

CS 473: Algorithms

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Review session

Lecture 99

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Topics

Included topics:

Dynamic Programming.

Shortest paths in graphs including negative lengths and negative cycle detection (Bellman Ford).

Basics of randomization.

Network flows and applications to mincuts, matching, assignment problems, disjoint paths.

Basics of LP, modeling, writing a dual of an LP.

Reductions and NP-Completeness.

Basics of approximation.



Omitted topics:

FFT and applications.

Advanced topics in randomization including hashing, streaming, finger printing, string matching.

We will review

- Basics of LP, modeling, writing a dual of an LP
- Reductions and NP-Completeness.
- Basics of approximation.

Part I

Linear Programming

Linear Programs

Problem

Find a vector $\mathbf{x} \in \mathbb{R}^d$ that

maximize/minimize $\sum_{j=1}^d c_j x_j$

subject to

$$\sum_{j=1}^d a_{ij} x_j \leq b_i \quad \text{for } i = 1 \dots p$$

$$\sum_{j=1}^d a_{ij} x_j = b_i \quad \text{for } i = p + 1 \dots q$$

$$\sum_{j=1}^d a_{ij} x_j \geq b_i \quad \text{for } i = q + 1 \dots n$$

Linear Programs

Problem

Find a vector $\mathbf{x} \in \mathbb{R}^d$ that

$$\begin{array}{ll}\text{maximize/minimize} & \sum_{j=1}^d c_j x_j \\ \text{subject to} & \sum_{j=1}^d a_{ij} x_j \leq b_i \quad \text{for } i = 1 \dots p \\ & \sum_{j=1}^d a_{ij} x_j = b_i \quad \text{for } i = p + 1 \dots q \\ & \sum_{j=1}^d a_{ij} x_j \geq b_i \quad \text{for } i = q + 1 \dots n\end{array}$$

Input is matrix $\mathbf{A} = (a_{ij}) \in \mathbb{R}^{n \times d}$, column vector $\mathbf{b} = (b_i) \in \mathbb{R}^n$, and row vector $\mathbf{c} = (c_j) \in \mathbb{R}^d$

Canonical Form of Linear Programs

Canonical Form

A linear program is in **canonical form** if it has the following structure

$$\begin{array}{ll} \text{maximize} & \sum_{j=1}^d c_j x_j \\ \text{subject to} & \sum_{j=1}^d a_{ij} x_j \leq b_i \quad \text{for } i = 1 \dots n \end{array}$$

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Conversion to Canonical Form

① Replace $\sum_j a_{ij} x_j = b_i$ by

$\leq$

$\geq$

$$\sum_j a_{ij} x_j \leq b_i \quad \text{and} \quad -\sum_j a_{ij} x_j \leq -b_i$$


② Replace $\sum_j a_{ij} x_j \geq b_i$ by $-\sum_j a_{ij} x_j \leq -b_i$

Matrix Representation of Linear Programs

A linear program in canonical form can be written as

$$\begin{array}{ll}\text{maximize} & \mathbf{c} \cdot \mathbf{x} \\ \text{subject to} & \mathbf{Ax} \leq \mathbf{b}\end{array}$$

where $\mathbf{A} = (a_{ij}) \in \mathbb{R}^{n \times d}$, column vector $\mathbf{b} = (b_i) \in \mathbb{R}^n$, row vector $\mathbf{c} = (c_j) \in \mathbb{R}^d$, and column vector $\mathbf{x} = (x_j) \in \mathbb{R}^d$

- ① Number of variable is d
- ② Number of constraints is n

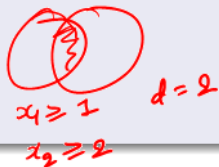
Feasible Region and Convexity

Canonical Form

Given $A \in R^{n \times d}$, $b \in R^{n \times 1}$ and $c \in R^{1 \times d}$, find $x \in R^{d \times 1}$



$$\begin{array}{ll} \max : & c \cdot x \\ \text{s.t.} & Ax \leq b \end{array}$$



- 1 Each linear constraint defines a **halfspace**, a convex set.
- 2 Feasible region, which is an intersection of halfspaces, is a convex **polyhedron**.
- 3 Optimal value attained at a vertex of the polyhedron.
- 4 **Simplex method**: starting at a vertex, moves to a neighbor where objective improves. Stops if no such neighbor.

