

# Program Verification: Lecture 12

José Meseguer

Computer Science Department  
University of Illinois at Urbana-Champaign

## More on $\Sigma(X)$ -Algebras

Recall how we formalized the evaluation of integer arithmetic expressions with memory  $m : X \rightarrow \mathbb{Z}$  as the unique  $\Sigma(X)$ -homomorphism:

$$\__{(\mathbb{Z}, m)} : \mathbb{T}_{\Sigma(X)} \rightarrow (\mathbb{Z}, m)$$

where  $(\mathbb{Z}, m)$  extends the integer  $\Sigma$ -algebra  $\mathbb{Z} = (\mathbb{Z}, \__{\mathbb{Z}})$  by interpreting the constants  $X$  as the memory map  $m : X \rightarrow \mathbb{Z}$ .

This situation is **completely general**: For **any** signature  $\Sigma$  and **any**  $\Sigma$ -algebra  $\mathbb{A} = (A, \__{\mathbb{A}})$ , given an **assignment**, i.e., a “memory map,”  $a : X \rightarrow A$ , the evaluation of  $\Sigma(X)$ -expressions in  $\mathbb{A}$  is the unique  $\Sigma(X)$ -homomorphism:

$$\__{(\mathbb{A}, a)} : \mathbb{T}_{\Sigma(X)} \rightarrow (\mathbb{A}, a)$$

**Notation:**  $\__{(\mathbb{A}, a)}$  is abbreviated to  $\_a : \mathbb{T}_{\Sigma(X)} \rightarrow (\mathbb{A}, a)$ .

## More $\Sigma(X)$ -Algebras (II)

We can summarize this situation as the following:

**Fact 1:** Any pair  $(\mathbb{A}, a)$  with  $\mathbb{A} = (A, \_A)$  a  $\Sigma$ -algebra and  $a : X \rightarrow A$  an assignment defines a  $\Sigma(X)$ -algebra  $(\mathbb{A}, a)$ .

**Q:** Are all  $\Sigma(X)$ -algebras of this form?

**A:** Yes! We just need to recall the definition of an order-sorted  $\Sigma$ -algebra in Lecture 3:

For  $\Sigma = ((S, <), F, \Sigma)$  a signature,  $\Sigma$ -algebra  $\mathbb{A} = (A, \_A)$  is just a pair with: (i)  $A_s \subseteq A_{s'}$  if  $s < s'$  and (ii)  $\_A$  a function  $\_A : f \mapsto f_{\mathbb{A}}$  interpreting each **constant**  $c : \rightarrow s$  as an **element**  $c_{\mathbb{A}} \in A_s$ , and each **symbol**  $f : w \rightarrow s$  in  $\Sigma$  as a **function**  $f_{\mathbb{A}} \in [A^w \rightarrow A_s]$ , so that if  $f$  has subsort overloaded typings the different  $f_{\mathbb{A}}$  **agree on common data**.

### More $\Sigma(X)$ -Algebras (III)

If  $\Sigma = ((S, <), F, \Sigma)$ , then  $\Sigma(X) = ((S, <), F \uplus \bigcup_{s \in S} X_s, \Sigma \uplus X)$ , where  $\uplus$  denotes **disjoint union** of sets ( $F \uplus \bigcup_{s \in S} X_s$ ) and of signatures ( $\Sigma \uplus X$ ), and each new constant  $x \in X_s$  in the subsignature  $X$  has typing  $x : \rightarrow s$ .

Recall from STACS that if sets  $U$  and  $V$  are disjoint, any function  $h : U \uplus V \rightarrow W$  decomposes **uniquely** as a pair  $(h|_U : U \rightarrow W, h|_V : V \rightarrow W)$  of its **restrictions** to  $U$  and  $V$ .

Therefore, if  $\mathbb{B} = (B, \_ \mathbb{B})$  is a  $\Sigma(X)$ -algebra, then  $\_ \mathbb{B}$  decomposes **uniquely** as a pair  $(\_ \mathbb{B}|_\Sigma, \_ \mathbb{B}|_X)$ . But note that  $\_ \mathbb{B}|_X : X \rightarrow B$  is just an **assignment!** and  $(B, \_ \mathbb{B}|_\Sigma)$  is just a  $\Sigma$ -algebra! **Notation:**  $(B, \_ \mathbb{B}|_\Sigma) = \mathbb{B}|_\Sigma$ , called the  $\Sigma$ -**reduct** of  $\mathbb{B}$ .

