

Programming Languages and Compilers (CS 421)

Elsa L Gunter
2112 SC, UIUC

<http://courses.engr.illinois.edu/cs421>

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Mechanics

- Put table of reg exp and corresponding actions (written in ocaml) into a file `<filename>.mll`
- Call

```
ocamllex <filename>.mll
```
- Produces Ocaml code for a lexical analyzer in file `<filename>.ml`

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Sample Input

```
rule main = parse
  ['0'-'9']+ { print_string "Int\n"}
  | ['0'-'9']+ '.' ['0'-'9']+ { print_string "Float\n"}
  | ['a'-'z']+ { print_string "String\n"}
  | _ { main lexbuf }
  {
  let newlexbuf = (Lexing.from_channel stdin) in
  main newlexbuf
  }
```

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General Input

```
{ header }
let ident = regexp ...
rule entrypoint [arg1... argn] = parse
  regexp { action }
  | ...
  | regexp { action }
and entrypoint [arg1... argn] = parse ...and
...
{ trailer }
```

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Ocamllex Input

- *header* and *trailer* contain arbitrary ocaml code put at top and bottom of `<filename>.ml`
- `let ident = regexp ...` Introduces *ident* for use in later regular expressions

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Ocamllex Input

- `<filename>.ml` contains one lexing function per *entrypoint*
 - Name of function is name given for *entrypoint*
 - Each entry point becomes an Ocaml function that takes $n+1$ arguments, the extra implicit last argument being of type `Lexing.lexbuf`
- `arg1... argn` are for use in *action*

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Ocamlex Regular Expression

- Single quoted characters for letters:
 'a'
- `_`: (underscore) matches any letter
- `Eof`: special "end_of_file" marker
- Concatenation same as usual
- "*string*": concatenation of sequence of characters
- e_1 / e_2 : choice - what was $e_1 \vee e_2$

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Ocamlex Regular Expression

- $[c_1 - c_2]$: choice of any character between first and second inclusive, as determined by character codes
- $[\wedge c_1 - c_2]$: choice of any character NOT in set
- e^* : same as before
- $e+$: same as $e e^*$
- $e?$: option - was $e \vee \varepsilon$
- (e) : same as e

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Ocamlex Regular Expression

- $e_1 \# e_2$: the characters in e_1 but not in e_2 ;
 e_1 and e_2 must describe just sets of characters
- *ident*: abbreviation for earlier reg exp in
let *ident* = *regexp*
- *e₁ as id*: binds the result of e_1 to *id* to
be used in the associated *action*

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Ocamlex Manual

- More details can be found at
Version for ocaml 4.07:

<https://v2.ocaml.org/releases/4.07/htmlman/lexyacc.html>

Current version (ocaml 4.14)

<https://v2.ocaml.org/releases/4.14/htmlman/lexyacc.html>

(same, except formatting, I think)

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Example : test.mll

```
{ type result = Int of int | Float of float |
  String of string }
let digit = ['0'-'9']
let digits = digit +
let lower_case = ['a'-'z']
let upper_case = ['A'-'Z']
let letter = upper_case | lower_case
let letters = letter +
```

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Example : test.mll

```
rule main = parse
  (digits)'.digits as f { Float (float_of_string f) }
  | digits as n          { Int (int_of_string n) }
  | letters as s         { String s }
  | _ { main lexbuf }
{ let newlexbuf = (Lexing.from_channel stdin) in
  print_newline ();
  main newlexbuf }
```

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Example

```
# #use "test.ml";  
...  
val main : Lexing.lexbuf -> result = <fun>  
val __ocaml_lex_main_rec : Lexing.lexbuf -> int ->  
  result = <fun>  
hi there 234 5.2  
- : result = String "hi"
```

What happened to the rest???

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Example

```
# let b = Lexing.from_channel stdin;;  
# main b;;  
hi 673 there  
- : result = String "hi"  
# main b;;  
- : result = Int 673  
# main b;;  
- : result = String "there"
```

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Problem

- How to get lexer to look at more than the first token at one time?
- Answer: *action* has to tell it to -- recursive calls
 - Not what you want to sew this together with `ocaml yacc`
- Side Benefit: can add "state" into lexing
- Note: already used this with the `_` case

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Example

```
rule main = parse  
  (digits) '!' digits as f { Float (float_of_string f) :: main lexbuf }  
  | digits as n           { Int (int_of_string n) :: main lexbuf }  
  | letters as s          { String s :: main lexbuf }  
  | eof                   { [] }  
  | _                     { main lexbuf }
```

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Example Results

```
hi there 234 5.2  
- : result list = [String "hi"; String "there"; Int 234; Float 5.2]  
#
```