Dual Linear Program

Given a linear program Π in canonical form

maximize $\sum_{j=1}^d c_j x_j$
 subject to $\sum_{j=1}^d a_{ij} x_j \leq b_i \quad i = 1, 2, \dots, n \rightarrow y_i$

dual(Π) is given by

the dual $\mathbf{Dual}(\Pi)$ is given by

minimize $\sum_{i=1}^n b_i y_i$
 subject to $\sum_{i=1}^n y_i a_{ij} = c_j \quad j = 1, 2, \dots, d$
 $y_i \geq 0 \quad i = 1, 2, \dots, n$

$y^T \cdot A$

Dual Linear Program

Given a linear program Π in canonical form

$$\begin{array}{ll}\text{maximize} & \sum_{j=1}^d c_j x_j \\ \text{subject to} & \sum_{j=1}^d a_{ij} x_j \leq b_i \quad i = 1, 2, \dots, n\end{array}$$

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Proposition

$\text{Dual}(\text{Dual}(\Pi))$ is equivalent to Π

Dual Linear Program

Succinct representation..

Given a $A \in \mathbb{R}^{n \times d}$, $b \in \mathbb{R}^n$ and $c \in \mathbb{R}^d$, linear program Π

$$\begin{array}{ll} \text{maximize} & c \cdot x \\ \text{subject to} & Ax \leq b \end{array}$$

the dual $\text{Dual}(\Pi)$ is given by

$$\begin{array}{ll} \text{minimize} & y \cdot b \\ \text{subject to} & yA = c \\ & y \geq 0 \end{array}$$

$\text{Dual}(\tilde{\Pi})$

Take Dual

Given $\tilde{\Pi}$

Proposition

$\text{Dual}(\text{Dual}(\Pi))$ is equivalent to Π

Duality Theorem

Theorem (Weak Duality)

If x is a feasible solution to Π and y is a feasible solution to $\text{Dual}(\Pi)$ then $c \cdot x \leq y \cdot b$.

Duality Theorem

Theorem (Weak Duality)

If $\mathbf{x}$ is a feasible solution to Π and $\mathbf{y}$ is a feasible solution to $\text{Dual}(\Pi)$ then $\mathbf{c} \cdot \mathbf{x} \leq \mathbf{y} \cdot \mathbf{b}$.

Theorem (Strong Duality)

If $\mathbf{x}^*$ is an optimal solution to Π and $\mathbf{y}^*$ is an optimal solution to $\text{Dual}(\Pi)$ then $\mathbf{c} \cdot \mathbf{x}^* = \mathbf{y}^* \cdot \mathbf{b}$.

Many applications! Maxflow-Mincut theorem can be deduced from duality.

Strong Duality and Complementary Slackness

Definition (Complementary Slackness)

x feasible in Π and y feasible in $\text{Dual}(\Pi)$, s.t.,
 $\forall i = 1..n, \quad y_i > 0 \Rightarrow (Ax)_i = b_i$

Theorem

(x^*, y^*) satisfies complementary Slackness if and only if strong duality holds, i.e., $c \cdot x^* = y^* \cdot b$.

Strong Duality and Complementary Slackness

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Proof using Farka's Lemma: Given a set of vectors $A_1, \dots, A_n$, and a vector c , either c is inside the $\text{cone}(A_1, \dots, A_n)$ or outside it.

Either $\exists y \geq 0$ such that $y^T A = c$ or $\exists x$ such that $Ax \leq 0$ and $c \cdot x > 0$.
c in the cone

Example

weighted undirected uv is weight of edge $(u,v) \in E$.
 Given a graph $G = (V, E)$, write an LP and its dual to find a minimum perfect matching.

$(u,v) \in E$, y_{uv} : captures if (u,v) is selected or not.

$$\begin{aligned} \min: & \sum_{(u,v) \in E} w_{uv} \cdot y_{uv} \\ \text{s.t. } & (u,v) \in E \\ & \sum_{v \in V} y_{uv} = 1 \quad \forall u \in V \\ & y_{uv} \geq 0 \end{aligned}$$

Dual

$$\begin{aligned} \max: & \sum_{(u,v) \in E} x_u + x_v \\ \text{s.t. } & x_u + x_v \leq w_{uv} \quad \forall (u,v) \in E \\ & x_u \geq 0 \end{aligned}$$

$$\begin{bmatrix} y_{e_1} & \dots & y_{uv} & \dots & y_{e_n} \end{bmatrix} \begin{bmatrix} 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} \quad n = |V|$$

Example

Consider the load balancing problem: The input consists of n jobs $J_1, \dots, J_n$ and an integer m denoting the number of machines. The size of J_i is a non-negative number s_i . The goal is to assign the jobs to machines to minimize the makespan (the largest load of any machine).