**Fact 2:**  $\mathbb{B} = (B, \_ \mathbb{B})$  decomposes **uniquely** as  $\mathbb{B} = (\mathbb{B}|_\Sigma, \_ \mathbb{B}|_X)$ .

## More $\Sigma(X)$ -Homomorphisms

Facts 1 and 2 tell us that any  $\Sigma(X)$ -algebra is **exactly the same thing** as a pair  $(\mathbb{A}, a)$  with  $\mathbb{A}$  a  $\Sigma$ -algebra and  $a \in [X \rightarrow A]$  an assignment.

Q: What is a  $\Sigma(X)$ -**homomorphism**  $h : (\mathbb{A}, a) \rightarrow (\mathbb{C}, c)$ ?

A: The answer is summarized in **Fact 3** below.

**Fact 3:** Since  $h$  must preserve **both** the interpretation the  $\Sigma$ -typings  $\Sigma$  and the  $X$ -typings of the new constants  $X$  but  $\Sigma \cap X = \emptyset$ ,  $h$  is exactly:

1. a  $\Sigma$ -homomorphism  $h : \mathbb{A} \rightarrow \mathbb{C}$  such that
2. for each  $s \in S$  and  $x \in X_s$ ,  $h_s(a(x)) = c(x)$ , i.e.,  $a; h = c$ .

## Example: Substitutions Revisited

Let us apply **Fact 2** to the **initial**  $\Sigma(X)$ -**algebra**

$\mathbb{T}_{\Sigma(X)} = (T_{\Sigma(X)}, \__{\mathbb{T}_{\Sigma(X)}})$ . What **unique decomposition** do we get for  $\mathbb{T}_{\Sigma(X)}$ ? We get a pair  $(\mathbb{T}_{\Sigma(X)}|_{\Sigma}, \eta_X)$ , where:

1.  $\mathbb{T}_{\Sigma(X)}|_{\Sigma} = (T_{\Sigma(X)}, \__{\mathbb{T}_{\Sigma(X)}}|_{\Sigma})$ , that is, the elements  $t \in T_{\Sigma(X)}$  are the **same**: ( $\Sigma$ -terms with variables in  $X$ ), but **only** the  $\Sigma$ -operations are considered; and
2.  $\eta_X : X \rightarrow T_{\Sigma(X)} : x \mapsto x$  is the **identity assignment** for each variable  $x$  in  $X$ , that is, the **identity substitution**.

To simplify the notation, we will denote  $\mathbb{T}_{\Sigma(X)}|_{\Sigma}$  by  $\mathbb{T}_{\Sigma}(X)$ , and will call it the **free  $\Sigma$ -algebra on the variables  $X$** .

## Example: Substitutions Revisited (II)

Consider now another  $S$ -sorted set  $Y$  of variables and a **substitution**  $\theta : X \rightarrow T_{\Sigma(Y)}$ .

**Q:** how can we **model** the extension of  $\theta$  to the map on terms  $\_ \theta : T_{\Sigma(X)} \rightarrow T_{\Sigma(Y)}$  defined in Lecture 3?

**A:** Easy! Consider the  $\Sigma(X)$ -algebra  $(\mathbb{T}_{\Sigma(Y)}, \theta)$ . Then,  $\_ \theta$  is just the **unique**  $\Sigma(X)$ -homomorphism:

$$\_ \theta : \mathbb{T}_{\Sigma(X)} \rightarrow (\mathbb{T}_{\Sigma(Y)}, \theta),$$

which decomposing  $\mathbb{T}_{\Sigma(X)}$  as  $\mathbb{T}_{\Sigma(X)} = (\mathbb{T}_{\Sigma(X)}, \eta_X)$ , is the unique  $\Sigma(X)$ -homomorphism:

$$\_ \theta : (\mathbb{T}_{\Sigma(X)}, \eta_X) \rightarrow (\mathbb{T}_{\Sigma(Y)}, \theta).$$