Used Ctrl-d to send the end-of-file signal

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Dealing with comments

First Attempt

```
let open_comment = "("  
let close_comment = ")"  
rule main = parse  
  (digits) '!' digits as f { Float (float_of_string f) :: main lexbuf }  
  | digits as n           { Int (int_of_string n) :: main lexbuf }  
  | letters as s          { String s :: main lexbuf }
```

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Dealing with comments

```
| open_comment { comment lexbuf }
| eof          { [] }
| _ { main lexbuf }
and comment = parse
  close_comment { main lexbuf }
| _            { comment lexbuf }
```

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Dealing with nested comments

```
rule main = parse ...
| open_comment { comment 1 lexbuf }
| eof          { [] }
| _ { main lexbuf }
and comment depth = parse
  open_comment { comment (depth+1) lexbuf }
| close_comment { if depth = 1
                  then main lexbuf
                  else comment (depth - 1) lexbuf }
| _            { comment depth lexbuf }
```

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Dealing with nested comments

```
rule main = parse
  (digits) '!' digits as f { Float (float_of_string f) ::
  main lexbuf }
| digits as n { Int (int_of_string n) :: main
lexbuf }
| letters as s { String s :: main lexbuf }
| open_comment { (comment 1 lexbuf) }
| eof          { [] }
| _ { main lexbuf }
```

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Dealing with nested comments

```
and comment depth = parse
  open_comment { comment (depth+1) lexbuf }
| close_comment { if depth = 1
                  then main lexbuf
                  else comment (depth - 1) lexbuf }
| _            { comment depth lexbuf }
```

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Types of Formal Language Descriptions

- Regular expressions, regular grammars
- Context-free grammars, BNF grammars, syntax diagrams

- Finite state automata
- Pushdown automata
- Whole family more of grammars and automata – covered in automata theory

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BNF Grammars

- Start with a set of characters, **a,b,c,...**
 - We call these *terminals*
- Add a set of different characters, **X,Y,Z,...**
 - We call these *nonterminals*
- One special nonterminal **S** called *start symbol*

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BNF Grammars

- BNF rules (aka *productions*) have form
$$\mathbf{X} ::= \gamma$$
where \mathbf{X} is any nonterminal and γ is a string of terminals and nonterminals
- BNF *grammar* is a set of BNF rules such that every nonterminal appears on the left of some rule

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Sample Grammar

- Terminals: 0 1 + ()
- Nonterminals: $\langle \text{Sum} \rangle$
- Start symbol = $\langle \text{Sum} \rangle$
- $\langle \text{Sum} \rangle ::= 0$
- $\langle \text{Sum} \rangle ::= 1$
- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
- $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$
- Can be abbreviated as
$$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$$

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BNF Derivations

- Given rules
$$\mathbf{X} ::= \gamma \mathbf{Z} \delta \text{ and } \mathbf{Z} ::= \nu$$
we may replace \mathbf{Z} by ν to say
$$\mathbf{X} \Rightarrow \gamma \nu \delta$$
- Sequence of such replacements called *derivation*
- Derivation called *right-most* if always replace the right-most non-terminal

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BNF Semantics

- The meaning of a BNF grammar is the set of all strings consisting only of terminals that can be derived from the Start symbol

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BNF Derivations

- Start with the start symbol:

$\langle \text{Sum} \rangle \Rightarrow$

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BNF Derivations

- Pick a non-terminal

$\langle \text{Sum} \rangle \Rightarrow$

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BNF Derivations

- Pick a rule and substitute:
 - $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
- $$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

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BNF Derivations

- Pick a non-terminal:
- $$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

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BNF Derivations

- Pick a rule and substitute:
 - $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$
- $$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$
- $$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$$

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BNF Derivations

- Pick a non-terminal:
- $$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$
- $$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$$

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BNF Derivations

- Pick a rule and substitute:
 - $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
- $$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$
- $$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$$
- $$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$$

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BNF Derivations

- Pick a non-terminal:
- $$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$
- $$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$$
- $$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$$

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Extended BNF Grammars

- Alternatives: allow rules of form $X ::= y/z$
 - Abbreviates $X ::= y, X ::= z$
- Options: $X ::= y[v]$
 - Abbreviates $X ::= yvz, X ::= yz$
- Repetition: $X ::= y\{v\}^*z$
 - Can be eliminated by adding new nonterminal V and rules $X ::= yz, X ::= yVz, V ::= v, V ::= vV$

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Parse Trees

- Graphical representation of derivation
- Each node labeled with either non-terminal or terminal
- If node is labeled with a terminal, then it is a leaf (no sub-trees)
- If node is labeled with a non-terminal, then it has one branch for each character in the right-hand side of rule used to substitute for it

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Example

- Consider grammar:
 $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle$
 | $\langle \text{factor} \rangle + \langle \text{factor} \rangle$
 $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle$
 | $\langle \text{bin} \rangle * \langle \text{exp} \rangle$
 $\langle \text{bin} \rangle ::= 0 \mid 1$
- Problem: Build parse tree for $1 * 1 + 0$ as an $\langle \text{exp} \rangle$

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Example cont.

