Programming Languages and Compilers (CS 421)

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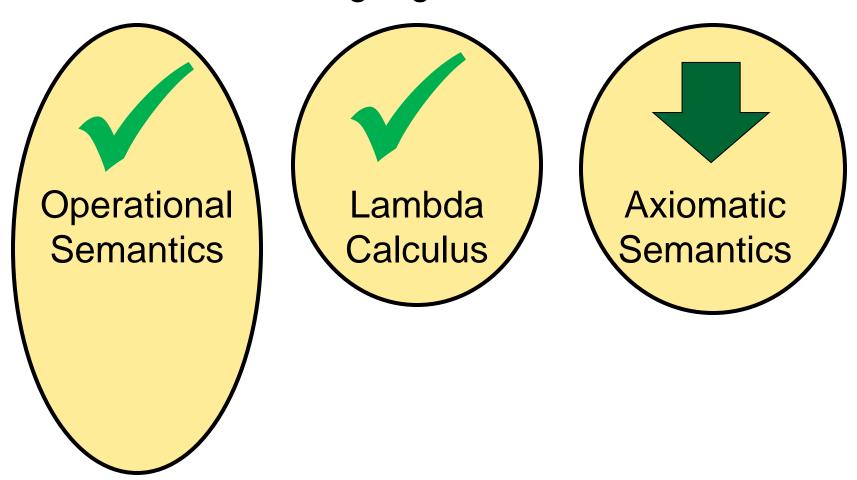


https://courses.engr.illinois.edu/cs421/fa2024/CS421C

Based on slides by Elsa Gunter, which are based in part on previous slides by Mattox Beckman and updated by Vikram Adve and Gul Agha

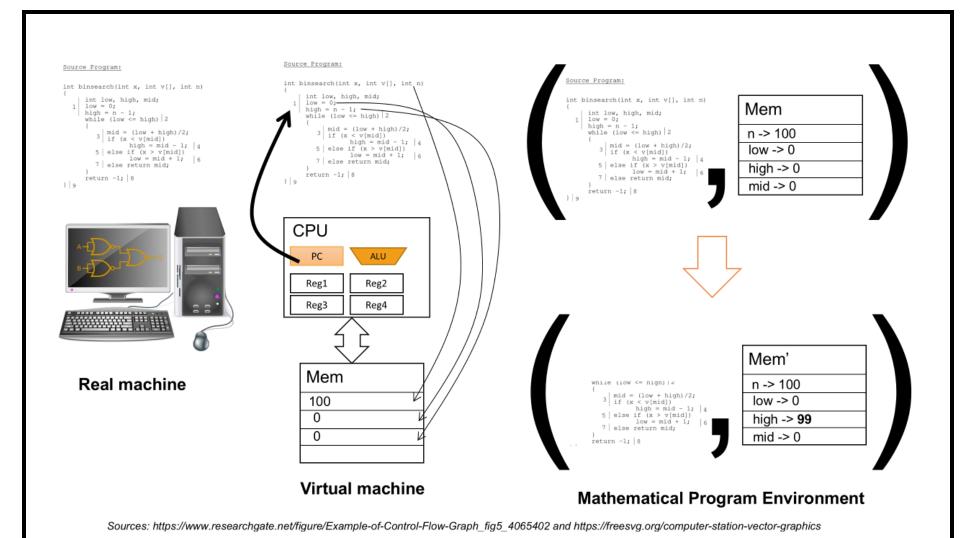
Programming Languages & Compilers

III: Language Semantics



12/5/2024

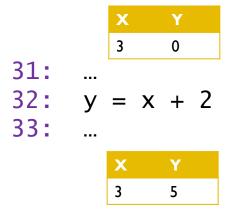
Reminder: Intuition about Operational Semantics