### Example: Substitutions Revisited (III)

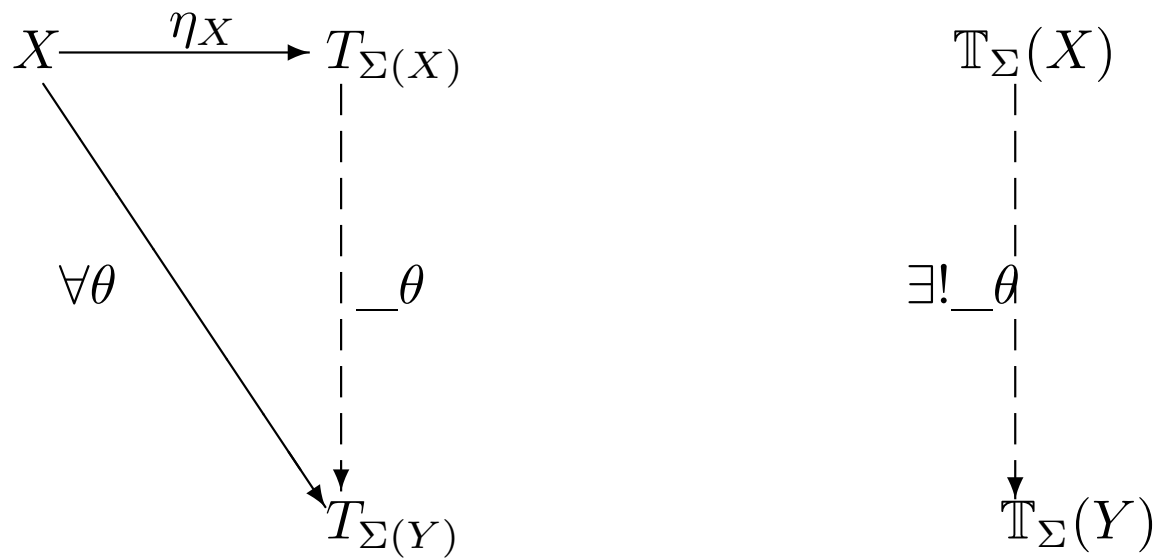
But by Fact 3,  $\_ \theta : (\mathbb{T}_\Sigma(X), \eta_X) \rightarrow (\mathbb{T}_\Sigma(Y), \theta)$  is a  $\Sigma(X)$ -homomorphism iff:

1.  $\_ \theta : \mathbb{T}_\Sigma(X) \rightarrow \mathbb{T}_\Sigma(Y)$  is a  $\Sigma$ -homomorphism, and
2.  $\eta_X; \_ \theta = \theta$

Therefore, each substitution  $\theta$  has a **unique extension** to a  $\Sigma$ -homomorphism  $\_ \theta$  such that the following diagram commutes:



# Homomorphic Extension of Substitutions



$\mathbf{Set}^S$  : S-Indexed Families and S-Indexed Functions  $\mathbf{Alg}_{\Sigma}$  :  $\Sigma$ -Algebras and  $\Sigma$ -Homomorphism

## Freeness Theorem

The extension  $\theta \mapsto \_ \theta$  is an instance of the more general:

**Theorem** (Freeness Theorem). For each  $\Sigma$ -algebra  $\mathbb{A} = (A, \_ \mathbb{A})$ , and assignment  $a : X \longrightarrow A$  there exists a **unique**  $\Sigma$ -homomorphism  $\_ a : \mathbb{T}_\Sigma(X) \longrightarrow \mathbb{A}$  such that  $\eta_X; \_ a = a$ .

**Proof:** Since  $(\mathbb{A}, a)$  is a  $\Sigma(X)$ -algebra, by the initiality of  $\mathbb{T}_{\Sigma(X)}$  there is a **unique**  $\Sigma(X)$ -homomorphism

$$\_ a : \mathbb{T}_{\Sigma(X)} \rightarrow (\mathbb{A}, a),$$

which decomposing  $\mathbb{T}_{\Sigma(X)}$  as  $\mathbb{T}_{\Sigma(X)} = (\mathbb{T}_\Sigma(X), \eta_X)$ , is the same thing as a **unique**  $\Sigma(X)$ -homomorphism:

