

# Programming Languages and Compilers (CS 421)



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Based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha

600 minutes



# Mutually Recursive Types

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```
# type 'a tree = TreeLeaf of 'a
```

```
  | TreeNode of 'a treeList
```

```
and 'a treeList = Last of 'a tree
```

```
  | More of ('a tree * 'a treeList);;
```

```
type 'a tree = TreeLeaf of 'a | TreeNode of 'a  
treeList
```

```
and 'a treeList = Last of 'a tree | More of ('a  
tree * 'a treeList)
```



# Mutually Recursive Functions

---

```
# let rec fringe tree =  
  match tree with (TreeLeaf x) -> [x]  
  | (TreeNode list) -> list_fringe list  
and list_fringe tree_list =  
  match tree_list with (Last tree) -> fringe tree  
  | (More (tree,list)) ->  
    (fringe tree) @ (list_fringe list);;
```

```
val fringe : 'a tree -> 'a list = <fun>
```

```
val list_fringe : 'a treeList -> 'a list = <fun>
```



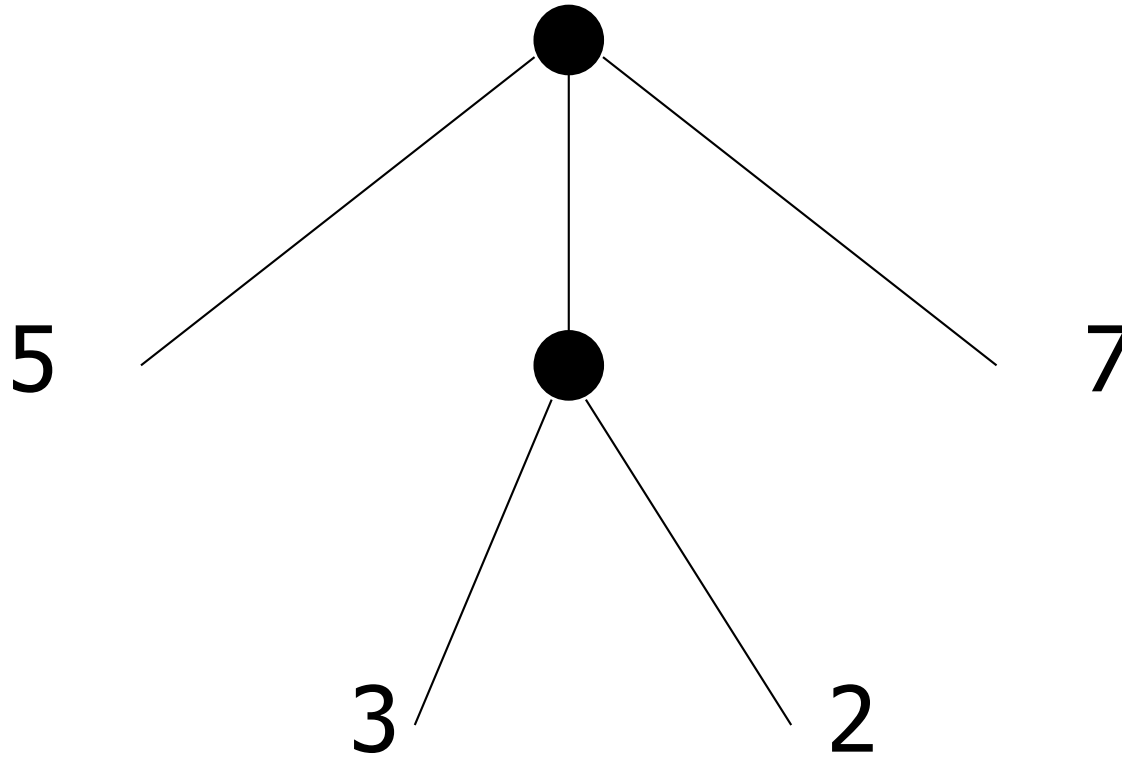
# Mutually Recursive Types - Values

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```
# let tree =  
  TreeNode  
    (More (TreeLeaf 5,  
          (More (TreeNode  
                (More (TreeLeaf 3,  
                      Last (TreeLeaf 2))),  
                      Last (TreeLeaf 7))))));;
```

