

Programming Languages and Compilers (CS 421)

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Based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha



CPS for Higher Order Functions

- In CPS, every procedure / function takes a continuation to receive its result
- Procedures passed as arguments take continuations
- Procedures returned as results take continuations
- CPS version of higher-order functions must expect input procedures to take continuations



Example: all

```
#let rec all (p, l) = match l with [] -> true
```

```
  | (x :: xs) -> let b = p x in
```

```
    if b then all (p, xs) else false
```

```
val all : ('a -> bool) -> 'a list -> bool = <fun>
```

- What is the CPS version of this?



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```
#let rec allk (pk, l) k =
```



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#let rec allk (pk, l) k = match l with [] -> k true  
  | (x :: xs) ->
```



Example: all

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#let rec all (p, l) = match l with [] -> true  
  | (x :: xs) -> let b = p x in  
    if b then all (p, xs) else false
```

```
val all : ('a -> bool) -> 'a list -> bool = <fun>
```

- What is the CPS version of this?

```
#let rec allk (pk, l) k = match l with [] -> k true  
  | (x :: xs) -> pk x
```




Example: all

```
#let rec all (p, l) = match l with [] -> true
  | (x :: xs) -> let b = p x in
    if b then all (p, xs) else false
```

```
val all : ('a -> bool) -> 'a list -> bool = <fun>
```

- What is the CPS version of this?

```
#let rec allk (pk, l) k = match l with [] -> k true
  | (x :: xs) -> pk x
    (fun b -> if b then
    ) else
```



Example: all

```
#let rec all (p, l) = match l with [] -> true
  | (x :: xs) -> let b = p x in
    if b then all (p, xs) else false
```

```
val all : ('a -> bool) -> 'a list -> bool = <fun>
```

■ What is the CPS version of this?

```
#let rec allk (pk, l) k = match l with [] -> k true
  | (x :: xs) -> pk x
    (fun b -> if b then allk (pk, xs) k else k
false)
```

```
val allk : ('a -> (bool -> 'b) -> 'b) * 'a list ->
(bool -> 'b) -> 'b = <fun>
```



Terminology: Review

- A function is in **Direct Style** when it returns its result back to the caller.
- A function is in **Continuation Passing Style** when it, and every function call in it, passes its result to another function.
- A **Tail Call** occurs when a function returns the result of another function call without any more computations (eg tail recursion)
- Instead of returning the result to the caller, we pass it forward to another function giving the computation after the call.



CPS Transformation

- Step 1: Add continuation argument to any function definition:
 - $\text{let } f \text{ arg} = e \Rightarrow \text{let } f \text{ arg } k = e$
 - Idea: Every function takes an extra parameter saying where the result goes
- Step 2: A simple expression in tail position should be passed to a continuation instead of returned:
 - $\text{return } a \Rightarrow k \ a$
 - Assuming a is a constant or variable.
 - “Simple” = “No available function calls.”



CPS Transformation

- Step 3: Pass the current continuation to every function call in tail position
 - $\text{return } f \text{ arg} \Rightarrow f \text{ arg } k$
 - The function “isn’ t going to return,” so we need to tell it where to put the result.



CPS Transformation

- Step 4: Each function call not in tail position needs to be converted to take a new continuation (containing the old continuation as appropriate)
 - $\text{return op (f arg)} \Rightarrow \text{f arg (fun r -> k(op r))}$
 - op represents a primitive operation
 - $\text{return g(f arg)} \Rightarrow \text{f arg (fun r-> g r k)}$



Example

Before:

```
let rec mem (y,lst) =  
  match lst with  
  [ ] -> false  
| x :: xs ->  
  if (x = y)  
  then true  
  else mem(y,xs);;
```

After:

```
let rec memk (y,lst) k =  
  (* rule 1 *)
```



Example

Before:

```
let rec mem (y,lst) =  
  match lst with  
  [ ] -> false  
  | x :: xs ->  
    if (x = y)  
    then true  
    else mem(y,xs);;
```

After:

```
let rec memk (y,lst) k =  
  (* rule 1 *)  
  k false (* rule 2 *)  
  
  k true (* rule 2 *)
```




Example

Before:

```
let rec mem (y,lst) =  
  match lst with  
  [ ] -> false  
| x :: xs ->  
  if (x = y)  
  then true  
  else mem(y,xs);;
```

After:

