

# Programming Languages and Compilers (CS 421)

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<https://courses.engr.illinois.edu/cs421/sp2023>

Based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha



# Mea Culpa

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- The above system can't handle polymorphism as in OCAML
- No type variables in type language (only meta-variables in the logic)
- Would need:
  - Object level type variables and some kind of type quantification
  - **let** and **let rec** rules to introduce polymorphism
  - Explicit changes to rules to eliminate (instantiate) polymorphism



# Support for Polymorphic Types

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- Monomorphic Types ( $\tau$ ):
  - Basic Types: `int`, `bool`, `float`, `string`, `unit`, ...
  - Type Variables:  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$ ,  $\varepsilon$
  - Compound Types:  $\alpha \rightarrow \beta$ , `int * string`, `bool list`, ...
- Polymorphic Types:
  - Monomorphic types  $\tau$
  - Universally quantified monomorphic types
  - $\forall \alpha_1, \dots, \alpha_n . \tau$
  - Can think of  $\tau$  as same as  $\forall . \tau$



# Support for Polymorphic Types

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- Typing Environment  $\Gamma$  supplies polymorphic types (which will often just be monomorphic) for variables
- Free variables of monomorphic type just type variables that occur in it
  - Write  $\text{FreeVars}(\tau)$
- Free variables of polymorphic type removes variables that are universally quantified
  - $\text{FreeVars}(\forall \alpha_1, \dots, \alpha_n . \tau) = \text{FreeVars}(\tau) - \{\alpha_1, \dots, \alpha_n\}$
- $\text{FreeVars}(\Gamma) =$  all  $\text{FreeVars}$  of types in range of  $\Gamma$

# Example FreeVars Calculations

- $\text{Vars}(\text{'a} \rightarrow (\text{int} \rightarrow \text{'b}) \rightarrow \text{'a}) = \{\text{'a}, \text{'b}\}$
- $\text{FreeVars} (\text{All } \text{'b}. \text{'a} \rightarrow (\text{int} \rightarrow \text{'b}) \rightarrow \text{'a}) =$   
 $\{\text{'a}, \text{'b}\} - \{\text{'b}\} = \{\text{'a}\}$
- $\text{FreeVars} \{x : \text{All } \text{'b}. \underline{\text{'a}} \rightarrow (\text{int} \rightarrow \text{'b}) \rightarrow \underline{\text{'a}},$   
 $\text{id}: \text{All } \text{'c}. \text{'c} \rightarrow \text{'c},$   
 $y: \text{All } \text{'c}. \underline{\text{'a}} \rightarrow \text{'b} \rightarrow \text{'c}\} =$   
 $\{\text{'a}\} \cup \{\} \cup \{\text{'a}, \text{'b}\} = \{\text{'a}, \text{'b}\}$



# Monomorphic to Polymorphic

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- Given:
  - Polymorphic type environment  $\Gamma$
  - monomorphic type  $\tau$
  - $\tau$  shares type variables with  $\Gamma$
- Want most polymorphic type for  $\tau$  that doesn't break sharing type variables with  $\Gamma$
- $\text{Gen}(\tau, \Gamma) = \forall \alpha_1, \dots, \alpha_n . \tau$  where  
 $\{\alpha_1, \dots, \alpha_n\} = \text{freeVars}(\tau) - \text{freeVars}(\Gamma)$



# Polymorphic Typing Rules

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- A *type judgement* has the form
$$\Gamma \vdash \text{exp} : \tau$$
  - $\Gamma$  uses **polymorphic** types
  - $\tau$  still **monomorphic**
- Most rules stay same (except use more general typing environments)
- Rules that change:
  - Variables, Constants
  - Primitive operators (monops and binops)
  - Let and Let Rec
- Worth noting functions again



# Polymorphic Let and Let Rec

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- let rule:

$$\frac{\Gamma \vdash e_1 : \tau_1 \quad \{x : \text{Gen}(\tau_1, \Gamma)\} + \Gamma \vdash e_2 : \tau_2}{\Gamma \vdash (\text{let } x = e_1 \text{ in } e_2) : \tau_2}$$

- let rec rule:

$$\frac{\{x : \tau_1\} + \Gamma \vdash e_1 : \tau_1 \quad \{x : \text{Gen}(\tau_1, \Gamma)\} + \Gamma \vdash e_2 : \tau_2}{\Gamma \vdash (\text{let rec } x = e_1 \text{ in } e_2) : \tau_2}$$





# Fun Rule Stays the Same

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- fun rule:

$$\frac{\{x : \tau_1\} + \Gamma \vdash e : \tau_2}{\Gamma \vdash \text{fun } x \rightarrow e : \tau_1 \rightarrow \tau_2}$$