- $1 * 1 + 0: \langle \text{exp} \rangle$

$\langle \text{exp} \rangle$ is the start symbol for this parse tree

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Example cont.

- $1 * 1 + 0: \langle \text{exp} \rangle$
 |
 $\langle \text{factor} \rangle$

Use rule: $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle$

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Example cont.

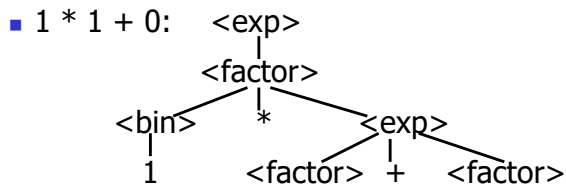
- $1 * 1 + 0: \langle \text{exp} \rangle$
 |
 $\langle \text{factor} \rangle$
 / | \
 $\langle \text{bin} \rangle$ * $\langle \text{exp} \rangle$

Use rule: $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle * \langle \text{exp} \rangle$

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Example cont.

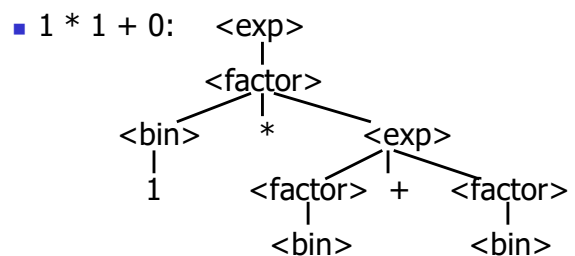


Use rules: $\langle \text{bin} \rangle ::= 1$ and
 $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle + \langle \text{factor} \rangle$

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Example cont.

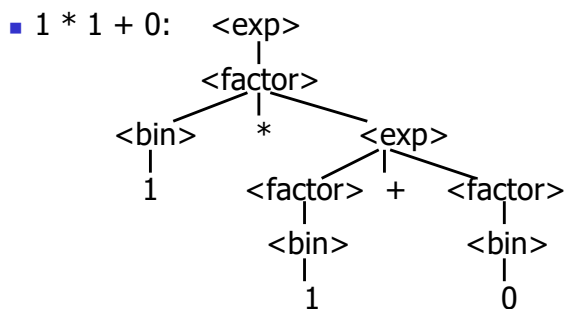


Use rule: $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle$

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Example cont.

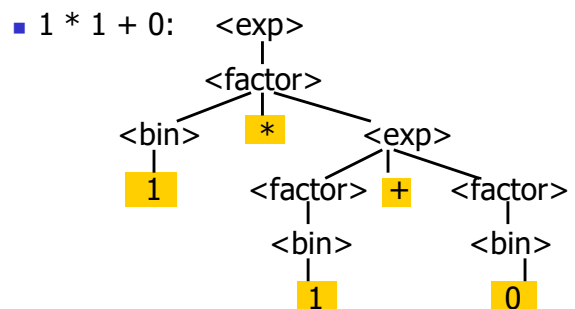


Use rules: $\langle \text{bin} \rangle ::= 1 \mid 0$

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Example cont.



Fringe of tree is string generated by grammar

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Parse Tree Data Structures

- Parse trees may be represented by OCaml datatypes
- One datatype for each nonterminal
- One constructor for each rule
- Defined as mutually recursive collection of datatype declarations

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Example

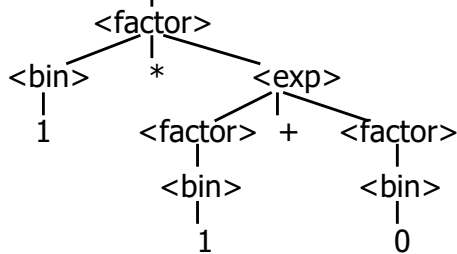
- Recall grammar:
 $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle \mid \langle \text{factor} \rangle + \langle \text{factor} \rangle$
 $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle \mid \langle \text{bin} \rangle * \langle \text{exp} \rangle$
 $\langle \text{bin} \rangle ::= 0 \mid 1$
- type `exp = Factor2Exp of factor`
| `Plus of factor * factor`
and `factor = Bin2Factor of bin`
| `Mult of bin * exp`
and `bin = Zero | One`

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Example cont.

- 1 * 1 + 0: <exp>



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Example cont.