```
let rec memk (y,lst) k =  
  (* rule 1 *)  
  
  k false (* rule 2 *)  
  
  k true (* rule 2 *)  
  memk (y, xs) k (* rule 3 *)
```



Example

Before:

```
let rec mem (y,lst) =  
  match lst with  
  [ ] -> false  
  | x :: xs ->  
    if (x = y)  
    then true  
    else mem(y,xs);;
```

After:

```
let rec memk (y,lst) k =  
  (* rule 1 *)  
  k false (* rule 2 *)  
  
  eqk (x, y)  
  (fun b -> b (* rule 4 *))  
  k true (* rule 2 *)  
  memk (y, xs) (* rule 3 *)
```



Example

Before:

```
let rec mem (y,lst) =  
  match lst with  
  [ ] -> false  
| x :: xs ->  
  if (x = y)  
  then true  
  else mem(y,xs);;
```

After:

```
let rec memk (y,lst) k =  
  (* rule 1 *)  
  k false (* rule 2 *)  
  
  eqk (x, y)  
  (fun b -> if b (* rule 4 *)  
  then k true (* rule 2 *)  
  else memk (y, xs) (* rule 3 *))
```



Example

Before:

```
let rec mem (y,lst) =
```

```
match lst with
```

```
  [ ] -> false
```

```
| x :: xs ->
```

```
  if (x = y)
```

```
    then true
```

```
    else mem(y,xs);;
```

After:

```
let rec memk (y,lst) k =  
    (* rule 1 *)
```

```
match lst with
```

```
| [ ] -> k false (* rule 2 *)
```

```
| x :: xs ->
```

```
  eqk (x, y)
```

```
    (fun b -> if b (* rule 4 *))
```

```
  then k true (* rule 2 *)
```

```
    else memk (y, xs) k (* rule 3 *)
```



Example

Before:

```
let rec mem (y,lst) =  
  match lst with  
  [ ] -> false  
| x :: xs ->  
  if (x = y)  
  then true  
  else mem(y,xs);;
```

After:

```
let rec memk (y,lst) k =  
  (* rule 1 *)  
  match lst with  
  | [ ] -> k false (* rule 2 *)  
  | x :: xs ->  
    eqk (x, y)  
    (fun b -> if b (* rule 4 *)  
  then k true (* rule 2 *)  
    else memk (y, xs) k (* rule 3 *)
```



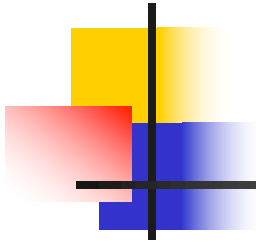
Example

Before:

```
let rec add_list lst =  
  match lst with  
  | [] -> 0  
  | 0 :: xs -> add_list xs  
  | x :: xs -> (+) x  
    (add_list xs);;
```

After:

```
let rec add_listk lst k =  
  (* rule 1 *)  
  match lst with  
  | [] -> k 0 (* rule 2 *)  
  | 0 :: xs -> add_listk xs k  
    (* rule 3 *)  
  | x :: xs -> add_listk xs  
    (fun r -> k ((+) x r));;  
  (* rule 4 *)
```



Extra Material



Other Uses for Continuations

- CPS designed to preserve order of evaluation
- Continuations used to express order of evaluation
- Can be used to change order of evaluation
- Implements:
 - Exceptions and exception handling
 - Co-routines
 - (pseudo, aka green) threads



Exceptions - Example

```
# exception Zero;;  
exception Zero  
  
# let rec list_mult_aux list =  
  match list with [ ] -> 1  
  | x :: xs ->  
    if x = 0 then raise Zero  
    else x * list_mult_aux xs;;  
  
val list_mult_aux : int list -> int = <fun>
```



Exceptions - Example

```
# let list_mult list =  
  try list_mult_aux list with Zero -> 0;;  
val list_mult : int list -> int = <fun>  
# list_mult [3;4;2];;  
- : int = 24  
# list_mult [7;4;0];;  
- : int = 0  
# list_mult_aux [7;4;0];;  
Exception: Zero.
```



Exceptions

- When an exception is raised
 - The current computation is aborted
 - Control is “thrown” back up the call stack until a matching handler is found
 - All the intermediate calls waiting for a return values are thrown away



Implementing Exceptions

```
# let multkp (m, n) k =
```

```
  let r = m * n in
```

```
    (print_string "product result: ";
```

```
     print_int r; print_string "\n";
```

```
     k r);;
```

```
val multkp : int ( int -> (int -> 'a) -> 'a =  
  <fun>
```



Implementing Exceptions