Three Flavors of Semantics we studied

Operational semantics:

models the virtual machine



The statements transform the program state

We represent machine as (pure) mathematical model

Lambda calculus: models execution as term rewriting

The expression itself is directly simplified

We can represent math as computation

Axiomatic semantics:

program transforms logic formulas

{
$$x \ge 3$$
 }
y := x+2
{ $y \ge 5$ }

The statements transform the formulas

We can turn computation into math formula manipulation

- Also called Floyd-Hoare Logic
- Based on formal logic (first order predicate calculus)
- Axiomatic Semantics is a logical system built from axioms and inference rules
- Mainly suited to simple imperative programming languages

Used to formally prove a property (post-condition) of the state (the values of the program variables) after the execution of program

 To do so, it assumes another property (pre-condition) of the state holds before execution

Goal: Derive statements of form {P} C {Q}

- P, Q logical statements about state,
 P precondition,
 - Q postcondition,
 - C program
- **Example:** $\{x > 1\} \ x := x + 1 \ \{x > 2\}$

 Approach: For each kind of language statement, give an axiom or inference rule stating how to derive assertions of form

{P} C {Q}

where C is a statement of that kind

 Compose axioms and inference rules to build proofs for complex programs

- An expression {P} C {Q} is a partial correctness statement
- For total correctness must also prove that C terminates (i.e. doesn't run forever)
 - Written: [P] C [Q]
- Will only consider partial correctness here

Language

 We will give rules for simple imperative language (assignment, sequence, conditionals, loops)

Could add more features, like for-loops

Substitution

- Notation: P[e/v] (sometimes P[v <- e])</p>
- Meaning: Replace every v in P by e
- Example:

$$(x + 2) [y-1/x] = ((y - 1) + 2)$$

```
{P [e/x]} x := e {P}
```

Example:

```
\{ ? \} x := y \{ x = 2 \}
```

$${P [e/x]} x := e {P}$$

Example:

$${ = 2 } x := y { x = 2 }$$

$${P [e/x]} x := e {P}$$

Example:

$$\{y=2\} x := y \{x=2\}$$

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$${P [e/x]} x := e {P}$$

Examples:

$$y = 2$$
 $x := y \{x = 2\}$

$${y = 2} x := 2 {y = x}$$

$${x + 1 = n + 1} x := x + 1 {x = n + 1}$$

$$\{2 = 2\} \times := 2 \{x = 2\}$$

The Assignment Rule – Your Turn

What is a valid precondition here?

```
 ? 
 x := x + y 
 \{ x + y = w - x \}
```

The Assignment Rule – Your Turn

What is a valid precondition here?

```
\{ (x + y) + y = w - (x + y) \}

x := x + y

\{ x + y = w - x \}
```

Precondition Strengthening

$$\frac{P \Rightarrow P' \quad \{P'\} C \{Q\}}{\{P\} C \{Q\}}$$

- Meaning: If we can show that P implies P' (P ⇒ P') and we can show that {P'} C {Q}, then we know that {P} C {Q}
- P is stronger than P' means P ⇒ P'

Precondition Strengthening

Examples:

$$x = 3 \Rightarrow x < 7 \{x < 7\} x := x + 3 \{x < 10\}$$

 $\{x = 3\} x := x + 3 \{x < 10\}$

True
$$\Rightarrow$$
 2 = 2 {2 = 2} x := 2 {x = 2}
{True} x := 2 {x = 2}

$$x=n \Rightarrow x+1=n+1$$
 {x+1=n+1} x:=x+1 {x=n+1}
{x=n} x:=x+1 {x=n+1}

Which Inferences Are Correct?

$$\{x > 0 \land x < 5\} \ x := x * x \{x < 25\}$$

 $\{x = 3\} \ x := x * x \{x < 25\}$

$${x * x < 25} x := x * x {x < 25}$$

 ${x > 0 \land x < 5} x := x * x {x < 25}$

Which Inferences Are Correct?

