# cs473: Algorithms <br> Lecture 4: Dynamic Programming 

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University of Illinois at Urbana-Champaign

September 4, 2019

Overview
logistics:

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## logistics:

■ pset1 out,

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■ memoizing a recursive algorithm does not necessarily lead to an efficient algorithm (e.g., knapsack problem) - you need the right recursion
■ recognizing that dynamic programming applies to a problem can be non-obvious

Trees

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- dynamic programming on trees often generalizes to graphs that have low treewidth

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■ MIS is efficiently solvable if the underlying graph is a tree

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## Maximum Independent Set (V)

For vertex $v$, let $N(v)$ denote the subset $S \subseteq V$ of neighbors of $v$.

## Lemma

$$
\begin{aligned}
& G=(V, E), w: V \rightarrow \mathbb{N} \text {, with }|V| \geq 1 . \text { Then for any } v \in V \\
& \operatorname{MIS}(G)=\max \{\operatorname{MIS}(G-v), \operatorname{MIS}(G-v-N(v))+w(v)\} .
\end{aligned}
$$

## Proof.

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$\square G-v-N(v)$ : any set $T \subseteq V \backslash(\{v\} \cup N(v))$ independent in $G-v-N(v)$ has $T \cup\{v\} \subseteq V$ independent in $G$

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Any set $S$ independent in $G$ must be of the above two cases.

## Maximum Independent Set (V)

For vertex $v$, let $N(v)$ denote the subset $S \subseteq V$ of neighbors of $v$.
Lemma

$$
\begin{aligned}
& G=(V, E), w: V \rightarrow \mathbb{N} \text {, with }|V| \geq 1 . \text { Then for any } v \in V \\
& \operatorname{MIS}(G)=\max \{\operatorname{MIS}(G-v), \operatorname{MIS}(G-v-N(v))+w(v)\} .
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For any set $S$ independent in $G$, either $v \notin S$ or $v \in S$.
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Any set $S$ independent in $G$ must be of the above two cases. Now maximize.

Maximum Independent Set (VI)

Maximum Independent Set (VI)

$$
\operatorname{MIS}(G)=\max \left\{\begin{array}{l}
\operatorname{MIS}(G-v) \\
\operatorname{MIS}(G-v-N(v))+w(v)
\end{array}\right.
$$

## Maximum Independent Set (VI)

$$
\operatorname{MIS}(G)=\max \left\{\begin{array}{l}
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## Maximum Independent Set (VI)



## Maximum Independent Set (VI)



## Maximum Independent Set (VI)



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## Maximum Independent Set (VI)



## Maximum Independent Set (VI)



## Maximum Independent Set (VI)



## Maximum Independent Set (VI)



## Maximum Independent Set (VI)



Maximum Independent Set (VII)

Maximum Independent Set (VII)
recursive-MIS $(G=(V, E), w: V \rightarrow \mathbb{N})$ :

Maximum Independent Set (VII)

$$
\begin{aligned}
& \text { recursive-MIS }(G=(V, E), w: V \rightarrow \mathbb{N}) \text { : } \\
& \quad \text { if } V=\emptyset
\end{aligned}
$$

Maximum Independent Set (VII)

```
recursive-MIS \((G=(V, E), w: V \rightarrow \mathbb{N})\) :
    if \(V=\emptyset\)
        return 0
```


## Maximum Independent Set (VII)

```
recursive-MIS \((G=(V, E), w: V \rightarrow \mathbb{N})\) :
    if \(V=\emptyset\)
        return 0
    choose \(v \in V\)
```


## Maximum Independent Set (VII)

```
recursive-MIS \((G=(V, E), w: V \rightarrow \mathbb{N})\) :
    if \(V=\emptyset\)
        return 0
    choose \(v \in V\)
    return max (
```


## Maximum Independent Set (VII)

```
recursive-MIS \((G=(V, E), w: V \rightarrow \mathbb{N}):\)
    if \(V=\emptyset\)
        return 0
    choose \(v \in V\)
    return max (recursive-MIS( \(G-v\) ),
```


## Maximum Independent Set (VII)

```
recursive-MIS \((G=(V, E), w: V \rightarrow \mathbb{N})\) :
    if \(V=\emptyset\)
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```


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```
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```


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```
recursive-MIS \((G=(V, E), w: V \rightarrow \mathbb{N})\) :
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    choose \(v \in V\)
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```


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recursive-MIS \((G=(V, E), w: V \rightarrow \mathbb{N})\) :
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```

correctness: clear

## Maximum Independent Set (VII)

```
recursive-MIS \((G=(V, E), w: V \rightarrow \mathbb{N})\) :
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    choose \(v \in V\)
    return \(\max (\) recursive-MIS \((G-v)\), recursive-MIS \((G-v-N(v))+w(v))\)
```


## correctness: clear

## complexity:

## Maximum Independent Set (VII)

```
recursive-MIS \((G=(V, E), w: V \rightarrow \mathbb{N})\) :
    if \(V=\emptyset\)
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```

correctness: clear complexity: $n:=|V|$

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```


## correctness: clear

 complexity: $n:=|V|$■ $T(0), T(1) \geq \Omega(1)$.

