as expected: $Unif_{E \cup B}(u = v)$ is a set of $E \cup B$ -unifiers of $u = v$ such that for any $E \cup B$ -unifier α of $u = v$ there exists a unifier $\gamma \in Unif_{E \cup B}(u = v)$ of which α is an “instance modulo $E \cup B$.”

$E \cup B$ -Unification

The notion of a $E \cup B$ -**unifier** of a Σ -equation $u = v$ is as expected: it is a substitution θ such that $u\theta =_{E \cup B} v\theta$.

The notion of a **complete set** $Unif_{E \cup B}(u = v)$ of $E \cup B$ -**unifiers** is also as expected: $Unif_{E \cup B}(u = v)$ is a set of $E \cup B$ -unifiers of $u = v$ such that for any $E \cup B$ -unifier α of $u = v$ there exists a unifier $\gamma \in Unif_{E \cup B}(u = v)$ of which α is an “instance modulo $E \cup B$.” That is, there is a substitution δ such that $\alpha =_{E \cup B} \gamma\delta$, where, by definition, given substitutions μ, ν

$$\mu =_{E \cup B} \nu \Leftrightarrow_{def} (\forall x \in dom(\mu) \cup dom(\nu)) \mu(x) =_{E \cup B} \nu(x).$$

$E \cup B$ -Unification

The notion of a $E \cup B$ -**unifier** of a Σ -equation $u = v$ is as expected: it is a substitution θ such that $u\theta =_{E \cup B} v\theta$.

The notion of a **complete set** $Unif_{E \cup B}(u = v)$ of $E \cup B$ -**unifiers** is also as expected: $Unif_{E \cup B}(u = v)$ is a set of $E \cup B$ -unifiers of $u = v$ such that for any $E \cup B$ -unifier α of $u = v$ there exists a unifier $\gamma \in Unif_{E \cup B}(u = v)$ of which α is an “instance modulo $E \cup B$.” That is, there is a substitution δ such that $\alpha =_{E \cup B} \gamma\delta$, where, by definition, given substitutions μ, ν

$$\mu =_{E \cup B} \nu \Leftrightarrow_{def} (\forall x \in dom(\mu) \cup dom(\nu)) \mu(x) =_{E \cup B} \nu(x).$$

For $E \cup B$ an **arbitrary** set of equations $E \cup B$, computing such a set $Unif_{E \cup B}(u = v)$ is a very complex matter.

$E \cup B$ -Unification

The notion of a $E \cup B$ -**unifier** of a Σ -equation $u = v$ is as expected: it is a substitution θ such that $u\theta =_{E \cup B} v\theta$.

The notion of a **complete set** $Unif_{E \cup B}(u = v)$ of $E \cup B$ -**unifiers** is also as expected: $Unif_{E \cup B}(u = v)$ is a set of $E \cup B$ -unifiers of $u = v$ such that for any $E \cup B$ -unifier α of $u = v$ there exists a unifier $\gamma \in Unif_{E \cup B}(u = v)$ of which α is an “instance modulo $E \cup B$.” That is, there is a substitution δ such that $\alpha =_{E \cup B} \gamma\delta$, where, by definition, given substitutions μ, ν

$$\mu =_{E \cup B} \nu \Leftrightarrow_{def} (\forall x \in dom(\mu) \cup dom(\nu)) \mu(x) =_{E \cup B} \nu(x).$$

For $E \cup B$ an **arbitrary** set of equations $E \cup B$, computing such a set $Unif_{E \cup B}(u = v)$ is a very complex matter. But for our purposes we may assume that the oriented equations \vec{E} are **convergent** modulo B , which makes the task much easier.

$E \cup B$ -Unification for \vec{E} Convergent Modulo B

For \vec{E} convergent modulo B , by the Church-Rosser Theorem, for any Σ -equation $u = v$ and substitution θ we have the equivalence:

$E \cup B$ -Unification for \vec{E} Convergent Modulo B

For \vec{E} convergent modulo B , by the Church-Rosser Theorem, for any Σ -equation $u = v$ and substitution θ we have the equivalence:

$$(\dagger) \quad u\theta =_{E \cup B} v\theta \iff (u\theta)!_{\vec{E}/B} =_B (v\theta)!_{\vec{E}/B}$$

$E \cup B$ -Unification for \vec{E} Convergent Modulo B

For \vec{E} convergent modulo B , by the Church-Rosser Theorem, for any Σ -equation $u = v$ and substitution θ we have the equivalence:

$$(\dagger) \quad u\theta =_{E \cup B} v\theta \iff (u\theta)!_{\vec{E}/B} =_B (v\theta)!_{\vec{E}/B}$$

This suggests the idea of computing $E \cup B$ -unifiers **by narrowing!** using a **theory transformation** $(\Sigma, E \cup B) \mapsto (\Sigma^{\equiv}, E^{\equiv} \cup B)$, where:

$E \cup B$ -Unification for \vec{E} Convergent Modulo B

For \vec{E} convergent modulo B , by the Church-Rosser Theorem, for any Σ -equation $u = v$ and substitution θ we have the equivalence:

$$(\dagger) \quad u\theta =_{E \cup B} v\theta \Leftrightarrow (u\theta)!_{\vec{E}/B} =_B (v\theta)!_{\vec{E}/B}$$

This suggests the idea of computing $E \cup B$ -unifiers **by narrowing!** using a **theory transformation** $(\Sigma, E \cup B) \mapsto (\Sigma^{\equiv}, E^{\equiv} \cup B)$, where:

1. Σ^{\equiv} extends Σ by adding: (a) for each connected component $[s]$ in Σ not having a top sort $\top_{[s]}$, such a new top sort $\top_{[s]}$; (b) a new sort $Pred$ with a constant tt ; and (c) for each connected component $[s]$ in Σ a binary **equality predicate** $- \equiv - : \top_{[s]} \top_{[s]} \rightarrow Pred$.