$$\frac{\{x = 3\} \times := x * x \{x < 25\}}{\{x > 0 \land x < 5\} \times := x * x \{x < 25\}}$$

$${x * x < 25} x := x * x {x < 25}$$

 ${x > 0 \land x < 5} x := x * x {x < 25}$

Sequencing

$${P} C_1 {Q} {Q} C_2 {R}$$

 ${P} C_1; C_2 {R}$

Example:

```
{z = z \land z = z} x := z {x = z \land z = z}

{x = z \land z = z} y := z {x = z \land y = z}

{z = z \land z = z} x := z; y := z {x = z \land y = z}
```

Sequencing

$${P} C_1 {Q} {Q} C_2 {R}$$

 ${P} C_1; C_2 {R}$

Example:

```
{Z = Z \land Z = Z} \times := Z {X = Z \land Z = Z}

{X = Z \land Z = Z} y := Z {X = Z \land y = Z}

{Z = Z \land Z = Z} x := Z; y := Z {X = Z \land y = Z}
```

Postcondition Weakening

$$\frac{\{P\} \ C \ \{Q'\} \qquad \qquad Q' \Rightarrow Q}{\{P\} \ C \ \{Q\}}$$

Example:

```
{Z = Z \land Z = Z} \times := z; y := z \{x = Z \land y = Z\}
\frac{(x = Z \land y = Z) \Rightarrow (x = y)}{\{z = Z \land Z = Z\} \times := z; y := z \{x = y\}}
```

Rule of Consequence

$$\frac{P \Rightarrow P' \quad \{P'\} C \{Q'\} \quad Q' \Rightarrow Q}{\{P\} C \{Q\}}$$

 Logically equivalent to the combination of Precondition Strengthening and Postcondition Weakening

• Uses $P \Rightarrow P'$ and $Q' \Rightarrow Q$

If Then Else

{P and B}
$$C_1$$
 {Q} {P and (not B)} C_2 {Q} {P} if B then C_1 else C_2 fi {Q}

Example: Want

Suffices to show:

```
(1) \{y=a \land x<0\} \ y:=y-x \ \{y=a+|x|\} \ and
(4) \{y=a \land not(x<0)\} \ y:=y+x \ \{y=a+|x|\}
```

$${y=a \land x<0} y:=y-x {y=a+|x|}$$

(3)
$$(y=a \land x<0) \Rightarrow y-x=a+|x|$$

(2) $\{y-x=a+|x|\} \ y:=y-x \ \{y=a+|x|\}$
(1) $\{y=a \land x<0\} \ y:=y-x \ \{y=a+|x|\}$

- (1) Reduces to (2) and (3) by *Precondition Strengthening*
- (2) Follows from assignment axiom
- (3) Because from algebra: $x<0 \Rightarrow |x| = -x$

$${y=a \land not(x<0)} y := y+x {y=a+|x|}$$

- (6) $(y=a \land not(x<0)) \Rightarrow (y+x=a+|x|)$
- (5) $\{y+x=a+|x|\}$ y:=y+x $\{y=a+|x\}\}$
- (4) $y=a \wedge not(x<0)$ $y:=y+x \{y=a+|x|\}$

- (4) Reduces to (5) and (6) by Precondition Strengthening
- (5) Follows from **assignment** axiom
- (6) Because $not(x<0) \Rightarrow |x| = x$

If Then Else

```
(1) \{y=a \land x<0\} \ y:=y-x \ \{y=a+|x|\}\
(4) \{y=a \land not(x<0)\} \ y:=y+x \ \{y=a+|x|\}\
```

By the IfThenElse rule

- We need a rule to be able to make assertions about while loops.
 - Inference rule because we can only draw conclusions if we know something about the body
 - Let's start with:

```
{ ? } C { ? }
{ ? while B do C od { P }
```

The loop may never be executed, so if we want P to hold after, it had better hold before, so let's try:

- If all we know is P when we enter the while loop, then we all we know when we enter the body is (P and B)
- If we need to know P when we finish the while loop, we had better know it when we finish the loop body:

```
{ P and B} C { P }
{ P } while B do C od { P }
```

- We can strengthen the previous rule because we also know that when the loop is finished, not B also holds
- Final while rule:

```
{ P and B } C { P }
{ P } while B do C od { P and not B }
```

```
{ P and B } C { P } { P } while B do C od { P and not B }
```