$$\_ a : (\mathbb{T}_\Sigma(X), \eta_X) \rightarrow (\mathbb{A}, a),$$

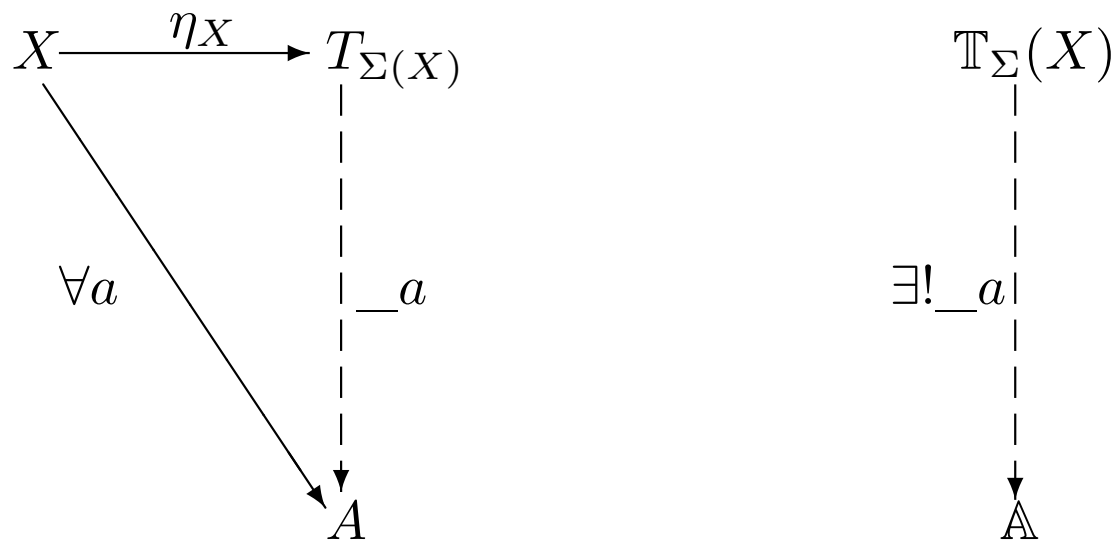
which by the definition of  $\Sigma(X)$ -homomorphism is the same thing as a **unique**  $\Sigma$ -homomorphism

$$\_a : \mathbb{T}_\Sigma(X) \rightarrow \mathbb{A}$$

such that  $\eta_X; \_a = a$ , as desired. q.e.d.

This theorem can be summarized in the following diagram:

$T_\Sigma(X)$  as a Free  $\Sigma$ -Algebra on  $X$



$\mathbf{Set}^S$  : S-Indexed Families and S-Indexed Functions  $\mathbf{Alg}_\Sigma$  :  $\Sigma$ -Algebras and  $\Sigma$ -Homomorphism

## Useful Corollary on Free $\Sigma$ -Algebras

**Corollary** (Freeness Corollary). For any  $\Sigma$ -homomorphism  $h : \mathbb{A} \rightarrow \mathbb{B}$ , and assignments  $a : X \rightarrow A$ ,  $b : X \rightarrow B$  such that  $a; h = b$ , the following identity between  $\Sigma$ -homomorphisms holds:

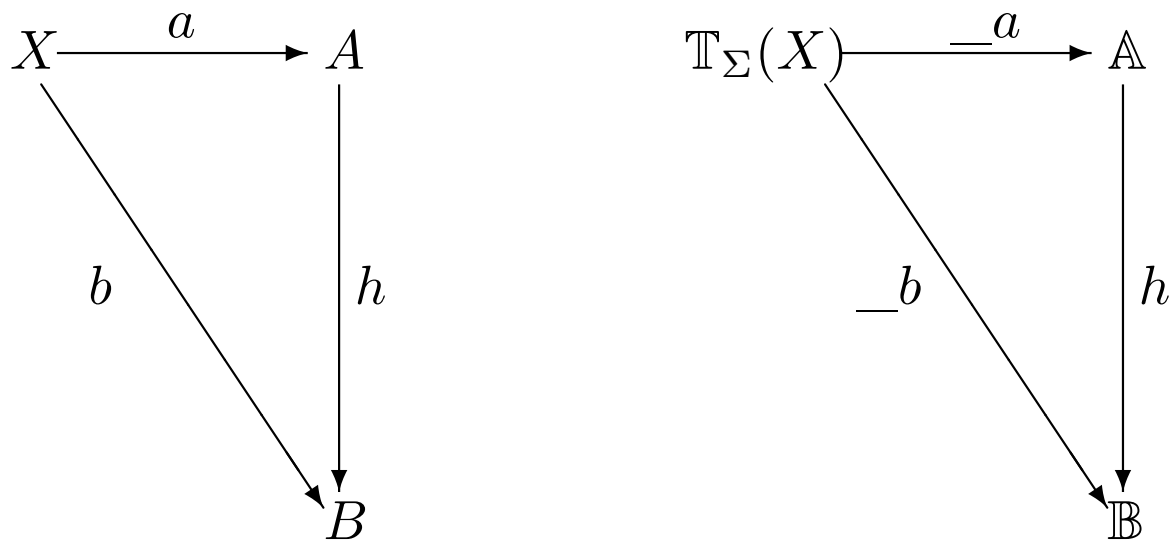
$$\_a; h = \_b$$

**Proof:**  $\_a; h$  is a  $\Sigma$ -homomorphism  $\_a; h : \mathbb{T}_\Sigma(X) \rightarrow \mathbb{B}$ . But since, by hypothesis, we have  $a; h = b$ , we must also have:

$\eta_X; \_a; h = a; h = b$ , which by the Freeness Theorem forces  $\_a; h = \_b$ , as desired. q.e.d.