# Mutually Recursive Types - Values

A more conventional picture





# Mutually Recursive Functions

---

```
# fringe tree;;
```

```
- : int list = [5; 3; 2; 7]
```



# Problem

---

```
# type 'a tree = TreeLeaf of 'a | TreeNode of 'a treeList  
and 'a treeList = Last of 'a tree | More of ('a tree * 'a treeList);;
```

Define tree\_size





# Problem

---

```
# type 'a tree = TreeLeaf of 'a | TreeNode of 'a treeList  
and 'a treeList = Last of 'a tree | More of ('a tree * 'a treeList);;
```

Define tree\_size

```
let rec tree_size t =  
    match t with TreeLeaf _ ->  
    | TreeNode ts ->
```



# Problem

---

```
# type 'a tree = TreeLeaf of 'a | TreeNode of 'a treeList
and 'a treeList = Last of 'a tree | More of ('a tree * 'a treeList);;
```

Define tree\_size

```
let rec tree_size t =
```

```
    match t with TreeLeaf _ -> 1
```

```
    | TreeNode ts -> treeList_size ts
```



# Problem

---

```
# type 'a tree = TreeLeaf of 'a | TreeNode of 'a treeList  
and 'a treeList = Last of 'a tree | More of ('a tree * 'a treeList);;
```

Define `tree_size` and `treeList_size`

```
let rec tree_size t =
```

```
    match t with TreeLeaf _ -> 1
```

```
    | TreeNode ts -> treeList_size ts
```

```
and treeList_size ts =
```



# Problem

---

```
# type 'a tree = TreeLeaf of 'a | TreeNode of 'a treeList
and 'a treeList = Last of 'a tree | More of ('a tree * 'a treeList);;
```

Define tree\_size and treeList\_size

```
let rec tree_size t =
```

```
    match t with TreeLeaf _ -> 1
```

```
    | TreeNode ts -> treeList_size ts
```

```
and treeList_size ts =
```

```
    match ts with Last t ->
```

```
    | More t ts' ->
```



# Problem

---

```
# type 'a tree = TreeLeaf of 'a | TreeNode of 'a treeList
and 'a treeList = Last of 'a tree | More of ('a tree * 'a treeList);;
Define tree_size and treeList_size
let rec tree_size t =
  match t with TreeLeaf _ -> 1
  | TreeNode ts -> treeList_size ts
and treeList_size ts =
  match ts with Last t -> tree_size t
  | More t ts' -> tree_size t + treeList_size ts'
```



# Problem

---

```
# type 'a tree = TreeLeaf of 'a | TreeNode of 'a treeList
and 'a treeList = Last of 'a tree | More of ('a tree * 'a treeList);;
Define tree_size and treeList_size
let rec tree_size t =
  match t with TreeLeaf _ -> 1
  | TreeNode ts -> treeList_size ts
and treeList_size ts =
  match ts with Last t -> tree_size t
  | More t ts' -> tree_size t + treeList_size ts'
```



# Nested Recursive Types

---

```
# type 'a labeled_tree =
```

```
  TreeNode of ('a * 'a labeled_tree  
  list);;
```

```
type 'a labeled_tree = TreeNode of ('a  
  * 'a labeled_tree list)
```



# Nested Recursive Type Values

---

```
# let ltree =  
  TreeNode(5,  
    [TreeNode (3, []);  
      TreeNode (2, [TreeNode (1, []);  
                          TreeNode (7, [])]);  
      TreeNode (5, [])]);;
```