- Describe an integer programming formulation for the problem.

x_{ij} : 1 if job J_i is scheduled on machine M_j

$$\begin{aligned} \min: & \ell \\ \text{s.t.} & \forall i \in [1, n] \quad \sum_{j=1}^m x_{ij} = 1 \\ & \forall j \in [1, m] \quad \ell \geq \sum_{i=1}^n s_i \cdot x_{ij} \\ & x_{ij} \in \{0, 1\} \end{aligned}$$

Example Contd.

Describe the dual of the LP relaxation of the integer program.

Canonical Dual

$$\begin{aligned} \min: & b^T y \\ & y^T A = c \\ & y \geq 0 \end{aligned}$$

Dual

$$\begin{aligned} \min: & \ell \\ \text{s.t.} & \quad \sum_{j=1}^m x_{ij} = \frac{1}{c} \rightarrow \lambda_i \\ & \quad \forall 1 \leq i \leq n \\ & \quad \forall 1 \leq j \leq m \\ & \quad \ell - \tau_j = \sum_{i=1}^n c_i x_{ij} \rightarrow \mu_j \\ & \quad x_{ij} \geq 0, \tau_j \geq 0 \end{aligned}$$

$\max: C^T \cdot x$
 $s.t.:$
 $Ax \leq b$

$$\max: \sum_{i=1}^n x_i$$

$$s.t. \quad \lambda_i - s_i \cdot u_j \leq 0, \quad \forall (i,j)$$

$$\sum_{j=1}^m u_j \leq 1$$

$$u_j \geq 0, \quad \forall j \leq m$$

Dual LP Interpretation:

$\rightarrow u_j$: fraction of load on machine j

$\rightarrow$ then $u_j \cdot s_i$ is u_j fraction of job J_i on machine u_j

$\rightarrow \forall (i,j): \lambda_i \leq s_i \cdot u_j$ + $\max: \sum \lambda_i$
 ensures equal fractions

$$M_1: \frac{s_1}{m} \quad \frac{s_2}{m} \quad \dots \quad \frac{s_m}{m}$$

$$\rightarrow M_2: \frac{s_1}{m} \quad \vdots$$

$$M_m: \frac{s_1}{m} \quad \frac{s_2}{m} \quad \dots \quad \frac{s_m}{m}$$

OPT load, since load
on each machine is
 $\frac{\sum_{i=1}^n s_i}{m} \Rightarrow \text{max-load} = \frac{\sum_{i=1}^n s_i}{m}$

And can't do better
than this.

Part II

NP-Completeness

Types of Problems

Decision, Search, and Optimization

- 1 **Decision problem.** Example: given n , is n prime?.
- 2 **Search problem.** Example: given n , find a factor of n if it exists.
- 3 **Optimization problem.** Example: find the smallest prime factor of n .

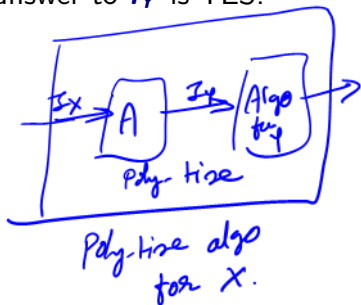
We focus on **Decision Problems**.

Polynomial Time Reduction

Karp reduction

$X \leq_P Y$: algorithm $\mathcal{A}$ reduces problem X to problem Y in polynomial-time:

- ① given an instance I_X of X , $\mathcal{A}$ produces an instance I_Y of Y
- ② $\mathcal{A}$ runs in time $\text{poly}(|I_X|) \Rightarrow |I_Y| = \text{poly}(|I_X|)$
- ③ Answer to I_X YES iff answer to I_Y is YES.



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Consequences:

- poly-time algorithm for $Y \Rightarrow$ poly-time algorithm for X .
- X is “hard” $\Rightarrow Y$ is “hard”.

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Consequences:

- poly-time algorithm for $Y \Rightarrow$ poly-time algorithm for X .
- X is “hard” $\Rightarrow Y$ is “hard”.