The corollary can be summarized in the following diagram:

Useful Corollary on Free  $\Sigma$ -Algebras (II)



$\mathbf{Set}^S$  : S-Indexed Families and S-Indexed Functions  $\mathbf{Alg}_\Sigma$  :  $\Sigma$ -Algebras and  $\Sigma$ -Homomorphism

## What is “free” about a Free Algebra?

Clearly, the concept of a free  $\Sigma$ -algebra  $\mathbb{T}_\Sigma(X)$  **generalizes** the case of an initial algebra, since when  $X = \emptyset$ , where  $\emptyset$  here denotes the  $S$ -indexed set having all its components empty, we have  $\mathbb{T}_\Sigma(\emptyset) = \mathbb{T}_\Sigma$ . As in the case of initial algebras, free algebras have (provided  $\Sigma$  is sensible) **no confusion**. Therefore, the first meaning of “free” is that **no equalities force terms** in  $\mathbb{T}_\Sigma(X)$  to **become equal**: they are all different, unconstrained, and in this sense “free.”

Note that if  $X$  is nonempty  $\mathbb{T}_\Sigma(X)$  has **junk!** (even though,  $\mathbb{T}_\Sigma(X)$ , with the same data elements, doesn't!). Which junk? Well,  $X$ , of course, and all the junk spread by  $X$  when building terms with variables. However, this “junk” is very well-behaved.

## What is “free” about a Free Algebra? (II)

$X$  is well-behaved: we can **feely interpret** the variables in  $X$  as data elements in any  $\Sigma$ -algebra  $\mathbb{B}$  by **any** assignment  $b : X \longrightarrow B$  with the guarantee that  $b$  will always **extend** to a **unique**  $\Sigma$ -homomorphism  $\_b$ . This **freedom of interpreting variables** and **homomorphic extensibility** provide the second meaning of “free.”

This freedom is not enjoyed by other algebras. Let  $\Sigma$  be the unsorted signature with constant 0 and unary  $s$ .  $\mathbb{T}_\Sigma$  is the natural numbers in Peano notation. Define  $\mathbb{T}_\Sigma \cup \{x, y, z\}$  with elements  $T_\Sigma \cup \{x, y, z\}$ , with 0 and  $s$  interpreted as before on the  $T_\Sigma$  part, and with  $s(x) = y$ ,  $s(y) = z$ , and  $s(z) = x$ . Now the junk  $X = \{x, y, z\}$  is badly behaved. Let  $\mathbb{N}$  be the natural numbers in decimal notation with 0 and successor. There is **no assignment at all**  $b : X \rightarrow \mathbb{N}$  that can be extended to a  $\Sigma$ -homomorphism  $\mathbb{T}_\Sigma \cup \{x, y, z\} \rightarrow \mathbb{N}$ .



## Satisfaction of Equations

Let  $X = \{X_s\}$  be such that for each  $s \in S$ ,  $X_s$  is a countably infinite set. Given a  $\Sigma$ -algebra  $\mathbb{A}$ , an assignment  $a : X \rightarrow A$ , and a  $\Sigma$ -equation  $t = t'$  with variables in  $X$ , we define the **satisfaction relation**  $(\mathbb{A}, a) \models t = t'$  by means of the equivalence,

$$(\mathbb{A}, a) \models t = t' \iff t a = t' a.$$

We then define the **satisfaction relation**  $\mathbb{A} \models t = t'$  iff for **all** assignments  $a : X \rightarrow A$  we have  $(\mathbb{A}, a) \models t = t'$ .

Note that, since each  $(\mathbb{A}, a)$  is a  $\Sigma(X)$ -algebra, we have defined the satisfaction of  $\mathbb{A} \models t = t'$  as the satisfaction of the **ground**  $\Sigma(X)$ -equation  $t = t'$  by each  $(\mathbb{A}, a)$ , denoted  $(\mathbb{A}, a) \models t = t'$ , for **all** assignments  $a$ .