```
# let rec list_multk_aux list k kexcp =  
  match list with [ ] -> k 1  
  | x :: xs -> if x = 0 then kexcp 0  
               else list_multk_aux xs  
                (fun r -> multkp (x, r) k) kexcp;;  
val list_multk_aux : int list -> (int -> 'a) -> (int -> 'a)  
  -> 'a = <fun>  
# let rec list_multk list k = list_multk_aux list k k;;  
val list_multk : int list -> (int -> 'a) -> 'a = <fun>
```



Implementing Exceptions

```
# list_multk [3;4;2] report;;
```

```
product result: 2
```

```
product result: 8
```

```
product result: 24
```

```
24
```

```
- : unit = ()
```

```
# list_multk [7;4;0] report;;
```

```
0
```

```
- : unit = ()
```



End of Extra Material



Data type in Ocaml: lists

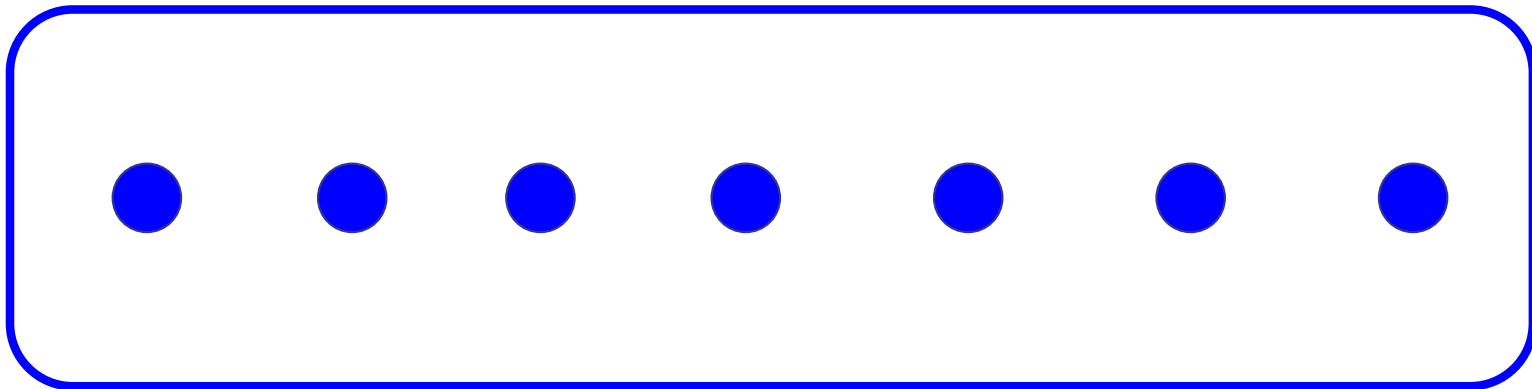
- Frequently used lists in recursive program
- Matched over two structural cases
 - `[]` - the empty list
 - `(x :: xs)` a non-empty list
- Covers all possible lists
- `type 'a list = [] | (::) of 'a * 'a list`
 - Not quite legitimate declaration because of special syntax

Variants - Syntax (slightly simplified)

- $\text{type } name = C_1 [\text{of } ty_1] \mid \dots \mid C_n [\text{of } ty_n]$
- Introduce a type called *name*
- $(\text{fun } x \rightarrow C_i x) : ty_1 \rightarrow name$
- C_i is called a *constructor*; if the optional type argument is omitted, it is called a *constant*
- Constructors are the basis of almost all pattern matching

Enumeration Types as Variants

An enumeration type is a collection of distinct values



In C and Ocaml they have an order structure;
order by order of input



Enumeration Types as Variants

```
# type weekday = Monday | Tuesday | Wednesday  
| Thursday | Friday | Saturday | Sunday;;
```

```
type weekday =
```

```
Monday
```

```
| Tuesday
```

```
| Wednesday
```

```
| Thursday
```

```
| Friday
```

```
| Saturday
```

```
| Sunday
```



Functions over Enumerations

```
# let day_after day = match day with
```

```
  Monday -> Tuesday
```

```
| Tuesday -> Wednesday
```

```
| Wednesday -> Thursday
```

```
| Thursday -> Friday
```

```
| Friday -> Saturday
```

```
| Saturday -> Sunday
```

```
| Sunday -> Monday;;
```

```
val day_after : weekday -> weekday = <fun>
```



Functions over Enumerations