- Can be represented as

```
Factor2Exp
(Mult(One,
      Plus(Bin2Factor One,
            Bin2Factor Zero)))
```

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Ambiguous Grammars and Languages

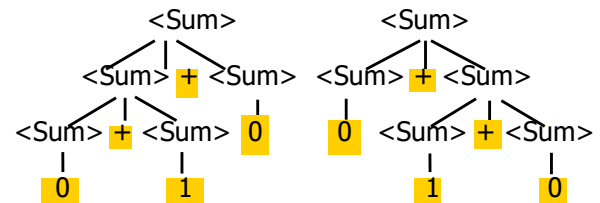
- A BNF grammar is *ambiguous* if its language contains strings for which there is more than one parse tree
- If all BNF's for a language are ambiguous then the language is *inherently ambiguous*

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Example: Ambiguous Grammar

- 0 + 1 + 0



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Two Major Sources of Ambiguity

- Lack of determination of operator precedence
- Lack of determination of operator associativity
- Not the only sources of ambiguity

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Disambiguating a Grammar

- Given ambiguous grammar G , with start symbol S , find a grammar G' with same start symbol, such that
language of G = language of G'
- Not always possible
- No algorithm in general

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Disambiguating a Grammar

- Idea: Each non-terminal represents all strings having some property
- Identify these properties (often in terms of things that can't happen)
- Use these properties to inductively guarantee every string in language has a unique parse

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Steps to Grammar Disambiguation

- Identify the rules and a smallest use that display ambiguity
- Decide which parse to keep; why should others be thrown out?
- What syntactic restrictions on subexpressions are needed to throw out the bad (while keeping the good)?
- Add a new non-terminal and rules to describe this set of restricted subexpressions (called stratifying, or refactoring)
- **Characterize each non-terminal by a language invariant**
- Replace old rules to use new non-terminals
- Rinse and repeat

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Example

- Ambiguous grammar:
 $\langle \text{exp} \rangle ::= 0 \mid 1 \mid \langle \text{exp} \rangle + \langle \text{exp} \rangle$
 $\quad \quad \quad \mid \langle \text{exp} \rangle * \langle \text{exp} \rangle$
- String with more than one parse:
 $0 + 1 + 0$
 $1 * 1 + 1$
- Source of ambiguity: associativity and precedence

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Two Major Sources of Ambiguity

- Lack of determination of operator precedence
- Lack of determination of operator associativity
- Not the only sources of ambiguity

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How to Enforce Associativity

- Have at most one recursive call per production
- When two or more recursive calls would be natural leave right-most one for right associativity, left-most one for left associativity

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Example

- $\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
 $\quad \quad \quad \mid (\langle \text{Sum} \rangle)$
- Becomes
 - $\langle \text{Sum} \rangle ::= \langle \text{Num} \rangle \mid \langle \text{Num} \rangle + \langle \text{Sum} \rangle$
 - $\langle \text{Num} \rangle ::= 0 \mid 1 \mid (\langle \text{Sum} \rangle)$

$\langle \text{Sum} \rangle + \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

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Operator Precedence

- Operators of highest precedence evaluated first (bind more tightly).
- Precedence for infix binary operators given in following table
- Needs to be reflected in grammar

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Precedence Table - Sample

	Fortan	Pascal	C/C++	Ada	SML
highest	**	*, /, div, mod	++, --	**	div, mod, /, *
	*, /	+, -	*, /, %	*, /, mod	+, -, ^
	+, -		+, -	+, -	::

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Precedence in Grammar

- Higher precedence translates to longer derivation chain
- Example:
 $\langle \text{exp} \rangle ::= 0 \mid 1 \mid \langle \text{exp} \rangle + \langle \text{exp} \rangle$
 $\quad \mid \langle \text{exp} \rangle * \langle \text{exp} \rangle$
- Becomes
 $\langle \text{exp} \rangle ::= \langle \text{mult_exp} \rangle$
 $\quad \mid \langle \text{exp} \rangle + \langle \text{mult_exp} \rangle$
 $\langle \text{mult_exp} \rangle ::= \langle \text{id} \rangle \mid \langle \text{mult_exp} \rangle * \langle \text{id} \rangle$
 $\langle \text{id} \rangle ::= 0 \mid 1$

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Parser Code

- $\langle \text{grammar} \rangle$.mly defines one parsing function per entry point
- Parsing function takes a lexing function (lexer buffer to token) and a lexer buffer as arguments
- Returns semantic attribute of corresponding entry point

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Ocamlyacc Input

- File format:
 $\% \{$
 $\langle \text{header} \rangle$
 $\% \}$
 $\langle \text{declarations} \rangle$
 $\% \%$
 $\langle \text{rules} \rangle$
 $\% \%$
 $\langle \text{trailer} \rangle$