- Types  $\tau_1, \tau_2$  monomorphic
- Function argument must always be used at same type in function body

# Polymorphic Variables (Identifiers)

Variable axiom:

$$\overline{\Gamma \vdash x : \varphi(\tau)} \quad \text{if } \Gamma(x) = \forall \alpha_1, \dots, \alpha_n . \tau$$

- Where  $\varphi$  replaces all occurrences of  $\alpha_1, \dots, \alpha_n$  by monotypes  $\tau_1, \dots, \tau_n$
- Note: Monomorphic rule special case:

$$\overline{\Gamma \vdash x : \tau} \quad \text{if } \Gamma(x) = \tau$$

- Constants, monops and binops treated similarly (with signatures)



# Polymorphic Example

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- Assume additional constants and primitive operators:
- $\text{hd} : \forall \alpha. \alpha \text{ list} \rightarrow \alpha$
- $\text{tl} : \forall \alpha. \alpha \text{ list} \rightarrow \alpha \text{ list}$
- $\text{is\_empty} : \forall \alpha. \alpha \text{ list} \rightarrow \text{bool}$
- $(::) : \forall \alpha. \alpha \rightarrow \alpha \text{ list} \rightarrow \alpha \text{ list}$
- $[] : \forall \alpha. \alpha \text{ list}$



# Binary Operator Rule (Polymorphic)

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Primitive Binary operators ( $\oplus \in \{+, -, *, \dots\}$ ):

Assume BinOp signature gives

$$\oplus: \forall \alpha_1, \dots, \alpha_n. \tau_1 \rightarrow \tau_2 \rightarrow \tau_3$$

$$\frac{\Gamma \vdash e_1:\tau'_1 \quad \Gamma \vdash e_2:\tau'_2}{\Gamma \vdash e_1 \oplus e_2 : \tau'_3} \{\alpha_1 \rightarrow \zeta_1, \dots, \alpha_n \rightarrow \zeta_n\}$$

where  $\tau'_i$  is  $\tau_i$  with all  $\alpha_i$  replaced by  $\zeta_i$



# Polymorphic Example

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- Show:

?

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```
{ } |- let rec length =  
    fun l -> if is_empty l then 0  
             else 1 + length (tl l)  
in length (2 :: []) + length(true :: []) : int
```

# Polymorphic Example: Let Rec Rule

■ Show: (1) (2)

$$\frac{\begin{array}{l} \{ \text{length} : \alpha \text{ list} \rightarrow \text{int} \} \\ \vdash \text{fun } l \rightarrow \dots \\ : \alpha \text{ list} \rightarrow \text{int} \end{array} \quad \begin{array}{l} \{ \text{length} : \forall \alpha. \alpha \text{ list} \rightarrow \text{int} \} \\ \vdash \text{length } (2 :: []) + \\ \text{length}(\text{true} :: []) : \text{int} \end{array}}{\{ \} \vdash \text{let rec length} =$$
$$\begin{array}{l} \text{fun } l \rightarrow \text{if is\_empty } l \text{ then } 0 \\ \quad \text{else } 1 + \text{length } (\text{tl } l) \\ \text{in length } (2 :: []) + \text{length}(\text{true} :: []) : \text{int} \end{array}}$$



# Polymorphic Example (1)

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- Show:

?

---