## Examples of Satisfaction

Consider the unsorted signature  $\Sigma$  with constants 0, 1, and operations of addition  $\_ + \_$ , and multiplication  $\_ * \_$ . Then all the algebras  $\mathbb{N}$ ,  $\mathbb{N}_k$ ,  $\mathbb{Z}$ ,  $\mathbb{Q}$ ,  $\mathbb{R}$ ,  $\mathbb{C}$ , in Lecture 3, pgs. 3–5, satisfy the equations:

- $x + 0 = x$
- $x + y = y + x$
- $x + (y + z) = (x + y) + z$
- $x * 1 = x$
- $x * y = y * x$
- $x * (y * z) = (x * y) * z$

## Examples of Satisfaction (II)

Consider the signature  $\Sigma$  for Boolean operations in page 6 of Lecture 3. Then the  $\Sigma$ -algebras  $\mathbb{B}$  and  $\mathbb{P}(X)$  satisfy the equations:

- $x \text{ and } true = x \quad (\forall x) \quad x \text{ or } false = x$
- $x \text{ and } y = y \text{ and } x \quad (\forall x, y) \quad x \text{ or } y = y \text{ or } x$
- $x \text{ and } (y \text{ and } z) = (x \text{ and } y) \text{ and } z$
- $x \text{ or } (y \text{ or } z) = (x \text{ or } y) \text{ or } z$
- $x \text{ and } x = x \quad x \text{ or } x = x$

### Examples of Satisfaction (III)

Consider the NAT-LIST signature in Lecture 2, and the two algebras for it defined in Lecture 4, pages 4–5. Show that the first algebra (where the sort `List` is interpreted as finite strings of natural numbers) satisfies all the equations in the module NAT-LIST.

Show also that the second algebra (where the sort `List` is interpreted as finite sets of natural numbers) does **not** satisfy the equation

$$\text{eq } \text{length}(N . L) = s \text{ length}(L) .$$

## Examples of Satisfaction (IV)

Consider all the examples 1–3 of algebras for the “vector-space-like” signature of Picture 4.1 defined in pages 5–6 of Lecture 4. Prove that, for  $x, y$  variables of sort **Scalar**, and  $v, v'$  variables of sort **Vector**, all these algebras satisfy the equations:

- $(x + y).v = (x.v) + (y.v)$
- $x.(v + v') = (x.v) + (x.v')$
- $0.v = \vec{0}$
- $1.v = v$

## Examples of Satisfaction (V)

A **permutation** on  $n$  elements is a bijective function  $\pi : [n] \longrightarrow [n]$ , where  $[n] = \{1, \dots, n\}$ . The set of all such permutations is denoted  $S_n$  and has function composition as a binary operation  $_;_$  for which the identity permutation  $1_{[n]} : [n] \longrightarrow [n]$  is an identity element. Also, for each  $\pi \in S_n$  the inverse function  $\pi^{-1}$  is another permutation such that,  $\pi; \pi^{-1} = 1_{[n]} = \pi^{-1}; \pi$ .  $S_n$  is called the **symmetric group** on  $n$  elements, because it satisfies the **group theory** axioms,

$$x \cdot (y \cdot z) = (x \cdot y) \cdot z \quad (\text{associativity})$$

$$x \cdot 1 = x = 1 \cdot x \quad (\text{identity})$$

$$x \cdot x^{-1} = 1 = x^{-1} \cdot x \quad (\text{inverse})$$

Similarly, given a set  $X$  of elements, the set  $X^*$  of its strings with the concatenation operation is a **monoid**, because it satisfies the above associativity and identity axioms.

## Models and Theorems of Theories

Given an order-sorted equational theory  $(\Sigma, E)$  and a  $\Sigma$ -algebra  $\mathbb{A}$ , we write  $\mathbb{A} \models (\Sigma, E)$ , or, equivalently,  $\mathbb{A} \models E$ , iff  $\mathbb{A}$  satisfies all the equations in  $E$ . We then call  $\mathbb{A}$  a **model** of  $(\Sigma, E)$ , or a  $(\Sigma, E)$ -**algebra**. For example, for  $(\Sigma, E)$  the theory groups (resp. monoids), a model of  $(\Sigma, E)$  is called a group (resp. a monoid).

Given a theory  $(\Sigma, E)$ , what other equations, besides those in  $E$ , does any  $(\Sigma, E)$ -algebra satisfy? We call an equation  $t = t'$  a **theorem** of  $(\Sigma, E)$  iff for each  $(\Sigma, E)$ -algebra  $\mathbb{A}$  we have,  $\mathbb{A} \models t = t'$ . We then write  $(\Sigma, E) \models t = t'$ .

We have now two different relations: (i)  $(\Sigma, E) \vdash t = t'$ , telling us which equations we can mechanically **prove**, and (ii)  $(\Sigma, E) \models t = t'$ , telling us which equations are **theorems**.