$E \cup B$ -Unification for \vec{E} Convergent Modulo B

For \vec{E} convergent modulo B , by the Church-Rosser Theorem, for any Σ -equation $u = v$ and substitution θ we have the equivalence:

$$(\dagger) \quad u\theta =_{E \cup B} v\theta \Leftrightarrow (u\theta)!_{\vec{E}/B} =_B (v\theta)!_{\vec{E}/B}$$

This suggests the idea of computing $E \cup B$ -unifiers **by narrowing!** using a **theory transformation** $(\Sigma, E \cup B) \mapsto (\Sigma^{\equiv}, E^{\equiv} \cup B)$, where:

1. Σ^{\equiv} extends Σ by adding: (a) for each connected component $[s]$ in Σ not having a top sort $\top_{[s]}$, such a new top sort $\top_{[s]}$; (b) a new sort $Pred$ with a constant tt ; and (c) for each connected component $[s]$ in Σ a binary **equality predicate**

$$- \equiv - : \top_{[s]} \top_{[s]} \rightarrow Pred.$$

2. E^{\equiv} extends E by adding for each connected component $[s]$ in Σ an equation $x : \top_{[s]} \equiv x : \top_{[s]} = tt$.

$E \cup B$ -Unification for \vec{E} Convergent Modulo B (II)

It is easy to check (exercise!) that if \vec{E} is convergent modulo B , then \vec{E}^{\equiv} is convergent modulo B . But then (\dagger) becomes:

$E \cup B$ -Unification for \vec{E} Convergent Modulo B (II)

It is easy to check (exercise!) that if \vec{E} is convergent modulo B , then \vec{E}^{\equiv} is convergent modulo B . But then (\dagger) becomes:

$$u\theta =_{E \cup B} v\theta \iff (u\theta \equiv v\theta)_{\vec{E}^{\equiv}/B} = tt.$$

$E \cup B$ -Unification for \vec{E} Convergent Modulo B (II)

It is easy to check (exercise!) that if \vec{E} is convergent modulo B , then \vec{E}^{\equiv} is convergent modulo B . But then (\dagger) becomes:

$$u\theta =_{E \cup B} v\theta \iff (u\theta \equiv v\theta)!_{\vec{E}^{\equiv}/B} = tt.$$

Indeed, by convergence, $(u\theta \equiv v\theta)!_{\vec{E}^{\equiv}/B} = tt$ iff we have:

$E \cup B$ -Unification for \vec{E} Convergent Modulo B (II)

It is easy to check (exercise!) that if \vec{E} is convergent modulo B , then \vec{E}^{\equiv} is convergent modulo B . But then (\dagger) becomes:

$$u\theta =_{E \cup B} v\theta \Leftrightarrow (u\theta \equiv v\theta)!_{\vec{E}^{\equiv}/B} = tt.$$

Indeed, by convergence, $(u\theta \equiv v\theta)!_{\vec{E}^{\equiv}/B} = tt$ iff we have:

$$(\dagger) \quad u\theta \equiv v\theta \rightarrow^*_{\vec{E}/B} (u\theta)!_{\vec{E}/B} \equiv (v\theta)!_{\vec{E}/B} \rightarrow_{\vec{E}^{\equiv}/B} tt$$

$E \cup B$ -Unification for \vec{E} Convergent Modulo B (II)

It is easy to check (exercise!) that if \vec{E} is convergent modulo B , then \vec{E}^{\equiv} is convergent modulo B . But then (\dagger) becomes:

$$u\theta =_{E \cup B} v\theta \Leftrightarrow (u\theta \equiv v\theta)!_{\vec{E}^{\equiv}/B} = tt.$$

Indeed, by convergence, $(u\theta \equiv v\theta)!_{\vec{E}^{\equiv}/B} = tt$ iff we have:

$$(\dagger) \quad u\theta \equiv v\theta \rightarrow^*_{\vec{E}/B} (u\theta)!_{\vec{E}/B} \equiv (v\theta)!_{\vec{E}/B} \rightarrow_{\vec{E}^{\equiv}/B} tt$$

with a rule $x: \top_{[s]} \equiv x: \top_{[s]} \rightarrow tt$ in $\vec{E}^{\equiv} \setminus \vec{E}$ used only in the **last step** to check $(u\theta)!_{\vec{E}/B} =_B (v\theta)!_{\vec{E}/B}$.