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```
recursive-MIS \((G=(V, E), w: V \rightarrow \mathbb{N})\) :
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```


## correctness: clear

 complexity: $n:=|V|$■ $T(0), T(1) \geq \Omega(1) . T(n) \geq T(n-1)+T(n-1-\operatorname{deg}(v))$

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- silly case:


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```


## correctness: clear

 complexity: $n:=|V|$■ $T(0), T(1) \geq \Omega(1) . T(n) \geq T(n-1)+T(n-1-\operatorname{deg}(v))$

- silly case: $G$ has no edges


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        return 0
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## correctness: clear

 complexity: $n:=|V|$■ $T(0), T(1) \geq \Omega(1) . T(n) \geq T(n-1)+T(n-1-\operatorname{deg}(v))$
■ silly case: $G$ has no edges $\Longrightarrow$ for all $v, \operatorname{deg}(v)=0$

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complexity: $n:=|V|$
■ $T(0), T(1) \geq \Omega(1) . T(n) \geq T(n-1)+T(n-1-\operatorname{deg}(v))$
■ silly case: $G$ has no edges $\Longrightarrow$ for all $v, \operatorname{deg}(v)=0$
$\Longrightarrow T(n) \geq 2 T(n-1)$

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■ $T(0), T(1) \geq \Omega(1) . T(n) \geq T(n-1)+T(n-1-\operatorname{deg}(v))$
■ silly case: $G$ has no edges $\Longrightarrow$ for all $v, \operatorname{deg}(v)=0$
$\Longrightarrow T(n) \geq 2 T(n-1) \geq 4 T(n-2)$

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■ when $G$ has no edges then clearly $\operatorname{MIS}(G)=|V|$,

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- when $G$ has no edges then clearly $\operatorname{MIS}(G)=|V|$, but this worst-case runtime is hard to avoid


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- memoization does not obviously help


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■ when $G$ has no edges then clearly $\operatorname{MIS}(G)=|V|$, but this worst-case runtime is hard to avoid
■ memoization does not obviously help - subproblems correspond to subgraphs,

## Maximum Independent Set (VII)

```
recursive-MIS (G = (V,E),w:V->\mathbb{N}):
    if }V=
        return 0
    choose v\inV
    return max (recursive-MIS(G-v), recursive-MIS(G-v-N(v))+w(v))
```

correctness: clear
complexity: $n:=|V|$
■ $T(0), T(1) \geq \Omega(1) . T(n) \geq T(n-1)+T(n-1-\operatorname{deg}(v))$
■ silly case: $G$ has no edges $\Longrightarrow$ for all $v, \operatorname{deg}(v)=0$
$\Longrightarrow T(n) \geq 2 T(n-1) \geq 4 T(n-2) \geq \cdots \geq 2^{n} \cdot T(1) \geq \Omega\left(2^{n}\right)$.
■ when $G$ has no edges then clearly $\operatorname{MIS}(G)=|V|$, but this worst-case runtime is hard to avoid

■ memoization does not obviously help - subproblems correspond to subgraphs, of which there are possibly exponentially many

Maximum Independent Set, in Trees

Maximum Independent Set, in Trees

## question:

## Maximum Independent Set, in Trees

question: maximum weight independent set,

## Maximum Independent Set, in Trees

question: maximum weight independent set, in trees?

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## question:

## Maximum Independent Set, in Trees

question: maximum weight independent set, in trees?


## question:

■ how to bound the number of subproblems in recursive algorithm?

## Maximum Independent Set, in Trees

question: maximum weight independent set, in trees?


## question:

■ how to bound the number of subproblems in recursive algorithm?
■ how to pick which vertex $v \in V$ to eliminate?