P satisfying this rule is called a loop invariant because it must hold before and after each iteration of the loop

 While rule generally needs to be <u>used</u> together with precondition strengthening and postcondition weakening

There is NO algorithm for computing the correct P; it requires intuition and an understanding of why the program works

All Rules on One Slide

Precondition Strengthening

The Assignment Rule

Postcondition Weakening

Sequencing

$${P} C_1 {Q} {Q} C_2 {R}$$

 ${P} C_1; C_2 {R}$

Rule of Consequence

$$\frac{P \rightarrow P' \quad \{P'\} C \{Q'\} \quad Q' \rightarrow Q}{\{P\} C \{Q\}}$$

If Then Else

$$\{P \text{ and } B\} C_1 \{Q\} \quad \{P \text{ and (not B)}\} C_2 \{Q\}$$

 $\{P\} \text{ if B then } C_1 \text{ else } C_2 \text{ fi } \{Q\}$

While

Counting up to n

```
n := 10; x := 0;
while (x < n) {
    x := x + 1
}</pre>
```

 P satisfying this rule is called a loop invariant because it must hold before and after the each iteration of the loop

$$P \equiv x \leq n$$

Want to show: x ≥ n

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Sum of numbers I to n

Want to show: $x = 1 + \dots + n$

```
x := 0
y := 0
while y < n {
                        P \equiv x = 1 + ... + y
     y := y + 1;
                             \wedge y \leq n
     x := x + y
                             \wedge 0 \leq n
```

Fibonacci

```
x = 0; y = 1;
z = 1;
while (z < n) {
                       P \equiv y = fib z
   y := x + y;
                           \wedge x = fib (z-1)
   x := y - x;
                           Λ z≤n
   z := z + 1
                           Λ 1 ≤ n
```

Want to show: y = fib n

List Length

```
x = lst; y = 0
while (x ≠ []) {
    x := tl x;
    y := y + 1
}
P = y + len x = len lst
}
```

Want to show: y = len lst

Example (Use of Loop Invariant in Full Proof)

Let us prove:

```
\{x \ge 0 \text{ and } x = a\}
fact := 1;
while x > 0 do
  (fact := fact * x; x := x - 1)
od
{fact = a!}
```

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 We need to find a condition P that is true both before and after the loop is executed, and such that

(P and not
$$x > 0$$
) \Rightarrow (fact = a!)

First attempt:

$$P = \{a! = fact * (x!)\}$$

- Motivation:
- What we want to compute: a!
- What we have computed: fact
 which is the sequential product of a down through (x + 1)
- What we still need to compute: x!

```
Postcondition Weakening  \{ \begin{array}{ccc} P \} & C & \{ Q' \} & Q' & \longrightarrow & Q \\ & & \{ P \} & C & \{ Q \} \end{array}
```

By post-condition weakening suffices to show

```
1. {x ≥ 0 and x = a}
  fact := 1;
  while x > 0 do (fact := fact * x; x := x-1) od
  {a! = fact * (x!) and not (x > 0)}
```

And

2.
$$a! = fact * (x!)$$
 and not $(x > 0) \Rightarrow fact = a!$

Problem!! (Dead End)

- 2. a! = fact * (x!) and not $(x > 0) \Rightarrow fact = a!$
- Don't know this if x < 0 !!</p>
 - Need to know that x = 0 when loop terminates

Need a new loop invariant

- Try adding x ≥ 0
- Then will have x = 0 when loop is done

Second try, let us combine the two:
 P = a! = fact * (x!) and x ≥ 0
We need to show:
1. {x ≥ 0 and x = a}
 fact := 1;

{P} while x > 0 do (fact := fact * x; x := x -1) od {P and not x > 0}

And

2. P and not $x > 0 \Rightarrow fact = a!$

```
\{x \ge 0 \text{ and } x = a\} (*this was part 1 to prove*)

fact := 1;

while x > 0 do (fact := fact * x; x := x - 1) od

\{a! = \text{fact * } (x!) \text{ and } x \ge 0 \text{ and not } (x > 0)\}
```