$E \cup B$ -Unification for \vec{E} Convergent Modulo B (II)

It is easy to check (exercise!) that if \vec{E} is convergent modulo B , then \vec{E}^{\equiv} is convergent modulo B . But then (\dagger) becomes:

$$u\theta =_{E \cup B} v\theta \Leftrightarrow (u\theta \equiv v\theta)!_{\vec{E}^{\equiv}/B} = tt.$$

Indeed, by convergence, $(u\theta \equiv v\theta)!_{\vec{E}^{\equiv}/B} = tt$ iff we have:

$$(\dagger) \quad u\theta \equiv v\theta \rightarrow_{\vec{E}/B}^* (u\theta)!_{\vec{E}/B} \equiv (v\theta)!_{\vec{E}/B} \rightarrow_{\vec{E}^{\equiv}/B} tt$$

with a rule $x: \top_{[s]} \equiv x: \top_{[s]} \rightarrow tt$ in $\vec{E}^{\equiv} \setminus \vec{E}$ used only in the **last step** to check $(u\theta)!_{\vec{E}/B} =_B (v\theta)!_{\vec{E}/B}$. Thus, by (\dagger) we get:

$E \cup B$ -Unification for \vec{E} Convergent Modulo B (II)

It is easy to check (exercise!) that if \vec{E} is convergent modulo B , then \vec{E}^{\equiv} is convergent modulo B . But then (\dagger) becomes:

$$u\theta =_{E \cup B} v\theta \Leftrightarrow (u\theta \equiv v\theta)!_{\vec{E}^{\equiv}/B} = tt.$$

Indeed, by convergence, $(u\theta \equiv v\theta)!_{\vec{E}^{\equiv}/B} = tt$ iff we have:

$$(\dagger) \quad u\theta \equiv v\theta \rightarrow_{\vec{E}/B}^* (u\theta)!_{\vec{E}/B} \equiv (v\theta)!_{\vec{E}/B} \rightarrow_{\vec{E}^{\equiv}/B} tt$$

with a rule $x: \top_{[s]} \equiv x: \top_{[s]} \rightarrow tt$ in $\vec{E}^{\equiv} \setminus \vec{E}$ used only in the **last step** to check $(u\theta)!_{\vec{E}/B} =_B (v\theta)!_{\vec{E}/B}$. Thus, by (\dagger) we get:

Theorem. θ is a $E \cup B$ -unifier of $u = v$ iff $(u\theta \equiv v\theta)!_{\vec{E}^{\equiv}/B} = tt$.

$E \cup B$ -Unification for \vec{E} Convergent Modulo B (III)

This gives us our desired $E \cup B$ -unification semi-algorithm, whose proof of correctness follows easily (exercise!) by repeated application of the Lifting Lemma for the rewrite theory $(\Sigma^{\equiv}, B, \vec{E}^{\equiv})$, just by observing that θ is a $E \cup B$ -unifier of $u = v$ iff its \vec{E}/B -normalized form $\theta!_{\vec{E}/B}$ is so.

$E \cup B$ -Unification for \vec{E} Convergent Modulo B (III)

This gives us our desired $E \cup B$ -unification semi-algorithm, whose proof of correctness follows easily (exercise!) by repeated application of the Lifting Lemma for the rewrite theory $(\Sigma^{\equiv}, B, \vec{E}^{\equiv})$, just by observing that θ is a $E \cup B$ -unifier of $u = v$ iff its \vec{E}/B -normalized form $\theta!_{\vec{E}/B}$ is so.

Theorem. For \vec{E} convergent modulo B and applied with B -extensions (see pg. 7 of Lecture 23), the set

$E \cup B$ -Unification for \vec{E} Convergent Modulo B (III)

This gives us our desired $E \cup B$ -unification semi-algorithm, whose proof of correctness follows easily (exercise!) by repeated application of the Lifting Lemma for the rewrite theory $(\Sigma^{\equiv}, B, \vec{E}^{\equiv})$, just by observing that θ is a $E \cup B$ -unifier of $u = v$ iff its \vec{E}/B -normalized form $\theta!_{\vec{E}/B}$ is so.

Theorem. For \vec{E} convergent modulo B and applied with B -extensions (see pg. 7 of Lecture 23), the set

$$\text{Unif}_{E \cup B}(u = v) =_{\text{def}} \{ \gamma \mid (u \equiv v) \rightsquigarrow_{\vec{E}^{\equiv}, B}^* \gamma tt \}$$

$E \cup B$ -Unification for \vec{E} Convergent Modulo B (III)

This gives us our desired $E \cup B$ -unification semi-algorithm, whose proof of correctness follows easily (exercise!) by repeated application of the Lifting Lemma for the rewrite theory $(\Sigma^{\equiv}, B, \vec{E}^{\equiv})$, just by observing that θ is a $E \cup B$ -unifier of $u = v$ iff its \vec{E}/B -normalized form $\theta!_{\vec{E}/B}$ is so.

Theorem. For \vec{E} convergent modulo B and applied with B -extensions (see pg. 7 of Lecture 23), the set

$$\text{Unif}_{E \cup B}(u = v) =_{\text{def}} \{ \gamma \mid (u \equiv v) \rightsquigarrow_{\vec{E}^{\equiv}, B}^* \gamma tt \}$$

is a complete set of $E \cup B$ -unifiers of the equation $u = v$.