Maximum Independent Set, in Trees (II)

## Maximum Independent Set, in Trees (II)

$\operatorname{MIS}(G)=\max \left\{\begin{array}{l}\operatorname{MIS}(G-v) \\ \operatorname{MIS}(G-v-N(v))+w(v)\end{array}\right.$


## Maximum Independent Set, in Trees (II)

$$
\operatorname{MIS}(G)=\max \left\{\begin{array}{l}
\operatorname{MIS}(G-v) \\
\operatorname{MIS}(G-v-N(v))+w(v)
\end{array}\right.
$$



## Maximum Independent Set, in Trees (II)

$\operatorname{MIS}(G)=\max \left\{\begin{array}{l}\operatorname{MIS}(G-v) \\ \operatorname{MIS}(G-v-N(v))+w(v)\end{array}\right.$




## Maximum Independent Set, in Trees (II)





## Maximum Independent Set, in Trees (II)



Maximum Independent Set, in Trees (III)

Maximum Independent Set, in Trees (III)
Lemma

Maximum Independent Set, in Trees (III)
Lemma
Let $T=(V, E)$ be a tree,

Maximum Independent Set, in Trees (III)
Lemma
Let $T=(V, E)$ be a tree, with root $v \in V$.

Maximum Independent Set, in Trees (III)
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Maximum Independent Set, in Trees (III)
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Let $T=(V, E)$ be a tree, with root $v \in V$. Then

- $T-v$ is a forest,


## Maximum Independent Set, in Trees (III)

```
Lemma
Let \(T=(V, E)\) be a tree, with root \(v \in V\). Then
- \(T-v\) is a forest, with each tree associated to a child \(u\) of \(v\).
```


## Maximum Independent Set, in Trees (III)

```
Lemma
Let \(T=(V, E)\) be a tree, with root \(v \in V\). Then
\(\square T-v\) is a forest, with each tree associated to a child \(u\) of \(v\).
- \(T-v-N(v)\) is a forest,
```


## Maximum Independent Set, in Trees (III)

## Lemma

Let $T=(V, E)$ be a tree, with root $v \in V$. Then
$\square T-v$ is a forest, with each tree associated to a child $u$ of $v$.
■ $T-v-N(v)$ is a forest, with each tree associated to a grandchild $w$ of $v$.

## Maximum Independent Set, in Trees (III)

## Lemma

Let $T=(V, E)$ be a tree, with root $v \in V$. Then
$\square T-v$ is a forest, with each tree associated to a child $u$ of $v$.
■ $T-v-N(v)$ is a forest, with each tree associated to a grandchild $w$ of $v$.

## Proof.

## Maximum Independent Set, in Trees (III)

## Lemma

Let $T=(V, E)$ be a tree, with root $v \in V$. Then
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## Proof.



Maximum Independent Set, in Trees (III)

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Let $T=(V, E)$ be a tree, with root $v \in V$. Then

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## Maximum Independent Set, in Trees (III)

## Lemma

Let $T=(V, E)$ be a tree, with root $v \in V$. Then
■ $T-v$ is a forest, with each tree associated to a child $u$ of $v$.

- $T-v-N(v)$ is a forest, with each tree associated to a grandchild $w$ of $v$.

Corollary
Let $T=(V, E)$ be a tree.

## Maximum Independent Set, in Trees (III)

## Lemma

Let $T=(V, E)$ be a tree, with root $v \in V$. Then
■ $T-v$ is a forest, with each tree associated to a child $u$ of $v$.
■ $T-v-N(v)$ is a forest, with each tree associated to a grandchild $w$ of $v$.

## Corollary

Let $T=(V, E)$ be a tree. Pick a root $r \in V$ for $T$ to create the rooted tree $(T, r)$.

## Maximum Independent Set, in Trees (III)

## Lemma

Let $T=(V, E)$ be a tree, with root $v \in V$. Then
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■ $T-v-N(v)$ is a forest, with each tree associated to a grandchild $w$ of $v$.

## Corollary

Let $T=(V, E)$ be a tree. Pick a root $r \in V$ for $T$ to create the rooted tree $(T, r)$. Running recursive-MIS on $T$

## Maximum Independent Set, in Trees (III)

## Lemma

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■ $T-v-N(v)$ is a forest, with each tree associated to a grandchild $w$ of $v$.

## Corollary

Let $T=(V, E)$ be a tree. Pick a root $r \in V$ for $T$ to create the rooted tree $(T, r)$. Running recursive-MIS on $T$ and eliminating nodes closest to $r$ in $T$,

## Maximum Independent Set, in Trees (III)

## Lemma

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■ $T-v$ is a forest, with each tree associated to a child $u$ of $v$.
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## Corollary

Let $T=(V, E)$ be a tree. Pick a root $r \in V$ for $T$ to create the rooted tree $(T, r)$. Running recursive-MIS on $T$ and eliminating nodes closest to $r$ in $T$, then the result subproblems exactly correspond to forests of rooted subtrees of $(T, r)$,

## Maximum Independent Set, in Trees (III)

## Lemma

Let $T=(V, E)$ be a tree, with root $v \in V$. Then
■ $T-v$ is a forest, with each tree associated to a child $u$ of $v$.
■ $T-v-N(v)$ is a forest, with each tree associated to a grandchild $w$ of $v$.

