

## LECTURE 26: BPP AND THE POLYNOMIAL TIME HIERARCHY

Date: November 30, 2023.

**A Probabilistic Turing Machine**  $M$  is an ordinary (deterministic) Turing machine with a special read-only, “random-bits” tape —  $M$  moves its tape head right in each step, and never overwrites it. For  $M$  that runs in time  $T(n)$  time, we assume that the random-bits tape contains a binary string of length  $T(n)$ . The result of the computation of  $M$  (i.e., accept/reject) on input  $x$  with  $y$  on random-bits tape will be denoted by  $M(x, y)$ .

$$\Pr_y(M(x, y) \text{ accepts}) = \frac{|\{y \in \{0, 1\}^{T(|x|)} \mid M(x, y) \text{ accepts}\}|}{2^{T(|x|)}}$$

**Randomized Time:** A language  $A \in \text{RTIME}(T(n))$  is there is a probabilistic TM  $M$  running in time  $T(n)$  such that

- if  $x \in A$  then  $\Pr_y(M(x, y) \text{ accepts}) \geq \frac{3}{4}$ , and
- if  $x \notin A$  then  $\Pr_y(M(x, y) \text{ accepts}) = 0$ .

$$\begin{aligned} \text{RP} &= \cup_c \text{RTIME}(n^c) \\ \text{co-RP} &= \{A \mid \overline{A} \in \text{RP}\} \end{aligned}$$

**Bounded Probabilistic Time:** A language  $A \in \text{BPTIME}(T(n))$  is there is a probabilistic TM  $M$  running in time  $T(n)$  such that

- if  $x \in A$  then  $\Pr_y(M(x, y) \text{ accepts}) \geq \frac{3}{4}$ , and
- if  $x \notin A$  then  $\Pr_y(M(x, y) \text{ accepts}) \leq \frac{1}{4}$ .

$$\text{BPP} = \cup_c \text{BPTIME}(n^c)$$

**Proposition 1.** *The following relations hold.*

- $P \subseteq RP \subseteq NP$ . and  $P \subseteq RP \subseteq BPP$ . Follow defns.
- If  $A \in BPP$  then  $\overline{A} \in BPP$ .

**Lemma 2** (Amplification Lemma). *If  $A \in RP$  then for any polynomial  $n^d$  there is a probabilistic polynomial-time bounded TM  $M$  such that for any input  $x$  of length  $n$ ,*

- if  $x \in A$  then  $\Pr_y(M(x, y) \text{ accepts}) \geq 1 - 2^{-n^d}$ , and
- if  $x \notin A$  then  $\Pr_y(M(x, y) \text{ accepts}) = 0$ .

*If  $A \in BPP$  then for any polynomial  $n^d$  there is a probabilistic polynomial-time bounded TM  $M$  such that for any input  $x$  of length  $n$ ,*

- if  $x \in A$  then  $\Pr_y(M(x, y) \text{ accepts}) \geq 1 - 2^{-n^d}$ , and
- if  $x \notin A$  then  $\Pr_y(M(x, y) \text{ accepts}) \leq 2^{-n^d}$ .

Error  
can be  
reduced

An Arithmetic Circuit  $C$  (with unspecified inputs) is a sequence of assignments  $A_1, A_2, \dots, A_n$ , where each  $A_i$  is of one of the following forms.

$$\begin{aligned} P_i &= i, \quad i \text{ is an integer} \\ P_i &=? \\ P_i &= P_j * P_k, \quad j, k < i \\ P_i &= P_j + P_k, \quad j, k < i \end{aligned}$$

where each  $P_i$  is a variable that appears on the left-hand side in only  $A_i$ . For an assignment  $a$  that maps unspecified inputs to an integer, let  $C^a$  be the circuit that results from replacing the line  $P_i = ?$  by  $P_i = a(P_i)$ , and its value is the value assigned to variable  $P_n$  in the last line.

**Proposition 3.** The arithmetic circuit value problem is given an arithmetic circuit  $C$  and an assignment  $a$ , determine if the value of  $C^a$  is 0. The arithmetic circuit value problem is in RP. Pick a random modulus

**Proposition 4.** The polynomial identity testing problem is given an arithmetic circuit  $C$ , determine if for every assignment  $a$ , the value of  $C^a$  is 0. The polynomial identity testing problem is in RP. Compute the value of each line modulo the number we pick

**Lemma 5** (Schwartz-Zippel). Let  $p(x_1, x_2, \dots, x_m)$  be a polynomial of degree  $\leq d$  and  $S$  be any finite set of integers. Then

$$|\{(a_1, a_2, \dots, a_m) \in S^m \mid p(a_1, a_2, \dots, a_m) = 0\}| \leq d|S|^{m-1} \quad \Pr_{(a_1, \dots, a_m) \in S^m} (p(a_1, \dots, a_m) = 0) \leq \frac{d}{|S|}$$

Pick a random assignment  $a \in S^m$

Evaluate  $C^a$ .

$\rightarrow S$  is finite.

$\rightarrow$  Compute each mod  $\rightarrow$  a random modulus

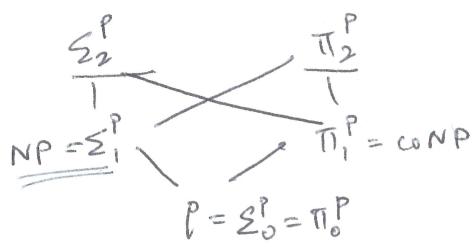
Sources of error : (a) Random assignment is root.

(b) Error due modular arithmetic.

**Bipartite Graphs:** An undirected graph  $G = (V, E)$  is bipartite if there is a partition  $(U_1, U_2)$  of  $V$  such that  $E \subseteq (U_1 \times U_2)$ .