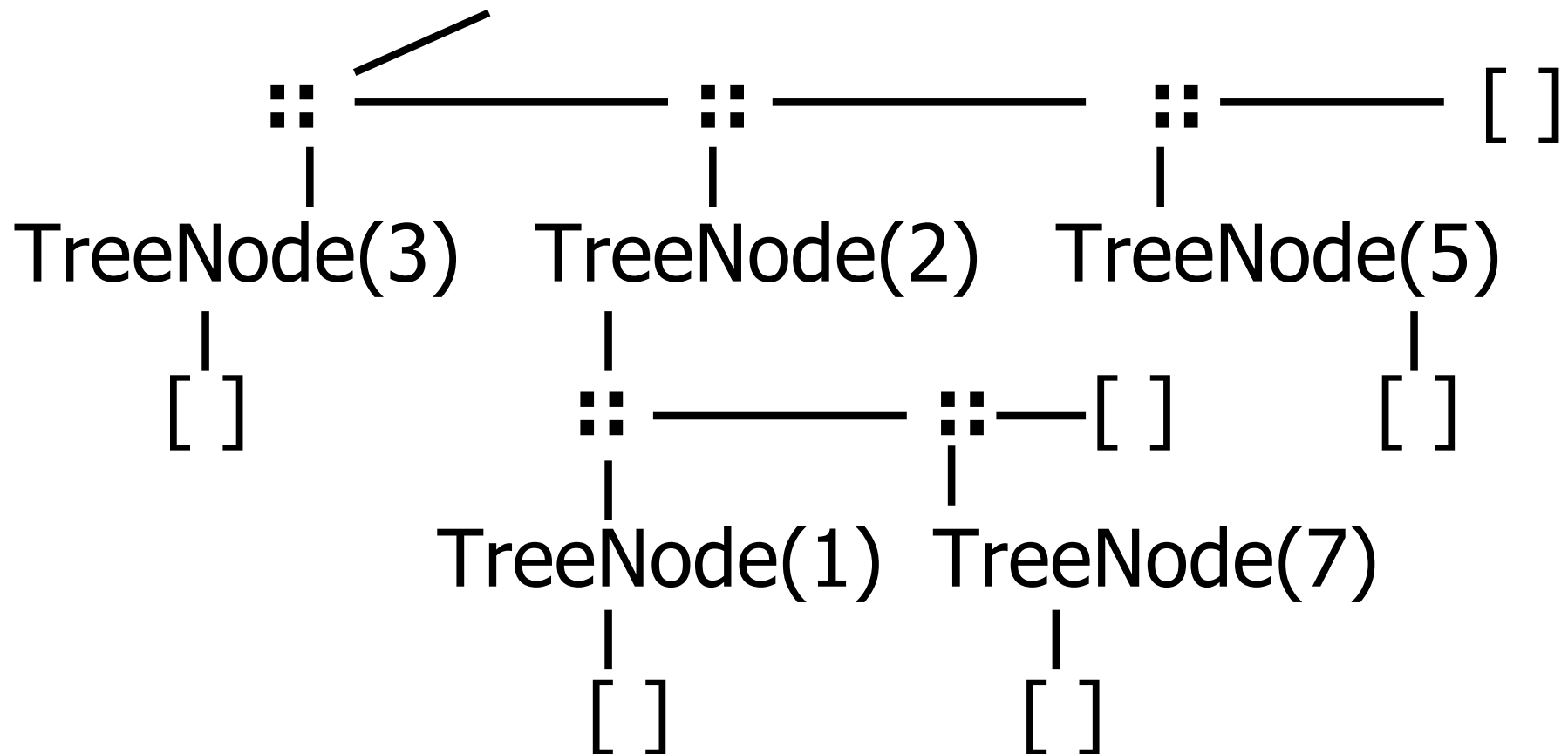
# Nested Recursive Type Values

---

```
val ltree : int labeled_tree =  
  TreeNode  
    (5,  
     [TreeNode (3, []); TreeNode (2,  
      [TreeNode (1, []); TreeNode (7, [])]);  
     TreeNode (5, [])])
```