Note. $X \leq_P Y \not\Rightarrow Y \leq_P X$

Problems with no known polynomial time algorithms

Problems

- 1 **Independent Set**
- 2 **Vertex Cover**
- 3 **Set Cover**
- 4 **SAT**
- 5 **3SAT**

There are of course undecidable problems (no algorithm at all!) but many problems that we want to solve are of similar flavor to the above.

Question: What is common to above problems?

Efficient Checkability

Above problems share the following feature:

Checkability

For any YES instance I_X of X there is a proof/certificate/solution that is of length $\text{poly}(|I_X|)$ such that given a proof one can efficiently check that I_X is indeed a YES instance.

Efficient Checkability

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Examples:

- 1 **SAT** formula φ : proof is a satisfying assignment.
- 2 **Independent Set** in graph G and k :

Efficient Checkability

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Examples:

- 1 **SAT** formula φ : proof is a satisfying assignment.
- 2 **Independent Set** in graph G and k : a subset S of vertices.

Certifiers

Definition

An algorithm $C(\cdot, \cdot)$ is a **certifier** for problem X if for every $I_x \in X$ there is some string t such that $C(I_x, t) = \text{"yes"}$, and conversely, if for some I_x and t , $C(I_x, t) = \text{"yes"}$ then $I_x \in X$.
The string t is called a **certificate** or **proof** for s .

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Definition (Efficient Certifier.)

A certifier C is an **efficient certifier** for problem X if there is a polynomial $p(\cdot)$ such that for every string s , we have that

★ $I_x \in X$ if and only if

★ there is a string t :

① $|t| \leq p(|I_x|)$,

② $C(I_x, t) = \text{"yes"}$,

③ and C runs in polynomial time in $|I_x|$.

Example: Independent Set

- ① **Problem:** Does $G = (V, E)$ have an independent set of size $\geq k$?
 - ① **Certificate:** Set $S \subseteq V$.
 - ② **Certifier:** Check $|S| \geq k$ and no pair of vertices in S is connected by an edge.

Class NP

NP: languages/problems that have polynomial time certifiers/verifiers

A problem **X** is **NP-Complete** iff

- **X** is in **NP**
- **X** is **NP-Hard**.

X is **NP-Hard** if for every **Y** in **NP**, $Y \leq_P \underline{\underline{\text{X}}}$.

Theorem (Cook-Levin)

SAT is **NP-Complete**.

Theorem (Cook-Levin)

SAT is **NP-Complete**.

Establish **NP-Completeness** via reductions:

- 1 **SAT** is **NP-Complete**.
- 2 **SAT** $\leq_P$ **3-SAT** and hence 3-SAT is **NP-Complete**.
- 3 **3-SAT** $\leq_P$ **Independent Set** (which is in **NP**) and hence **Independent Set** is **NP-Complete**.
- 4 **Clique** is **NP-Complete**
- 5 **Vertex Cover** is **NP-Complete**
- 6 **Set Cover** is **NP-Complete** 
- 7 **Hamilton Cycle** and **Hamiltonian Path** are **NP-Complete**
- 8 **3-Color** is **NP-Complete**

Solving **NP-Complete** Problems

Proposition

*Suppose X is **NP-Complete**. Then X can be solved in polynomial time if and only if $P = NP$.*

Consequence of proving **NP-Completeness**

If X is **NP-Complete**

- 1 Since we believe $P \neq NP$,
- 2 and solving X implies $P = NP$.

X is **unlikely** to be efficiently solvable.

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X is **unlikely** to be efficiently solvable.

At the very least, many smart people before you have failed to find an efficient algorithm for X .

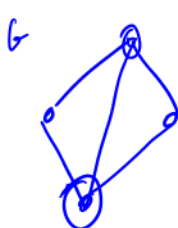
(This is proof by mob opinion — take with a grain of salt.)

Vertex Cover $\leq_P$ Set Cover

Vertex Cover $\leq_P$ Set Cover

Input: Graph $G = (V, E)$ and an integer k .

Goal: Construct a universal set U and subsets $S_1, \dots, S_d$ of U , and an integer k' .



YES.



$$U = E$$
$$v \in V, \quad S_v = \{(u, v) \mid (u, v) \in E\}$$
$$k' = k$$



YES

Problem: Show that k-Color is NP-complete

100 k-Color Problem

Input: Given a graph $G = (V, E)$, and an integer k .