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Ocamlyacc $\langle \text{header} \rangle$

- Contains arbitrary Ocaml code
- Typically used to give types and functions needed for the semantic actions of rules and to give specialized error recovery
- May be omitted
- $\langle \text{footer} \rangle$ similar. Possibly used to call parser

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Ocamlyacc <declarations>

- **%token** *symbol ... symbol*
- Declare given symbols as tokens
- **%token <type>** *symbol ... symbol*
- Declare given symbols as token constructors, taking an argument of type <type>
- **%start** *symbol ... symbol*
- Declare given symbols as entry points; functions of same names in <grammar>.ml

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Ocamlyacc <declarations>

- **%type <type>** *symbol ... symbol*
- Specify type of attributes for given symbols. Mandatory for start symbols
- **%left** *symbol ... symbol*
- **%right** *symbol ... symbol*
- **%nonassoc** *symbol ... symbol*
- Associate precedence and associativity to given symbols. Same line, same precedence; earlier line, lower precedence (broadest scope)

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Ocamlyacc <rules>

- **nonterminal :**
symbol ... symbol { semantic_action }
| ...
| *symbol ... symbol { semantic_action }*
;
- Semantic actions are arbitrary Ocaml expressions
- Must be of same type as declared (or inferred) for *nonterminal*
- Access semantic attributes (values) of symbols by position: \$1 for first symbol, \$2 to second ...

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Example - Base types

```
(* File: expr.ml *)
type expr =
  Term_as_Expr of term
  | Plus_Expr of (term * expr)
  | Minus_Expr of (term * expr)
and term =
  Factor_as_Term of factor
  | Mult_Term of (factor * term)
  | Div_Term of (factor * term)
and factor =
  Id_as_Factor of string
  | Parenthesized_Expr_as_Factor of expr
```

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Example - Lexer (exprlex.mll)

```
{ (*open Exprparse*) }
let numeric = ['0' - '9']
let letter = ['a' - 'z' 'A' - 'Z']
rule token = parse
  | "+" {Plus_token}
  | "-" {Minus_token}
  | "*" {Times_token}
  | "/" {Divide_token}
  | "(" {Left_parenthesis}
  | ")" {Right_parenthesis}
  | letter (letter|numeric|"_")* as id {Id_token id}
  | [' '\t '\n'] {token lexbuf}
  | eof {EOL}
```

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Example - Parser (exprparse.mly)

```
%{ open Expr
%}
%token <string> Id_token
%token Left_parenthesis Right_parenthesis
%token Times_token Divide_token
%token Plus_token Minus_token
%token EOL
%start main
%type <expr> main
%%
```

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Example - Parser (exprparse.mly)

```
expr:
  term
    { Term_as_Expr $1 }
| term Plus_token expr
    { Plus_Expr ($1, $3) }
| term Minus_token expr
    { Minus_Expr ($1, $3) }
```

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Example - Parser (exprparse.mly)

```
term:
  factor
    { Factor_as_Term $1 }
| factor Times_token term
    { Mult_Term ($1, $3) }
| factor Divide_token term
    { Div_Term ($1, $3) }
```

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Example - Parser (exprparse.mly)

```
factor:
  Id_token
    { Id_as_Factor $1 }
| Left_parenthesis expr Right_parenthesis
    { Parenthesized_Expr_as_Factor $2 }
main:
  | expr EOL
    { $1 }
```

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Example - Using Parser

```
# #use "expr.ml";;
...
# #use "exprparse.ml";;
...
# #use "exprlex.ml";;
...
# let test s =
  let lexbuf = Lexing.from_string (s^"\n") in
  main token lexbuf;;
```

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Example - Using Parser

```
# test "a + b";;
- : expr =
Plus_Expr
(Factor_as_Term (Id_as_Factor "a"),
Term_as_Expr (Factor_as_Term
(Id_as_Factor "b")))
```

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LR Parsing

- Read tokens left to right (L)
- Create a rightmost derivation (R)
- How is this possible?
- Start at the bottom (left) and work your way up
- Last step has only one non-terminal to be replaced so is right-most
- Working backwards, replace mixed strings by non-terminals
- Always proceed so that there are no non-terminals to the right of the string to be replaced

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= \color{pink} \bullet (0 + 1) + 0$ shift

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= \color{pink} \bullet (0 + 1) + 0$ shift
 $= \color{pink} \bullet (0 + 1) + 0$ shift

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$\Rightarrow (0 \color{pink} \bullet + 1) + 0$ reduce
 $= \color{pink} \bullet (0 + 1) + 0$ shift
 $= \color{pink} \bullet (0 + 1) + 0$ shift