## Corollary

Let $T=(V, E)$ be a tree. Pick a root $r \in V$ for $T$ to create the rooted tree $(T, r)$. Running recursive-MIS on $T$ and eliminating nodes closest to $r$ in $T$, then the result subproblems exactly correspond to forests of rooted subtrees of $(T, r)$, and disjoint rooted subtrees can be solved independently

## Maximum Independent Set, in Trees (III)

## Lemma

Let $T=(V, E)$ be a tree, with root $v \in V$. Then
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$\Longrightarrow \leq|V|$ subproblems
$\Longrightarrow$ memoized recursive algorithm is efficient

Maximum Independent Set, in Trees (IV)

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## Maximum Independent Set, in Trees (IV)

For a rooted tree $T$ with root $r$, for $v \in V$ define $T(v)$ to be the subtree of $T$ descending from $v$.

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- subproblems are rooted subtrees of $(T, r)$

■ a subtree $T(v)$ depends on all of subtrees $T(u)$ where $u$ is a descendent of $v$ $\Longrightarrow$ iterating over $V$ in post-order traversal of $T$ will satisfy the dependency graph

Maximum Independent Set, in Trees (V)

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## iterative algorithm:

Maximum Independent Set, in Trees (V)

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    for \(1 \leq i \leq n\)
        \(M[i]=\max \{\)
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## Maximum Independent Set, in Trees (V)

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return $M[n]$

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## correctness:

## Maximum Independent Set, in Trees (V)

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correctness: clear

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correctness: clear

## complexity:

- $O(n)$ space to store $M[\cdot]$


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- $O(n)$ space to store $M[\cdot]$
- time


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correctness: clear

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- $O(n)$ space to store $M[\cdot]$
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## correctness: clear

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- naive: $O(n)$ time per node,


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## complexity:

- $O(n)$ space to store $M[\cdot]$
- time

■ naive: $O(n)$ time per node, $n$ nodes

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- better:


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## complexity:

- $O(n)$ space to store $M[\cdot]$
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## Dynamic Programming, in Trees

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question:

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question: why does dynamic programming work on trees?

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Definition

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## Dynamic Programming, in Trees

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## Dynamic Programming, in Trees

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e.g., in trees,

## Dynamic Programming, in Trees

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## remarks:

■ minimum (weight) dominating set is solvable via brute force: try all possible subsets $\Longrightarrow$ solvable in time $O\left(n^{O(1)} 2^{n}\right)$

- no efficient algorithm currently known

■ minimum weight dominating set is NP-hard $\Longrightarrow$ an efficient algorithm not expected to exist

- minimum weight dominating set is efficiently solvable if the underlying graph is a tree


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- type-0: regular dominating set


## Minimum Dominating Set, in Trees (III)

$T$ rooted tree with root $r$. $T(v)$ is subtree rooted at $v$.

- type-0: regular dominating set
- type-1:


## Minimum Dominating Set, in Trees (III)

$T$ rooted tree with root $r$. $T(v)$ is subtree rooted at $v$.
■ type-0: regular dominating set

- type-1: dominating set which includes root $r$


## Minimum Dominating Set, in Trees (III)

$T$ rooted tree with root $r$. $T(v)$ is subtree rooted at $v$.
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- type-1: dominating set which includes root $r$
- type-2:


## Minimum Dominating Set, in Trees (III)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.
■ type-0: regular dominating set

- type-1: dominating set which includes root $r$
- type-2: dominating set which is relaxed at root $r$


## Minimum Dominating Set, in Trees (III)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.

- type-0: regular dominating set

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- type-2: dominating set which is relaxed at root $r$

Lemma

## Minimum Dominating Set, in Trees (III)

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- type-0: regular dominating set

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■ type-2: dominating set which is relaxed at root $r$

## Lemma

$$
\mathrm{OPT}_{0}(r)=\min
$$

## Minimum Dominating Set, in Trees (III)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.
■ type-0: regular dominating set
■ type-1: dominating set which includes root $r$