```
# let rec days_later n day =  
  match n with 0 -> day  
  | _ -> if n > 0  
         then day_after (days_later (n - 1) day)  
         else days_later (n + 7) day;;  
val days_later : int -> weekday -> weekday  
= <fun>
```



Functions over Enumerations

```
# days_later 2 Tuesday;;
```

```
- : weekday = Thursday
```

```
# days_later (-1) Wednesday;;
```

```
- : weekday = Tuesday
```

```
# days_later (-4) Monday;;
```

```
- : weekday = Thursday
```



Problem:

```
# type weekday = Monday | Tuesday |  
Wednesday  
| Thursday | Friday | Saturday | Sunday;;  
■ Write function is_weekend : weekday -> bool  
let is_weekend day =
```



Problem:

```
# type weekday = Monday | Tuesday |  
Wednesday  
| Thursday | Friday | Saturday | Sunday;;  
■ Write function is_weekend : weekday -> bool  
let is_weekend day =  
  match day with Saturday -> true  
  | Sunday -> true  
  | _ -> false
```



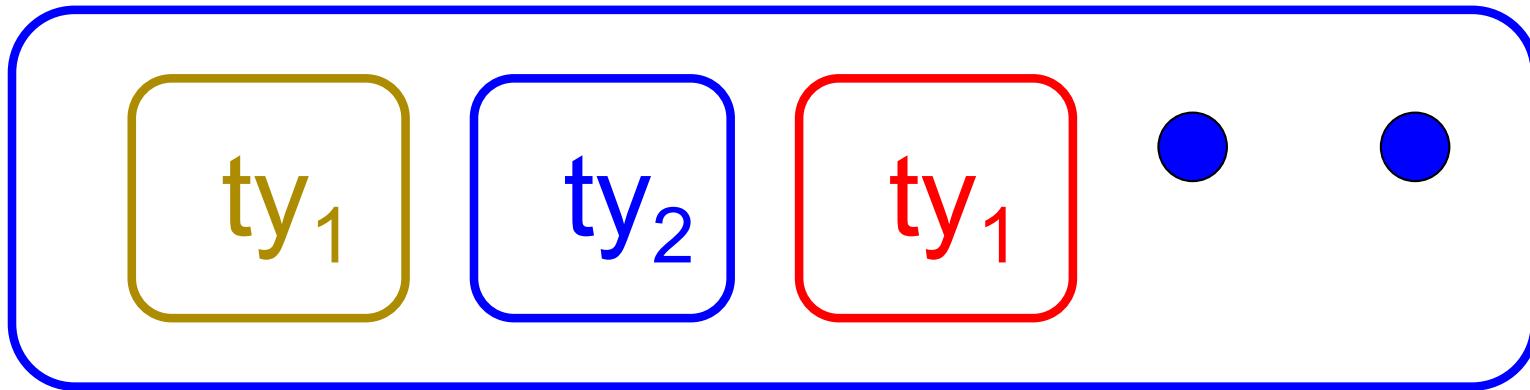

Example Enumeration Types

```
# type bin_op = IntPlusOp | IntMinusOp  
              | EqOp | CommaOp | ConsOp
```

```
# type mon_op = HdOp | TlOp | FstOp  
              | SndOp
```

Disjoint Union Types

- Disjoint union of types, with some possibly occurring more than once



- We can also add in some new singleton elements



Disjoint Union Types

```
# type id = DriversLicense of int
  | SocialSecurity of int | Name of string;;
type id = DriversLicense of int | SocialSecurity
  of int | Name of string
# let check_id id = match id with
  DriversLicense num ->
    not (List.mem num [13570; 99999])
  | SocialSecurity num -> num < 900000000
  | Name str -> not (str = "John Doe");;
val check_id : id -> bool = <fun>
```



Problem

- Create a type to represent the currencies for US, UK, Europe and Japan



Problem

- Create a type to represent the currencies for US, UK, Europe and Japan

type currency =

 Dollar of int

| Pound of int

| Euro of int

| Yen of int



Example Disjoint Union Type

```
# type const =  
  BoolConst of bool  
| IntConst of int  
| FloatConst of float  
| StringConst of string  
| NilConst  
| UnitConst
```



Example Disjoint Union Type