For Part 1, by sequencing rule it suffices to show

```
3. \{x \ge 0 \text{ and } x = a\}

fact := 1

\{a! = fact * (x!) \text{ and } x \ge 0 \}
```

And

```
4. \{a! = fact * (x!) \text{ and } x \ge 0\}
while x > 0 do
\{fact := fact * x; x := x -1\} \text{ od }
\{a! = fact * (x!) \text{ and } x \ge 0 \text{ and not } (x > 0)\}
```

- (Part 3 Assignment) Suffices to show that
 a! = fact * (x!) and x ≥ 0
 holds before the while loop is entered
- (Part 4 While Loop) And that if
 (a! = fact * (x!)) and x ≥ 0 and x > 0
 holds before we execute the body of the loop, then
 (a! = fact * (x!)) and x ≥ 0
 holds after we execute the body (part 4)

```
Precondition Strengthening

P→P' {P'} C {Q}

{P} C {Q}
```

```
(Part 3) By the assignment rule, we have \{a! = 1 * (x!) \text{ and } x \ge 0\} fact := 1 \{a! = \text{fact} * (x!) \text{ and } x \ge 0\}
```

Therefore, to show (3), by precondition strengthening, it suffices to show

$$(x \ge 0 \text{ and } x = a) \Rightarrow (a! = 1 * (x!) \text{ and } x \ge 0)$$

It holds because $x = a \Rightarrow x! = a!$.

So, we have that a! = fact * (x!) and x ≥ 0 holds at the start of the while loop!

To prove (Part 4):

```
\{a! = fact * (x!) and x \ge 0\}
while x > 0 do
  (fact := fact * x; x := x -1)
od
\{a! = fact * (x!) and x \ge 0 \text{ and not } (x > 0)\}
```

we need to show that (a! = fact * (x!)) and $x \ge 0$ is a loop invariant

 We will use assignment rule, sequencing rule and precondition strengthening rule

Sequencing $\frac{\{P\}\ C_1\ \{Q\}\ \ \{Q\}\ C_2\ \{R\}}{\{P\}\ C_1;\ C_2\ \{R\}}$

- We look into the loop body:
 - (fact := fact * x; x := x 1)
- By the sequencing rule, we need to show 2 things:
 - By the assignment rule, show

```
\{(a! = fact * (x!)) and x \ge 0 and x > 0\}
fact = fact * x
\{Q\}
```

By the assignment rule, show

```
\{Q\}
x := x - 1
\{(a! = fact * (x!)) and x \ge 0\}
```

 ${P [e/x]} x := e {P}$

- We look into the loop body:
 - (fact := fact * x; x := x 1)
- By the sequencing rule, we need to show 2 things:
 - By the assignment rule, show

```
\{(a! = fact * (x!)) and x \ge 0 and x > 0\}
fact = fact * x
\{Q\}
```

From the assignment rule, we know:

```
\{(a! = fact * ((x - 1)!)) \text{ and } x - 1 \ge 0\}
 x := x - 1
\{(a! = fact * (x!)) \text{ and } x \ge 0\}
```

- We look into the loop body:
 - (fact := fact * x; x := x 1)
- By the sequencing rule, we need to show 2 things:
 - By the assignment rule, show

```
\{(a! = fact * (x!)) \text{ and } x \ge 0 \text{ and } x > 0\}
fact = fact * x
\{(a! = fact * ((x - 1)!)) \text{ and } x - 1 \ge 0\}
```

From the assignment rule, we know:

```
\{(a! = fact * ((x - 1)!)) \text{ and } x - 1 \ge 0\}
 x := x - 1
\{(a! = fact * (x!)) \text{ and } x \ge 0\}
```

By the assignment rule, we have that

```
\{(a! = (fact * x) * ((x-1)!)) \text{ and } x - 1 \ge 0\}

fact = fact * x

\{(a! = fact * ((x-1)!)) \text{ and } x - 1 \ge 0\}
```