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## Lemma

$$
\mathrm{OPT}_{0}(r)=\min \left\{\left(\sum_{v \in N(r)}\right.\right.
$$

## Minimum Dominating Set, in Trees (III)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.
■ type-0: regular dominating set
■ type-1: dominating set which includes root $r$

- type-2: dominating set which is relaxed at root $r$


## Lemma

$$
\mathrm{OPT}_{0}(r)=\min \left\{\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)\right.
$$

## Minimum Dominating Set, in Trees (III)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.
■ type-0: regular dominating set
■ type-1: dominating set which includes root $r$
■ type-2: dominating set which is relaxed at root $r$

## Lemma

$$
\mathrm{OPT}_{0}(r)=\min \left\{\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r)\right.
$$

## Minimum Dominating Set, in Trees (III)

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## Lemma

$$
\mathrm{OPT}_{0}(r)=\min \left\{\begin{array}{l}
\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\
\min _{v \in N(r)}
\end{array}\right.
$$

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\mathrm{OPT}_{0}(r)=\min \left\{\begin{array}{l}
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\min _{v \in N(r)}\left(\mathrm{OPT}_{1}(v)\right.
\end{array}\right.
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\mathrm{OPT}_{0}(r)=\min \left\{\begin{array}{l}
\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\
\min _{v \in N(r)}\left(\mathrm{OPT}_{1}(v)+\sum_{u \in N(r) \backslash\{v\}}\right.
\end{array}\right.
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\min _{v \in N(r)}\left(\mathrm{OPT}_{1}(v)+\sum_{u \in N(r) \backslash\{v\}} \mathrm{OPT}_{0}(u)\right)
\end{array}\right.
$$

## Proof.

## Minimum Dominating Set, in Trees (III)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.

- type-0: regular dominating set
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\min _{v \in N(r)}\left(\mathrm{OPT}_{1}(v)+\sum_{u \in N(r) \backslash\{v\}} \mathrm{OPT}_{0}(u)\right)
\end{array}\right.
$$

## Proof.

- in optimum $S, r \in S$


## Minimum Dominating Set, in Trees (III)

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\mathrm{OPT}_{0}(r)=\min \left\{\begin{array}{l}
\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\
\min _{v \in N(r)}\left(\mathrm{OPT}_{1}(v)+\sum_{u \in N(r) \backslash\{v\}} \mathrm{OPT}_{0}(u)\right)
\end{array}\right.
$$

## Proof.

- in optimum $S, r \in S$
- in optimum $S, r \notin S$


## Minimum Dominating Set, in Trees (III)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.

- type-0: regular dominating set
- type-1: dominating set which includes root $r$
- type-2: dominating set which is relaxed at root $r$


## Lemma

$$
\mathrm{OPT}_{0}(r)=\min \left\{\begin{array}{l}
\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\
\min _{v \in N(r)}\left(\mathrm{OPT}_{1}(v)+\sum_{u \in N(r) \backslash\{v\}} \mathrm{OPT}_{0}(u)\right)
\end{array}\right.
$$

## Proof.

- in optimum $S, r \in S$

■ in optimum $S, r \notin S$ and $r$ dominated by child $v \in S$

## Minimum Dominating Set, in Trees (IV)

## Minimum Dominating Set, in Trees (IV)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.
■ type-0: regular dominating set

- type-1: dominating set which includes root $r$
- type-2: dominating set which is relaxed at root $r$


## Minimum Dominating Set, in Trees (IV)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.

- type-0: regular dominating set

■ type-1: dominating set which includes root $r$

- type-2: dominating set which is relaxed at root $r$

Lemma

## Minimum Dominating Set, in Trees (IV)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.
■ type-0: regular dominating set

- type-1: dominating set which includes root $r$
- type-2: dominating set which is relaxed at root $r$

Lemma

$$
\mathrm{OPT}_{1}(r)=
$$

## Minimum Dominating Set, in Trees (IV)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.

- type-0: regular dominating set

■ type-1: dominating set which includes root $r$

- type-2: dominating set which is relaxed at root $r$

Lemma

$$
\mathrm{OPT}_{1}(r)=\left(\sum_{v \in N(r)}\right.
$$

## Minimum Dominating Set, in Trees (IV)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.

- type-0: regular dominating set

■ type-1: dominating set which includes root $r$

- type-2: dominating set which is relaxed at root $r$


## Lemma

$$
\mathrm{OPT}_{1}(r)=\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)
$$

## Minimum Dominating Set, in Trees (IV)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.
■ type-0: regular dominating set
■ type-1: dominating set which includes root $r$

- type-2: dominating set which is relaxed at root $r$


## Lemma

$$
\mathrm{OPT}_{1}(r)=\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r)
$$

## Minimum Dominating Set, in Trees (IV)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.

- type-0: regular dominating set
- type-1: dominating set which includes root $r$

■ type-2: dominating set which is relaxed at root $r$

## Lemma

$$
\mathrm{OPT}_{1}(r)=\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r)
$$

## Proof.

In optimum $S, r \in S$.