$E \cup B$ -Unification for \vec{E} Convergent Modulo B (III)

This gives us our desired $E \cup B$ -unification semi-algorithm, whose proof of correctness follows easily (exercise!) by repeated application of the Lifting Lemma for the rewrite theory $(\Sigma^{\equiv}, B, \vec{E}^{\equiv})$, just by observing that θ is a $E \cup B$ -unifier of $u = v$ iff its \vec{E}/B -normalized form $\theta!_{\vec{E}/B}$ is so.

Theorem. For \vec{E} convergent modulo B and applied with B -extensions (see pg. 7 of Lecture 23), the set

$$\text{Unif}_{E \cup B}(u = v) =_{\text{def}} \{ \gamma \mid (u \equiv v) \rightsquigarrow_{\vec{E}^{\equiv}, B}^* \gamma tt \}$$

is a complete set of $E \cup B$ -unifiers of the equation $u = v$.

For narrowing-based model checking, we obtain as an immediate corollary the following vast generalization of the Completeness of Narrowing Search Theorem in Lecture 23 for topmost theories:

Symbolic Model Checking of Topmost Rewrite Theories

For $\mathcal{R} = (\Sigma, E \cup B, R)$ topmost, narrowing with R modulo axioms $E \cup B$ supports the following **symbolic model checking** method:

Symbolic Model Checking of Topmost Rewrite Theories

For $\mathcal{R} = (\Sigma, E \cup B, R)$ topmost, narrowing with R modulo axioms $E \cup B$ supports the following **symbolic model checking** method:

Theorem (Completeness of Narrowing Search). For a topmost and admissible $\mathcal{R} = (\Sigma, E \cup B, R)$ with \vec{E} convergent modulo B and $u_1 \vee \dots \vee u_n$ and $v_1 \vee \dots \vee v_m$ Σ -pattern disjunctions,

Symbolic Model Checking of Topmost Rewrite Theories

For $\mathcal{R} = (\Sigma, E \cup B, R)$ topmost, narrowing with R modulo axioms $E \cup B$ supports the following **symbolic model checking** method:

Theorem (Completeness of Narrowing Search). For a topmost and admissible $\mathcal{R} = (\Sigma, E \cup B, R)$ with \vec{E} convergent modulo B and $u_1 \vee \dots \vee u_n$ and $v_1 \vee \dots \vee v_m$ Σ -pattern disjunctions,

$$\mathcal{R}, (u_1 \vee \dots \vee u_n) \models_{S4} \diamond (v_1 \vee \dots \vee v_m)$$

Symbolic Model Checking of Topmost Rewrite Theories

For $\mathcal{R} = (\Sigma, E \cup B, R)$ topmost, narrowing with R modulo axioms $E \cup B$ supports the following **symbolic model checking** method:

Theorem (Completeness of Narrowing Search). For a topmost and admissible $\mathcal{R} = (\Sigma, E \cup B, R)$ with \vec{E} convergent modulo B and $u_1 \vee \dots \vee u_n$ and $v_1 \vee \dots \vee v_m$ Σ -pattern disjunctions,

$$\mathcal{R}, (u_1 \vee \dots \vee u_n) \models_{S4} \diamond (v_1 \vee \dots \vee v_m)$$

holds iff

Symbolic Model Checking of Topmost Rewrite Theories

For $\mathcal{R} = (\Sigma, E \cup B, R)$ topmost, narrowing with R modulo axioms $E \cup B$ supports the following **symbolic model checking** method:

Theorem (Completeness of Narrowing Search). For a topmost and admissible $\mathcal{R} = (\Sigma, E \cup B, R)$ with \vec{E} convergent modulo B and $u_1 \vee \dots \vee u_n$ and $v_1 \vee \dots \vee v_m$ Σ -pattern disjunctions,

$$\mathcal{R}, (u_1 \vee \dots \vee u_n) \models_{S4} \diamond (v_1 \vee \dots \vee v_m)$$

holds iff exist i, j , $1 \leq i \leq n$, $1 \leq j \leq m$, and a narrowing sequence $u_i \xrightarrow{\theta}^*_{R, (E \cup B)} w$ such that $Unif_{E \cup B}(w = v_j) \neq \emptyset$.

Symbolic Model Checking of Topmost Rewrite Theories

For $\mathcal{R} = (\Sigma, E \cup B, R)$ topmost, narrowing with R modulo axioms $E \cup B$ supports the following **symbolic model checking** method:

Theorem (Completeness of Narrowing Search). For a topmost and admissible $\mathcal{R} = (\Sigma, E \cup B, R)$ with \vec{E} convergent modulo B and $u_1 \vee \dots \vee u_n$ and $v_1 \vee \dots \vee v_m$ Σ -pattern disjunctions,

$$\mathcal{R}, (u_1 \vee \dots \vee u_n) \models_{S4} \diamond (v_1 \vee \dots \vee v_m)$$

holds iff exist i, j , $1 \leq i \leq n$, $1 \leq j \leq m$, and a narrowing sequence $u_i \xrightarrow{\theta}^*_{R, (E \cup B)} w$ such that $Unif_{E \cup B}(w = v_j) \neq \emptyset$.