By Precondition strengthening, it suffices to show that

```
((a! = fact * (x!)) and x \ge 0 and x > 0) \Rightarrow
((a! = (fact * x) * ((x-1)!)) and x - 1 \ge 0)
```

From algebra we know that fact * x * (x - 1)! = fact * x!and $(x > 0) \Rightarrow x - 1 \ge 0$ since x is an integer, so $\{(\mathbf{a}! = \text{fact} * (\mathbf{x}!)) \text{ and } x \ge 0 \text{ and } x > 0\} \Rightarrow$ $\{(\mathbf{a}! = (\text{fact} * \mathbf{x}) * ((\mathbf{x}-1)!)) \text{ and } \mathbf{x} - 1 \ge 0\}$

Second try, let us combine the two:

$$P \equiv a! = fact * (x!) and x \ge 0$$

We need to show:

```
1. \{x \ge 0 \text{ and } x = a\}

fact := 1;

\{P\}

while x > 0 do (fact := fact * x; x := x -1) od

\{P \text{ and not } x > 0\}
```

And

2. P and not $x > 0 \Rightarrow fact = a!$

■ For Part 2, we need (a! = fact * (x!) and $x \ge 0$ and not (x > 0)) \Rightarrow (fact = a!)

```
Since we know (x \ge 0 \text{ and not } (x > 0)) \Rightarrow (x = 0) \text{ so}
fact * (x!) = \text{fact} * (0!)
```

And since from algebra we know that 0! = 1,

$$fact * (0)! = fact * 1 = fact$$

Therefore, we can prove:

$$(a! = fact * (x!) and x \ge 0 and not (x > 0)) \Rightarrow (fact = a!)$$

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- We proved that (a! = fact * (x!)) and x ≥ 0 is the loop invariant
- We proved the sequence rule for the assignment and wile statements
- We applied postcondition weakening to prove the final predicate

This finishes the proof!

```
{x ≥ 0 and x = a}
  fact := 1;
  while x > 0 do (fact := fact * x; x := x - 1) od
{fact = a!}
```

- We proved that (a! = fact * (x!)) and x ≥ 0 is the loop invariant
- We proved the sequence rule for the assignment and wile statements
- We applied postcondition weakening to prove the final predicate

This finishes the proof!

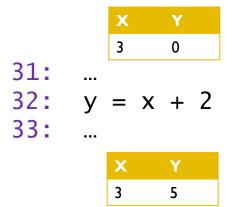


This also finishes all technical material in this class!

Three Flavors of Semantics we studied

Operational semantics:

models the virtual machine



The statements transform the program state

We represent machine as (pure) mathematical model

Lambda calculus: models execution as term rewriting

The expression itself is directly simplified

We can represent math as computation

Axiomatic semantics:

program transforms logic formulas

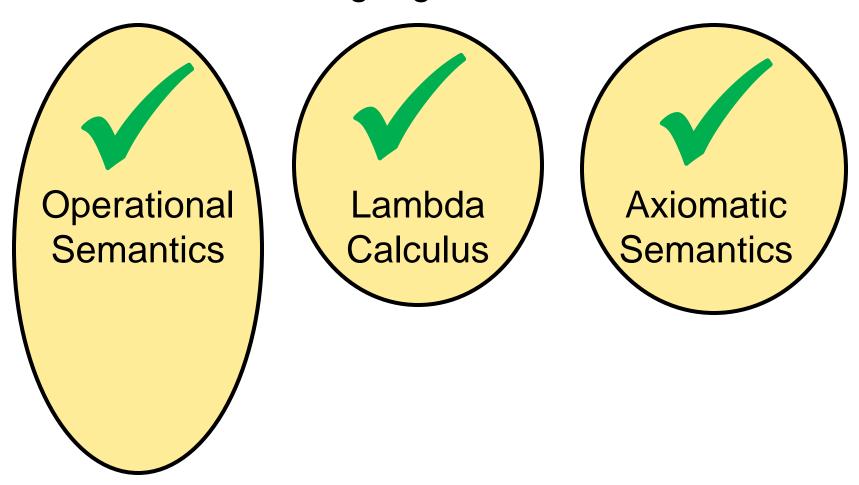
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The statements transform the formulas