# Nested Recursive Type Values

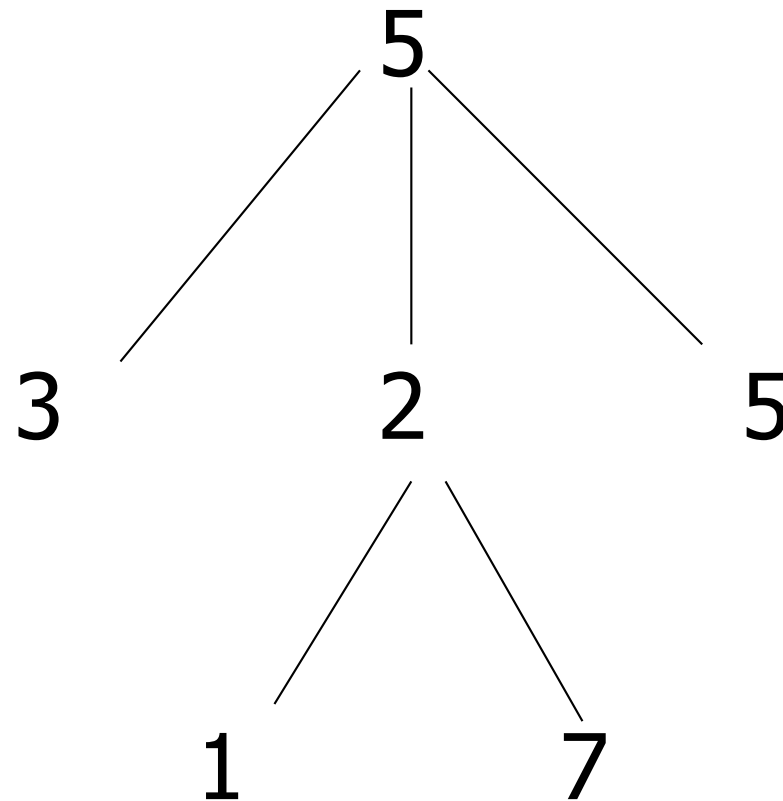
Ltree = TreeNode(5)





# Nested Recursive Type Values

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# Mutually Recursive Functions

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```
# let rec flatten_tree labtree =  
  match labtree with TreeNode (x,treelist)  
    -> x::flatten_tree_list treelist  
and flatten_tree_list treelist =  
  match treelist with [] -> []  
  | labtree::labtrees  
    -> flatten_tree labtree  
    @ flatten_tree_list labtrees;;
```



# Mutually Recursive Functions

---