The proof, by applying the Lifting Lemma, generalizes the similar proof in Lecture 23 and is left as an exercise.

Performance Barriers for Symbolic Reachability

In the above, generalized **Completeness of Narrowing Search Theorem**, narrowing happens **at two levels**: (i) with R modulo $E \cup B$, i.e., $\sim_{R, (E \cup B)}^*$, for **reachability analysis**, and (ii) with $\vec{E} \equiv$ modulo B , i.e., $\sim_{\vec{E} \equiv, B}^*$, for **computing $E \cup B$ -unifiers**.

Performance Barriers for Symbolic Reachability

In the above, generalized **Completeness of Narrowing Search Theorem**, narrowing happens **at two levels**: (i) with R modulo $E \cup B$, i.e., $\sim_{R, (E \cup B)}^*$, for **reachability analysis**, and (ii) with $\vec{E} \equiv$ modulo B , i.e., $\sim_{\vec{E} \equiv, B}^*$, for **computing $E \cup B$ -unifiers**.

From a performance point of view this is very challenging, since this gives us what we might describe as a “**nested narrowing tree**,” which can be **infinite** at both of the narrowing levels.

Performance Barriers for Symbolic Reachability

In the above, generalized **Completeness of Narrowing Search Theorem**, narrowing happens **at two levels**: (i) with R modulo $E \cup B$, i.e., $\sim_{R, (E \cup B)}^*$, for **reachability analysis**, and (ii) with $\vec{E} \equiv$ modulo B , i.e., $\sim_{\vec{E} \equiv, B}^*$, for **computing $E \cup B$ -unifiers**.

From a performance point of view this is very challenging, since this gives us what we might describe as a “**nested narrowing tree**,” which can be **infinite** at both of the narrowing levels.

To overcome these performance barriers, the technique of **folding** an infinite narrowing tree into a (hopefully finite) narrowing graph can be applied **at both levels**.

Performance Barriers for Symbolic Reachability

In the above, generalized **Completeness of Narrowing Search Theorem**, narrowing happens **at two levels**: (i) with R modulo $E \cup B$, i.e., $\sim_{R, (E \cup B)}^*$, for **reachability analysis**, and (ii) with $\vec{E} \equiv$ modulo B , i.e., $\sim_{\vec{E} \equiv, B}^*$, for **computing $E \cup B$ -unifiers**.

From a performance point of view this is very challenging, since this gives us what we might describe as a “**nested narrowing tree**,” which can be **infinite** at both of the narrowing levels.

To overcome these performance barriers, the technique of **folding** an infinite narrowing tree into a (hopefully finite) narrowing graph can be applied **at both levels**. For the symbolic reachability level with $\sim_{R, B}^*$ we have already seen this in Lecture 24.

Performance Barriers for Symbolic Reachability

In the above, generalized **Completeness of Narrowing Search Theorem**, narrowing happens **at two levels**: (i) with R modulo $E \cup B$, i.e., $\sim_{R, (E \cup B)}^*$, for **reachability analysis**, and (ii) with $\vec{E} \equiv$ modulo B , i.e., $\sim_{\vec{E} \equiv, B}^*$, for **computing $E \cup B$ -unifiers**.

From a performance point of view this is very challenging, since this gives us what we might describe as a “**nested narrowing tree**,” which can be **infinite** at both of the narrowing levels.

To overcome these performance barriers, the technique of **folding** an infinite narrowing tree into a (hopefully finite) narrowing graph can be applied **at both levels**. For the symbolic reachability level with $\sim_{R, B}^*$ we have already seen this in Lecture 24. Likewise, for \vec{E}, B -narrowing with \vec{E} convergent modulo B ($\vec{E} \equiv, B$ -narrowing is just a special case), **folding variant narrowing** delivers the goods:

Folding Variant Narrowing

Folding Variant Narrowing, proposed by S. Escobar, R. Sasse and J. Meseguer¹ for theories $(\Sigma, E \cup B)$ with \vec{E} convergent modulo B , **folds** the \vec{E}, B -narrowing tree of t into a **graph** in a breadth first manner as follows:

¹“Folding variant narrowing and optimal variant termination”, J. Alg. & Log. Prog., 81, 898–928, 2012.

Folding Variant Narrowing

Folding Variant Narrowing, proposed by S. Escobar, R. Sasse and J. Meseguer¹ for theories $(\Sigma, E \cup B)$ with \vec{E} convergent modulo B , **folds** the \vec{E}, B -narrowing tree of t into a **graph** in a breadth first manner as follows:

- ① It considers only paths $t \xrightarrow[\vec{E}, B]{\theta} u$ in the narrowing tree such that u and θ are \vec{E}, B -normalized.

¹“Folding variant narrowing and optimal variant termination”, J. Alg. & Log. Prog., 81, 898–928, 2012.