## Minimum Dominating Set, in Trees (V)

## Minimum Dominating Set, in Trees (V)

$T$ rooted tree with root $r$. $T(v)$ is subtree rooted at $v$.
■ type-0: regular dominating set

- type-1: dominating set which includes root $r$
- type-2: dominating set which is relaxed at root $r$


## Minimum Dominating Set, in Trees (V)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.

- type-0: regular dominating set

■ type-1: dominating set which includes root $r$

- type-2: dominating set which is relaxed at root $r$

Lemma

## Minimum Dominating Set, in Trees (V)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.

- type-0: regular dominating set
- type-1: dominating set which includes root $r$
- type-2: dominating set which is relaxed at root $r$

Lemma

$$
\mathrm{OPT}_{2}(r)=\min
$$

## Minimum Dominating Set, in Trees (V)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.

- type-0: regular dominating set
- type-1: dominating set which includes root $r$
- type-2: dominating set which is relaxed at root $r$


## Lemma

$$
\mathrm{OPT}_{2}(r)=\min \left\{\left(\sum_{v \in N(r)}\right.\right.
$$

## Minimum Dominating Set, in Trees (V)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.

- type-0: regular dominating set
- type-1: dominating set which includes root $r$
- type-2: dominating set which is relaxed at root $r$


## Lemma

$$
\mathrm{OPT}_{2}(r)=\min \left\{\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)\right.
$$

## Minimum Dominating Set, in Trees (V)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.

- type-0: regular dominating set
- type-1: dominating set which includes root $r$
- type-2: dominating set which is relaxed at root $r$


## Lemma

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\mathrm{OPT}_{2}(r)=\min \left\{\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r)\right.
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## Minimum Dominating Set, in Trees (V)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.

- type-0: regular dominating set
- type-1: dominating set which includes root $r$
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## Lemma

$$
\mathrm{OPT}_{2}(r)=\min \left\{\begin{array}{l}
\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\
\sum_{v \in N(r)}
\end{array}\right.
$$

## Minimum Dominating Set, in Trees (V)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.

- type-0: regular dominating set
- type-1: dominating set which includes root $r$
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## Lemma

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\mathrm{OPT}_{2}(r)=\min \left\{\begin{array}{l}
\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\
\sum_{v \in N(r)} \mathrm{OPT}_{0}(v)
\end{array}\right.
$$

## Minimum Dominating Set, in Trees (V)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.

- type-0: regular dominating set
- type-1: dominating set which includes root $r$

■ type-2: dominating set which is relaxed at root $r$

## Lemma

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\mathrm{OPT}_{2}(r)=\min \left\{\begin{array}{l}
\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\
\sum_{v \in N(r)} \mathrm{OPT}_{0}(v)
\end{array}\right.
$$

## Proof.

## Minimum Dominating Set, in Trees (V)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.

- type-0: regular dominating set
- type-1: dominating set which includes root $r$
- type-2: dominating set which is relaxed at root $r$


## Lemma

$$
\mathrm{OPT}_{2}(r)=\min \left\{\begin{array}{l}
\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\
\sum_{v \in N(r)} \mathrm{OPT}_{0}(v)
\end{array}\right.
$$

## Proof.

■ in optimum $S, r \in S$

## Minimum Dominating Set, in Trees (V)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.

- type-0: regular dominating set
- type-1: dominating set which includes root $r$
- type-2: dominating set which is relaxed at root $r$


## Lemma

$$
\mathrm{OPT}_{2}(r)=\min \left\{\begin{array}{l}
\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\
\sum_{v \in N(r)} \mathrm{OPT}_{0}(v)
\end{array}\right.
$$

## Proof.

- in optimum $S, r \in S$
- in optimum $S, r \notin S$


## Minimum Dominating Set, in Trees (V)

$T$ rooted tree with root $r . T(v)$ is subtree rooted at $v$.

- type-0: regular dominating set
- type-1: dominating set which includes root $r$
- type-2: dominating set which is relaxed at root $r$


## Lemma

$$
\mathrm{OPT}_{2}(r)=\min \left\{\begin{array}{l}
\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\
\sum_{v \in N(r)} \mathrm{OPT}_{0}(v)
\end{array}\right.
$$

## Proof.

■ in optimum $S, r \in S$
■ in optimum $S, r \notin S$ and $r$ does not need to be dominated by children

## Minimum Dominating Set, in Trees (VI)

## Minimum Dominating Set, in Trees (VI)

$T$ rooted tree with root $r$.