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (\langle \text{Sum} \rangle \color{pink} \bullet + 1) + 0$ shift
 $\Rightarrow (0 \color{pink} \bullet + 1) + 0$ reduce
 $= \color{pink} \bullet (0 + 1) + 0$ shift
 $= \color{pink} \bullet (0 + 1) + 0$ shift

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (\langle \text{Sum} \rangle + \color{pink} \bullet 1) + 0$ shift
 $= (\langle \text{Sum} \rangle \color{pink} \bullet + 1) + 0$ shift
 $\Rightarrow (0 \color{pink} \bullet + 1) + 0$ reduce
 $= \color{pink} \bullet (0 + 1) + 0$ shift
 $= \color{pink} \bullet (0 + 1) + 0$ shift

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$\Rightarrow (\langle \text{Sum} \rangle + 1 \color{pink} \bullet) + 0$ reduce
 $= (\langle \text{Sum} \rangle + \color{pink} \bullet 1) + 0$ shift
 $= (\langle \text{Sum} \rangle \color{pink} \bullet + 1) + 0$ shift
 $\Rightarrow (0 \color{pink} \bullet + 1) + 0$ reduce
 $= \color{pink} \bullet (0 + 1) + 0$ shift
 $= \color{pink} \bullet (0 + 1) + 0$ shift

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle$	$\Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$	●	reduce
	$\Rightarrow \langle \text{Sum} \rangle + 0$	●	reduce
	$= \langle \text{Sum} \rangle + 0$	●	shift
	$= \langle \text{Sum} \rangle \cdot + 0$		shift
	$\Rightarrow (\langle \text{Sum} \rangle) \cdot + 0$		reduce
	$= (\langle \text{Sum} \rangle \cdot) + 0$		shift
	$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \cdot) + 0$		reduce
	$\Rightarrow (\langle \text{Sum} \rangle + 1 \cdot) + 0$		reduce
	$= (\langle \text{Sum} \rangle + \cdot 1) + 0$		shift
	$= (\langle \text{Sum} \rangle \cdot + 1) + 0$		shift
	$\Rightarrow (0 \cdot + 1) + 0$		reduce
	$= (\cdot 0 + 1) + 0$		shift
	$= \cdot (0 + 1) + 0$		shift

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \cdot$	$\Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$	●	reduce
	$\Rightarrow \langle \text{Sum} \rangle + 0$	●	reduce
	$= \langle \text{Sum} \rangle + \cdot 0$		shift
	$= \langle \text{Sum} \rangle \cdot + 0$		shift
	$\Rightarrow (\langle \text{Sum} \rangle) \cdot + 0$		reduce
	$= (\langle \text{Sum} \rangle \cdot) + 0$		shift
	$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \cdot) + 0$		reduce
	$\Rightarrow (\langle \text{Sum} \rangle + 1 \cdot) + 0$		reduce
	$= (\langle \text{Sum} \rangle + \cdot 1) + 0$		shift
	$= (\langle \text{Sum} \rangle \cdot + 1) + 0$		shift
	$\Rightarrow (0 \cdot + 1) + 0$		reduce
	$= (\cdot 0 + 1) + 0$		shift
	$= \cdot (0 + 1) + 0$		shift

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Example

(0 + 1) + 0

↑

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Example

(0 + 1) + 0

↑

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Example

(0 + 1) + 0

↑

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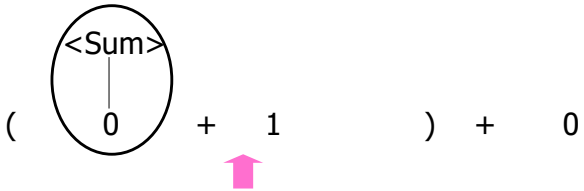
Example

($\langle \text{Sum} \rangle$ 0 + 1) + 0

↑

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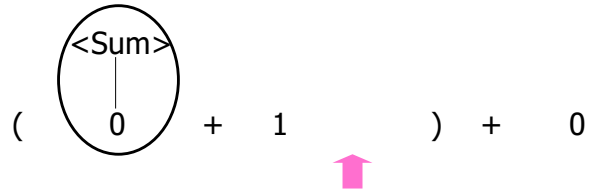
Example



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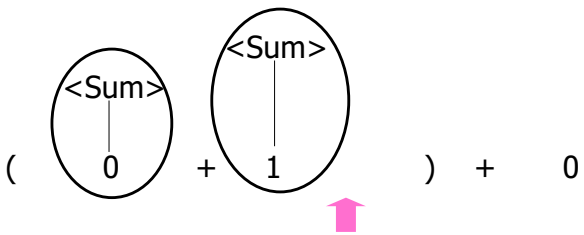
Example