```
{length:  $\alpha$  list -> int} |-  
fun l -> if is_empty l then 0  
        else 1 + length (tl l)  
:  $\alpha$  list -> int
```

# Polymorphic Example (1): Fun Rule

■ Show: (3)

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$\{ \text{length} : \alpha \text{ list} \rightarrow \text{int}, \text{ l} : \alpha \text{ list} \} \vdash$

$\text{if is\_empty l then 0}$

$\quad \text{else length (hd l) + length (tl l)} : \text{int}$

---

$\{ \text{length} : \alpha \text{ list} \rightarrow \text{int} \} \vdash$

$\text{fun l} \rightarrow \text{if is\_empty l then 0}$

$\quad \text{else 1 + length (tl l)}$

$: \alpha \text{ list} \rightarrow \text{int}$





## Polymorphic Example (3)

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- Let  $\Gamma = \{\text{length} : \alpha \text{ list} \rightarrow \text{int}, \text{ l} : \alpha \text{ list} \}$
- Show

?

---

$\Gamma \vdash \text{if is\_empty l then 0}$   
 $\quad \text{else } 1 + \text{length (tl l)} : \text{int}$

# Polymorphic Example (3):IfThenElse

- Let  $\Gamma = \{\text{length} : \alpha \text{ list} \rightarrow \text{int}, l : \alpha \text{ list}\}$
- Show

$$\frac{\text{(4)}}{\Gamma \vdash \text{is\_empty } l : \text{bool}} \quad \frac{\text{(5)}}{\Gamma \vdash 0 : \text{int}} \quad \frac{\text{(6)}}{\Gamma \vdash 1 + \text{length } (\text{tl } l) : \text{int}}$$

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$$\Gamma \vdash \text{if is\_empty } l \text{ then } 0 \text{ else } 1 + \text{length } (\text{tl } l) : \text{int}$$



## Polymorphic Example (4)

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- Let  $\Gamma = \{\text{length} : \alpha \text{ list} \rightarrow \text{int}, \text{ l} : \alpha \text{ list} \}$
- Show

?

---

$\Gamma \vdash \text{is\_empty l} : \text{bool}$

# Polymorphic Example (4): Application

- Let  $\Gamma = \{\text{length} : \alpha \text{ list} \rightarrow \text{int}, \text{!} : \alpha \text{ list}\}$
- Show

?

?

$\Gamma \vdash \text{is\_empty} : \alpha \text{ list} \rightarrow \text{bool}$

$\Gamma \vdash \text{!} : \alpha \text{ list}$

$\Gamma \vdash \text{is\_empty !} : \text{bool}$

# Polymorphic Example (4)

■ Let  $\Gamma = \{\text{length} : \alpha \text{ list} \rightarrow \text{int}, l : \alpha \text{ list}\}$

■ Show

By Const since  $\alpha \text{ list} \rightarrow \text{bool}$

is instance  $\{\alpha \rightarrow \alpha\}$  of

$\forall \alpha. \alpha \text{ list} \rightarrow \text{bool}$

?

$\Gamma \vdash \text{is\_empty} : \alpha \text{ list} \rightarrow \text{bool}$

$\Gamma \vdash l : \alpha \text{ list}$

$\Gamma \vdash \text{is\_empty } l : \text{bool}$

# Polymorphic Example (4)

- Let  $\Gamma = \{\text{length} : \alpha \text{ list} \rightarrow \text{int}, l : \alpha \text{ list}\}$
- Show

By Const since  $\alpha \text{ list} \rightarrow \text{bool}$  is instance of  $\forall \alpha. \alpha \text{ list} \rightarrow \text{bool}$       By Variable  $\Gamma(l) = \alpha \text{ list}$

$$\frac{\Gamma \vdash \text{is\_empty} : \alpha \text{ list} \rightarrow \text{bool} \quad \Gamma \vdash l : \alpha \text{ list}}{\Gamma \vdash \text{is\_empty } l : \text{bool}}$$

- This finishes (4)



# Polymorphic Example (5):Const

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- Let  $\Gamma = \{\text{length} : \alpha \text{ list} \rightarrow \text{int}, l : \alpha \text{ list}\}$

- Show

By Const Rule

$$\frac{}{\Gamma \vdash 0 : \text{int}}$$

# Polymorphic Example (6): BinOp

- Let  $\Gamma = \{\text{length} : \alpha \text{ list} \rightarrow \text{int}, l : \alpha \text{ list}\}$
- Show

By Variable

$$\frac{}{\Gamma \vdash \text{length}}$$

(7)

By Const

$$\frac{}{\Gamma \vdash 1 : \text{int}}$$

App

$$: \alpha \text{ list} \rightarrow \text{int}$$

$$\frac{}{\Gamma \vdash (\text{tl } l) : \alpha \text{ list}}$$

$$\frac{}{\Gamma \vdash \text{length } (\text{tl } l) : \text{int}}$$

$$\frac{}{\Gamma \vdash 1 + \text{length } (\text{tl } l) : \text{int}}$$



# Polymorphic Example (7):App Rule

- Let  $\Gamma = \{\text{length} : \alpha \text{ list} \rightarrow \text{int}, l : \alpha \text{ list}\}$
- Show

Const

Variable

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$$\Gamma \vdash \text{tl} : \alpha \text{ list} \rightarrow \alpha \text{ list}$$

---

$$\Gamma \vdash l : \alpha \text{ list}$$

---

$$\Gamma \vdash (\text{tl } l) : \alpha \text{ list}$$