A perfect matching in an undirected graph  $G = (V, E)$  is a subset  $M \subseteq E$  such that (a) no two edges in  $M$  share a vertex, and (b) every vertex is the endpoint of some edge in  $M$ .

**Theorem 6** (Lovász). Given a bipartite graph  $G$ , determining if  $G$  has a perfect matching is in RP.



Theorem 7 (Gacs-Sipser).  $BPP \subseteq \Sigma_2^P \cap \Pi_2^P$ .

Need to show:  $\boxed{BPP \subseteq \Sigma_2^P}$  and  $BPP \subseteq \Pi_2^P$ .

If  $BPP \subseteq \Sigma_2^P$  then  $BPP = co BPP \subseteq co \Sigma_2^P = \Pi_2^P$ .

Let  $A \in BPP$ . There is probabilistic TM  $M$  that runs in poly time

-  $x \in A$  then  $\Pr_y(M(x, y) \text{ accepts}) \geq 1 - \frac{1}{2^{|x|}}$

-  $x \notin A$  then  $\Pr_y(M(x, y) \text{ accepts}) \leq \frac{1}{2^{|x|}}$

Let  $|x|=n$ . Let assume  $M$  takes time  $m=n^c$  on input  $x$ .

$$A_x = \{y \in \{0,1\}^m \mid M(x, y) \text{ accepts}\}$$

$$R_x = \{y \in \{0,1\}^m \mid M(x, y) \text{ rejects}\}. \quad A_x \cup R_x = \{0,1\}^m.$$

If  $x \in A$ , then  $|A_x| \geq 2^m - 2^{m-n}$  ( $|R_x| \leq 2^{m-n}$ )

If  $x \notin A$ , then  $|A_x| \leq 2^{m-n}$  ( $|R_x| \geq 2^m - 2^{m-n}$ )

Claim:  $x \in A \iff \exists z_1, z_2, \dots, z_m \bigcup_{i=1}^m A_x \oplus z_i = \{0,1\}^m$

$x \oplus y$  - bitwise XOR  
 $101 \oplus 011 = 110$   
 $x \oplus B = \{x \oplus y \mid y \in B\}$   
 $y \in x \oplus B \iff y \oplus x \in B$ .

$B \in \Sigma_2^P \iff \exists R \text{ s.t. } B = \{x \mid \exists y_1, y_2 \in R(x, y_1, y_2) \text{ and } R \text{ is poly time computable}\}.$

$$\exists A = \{x \mid \exists z_1, z_2, \dots, z_m \forall y \in \bigcup_{i=1}^m A_x \oplus z_i\}$$

$$= \{x \mid \exists z_1, z_2, \dots, z_m \forall y \bigvee_{i=1}^m y \oplus z_i \in A_x\} \xrightarrow{\text{M}(x, y \oplus z_i) \text{ accepts.}}$$

$$= \{x \mid \exists z_1, z_2, \dots, z_m \forall y. \quad M(x, y \oplus z_i) \text{ accepts for some } i\}.$$

$\# A$ :  $|A_x| \leq 2^{m-n}$ . For any  $z$ ,  $|A_x \oplus z| = |A_x| \leq 2^{m-n}$ .

For any  $z_1, z_2 \dots z_m$ .

$$|\bigcup_{i=1}^m A_x \oplus z_i| \leq m 2^{m-n} < 2^m$$

$\# A$ :  $|A_x| \geq 2^m - 2^{m-n}$  and  $|R_x| \leq 2^{m-n}$ .

If  $z_1, z_2 \dots z_m$  is "bad", then  $\exists w$  s.t.  $w \oplus z_i \notin A_x$ .

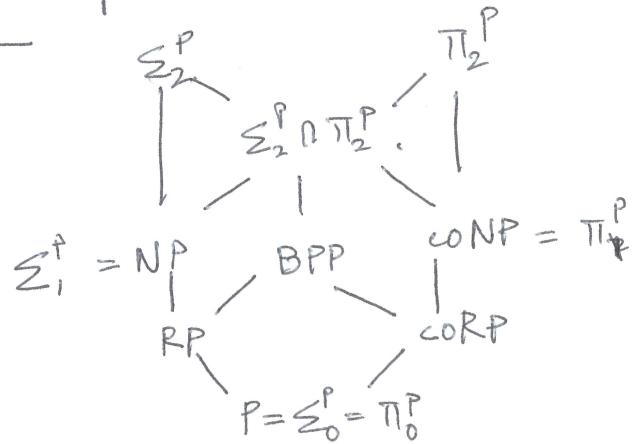
$$\exists w, \{w \oplus z_1, w \oplus z_2 \dots w \oplus z_m\} \subseteq R_x.$$

$z_1, z_2 \dots z_m$  is bad  $\iff w, \underbrace{\{w \oplus z_1 \dots w \oplus z_m\}}_{m \text{ strings}} \subseteq R_x$ .

$$\#\{w, \{w \oplus z_1 \dots w \oplus z_m\}\} \leq 2^m (2^{m-n})^m = 2^{m^2 - m(n-1)}$$

$$\#\{z_1, z_2 \dots z_m\} = (2^m)^m = 2^{m^2} >$$

$\exists$  is a good tuple.



Evidence why  $NP \not\subseteq BPP$ :

$P/\text{poly} =$  All problems that can be solved using poly-size circuits

Karp-Miller Thm: If  $SAT \in P/\text{poly}$  then  $PH = \Sigma_2^P$ .

Ackerman Thm:  $BPP \not\subseteq P/\text{poly}$ .

Corollary:  $NP \subseteq BPP$  then  $PH = \Sigma_2^P$ .