## Minimum Dominating Set, in Trees (VI)

## $T$ rooted tree with root $r$. subproblems:

## Minimum Dominating Set, in Trees (VI)

$T$ rooted tree with root $r$. subproblems:

- type-0: regular dominating set
- type-1: dominating set which includes root $r$

■ type-2: dominating set which is relaxed at root $r$

## Minimum Dominating Set, in Trees (VI)

## $T$ rooted tree with root $r$. subproblems:

■ type-0: regular dominating set

- type-1: dominating set which includes root $r$

■ type-2: dominating set which is relaxed at root $r$

## recursion:

## Minimum Dominating Set, in Trees (VI)

## $T$ rooted tree with root $r$. subproblems:

■ type-0: regular dominating set

- type-1: dominating set which includes root $r$

■ type-2: dominating set which is relaxed at root $r$ recursion:

- $\mathrm{OPT}_{0}(r)=\mathrm{min}$


## Minimum Dominating Set, in Trees (VI)

$T$ rooted tree with root $r$. subproblems:

- type-0: regular dominating set
- type-1: dominating set which includes root $r$

■ type-2: dominating set which is relaxed at root $r$

## recursion:

$\square \mathrm{OPT}_{0}(r)=\mathrm{min}\left\{\left(\sum_{v \in N(r)}\right.\right.$

## Minimum Dominating Set, in Trees (VI)

$T$ rooted tree with root $r$.

## subproblems:

- type-0: regular dominating set
- type-1: dominating set which includes root $r$

■ type-2: dominating set which is relaxed at root $r$

## recursion:

$\square \mathrm{OPT}_{0}(r)=\min \left\{\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)\right.$

## Minimum Dominating Set, in Trees (VI)

$T$ rooted tree with root $r$.

## subproblems:

- type-0: regular dominating set
- type-1: dominating set which includes root $r$

■ type-2: dominating set which is relaxed at root $r$

## recursion:

$\square \mathrm{OPT}_{0}(r)=$ min $\left\{\begin{array}{l}\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\ \min _{v \in N(r)}\end{array}\right.$

## Minimum Dominating Set, in Trees (VI)

$T$ rooted tree with root $r$.

## subproblems:

- type-0: regular dominating set
- type-1: dominating set which includes root $r$

■ type-2: dominating set which is relaxed at root $r$

## recursion:

$\square \mathrm{OPT}_{0}(r)=$ min $\left\{\begin{array}{l}\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\ \min _{v \in N(r)}\left(\mathrm{OPT}_{1}(v)\right.\end{array}\right.$

## Minimum Dominating Set, in Trees (VI)

$T$ rooted tree with root $r$.

## subproblems:

- type-0: regular dominating set
- type-1: dominating set which includes root $r$

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## recursion:

$$
\square \mathrm{OPT}_{0}(r)=\min \left\{\begin{array}{l}
\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\
\min _{v \in N(r)}\left(\mathrm{OPT}_{1}(v)+\sum_{u \in N(r) \backslash\{v\}} \mathrm{OPT}_{0}(u)\right)
\end{array}\right.
$$

## Minimum Dominating Set, in Trees (VI)

$T$ rooted tree with root $r$.

## subproblems:

- type-0: regular dominating set
- type-1: dominating set which includes root $r$

■ type-2: dominating set which is relaxed at root $r$

## recursion:

$\square \mathrm{OPT}_{0}(r)=\min \left\{\begin{array}{l}\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\ \min _{v \in N(r)}\left(\mathrm{OPT}_{1}(v)+\sum_{u \in N(r) \backslash\{v\}} \mathrm{OPT}_{0}(u)\right)\end{array}\right.$
$\square \mathrm{OPT}_{1}(r)=\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r)$

## Minimum Dominating Set, in Trees (VI)

$T$ rooted tree with root $r$.

## subproblems:

- type-0: regular dominating set
- type-1: dominating set which includes root $r$

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## recursion:

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- $\mathrm{OPT}_{1}(r)=\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r)$

■ $\mathrm{OPT}_{2}(r)=\mathrm{min}$

## Minimum Dominating Set, in Trees (VI)

$T$ rooted tree with root $r$.