Goal: Check if vertices of G can be colored with at most k colors such that if $(u, v) \in E$ then color-of- $u \neq$ color-of- v .

NP-hard: color : $\{1, 2, \dots, k\}$
 $3\text{-color} \leq_p k\text{-color}$.

$f: V \rightarrow \text{colors}$
s.t. $f(u) \neq f(v) \quad (u, v) \in E$
NPo certifiers.

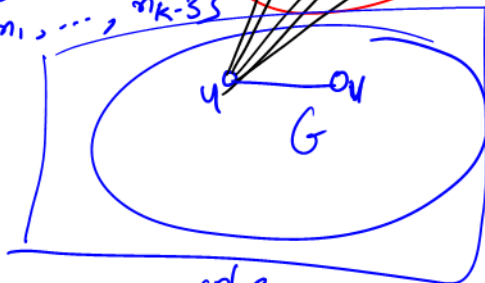
$G = (V, E) \longrightarrow G' = (V', E')$

s.t.
 $G \text{ is } 3\text{-colorable} \iff G' \text{ is } 100\text{-colorable}$

Construction of G :

$$V' = V \cup \{n_1, \dots, n_{k-3}\}$$

$$E' = E \cup \text{clique on } \{n_1, \dots, n_{k-3}\}$$



clique

$1, \dots, k-3$
does to color the
clique.

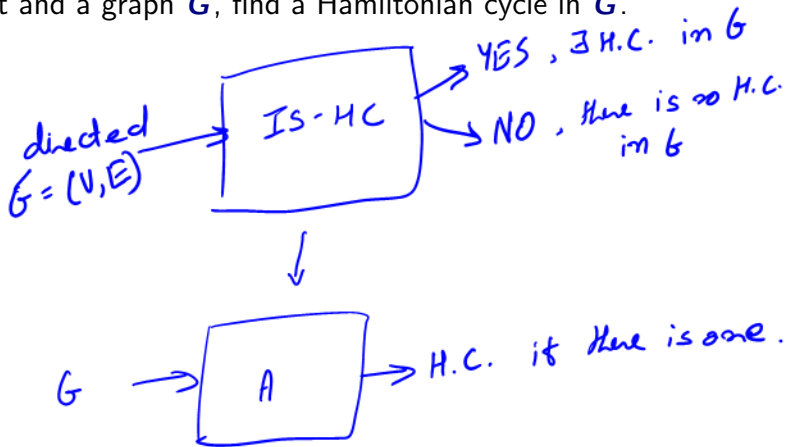
$$\text{color}(u) \in \{k-2, k-1, k\}$$

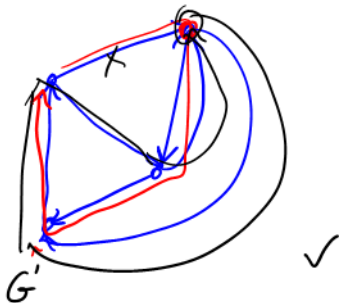
3-color, because

$$\forall u \in V: \text{color}(u) \in \{k-2, k-1, k\}$$

Example – Decision to Computation

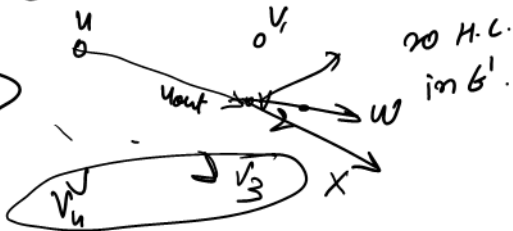
Given a black-box to check if a directed graph has a Hamiltonian cycle or not and a graph G , find a Hamiltonian cycle in G .





G
 if no H.C. in
 G that uses (u, v_3)

$\Rightarrow$



no H.C.
 in G' .

Algorithm A:

⑥ If $IS-HC(G) = NO$ Then return $\emptyset$.

① Init: $u =$ a node in V , $G' = G$, $i = 0$

② While $i < n$

for each $v \in Out(u)$

G'' : Copy G' . Add node u_{out} , remove (u, v) , add edges $(u, u_{out}), (u_{out}, v)$.

If $IS-HC(G'') = YES$ Then

Remove all outgoing edges from u in G' except (u, v) ;

Break;

$i = i + 1$

③ Return G' .

Example – Decision to Computation

Given a black-box to check if a directed graph has a Hamiltonian cycle or not and a graph G , find a Hamiltonian cycle in G .