## Soundness and Completeness

There are now two obvious questions:

**Soundness:** Does the implication

$$(\Sigma, E) \vdash t = t' \quad \Rightarrow \quad (\Sigma, E) \models t = t$$

always hold? That is, is anything we can **prove** always **true**, i.e., always **a theorem**? For example, we can prove the equations  $1^{-1} = 1$  and  $(x \cdot y)^{-1} = y^{-1} \cdot x^{-1}$  from the theory of groups, but are they really theorems of group theory?

**Completeness:** Does the implication

$$(\Sigma, E) \models t = t' \quad \Rightarrow \quad (\Sigma, E) \vdash t = t$$

always hold? That is, can we **prove** all the equations that are **theorems** of  $(\Sigma, E)$ ?



## Soundness Theorem

**Soundness Theorem.** For  $(\Sigma, E)$  an equational theory with  $\Sigma$  sensible, kind-complete, and with nonempty sorts, and for all  $\Sigma$ -equations  $t = t'$ , we have the implication:

$$(\Sigma, E) \vdash t = t' \quad \Rightarrow \quad (\Sigma, E) \models t = t'.$$

**Proof:** Note that, by definition, we have

$$(\Sigma, E) \vdash t = t' \Leftrightarrow t =_E t' \Leftrightarrow (\Sigma, \overrightarrow{E} \cup \overleftarrow{E}) \vdash t \rightarrow^* t'.$$

Therefore, what we have to prove is the implication

$$(\Sigma, \overrightarrow{E} \cup \overleftarrow{E}) \vdash t \rightarrow^* t' \quad \Rightarrow \quad (\Sigma, E) \models t = t'.$$

We can do so by induction on the **length** of the rewrite sequence  $t \rightarrow^* t'$ .

## Soundness Theorem (II)

**Base Case.** If the length of  $t \rightarrow^* t'$  is 0, then  $t'$  is **identical** to  $t$ , so we need to prove  $(\Sigma, E) \models t = t$ , which trivially holds, since for **any**  $\Sigma$ -algebra  $\mathbb{A}$  we have  $\mathbb{A} \models t = t$ . In particular, if  $\mathbb{A} \models E$ , then, of course,  $\mathbb{A} \models t = t$ .

**Induction Step.** Assume that if  $(\Sigma, \overrightarrow{E} \cup \overleftarrow{E}) \vdash t \rightarrow^* w$  and the sequence  $t \rightarrow^* w$  has length  $n$ , then the relation  $(\Sigma, E) \models t = w$  holds, and consider an additional rewrite step  $w \rightarrow_{\overrightarrow{E} \cup \overleftarrow{E}} t'$ . We then need to prove that  $(\Sigma, E) \models t = t'$ . We will be done if we can prove:

**Lemma.** For all  $w, t'$ , if  $w \rightarrow_{\overrightarrow{E} \cup \overleftarrow{E}} t'$  then  $(\Sigma, E) \models w = t'$ .

### Soundness Theorem (III)

Indeed, if this Lemma holds, then for each  $\Sigma$ -algebra  $\mathbb{A}$  such that  $\mathbb{A} \models E$  and each assignment  $a$  we have  $(\mathbb{A}, a) \models t = w$  (by Ind. Hyp.), and  $(\mathbb{A}, a) \models w = t'$  (by Lemma). That is,

$$t a = w a \quad \wedge \quad w a = t' a$$

and therefore  $(\mathbb{A}, a) \models t = t'$ , so that  $(\Sigma, E) \models t = t'$ .

**Proof of the Lemma:** We must prove the implication

$w \rightarrow_{\vec{E} \cup \overleftarrow{E}} t' \Rightarrow (\Sigma, E) \models w = t'$ . But the rewrite  $w \rightarrow_{\vec{E} \cup \overleftarrow{E}} t'$  uses an equation  $(u = v) \in E$  either from left to right or from right to left at some position  $p$  in  $w$  and with some substitution

$\theta : X \rightarrow T_{\Sigma(X)}$ , so that, if  $u = v$  is applied left-to-right,  $w = w[u\theta]_p$  and  $t' = w[v\theta]_p$ .

We prove the case where  $u = v$  is applied from left to right. The right-to-left case is completely similar.

## Soundness Theorem (IV)

The proof is by induction of the length  $|p|$  of the position  $p$ .

**Base Case.** If  $|p| = 0$ , then  $p = \epsilon$  is the empty string. Therefore we have  $w = u\theta$  and  $t' = v\theta$ , and we need to prove that for each  $\mathbb{A}$  such that  $\mathbb{A} \models E$  and each assignment  $a$  we have  $(\mathbb{A}, a) \models u\theta = v\theta$ , that is, that  $u\theta a = v\theta a$ .