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- type-0: regular dominating set
- type-1: dominating set which includes root $r$

■ type-2: dominating set which is relaxed at root $r$

## recursion:

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$\square \mathrm{OPT}_{1}(r)=\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r)$
$\square \mathrm{OPT}_{2}(r)=\min \left\{\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r)\right.$

## Minimum Dominating Set, in Trees (VI)

$T$ rooted tree with root $r$.

## subproblems:

- type-0: regular dominating set
- type-1: dominating set which includes root $r$

■ type-2: dominating set which is relaxed at root $r$

## recursion:

$\square \mathrm{OPT}_{0}(r)=\min \left\{\begin{array}{l}\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\ \min _{v \in N(r)}\left(\mathrm{OPT}_{1}(v)+\sum_{u \in N(r) \backslash\{v\}} \mathrm{OPT}_{0}(u)\right)\end{array}\right.$

- $\mathrm{OPT}_{1}(r)=\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r)$
$\square \mathrm{OPT}_{2}(r)=\min \left\{\begin{array}{l}\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\ \sum_{v \in N(r)} \mathrm{OPT}_{0}(v)\end{array}\right.$


## Minimum Dominating Set, in Trees (VI)

$T$ rooted tree with root $r$.

## subproblems:

- type-0: regular dominating set
- type-1: dominating set which includes root $r$

■ type-2: dominating set which is relaxed at root $r$

## recursion:

$\square \mathrm{OPT}_{0}(r)=\min \left\{\begin{array}{l}\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\ \min _{v \in N(r)}\left(\mathrm{OPT}_{1}(v)+\sum_{u \in N(r) \backslash\{v\}} \mathrm{OPT}_{0}(u)\right)\end{array}\right.$

- $\mathrm{OPT}_{1}(r)=\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r)$
$\square \mathrm{OPT}_{2}(r)=\min \left\{\begin{array}{l}\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\ \sum_{v \in N(r)} \mathrm{OPT}_{0}(v)\end{array}\right.$
$\mathrm{OPT}_{0}(r)$ is desired answer


## Minimum Dominating Set, in Trees (VI)

$T$ rooted tree with root $r$.

## recursive algorithm:

## subproblems:

■ type-0: regular dominating set

- type-1: dominating set which includes root $r$

■ type-2: dominating set which is relaxed at root $r$

## recursion:

$\square \mathrm{OPT}_{0}(r)=\min \left\{\begin{array}{l}\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\ \min _{v \in N(r)}\left(\mathrm{OPT}_{1}(v)+\sum_{u \in N(r) \backslash\{v\}} \mathrm{OPT}_{0}(u)\right)\end{array}\right.$

- $\mathrm{OPT}_{1}(r)=\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r)$
$\square \mathrm{OPT}_{2}(r)=\min \left\{\begin{array}{l}\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\ \sum_{v \in N(r)} \mathrm{OPT}_{0}(v)\end{array}\right.$
$\mathrm{OPT}_{0}(r)$ is desired answer


## Minimum Dominating Set, in Trees (VI)

$T$ rooted tree with root $r$.

## subproblems:

- type-0: regular dominating set
- type-1: dominating set which includes root $r$

■ type-2: dominating set which is relaxed at root $r$

## recursion:

$\square \mathrm{OPT}_{0}(r)=\min \left\{\begin{array}{l}\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\ \min _{v \in N(r)}\left(\mathrm{OPT}_{1}(v)+\sum_{u \in N(r) \backslash\{v\}} \mathrm{OPT}_{0}(u)\right)\end{array}\right.$
$\square \mathrm{OPT}_{1}(r)=\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r)$
$\square \mathrm{OPT}_{2}(r)=\min \left\{\begin{array}{l}\left(\sum_{v \in N(r)} \mathrm{OPT}_{2}(v)\right)+w(r) \\ \sum_{v \in N(r)} \mathrm{OPT}_{0}(v)\end{array}\right.$
$\mathrm{OPT}_{0}(r)$ is desired answer

## recursive algorithm:

■ $3 \cdot n$ subproblems

## Minimum Dominating Set, in Trees (VI)

$T$ rooted tree with root $r$.

## subproblems:

■ type-0: regular dominating set

- type-1: dominating set which includes root $r$

■ type-2: dominating set which is relaxed at root $r$

## recursion:

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■ trees can be easily decomposed into a (small) number of subtrees, this allows a small number of resulting subproblems

- dynamic programming on trees can often be generalized to graphs of small treewidth


## Overview (II)

## today:

- dynamic programming on trees
- maximum independent set
- dominating set


## next lecture:

■ more dynamic programming

## logistics:

■ pset1 out, due R5 - can submit in groups of $\leq 3$

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