```
# type const = BoolConst of bool  
| IntConst of int | FloatConst of float  
| StringConst of string | NilConst  
| UnitConst
```

- How to represent 7 as a const?
- Answer: `IntConst 7`



Polymorphism in Variants

- The type `'a option` gives us something to represent non-existence or failure

```
# type 'a option = Some of 'a | None;;
```

```
type 'a option = Some of 'a | None
```

- Used to encode partial functions
- Often can replace the raising of an exception



Functions producing option

```
# let rec first p list =  
  match list with [ ] -> None  
  | (x::xs) -> if p x then Some x else first p xs;;  
val first : ('a -> bool) -> 'a list -> 'a option = <fun>  
# first (fun x -> x > 3) [1;3;4;2;5];;  
- : int option = Some 4  
# first (fun x -> x > 5) [1;3;4;2;5];;  
- : int option = None
```



Functions over option

```
# let result_ok r =  
  match r with None -> false  
  | Some _ -> true;;  
val result_ok : 'a option -> bool = <fun>  
# result_ok (first (fun x -> x > 3) [1;3;4;2;5]);;  
- : bool = true  
# result_ok (first (fun x -> x > 5) [1;3;4;2;5]);;  
- : bool = false
```



Problem

- Write a `hd` and `tl` on lists that doesn't raise an exception and works at all types of lists.



Problem

- Write a `hd` and `tl` on lists that doesn't raise an exception and works at all types of lists.
- `let hd list =`
 - `match list with [] -> None`
 - `| (x::xs) -> Some x`
- `let tl list =`
 - `match list with [] -> None`
 - `| (x::xs) -> Some xs`



Mapping over Variants

```
# let optionMap f opt =  
  match opt with None -> None  
  | Some x -> Some (f x);;  
val optionMap : ('a -> 'b) -> 'a option -> 'b  
  option = <fun>  
# optionMap  
  (fun x -> x - 2)  
  (first (fun x -> x > 3) [1;3;4;2;5]);;  
- : int option = Some 2
```

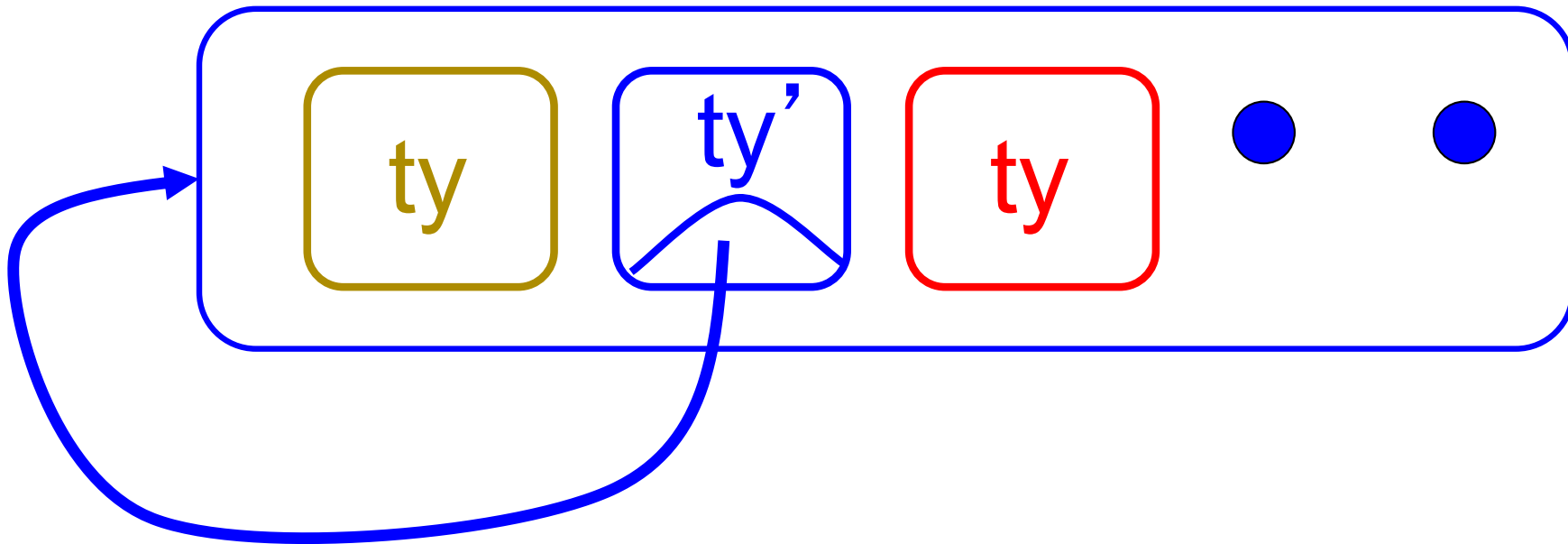


Folding over Variants