We can turn computation into math formula manipulation

Programming Languages & Compilers

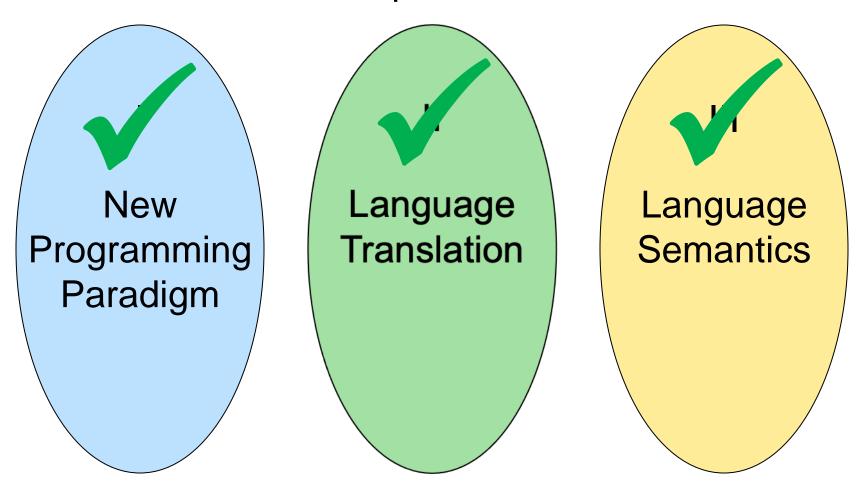
III: Language Semantics



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CS 421: Programming Languages & Compilers

Three Main Topics of the Course



Course Objectives

New programming paradigm

- Functional programming
- Environments and Closures
- Patterns of Recursion
- Continuation Passing Style

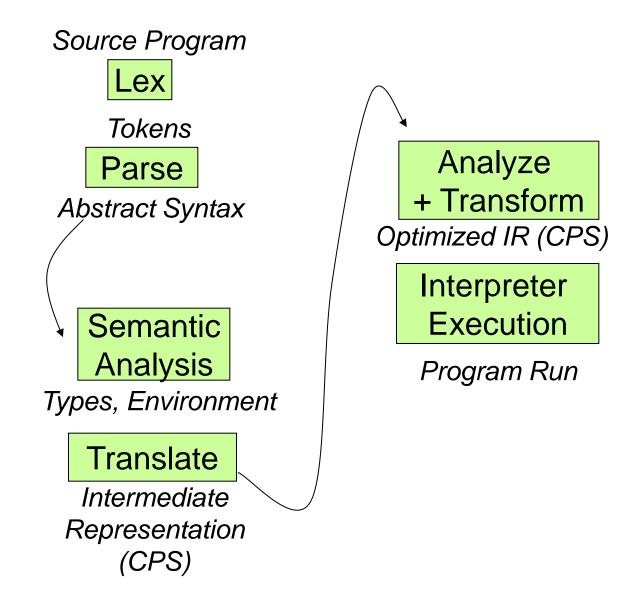
Phases of an interpreter / compiler

- Lexing and parsing
- Type systems
- Interpretation

Programming Language Semantics

- Lambda Calculus
- Operational Semantics
- Axiomatic Semantics

Major Phases of a PicoML Interpreter



Where to go from here?

Source Program

Lex

Tokens

Parse

Abstract Syntax

Semantic Analysis Environment

Translate

Intermediate
Representation
(CPS)

Analyze
+ Transform
Optimized IR (CPS)

Instruction Selection

Unoptimized Machine-Specific Assembly Language

Instruction Optimize

Optimized Machine-Specific Assembly Language

Emit code

Assembly Language

Assembler

Relocatable Object Code

Linker

Machine Code