Part III

Approximation Algorithms

What is an approximation algorithm?

An algorithm $\mathcal{A}$ for an optimization problem X is an α -approximation algorithm if the following conditions hold:

- for each instance I of X the algorithm $\mathcal{A}$ correctly outputs a valid solution to I
- $\mathcal{A}$ is a polynomial-time algorithm $\leftarrow$
- Letting $OPT(I)$ and $\mathcal{A}(I)$ denote the values of an optimum solution and the solution output by $\mathcal{A}$ on instances I ,

$A(I) \geq OPT(I)$ If X is a minimization problem: $\mathcal{A}(I)/OPT(I) \leq \alpha \equiv A(I) \leq \alpha \cdot OPT(I)$
 $A(I) \leq OPT(I)$ • If X is a maximization problem: $OPT(I)/\mathcal{A}(I) \leq \alpha \equiv A(I) \geq \alpha \cdot OPT(I)$

Definition ensures that $\alpha \geq 1$

To be formal we need to say $\alpha(n)$ where $n = |I|$ since in some cases the *approximation ratio* depends on the size of the instance.

We saw

- 2 approximation for vertex cover – LP rounding
- $(2 - 1/m)$ and $3/2$ approximation for the Load Balancing problem, where m is number of machines.
- $\log n$ approximation for setcover
- $3/2$ approximation for undirected TSP
- $\log n$ approximation for directed TSP

Load Balancing

Given n jobs $J_1, J_2, \dots, J_n$ with sizes $s_1, s_2, \dots, s_n$ and m identical machines $M_1, \dots, M_m$ assign jobs to machines to minimize maximum load (also called makespan).

Formally, an assignment is a mapping

$$f : \{1, 2, \dots, n\} \rightarrow \{1, \dots, m\}.$$

- The load $\ell_f(j)$ of machine M_j under f is $\sum_{i: f(i)=j} s_i$
- Goal is to find f to minimize $\max_j \ell_f(j)$.

Lower Bound

$$A(I) \leq \alpha \cdot \text{OPT}$$
$$\textcircled{1} \text{ LB} \leq \text{OPT}, \textcircled{2} \quad A(I) \leq \alpha \cdot \text{L.B}$$
$$C::\textcircled{1} \leq \alpha \cdot \text{OPT}$$

Greedy List Scheduling

List-Scheduling

Let $J_1, J_2, \dots, J_n$ be an ordering of jobs

for $i = 1$ to n do

 Schedule job J_i on the currently least loaded machine

OPT is the optimum load

Lower bounds on OPT :

Greedy List Scheduling

List-Scheduling

Let $J_1, J_2, \dots, J_n$ be an ordering of jobs

for $i = 1$ to n do

 Schedule job J_i on the currently least loaded machine

OPT is the optimum load

Lower bounds on OPT :

- average load: $OPT \geq \sum_{i=1}^n s_i / m$. Why?
- maximum job size: $OPT \geq \max_{i=1}^n s_i$. Why?

Analysis of Greedy List Scheduling

Theorem

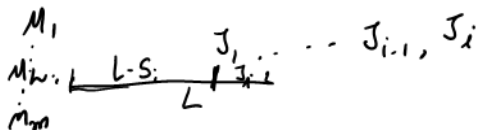
Let L be makespan of Greedy List Scheduling on a given instance. Then $L \leq (2 - 1/m)OPT$ where OPT is the optimum makespan for that instance.

Analysis of Greedy List Scheduling

Theorem

Let L be makespan of Greedy List Scheduling on a given instance. Then $L \leq (2 - 1/m)OPT$ where OPT is the optimum makespan for that instance.

- Let M_h be the machine which achieves the load L for Greedy List Scheduling.
- Let J_i be the job that was last scheduled on M_h .
- Why was J_i scheduled on M_h ? It means that M_h was the least loaded machine when J_i was considered. Implies all machines had load at least $L - s_i$ at that time.