Folding Variant Narrowing

Folding Variant Narrowing, proposed by S. Escobar, R. Sasse and J. Meseguer¹ for theories $(\Sigma, E \cup B)$ with \vec{E} convergent modulo B , **folds** the \vec{E}, B -narrowing tree of t into a **graph** in a breadth first manner as follows:

- ① It considers only paths $t \rightsquigarrow_{\vec{E}, B}^n u$ in the narrowing tree such that u and θ are \vec{E}, B -normalized.
- ② For any such path $t \rightsquigarrow_{\vec{E}, B}^n u$, if there is another such different path $t \rightsquigarrow_{\vec{E}, B}^m u'$ with $m \leq n$ and a B -matching substitution γ such that: (i) $u =_B u'\gamma$, and (ii) $\theta =_B \theta'\gamma$, then the node u is **folded** into the more general node u' .

¹“Folding variant narrowing and optimal variant termination”, J. Alg. & Log. Prog., 81, 898–928, 2012.

Folding Variant Narrowing (II)

The pairs (u, θ) associated to paths $t \rightsquigarrow_{\vec{E}, B}^{\theta} u$ in such a graph are called the \vec{E}, B -**variants** of t ; and the graph thus obtained is called the **folding variant narrowing graph** of t .

Folding Variant Narrowing (II)

The pairs (u, θ) associated to paths $t \xrightarrow[n]{\vec{E}, B}^{\theta} u$ in such a graph are called the \vec{E}, B -**variants** of t ; and the graph thus obtained is called the **folding variant narrowing graph** of t .

Maude supports the **enumeration of all variants** in the folding variant narrowing graph of t by the `get variants t .` command (§14.4, Maude Manual).

Folding Variant Narrowing (II)

The pairs (u, θ) associated to paths $t \rightsquigarrow_{\vec{E}, B}^{\theta, n} u$ in such a graph are called the \vec{E}, B -**variants** of t ; and the graph thus obtained is called the **folding variant narrowing graph** of t .

Maude supports the **enumeration of all variants** in the folding variant narrowing graph of t by the `get variants t .` command (§14.4, Maude Manual). It also supports **variant-based $E \cup B$ -unification** when \vec{E} is convergent modulo B with the `variant unify` command (§14.9, Maude Manual).

Folding Variant Narrowing (II)

The pairs (u, θ) associated to paths $t \rightsquigarrow_{\vec{E}, B}^{\theta} u$ in such a graph are called the \vec{E}, B -**variants** of t ; and the graph thus obtained is called the **folding variant narrowing graph** of t .

Maude supports the **enumeration of all variants** in the folding variant narrowing graph of t by the `get variants t .` command (§14.4, Maude Manual). It also supports **variant-based $E \cup B$ -unification** when \vec{E} is convergent modulo B with the `variant unify` command (§14.9, Maude Manual).

$(\Sigma, E \cup B)$ enjoys the **finite variant property** (FVP) iff for any Σ -term t its folding variant graph is **finite**.

Folding Variant Narrowing (II)

The pairs (u, θ) associated to paths $t \rightsquigarrow_{\vec{E}, B}^{\theta, n} u$ in such a graph are called the \vec{E}, B -**variants** of t ; and the graph thus obtained is called the **folding variant narrowing graph** of t .

Maude supports the **enumeration of all variants** in the folding variant narrowing graph of t by the `get variants t .` command (§14.4, Maude Manual). It also supports **variant-based $E \cup B$ -unification** when \vec{E} is convergent modulo B with the `variant unify` command (§14.9, Maude Manual).

$(\Sigma, E \cup B)$ enjoys the **finite variant property** (FVP) iff for any Σ -term t its folding variant graph is **finite**. This property holds iff for each $f : s_1 \dots s_n \rightarrow s$ in Σ the folding variant graph of $f(x_1 : s_1, \dots, x_n : s_n)$ is **finite**, which can be checked in Maude.

An FVP Example: SET

In the theory $(\Sigma, E \cup AC)$ SET below we can perform **AC-unification** in Maude as follows:

An FVP Example: SET

In the theory $(\Sigma, E \cup AC)$ SET below we can preform **AC-unification** in Maude as follows:

```
fmod SET is
sort Set .
ops mt a b c d e f g : -> Set [ctor] .
op _U_ : Set Set -> Set [ctor assoc comm] . *** union
vars S S' : Set .
eq S U mt = S [variant] .          *** identity
eq S U S = S [variant] .          *** idempotencu
eq S U S U S' = S U S' [variant] . *** idempotency extension
endfm
```

```
unify a U a U b U S =? a U c U S' .
```

```
Unifier 1
```

```
S --> c U #1:Set
```

```
S' --> a U b U #1:Set
```

```
Unifier 2
```

```
S --> c
```

```
S' --> a U b
```


An FVP Example: SET (II)

SET is FVP because $S \cup S'$ has a **finite** number of variants:

An FVP Example: SET (II)

SET is FVP because $S \cup S'$ has a **finite** number of variants:

get variants $S \cup S'$.

Variant 1

Set: #1:Set U #2:Set

S --> #1:Set

S' --> #2:Set

Variant 2

Set: %1:Set

S --> mt

S' --> %1:Set

Variant 3

Set: %1:Set

S --> %1:Set

S' --> mt

Variant 4

Set: %1:Set

S --> %1:Set

S' --> %1:Set

An FVP Example: SET (III)

Variant 5

Set: %1:Set U %2:Set U %3:Set

S --> %1:Set U %2:Set

S' --> %1:Set U %3:Set

Variant 6

Set: %1:Set U %2:Set

S --> %1:Set U %2:Set

S' --> %2:Set

Variant 7

Set: %1:Set U %2:Set

S --> %2:Set

S' --> %1:Set U %2:Set

No more variants.