```
# let optionFold someFun noneVal opt =  
  match opt with None -> noneVal  
  | Some x -> someFun x;;  
val optionFold : ('a -> 'b) -> 'b -> 'a option ->  
  'b = <fun>  
# let optionMap f opt =  
  optionFold (fun x -> Some (f x)) None opt;;  
val optionMap : ('a -> 'b) -> 'a option -> 'b  
  option = <fun>
```

Recursive Types

- The type being defined may be a component of itself





Recursive Data Types

```
# type int_Bin_Tree =  
  Leaf of int | Node of (int_Bin_Tree *  
    int_Bin_Tree);;
```

```
type int_Bin_Tree = Leaf of int | Node of  
  (int_Bin_Tree * int_Bin_Tree)
```




Recursive Data Type Values

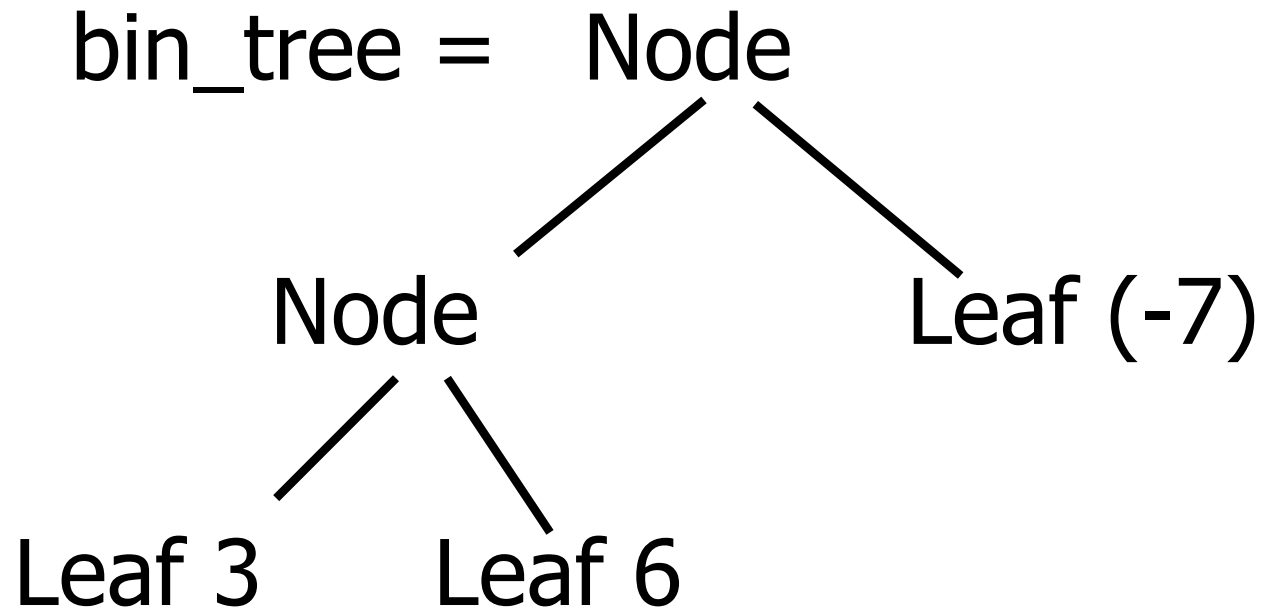
```
# let bin_tree =
```

```
Node(Node(Leaf 3, Leaf 6),Leaf (-7));;
```

```
val bin_tree : int_Bin_Tree = Node (Node  
  (Leaf 3, Leaf 6), Leaf (-7))
```



Recursive Data Type Values





Recursive Functions

```
# let rec first_leaf_value tree =  
  match tree with (Leaf n) -> n  
  | Node (left_tree, right_tree) ->  
    first_leaf_value left_tree;;  
val first_leaf_value : int_Bin_Tree -> int =  
  <fun>  
# let left = first_leaf_value bin_tree;;  
val left : int = 3
```