By Const since  $\alpha \text{ list} \rightarrow \alpha \text{ list}$  is instance  
 $\{\alpha \rightarrow \alpha\}$  of  $\forall \alpha. \alpha \text{ list} \rightarrow \alpha \text{ list}$

# Polymorphic Example: (2) by BinOp

- Let  $\Gamma' = \{\text{length} : \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
- Show:

(8)

$\Gamma' \vdash$

$\text{length } (2 :: []) : \text{int}$

(9)

$\Gamma' \vdash$

$\text{length}(\text{true} :: []) : \text{int}$

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$\{\text{length} : \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$

$\vdash \text{length } (2 :: []) + \text{length}(\text{true} :: []) : \text{int}$



# Polymorphic Example: (8)AppRule

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- Let  $\Gamma' = \{\text{length}: \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
- Show:

$$\frac{\frac{\text{?}}{\Gamma' \vdash \text{length} : \text{int list} \rightarrow \text{int}} \quad \frac{\text{?}}{\Gamma' \vdash (2 :: []): \text{int list}}}{\Gamma' \vdash \text{length} (2 :: []) : \text{int}}$$

# Polymorphic Example: (8)AppRule

- Let  $\Gamma' = \{\text{length}: \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
- Show:

$$\frac{\frac{\text{?}}{\Gamma' \vdash \text{length} : \text{int list} \rightarrow \text{int}} \quad \frac{\text{?}}{\Gamma' \vdash (2 :: []): \text{int list}}}{\Gamma' \vdash \text{length} (2 :: []) : \text{int}}$$

# Polymorphic Example: (8)AppRule

■ Let  $\Gamma' = \{\text{length} : \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$

■ Show:

By Var since  $\text{int list} \rightarrow \text{int}$  is instance  $\{\alpha \rightarrow \text{int}\}$   
of  $\forall \alpha. \alpha \text{ list} \rightarrow \text{int}$  (by  $\alpha \rightarrow \text{int}$ )

(10)

$$\frac{\Gamma' \vdash \text{length} : \text{int list} \rightarrow \text{int} \quad \Gamma' \vdash (2 :: []): \text{int list}}{\Gamma' \vdash \text{length } (2 :: []) : \text{int}}$$

# Polymorphic Example: (10)BinOpRule

- Let  $\Gamma' = \{\text{length} : \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
- Show:

$$\frac{\frac{\text{Const}}{\Gamma' \vdash 2 : \text{int}} \quad \frac{?}{\Gamma' \vdash [] : \text{int list}}}{\Gamma' \vdash (2 :: []) : \text{int list}}$$

# Polymorphic Example: (10)BinOpRule

- Let  $\Gamma' = \{\text{length} : \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
- Show:
- By Const since `int list` is instance of  $\forall \alpha. \alpha \text{ list}$  (by  $\alpha \rightarrow \text{int}$ )

$$\frac{\overline{\Gamma' \vdash 2 : \text{int}} \quad \overline{\Gamma' \vdash [] : \text{int list}}}{\Gamma' \vdash (2 :: []) : \text{int list}}$$

# Polymorphic Example: (9)AppRule

- Let  $\Gamma' = \{\text{length} \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
- Show:

$$\frac{\frac{?}{\Gamma' \vdash \text{length}}}{:\text{bool list} \rightarrow \text{int}} \quad \frac{\frac{?}{\Gamma' \vdash (\text{true} :: [])}}{:\text{bool list}}}{\Gamma' \vdash \text{length} (\text{true} :: []) : \text{int}}$$



# Polymorphic Example: (9)AppRule

- Let  $\Gamma' = \{\text{length} \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
- Show:
- Var since  $\text{bool list} \rightarrow \text{int}$  is instance of  $\forall \alpha. \alpha \text{ list} \rightarrow \text{int}$  (by  $\alpha \rightarrow \text{bool}$ )

(10)

---

$$\Gamma' \vdash \text{length}$$
$$:\text{bool list} \rightarrow \text{int}$$

---

$$\Gamma' \vdash (\text{true} :: [])$$
$$:\text{bool list}$$

---

$$\Gamma' \vdash \text{length} (\text{true} :: []) : \text{int}$$

# Polymorphic Example: (10)BinOpRule

- Let  $\Gamma' = \{\text{length}: \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
- Show:

$$\frac{\frac{\text{Const}}{\Gamma' \vdash \text{true} : \text{bool}} \quad \frac{?}{\Gamma' \vdash [] : \text{bool list}}}{\Gamma' \vdash (\text{true} :: []) : \text{bool list}}$$

# Polymorphic Example: (10)BinOpRule

- Let  $\Gamma' = \{\text{length} : \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
- Show:
- By Const since bool list is instance of  $\forall \alpha. \alpha \text{ list}$  (by  $\alpha \rightarrow \text{bool}$ )

$$\frac{\frac{}{\Gamma' \vdash \text{true} : \text{bool}} \quad \frac{}{\Gamma' \vdash [] : \text{bool list}}}{\Gamma' \vdash (\text{true} :: []) : \text{bool list}}$$