Variant Unification for FVP Theories

It is easy to check (exercise!) that if $(\Sigma, E \cup B)$ is FVP, then $(\Sigma^{\equiv}, E^{\equiv} \cup B)$ is also FVP. This means that, when $(\Sigma, E \cup B)$ is FVP, variant unification always provides a **finite and complete** set of $E \cup B$ -unifiers. For example, since SET is FVP any $E \cup AC$ -unification problem has a **finite** number of **variant unifiers**.

Variant Unification for FVP Theories

It is easy to check (exercise!) that if $(\Sigma, E \cup B)$ is FVP, then $(\Sigma^{\equiv}, E^{\equiv} \cup B)$ is also FVP. This means that, when $(\Sigma, E \cup B)$ is FVP, variant unification always provides a **finite and complete** set of $E \cup B$ -unifiers. For example, since SET is FVP any $E \cup AC$ -unification problem has a **finite** number of **variant unifiers**.

filtered variant unify $a \cup a \cup b \cup S =? a \cup c \cup S'$.

Unifier 1

$S \rightarrow c \cup \%1:\text{Set}$

$S' \rightarrow b \cup \%1:\text{Set}$

Unifier 2

$S \rightarrow a \cup c \cup \#1:\text{Set}$

$S' \rightarrow b \cup \#1:\text{Set}$

Unifier 3

$S \rightarrow c \cup \#1:\text{Set}$

$S' \rightarrow a \cup b \cup \#1:\text{Set}$

No more unifiers.

Symbolic Model Checking for $\mathcal{R} = (\Sigma, E \cup B, R)$ when $E \cup B$ is FVP

Thus, for $(\Sigma, E \cup B)$ FVP, the Completeness of Narrowing Search Theorem for a rewrite theory $\mathcal{R} = (\Sigma, E \cup B, R)$ of pg. 8 makes symbolic model checking **tractable**. It is supported by the **same** `{fold} vu-narrow` command already discussed in Lectures 23-24.

Symbolic Model Checking for $\mathcal{R} = (\Sigma, E \cup B, R)$ when $E \cup B$ is FVP

Thus, for $(\Sigma, E \cup B)$ FVP, the Completeness of Narrowing Search Theorem for a rewrite theory $\mathcal{R} = (\Sigma, E \cup B, R)$ of pg. 8 makes symbolic model checking **tractable**. It is supported by the **same** `{fold} vu-narrow` command already discussed in Lectures 23-24.

In summary, we have **generalized** the symbolic model checking results from Lecture 24 to:

Symbolic Model Checking for $\mathcal{R} = (\Sigma, E \cup B, R)$ when $E \cup B$ is FVP

Thus, for $(\Sigma, E \cup B)$ FVP, the Completeness of Narrowing Search Theorem for a rewrite theory $\mathcal{R} = (\Sigma, E \cup B, R)$ of pg. 8 makes symbolic model checking **tractable**. It is supported by the **same** `{fold} vu-narrow` command already discussed in Lectures 23-24.

In summary, we have **generalized** the symbolic model checking results from Lecture 24 to: (i) any topmost rewrite theory $\mathcal{R} = (\Sigma, E \cup B, R)$ with \vec{E} convergent modulo B , and

Symbolic Model Checking for $\mathcal{R} = (\Sigma, E \cup B, R)$ when $E \cup B$ is FVP

Thus, for $(\Sigma, E \cup B)$ FVP, the Completeness of Narrowing Search Theorem for a rewrite theory $\mathcal{R} = (\Sigma, E \cup B, R)$ of pg. 8 makes symbolic model checking **tractable**. It is supported by the **same** `{fold} vu-narrow` command already discussed in Lectures 23-24.

In summary, we have **generalized** the symbolic model checking results from Lecture 24 to: (i) any topmost rewrite theory $\mathcal{R} = (\Sigma, E \cup B, R)$ with \vec{E} convergent modulo B , and (ii) made it **tractable** when $E \cup B$ is FVP.

Symbolic Model Checking for $\mathcal{R} = (\Sigma, E \cup B, R)$ when $E \cup B$ is FVP

Thus, for $(\Sigma, E \cup B)$ FVP, the Completeness of Narrowing Search Theorem for a rewrite theory $\mathcal{R} = (\Sigma, E \cup B, R)$ of pg. 8 makes symbolic model checking **tractable**. It is supported by the **same** `{fold} vu-narrow` command already discussed in Lectures 23-24.

In summary, we have **generalized** the symbolic model checking results from Lecture 24 to: (i) any topmost rewrite theory $\mathcal{R} = (\Sigma, E \cup B, R)$ with \vec{E} convergent modulo B , and (ii) made it **tractable** when $E \cup B$ is FVP. For symbolic model checking examples when $E \cup B$ is FVP, see §15 of the The Maude Manual. Further examples will be given in future Lectures.