Analysis continued

Lemma

$$L - s_i \leq (\sum_{\ell=1}^{i-1} s_{\ell}) / m.$$

when we assign J_i

$$\sum_{j=1}^m \ell_j^i = \text{Total load} = \sum_{\ell=1}^{i-1} s_{\ell}$$

$L - s_i$ $\Downarrow$

$$m(L - s_i) \leq \sum_{\ell=1}^{i-1} s_{\ell}$$

Analysis continued

Lemma

$$L - s_i \leq (\sum_{\ell=1}^{i-1} s_{\ell})/m.$$

But then

$$\begin{aligned} L &\leq \left(\sum_{\ell=1}^{i-1} s_{\ell}\right)/m + s_i \quad \underbrace{-\frac{1}{m}s_i + \frac{1}{m}s_i}_{\times} + \underbrace{\sum_{\ell=i+1}^n s_{\ell}}_m \\ &\leq \left(\sum_{\ell=1}^n s_{\ell}\right)/m + \left(1 - \frac{1}{m}\right)s_i \\ &\leq OPT + \left(1 - \frac{1}{m}\right)OPT \\ &= \left(2 - \frac{1}{m}\right)OPT. \end{aligned}$$

Ordering jobs from largest to smallest

Obvious heuristic: Order jobs in decreasing size order and then use Greedy.

$$s_1 \geq s_2 \geq \dots \geq s_n$$

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New lower bound: $s_m + s_{m+1} \leq OPT$.

Traveling Salesman/Salesperson Problem (TSP)

Perhaps the most famous discrete optimization problem

Input: A (un)directed complete graph $G = (V, E)$ with edge costs $c : E \rightarrow \mathbb{R}_+$.

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Traveling Salesman/Salesperson Problem (TSP)

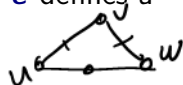
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Metric-TSP: $G = (V, E)$ is a **complete graph** and c defines a metric space. $c(u, v) = c(v, u)$ for all u, v and $c(u, w) \leq c(u, v) + c(v, w)$ for all u, v, w .



Theorem

Metric-TSP is NP-Hard.

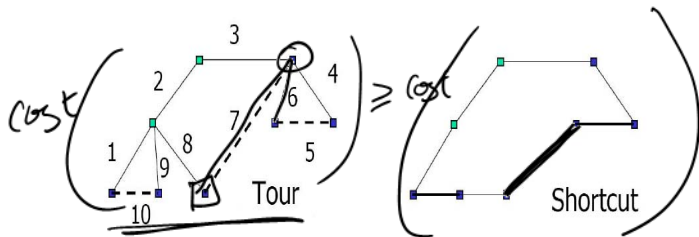
Metric-TSP: closed walk

Another interpretation of Metric-TSP: Given $G = (V, E)$ with edges costs c , find a **tour of minimum cost that visits all vertices** but can visit a vertex more than once – A closed walk.

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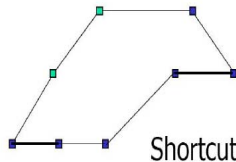
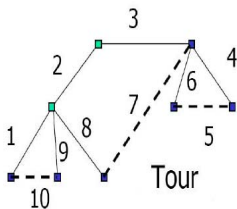
Because, any such tour can be converted in to a simple cycle of smaller cost by adding “short-cuts”.



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a closed walk
that visits every
edge exactly once
"Eulerian
tour"

Essentially need to find an Eulerian subgraph.

connected
deg(v) is even $\forall v \in V$

Christofides Heuristic: $3/2$ approximation

Christofides-Heuristic($G = (V, E), c$)

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Find a minimum cost, matching M on S in G

perfect

Christofides Heuristic: $3/2$ approximation

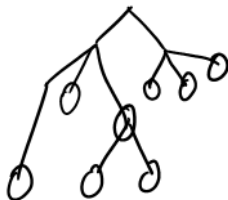
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Add M to T to obtain Eulerian graph H



(connected ✓ through T)
deg even

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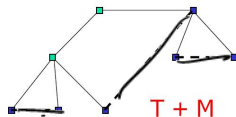
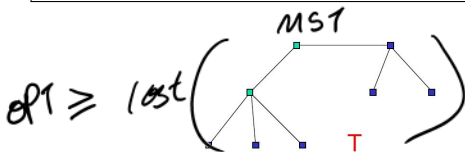
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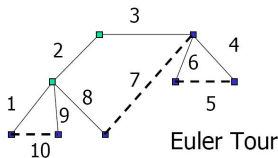
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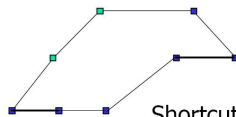
Obtain Hamiltonian cycle by shortcutting the tour



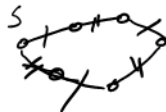
$$cost(M) \leq \frac{opt}{2}$$



Euler Tour



Shortcut



Analysis of Christofides Heuristic

Main lemma:

Lemma

$$c(M) \leq OPT/2.$$

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Assuming lemma:

Theorem

Christofides heuristic returns a tour of cost at most $3OPT/2$.