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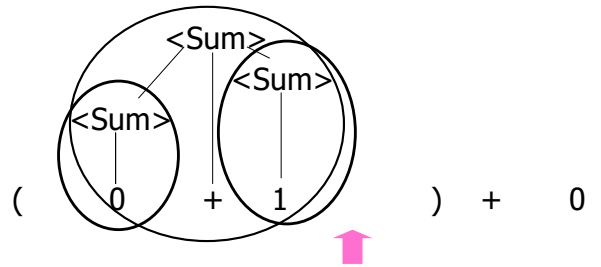
Example



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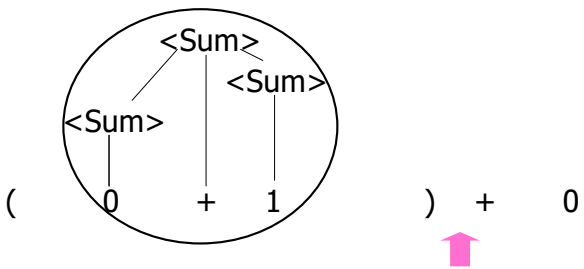
Example



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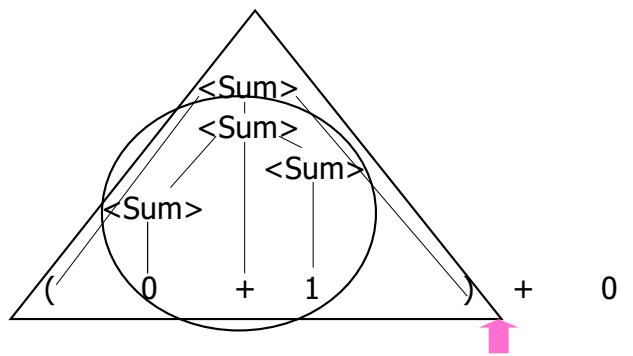
Example



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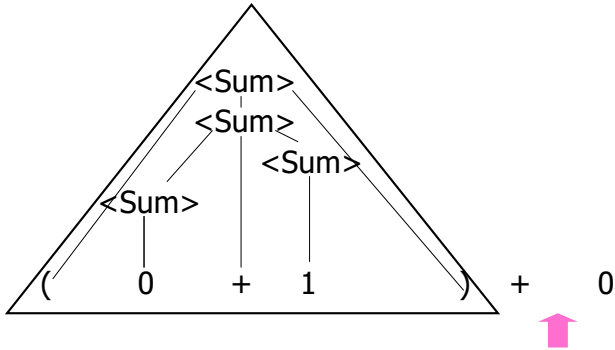
Example



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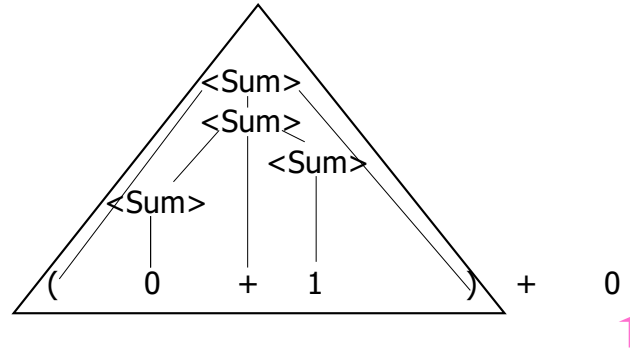
Example



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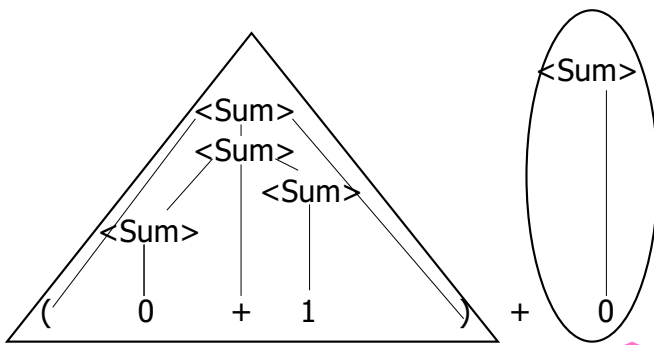
Example



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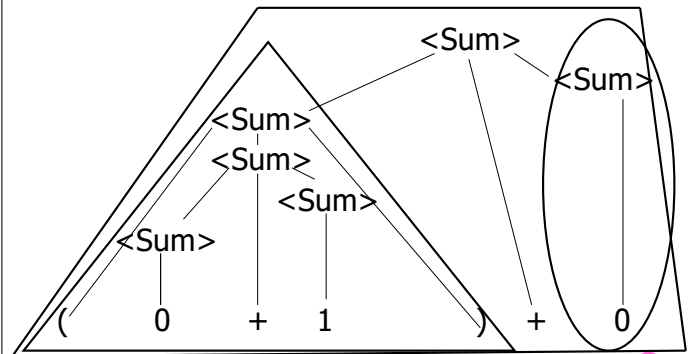
Example