Completeness of Folding Narrowing Search

Theorem (Completeness of Folding Narrowing Search). For a topmost and admissible $\mathcal{R} = (\Sigma, E \cup B, R)$ with $E \cup B$ FVP, and $u_1 \vee \dots \vee u_n$ and $v_1 \vee \dots \vee v_m$ Σ -pattern disjunctions,

$$\mathcal{R}, (u_1 \vee \dots \vee u_n) \models_{S4} \diamond (v_1 \vee \dots \vee v_m)$$

holds iff

Completeness of Folding Narrowing Search

Theorem (Completeness of Folding Narrowing Search). For a topmost and admissible $\mathcal{R} = (\Sigma, E \cup B, R)$ with $E \cup B$ FVP, and $u_1 \vee \dots \vee u_n$ and $v_1 \vee \dots \vee v_m$ Σ -pattern disjunctions,

$$\mathcal{R}, (u_1 \vee \dots \vee u_n) \models_{S4} \diamond (v_1 \vee \dots \vee v_m)$$

holds iff there exists a $d \in \mathbb{N}$ and some j , $1 \leq j \leq m$, such that $P_d \wedge v_j \neq \perp$,

Completeness of Folding Narrowing Search

Theorem (Completeness of Folding Narrowing Search). For a topmost and admissible $\mathcal{R} = (\Sigma, E \cup B, R)$ with $E \cup B$ FVP, and $u_1 \vee \dots \vee u_n$ and $v_1 \vee \dots \vee v_m$ Σ -pattern disjunctions,

$$\mathcal{R}, (u_1 \vee \dots \vee u_n) \models_{S4} \diamond (v_1 \vee \dots \vee v_m)$$

holds iff there exists a $d \in \mathbb{N}$ and some j , $1 \leq j \leq m$, such that $P_d \wedge v_j \neq \perp$, where $P_d \wedge v_j$ is computed by $E \cup B$ -unification.

Completeness of Folding Narrowing Search

Theorem (Completeness of Folding Narrowing Search). For a topmost and admissible $\mathcal{R} = (\Sigma, E \cup B, R)$ with $E \cup B$ FVP, and $u_1 \vee \dots \vee u_n$ and $v_1 \vee \dots \vee v_m$ Σ -pattern disjunctions,

$$\mathcal{R}, (u_1 \vee \dots \vee u_n) \models_{S4} \diamond (v_1 \vee \dots \vee v_m)$$

holds iff there exists a $d \in \mathbb{N}$ and some j , $1 \leq j \leq m$, such that $P_d \wedge v_j \neq \perp$, where $P_d \wedge v_j$ is computed by $E \cup B$ -unification.

Proof (Sketch): This follows from the **Completeness of Narrowing Search Theorem** in pg. 8, and the **Completeness Theorem of Folding Narrowing** in pg. 12 of Lecture 24,

Completeness of Folding Narrowing Search

Theorem (Completeness of Folding Narrowing Search). For a topmost and admissible $\mathcal{R} = (\Sigma, E \cup B, R)$ with $E \cup B$ FVP, and $u_1 \vee \dots \vee u_n$ and $v_1 \vee \dots \vee v_m$ Σ -pattern disjunctions,

$$\mathcal{R}, (u_1 \vee \dots \vee u_n) \models_{S4} \diamond(v_1 \vee \dots \vee v_m)$$

holds iff there exists a $d \in \mathbb{N}$ and some j , $1 \leq j \leq m$, such that $P_d \wedge v_j \neq \perp$, where $P_d \wedge v_j$ is computed by $E \cup B$ -unification.

Proof (Sketch): This follows from the **Completeness of Narrowing Search Theorem** in pg. 8, and the **Completeness Theorem of Folding Narrowing** in pg. 12 of Lecture 24, because, since $E \cup B$ is FVP, $Unif_{E \cup B}(u = v)$ is always a **finite** set for any Σ -equation $u = v$.

Completeness of Folding Narrowing Search

Theorem (Completeness of Folding Narrowing Search). For a topmost and admissible $\mathcal{R} = (\Sigma, E \cup B, R)$ with $E \cup B$ FVP, and $u_1 \vee \dots \vee u_n$ and $v_1 \vee \dots \vee v_m$ Σ -pattern disjunctions,

$$\mathcal{R}, (u_1 \vee \dots \vee u_n) \models_{S4} \diamond (v_1 \vee \dots \vee v_m)$$

holds iff there exists a $d \in \mathbb{N}$ and some j , $1 \leq j \leq m$, such that $P_d \wedge v_j \neq \perp$, where $P_d \wedge v_j$ is computed by $E \cup B$ -unification.

Proof (Sketch): This follows from the **Completeness of Narrowing Search Theorem** in pg. 8, and the **Completeness Theorem of Folding Narrowing** in pg. 12 of Lecture 24, because, since $E \cup B$ is FVP, $Unif_{E \cup B}(u = v)$ is always a **finite** set for any Σ -equation $u = v$. Therefore, the Σ -pattern disjunctions P_d and F_d , $d \in \mathbb{N}$, exist and can be effectively computed according to the **Folding Narrowing Search Algorithm** in Lecture 24, by just generalizing Ω to Σ and $Unif_B(u = v)$ to $Unif_{E \cup B}(u = v)$. \square