But, since  $\_ \theta; \_ a$  is a  $\Sigma$ -homomorphism and  $\eta_X; \_ \theta; \_ a = \theta; \_ a$ , by the Freeness Theorem we have:

$$\_ \theta; \_ a = \_ (\theta; \_ a)$$

And since  $\mathbb{A} \models E$  and  $(\theta; \_ a) \in [X \rightarrow A]$ , in particular,  $(\mathbb{A}, (\theta; \_ a)) \models u = v$ , that is,  $u\theta a = v\theta a$ , as desired.

## Soundness Theorem (V)

**Induction Step.** We assume that the Lemma holds for  $|p| = n$ .

Consider now  $w = w[u\theta]_{i.p}$  and  $t' = w[v\theta]_{i.p}$ , with  $|i.p| = n + 1$ .

This means that, for some  $f$ ,  $w = f(w_1, \dots, w_n)$ ,  $1 \leq i \leq n$ ,

$w = f(w_1, \dots, w_i[u\theta]_p, \dots, w_n)$  and  $t' = f(w_1, \dots, w_i[v\theta]_p, \dots, w_n)$ .

But by the Ind. Hyp., if  $\mathbb{A} \models E$  then  $\mathbb{A} \models w_i[u\theta]_p = w_i[v\theta]_p$ .

Therefore, for any assignment  $a \in [X \rightarrow A]$  we have:

$$w a = f_{\mathbb{A}}(w_1 a, \dots, w_i[u\theta]_p a, \dots, w_n a) = f_{\mathbb{A}}(w_1 a, \dots, w_i[v\theta]_p a, \dots, w_n a) = t' a$$

as desired. q.e.d.

This also concludes the proof of the Soundness Theorem. q.e.d.

## Exercises

Ex.12.1 For  $\Sigma = ((S, \leq), F, \Sigma)$ ,  $\Sigma' = ((S, \leq), F', \Sigma')$ , with  $\Sigma \subseteq \Sigma'$ , and  $\mathbb{A} = (A, \__{\mathbb{A}})$  a  $\Sigma'$ -algebra, define its  $\Sigma$ -**reduct**  $\mathbb{A}|_{\Sigma}$  as the  $\Sigma$ -algebra  $\mathbb{A}|_{\Sigma} = (A, \__{\mathbb{A}}|_F)$ . Prove that for any  $\Sigma$ -equation  $u = v$  we have the equivalence:

$$\mathbb{A} \models u = v \quad \Leftrightarrow \quad \mathbb{A}|_{\Sigma} \models u = v.$$

Ex.12.2 (i) Let  $h : \mathbb{A} \longrightarrow \mathbb{B}$  be a  $\Sigma$ -isomorphism, and  $u = v$  a  $\Sigma$ -equation. Prove that

$$\mathbb{B} \models u = v \quad \Leftrightarrow \quad \mathbb{A} \models u = v.$$

(ii) Give an example of a bijective  $\Sigma$ -homomorphism  $h$  such that the above equivalence does not hold (Hint: Consider order-sorted signatures  $\Sigma$  that are not kind-complete).

## Exercises (II)

Ex.12.3 Call a  $\Sigma$ -algebra  $\mathbb{A}$  a **subalgebra** of a  $\Sigma$ -algebra  $\mathbb{B}$  iff for each sort  $s \in S$  we have  $A_s \subseteq B_s$ , and the  $S$ -family of inclusion functions  $j = \{j_s : A_s \hookrightarrow B_s\}_{s \in S}$ , with  $j_s : a \mapsto a$  mapping each element  $a \in A_s$  identically to itself is a  $\Sigma$ -homomorphism  $j : \mathbb{A} \longrightarrow \mathbb{B}$ . We then write:  $\mathbb{A} \subseteq \mathbb{B}$ . Show that if  $\mathbb{A} \subseteq \mathbb{B}$ , for any  $\Sigma$ -equation  $u = v$  we have:

$$\mathbb{B} \models u = v \quad \Rightarrow \quad \mathbb{A} \models u = v$$

Give an example showing that the implication in the other direction in general does not hold.

## Exercises (II)

Ex.12.4 Let  $h : \mathbb{A} \longrightarrow \mathbb{B}$  be a surjective  $\Sigma$ -homomorphism, and  $u = v$  a  $\Sigma$ -equation. Prove that

$$\mathbb{A} \models u = v \quad \Rightarrow \quad \mathbb{B} \models u = v$$

Show, by giving a counterexample, that the implication in the other direction in general does not hold.