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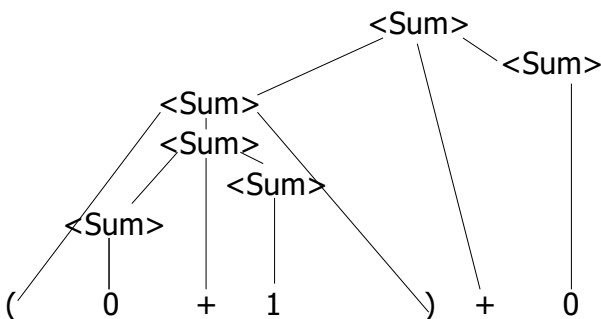
Example



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Example



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LR Parsing Tables

- Build a pair of tables, Action and Goto, from the grammar
 - This is the hardest part, we omit here
 - Rows labeled by states
 - For Action, columns labeled by terminals and "end-of-tokens" marker
 - (more generally strings of terminals of fixed length)
 - For Goto, columns labeled by non-terminals

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Action and Goto Tables

- Given a state and the next input, Action table says either
 - **shift** and go to state n , or
 - **reduce** by production k (explained in a bit)
 - **accept** or **error**
- Given a state and a non-terminal, Goto table says
 - go to state m

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LR(i) Parsing Algorithm

- Based on push-down automata
- Uses states and transitions (as recorded in Action and Goto tables)
- Uses a stack containing states, terminals and non-terminals

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LR(i) Parsing Algorithm

0. Insure token stream ends in special “end-of-tokens” symbol
1. Start in state 1 with an empty stack
2. Push **state**(1) onto stack
- 3. Look at next i tokens from token stream ($toks$) (don't remove yet)
4. If top symbol on stack is **state**(n), look up action in Action table at (n , $toks$)

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LR(i) Parsing Algorithm

5. If action = **shift** m ,
 - a) Remove the top token from token stream and push it onto the stack
 - b) Push **state**(m) onto stack
 - c) Go to step 3

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LR(i) Parsing Algorithm

6. If action = **reduce** k where production k is $E ::= u$
 - a) Remove $2 * \text{length}(u)$ symbols from stack (u and all the interleaved states)
 - b) If new top symbol on stack is **state**(m), look up new state p in $\text{Goto}(m, E)$
 - c) Push E onto the stack, then push **state**(p) onto the stack
 - d) Go to step 3

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LR(i) Parsing Algorithm

7. If action = **accept**
 - Stop parsing, return success
8. If action = **error**,
 - Stop parsing, return failure

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Adding Synthesized Attributes

- Add to each **reduce** a rule for calculating the new synthesized attribute from the component attributes
- Add to each non-terminal pushed onto the stack, the attribute calculated for it
- When performing a **reduce**,
 - gather the recorded attributes from each non-terminal popped from stack
 - Compute new attribute for non-terminal pushed onto stack

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Shift-Reduce Conflicts

- **Problem:** can't decide whether the action for a state and input character should be **shift** or **reduce**
- Caused by ambiguity in grammar
- Usually caused by lack of associativity or precedence information in grammar

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\bullet 0 + 1 + 0$ shift
 $\rightarrow 0 \bullet + 1 + 0$ reduce
 $\rightarrow \langle \text{Sum} \rangle \bullet + 1 + 0$ shift
 $\rightarrow \langle \text{Sum} \rangle + \bullet 1 + 0$ shift
 $\rightarrow \langle \text{Sum} \rangle + 1 \bullet + 0$ reduce
 $\rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet + 0$

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Example - cont

- **Problem:** shift or reduce?
- You can shift-shift-reduce-reduce or reduce-shift-shift-reduce
- Shift first - right associative
- Reduce first- left associative

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Reduce - Reduce Conflicts

- **Problem:** can't decide between two different rules to reduce by
- Again caused by ambiguity in grammar
- **Symptom:** RHS of one production suffix of another
- Requires examining grammar and rewriting it
- Harder to solve than shift-reduce errors

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Example

■ $S ::= A \mid aB$ $A ::= abc$ $B ::= bc$

$\bullet abc$ shift
 $a \bullet bc$ shift
 $ab \bullet c$ shift
 $abc \bullet$

- Problem: reduce by $B ::= bc$ then by $S ::= aB$, or by $A ::= abc$ then $S ::= A$?

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