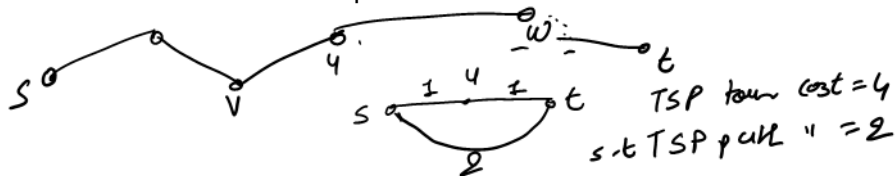
Proof.

$c(H) = c(T) + c(M) \leq OPT + OPT/2 \leq 3OPT/2$. Cost of tour is at most cost of H . $\square$

Example – Metric-TSP Path

In the Metric-TSP problem the goal is to find a minimum cost tour in graph $G = (V, E)$ with costs $c : E \rightarrow R_+$ that visits all the vertices. We saw Christofides's heuristic that gives a $3/2$ -approximation. Now consider the s - t TSP-Path problem. Here the goal is to find an s - t walk of minimum cost that visits all the vertices. This differs from the tour version in that one does not need to come back to s after reaching t .

- Give an example to show that the TSP tour can be twice the cost of a TSP Path. Also show that TSP tour is always at most twice the cost of a TSP path.



$$\text{cost}(\text{TSP-tour})^{\text{OPT}} \leq 2 (\text{TSP-path})^{\text{OPT}}$$

$$\begin{aligned} & \text{cost}(\text{TSP-tour}) \\ &= \text{cost}(\text{path}) + c(s, t) \\ &\leq \text{OPT}_{\text{repath}} + \text{OPT}_{\text{TSP-path}} \end{aligned}$$


 A diagram showing a path from node s to node t . The path consists of several nodes connected by edges, with an ellipsis indicating intermediate nodes. A curved edge connects s and t , forming a cycle.

$c(s, t) \leq \text{OPT}_{\text{TSP-path}}$

□.

OPT s.t.-Path



Contd.

Obtain a simple 2-approximation for the TSP-Path problem via the MST heuristic.

Obs 1: s - t walk that starts at s , ends at t & visits every vertex at least once (may visit a vertex multiple times)

s - t Eulerian path

short-cutting
→
cost only decreases due to triangle-ineq.

s - t simple path that visits every vertex exactly once.

Obs 2: let T be MST then

It: Because optimal s - t a possible spanning tree.

$\text{cost}(T) \leq \text{OPT}_{\text{TSP-Path}}$
TSP-path is also

Obs 3:

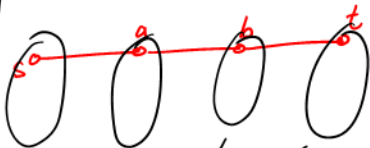
H:

T: MST

P: unique s-t path in MST



↓ can be viewed as



Disjoint Eulerian subgraphs

- traverse s-t path. At each node visit Eulerian tour of its subgraph

Doubling edges of $T \setminus P$ creates disjoint Eulerian subgraphs.

Algo:

- ① T: MST
- ② P: s-t path on T
- ③ H: Double the edges of $T \setminus P$
- ④ Construct s-t walk in H
- ⑤ Short-cut walk to get an s-t path

Claim:
 $C(H) \leq 2C(T)$
 $\leq 2OPT$
 (∵ obs 2)

Hard: Obtain a $5/3$ -approximation for the TSP-Path problem by modifying the Christofides heuristic appropriately.

Contd.

Hard: Obtain a **5/3**-approximation for the TSP-Path problem by modifying the Christofides heuristic appropriately.

Claim: $\exists$ **s-t** Eulerian path iff **s, t** have odd degree all other nodes have even degree.

Algo:

- ① T : MST
- ② S : odd deg nodes in T (note: S may or may not contain s, t)
- ③ Fix deg of nodes in S to get that $\forall v \in V \setminus \{s, t\}$ have even deg, & s, t either have odd or even deg using min-weight matching

For details lookup [Hoogeveen'91] <https://www.sciencedirect.com/science/article/pii/016763779190016I>