```
val flatten_tree : 'a labeled_tree -> 'a list =  
  <fun>
```

```
val flatten_tree_list : 'a labeled_tree list -> 'a  
  list = <fun>
```

```
# flatten_tree ltree;;
```

```
- : int list = [5; 3; 2; 1; 7; 5]
```

- Nested recursive types lead to mutually recursive functions



# Why Data Types?

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- Data types play a key role in:
  - *Data abstraction* in the design of programs
  - *Type checking* in the analysis of programs
  - *Compile-time code generation* in the translation and execution of programs
    - Data layout (how many words; which are data and which are pointers) dictated by type



# Terminology

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- Type: A **type**  $t$  defines a set of possible data values
  - E.g. **short** in C is  $\{x \mid 2^{15} - 1 \geq x \geq -2^{15}\}$
  - A value in this set is said to have type  $t$
- Type system: rules of a language assigning types to expressions



# Types as Specifications

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- Types describe properties
- Different type systems describe different properties, eg
  - Data is read-write versus read-only
  - Operation has authority to access data
  - Data came from “right” source
  - Operation might or could not raise an exception
- Common type systems focus on types describing same data layout and access methods





# Sound Type System

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- If an expression is assigned type  $t$ , and it evaluates to a value  $v$ , then  $v$  is in the set of values defined by  $t$
- SML, OCAML, Scheme and Ada have sound type systems
- Most implementations of C and C++ do not



# Strongly Typed Language

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- When no application of an operator to arguments can lead to a run-time type error, language is *strongly typed*
  - Eg: `1 + 2.3;;`
- Depends on definition of “type error”



# Strongly Typed Language

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- C++ claimed to be “strongly typed”, but
  - Union types allow creating a value at one type and using it at another
  - Type coercions may cause unexpected (undesirable) effects
  - No array bounds check (in fact, no runtime checks at all)
- SML, OCAML “strongly typed” but still must do dynamic array bounds checks, runtime type case analysis, and other checks



# Static vs Dynamic Types

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- *Static type*: type assigned to an expression at compile time
- *Dynamic type*: type assigned to a storage location at run time
- *Statically typed language*: static type assigned to every expression at compile time
- *Dynamically typed language*: type of an expression determined at run time



# Type Checking

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- When is  $\text{op}(\text{arg1}, \dots, \text{argn})$  allowed?
- *Type checking* assures that operations are applied to the right number of arguments of the right types
  - Right type may mean same type as was specified, or may mean that there is a predefined implicit coercion that will be applied
- Used to resolve overloaded operations



# Type Checking

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- Type checking may be done *statically* at compile time or *dynamically* at run time
- Dynamically typed (aka untyped) languages (eg LISP, Prolog) do only dynamic type checking
- Statically typed languages can do most type checking statically



# Dynamic Type Checking

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- Performed at run-time before each operation is applied
- Types of variables and operations left unspecified until run-time
  - Same variable may be used at different types



# Dynamic Type Checking

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- Data object must contain type information
- Errors aren't detected until violating application is executed (maybe years after the code was written)





# Static Type Checking

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- Performed after parsing, before code generation
- Type of every variable and signature of every operator must be known at compile time



# Static Type Checking

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- Can eliminate need to store type information in data object if no dynamic type checking is needed
- Catches many programming errors at earliest point
- Can't check types that depend on dynamically computed values
  - Eg: array bounds



# Static Type Checking

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- Typically places restrictions on languages
  - Garbage collection
  - References instead of pointers
  - All variables initialized when created
  - Variable only used at one type
    - Union types allow for work-arounds, but effectively introduce dynamic type checks



# Type Declarations

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- *Type declarations*: explicit assignment of types to variables (signatures to functions) in the code of a program
  - Must be checked in a strongly typed language
  - Often not necessary for strong typing or even static typing (depends on the type system)



# Type Inference

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- *Type inference*: A program analysis to assign a type to an expression from the program context of the expression
  - Fully static type inference first introduced by Robin Miller in ML
  - Haskell, OCAML, SML all use type inference
    - Records are a problem for type inference

# Format of Type Judgments

- A *type judgement* has the form

$$\Gamma \vdash \text{exp} : \tau$$

- $\Gamma$  is a typing environment
  - Supplies the types of variables (and function names when function names are not variables)
  - $\Gamma$  is a set of the form  $\{ x : \sigma, \dots \}$
  - For any  $x$  at most one  $\sigma$  such that  $(x : \sigma \in \Gamma)$
- $\text{exp}$  is a program expression
- $\tau$  is a type to be assigned to  $\text{exp}$
- $\vdash$  pronounced “turnstile”, or “entails” (or “satisfies” or, informally, “shows”)



# Axioms – Constants (Monomorphic)

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$\Gamma \vdash n : \text{int}$  (assuming  $n$  is an integer constant)

$\Gamma \vdash \text{true} : \text{bool}$

$\Gamma \vdash \text{false} : \text{bool}$

- These rules are true with any typing environment
- $\Gamma, n$  are meta-variables



## Axioms – Variables (Monomorphic Rule)

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Notation: Let  $\Gamma(x) = \sigma$  if  $x : \sigma \in \Gamma$

**Note:** if such  $\sigma$  exists, its unique

Variable axiom:

$$\frac{}{\Gamma \vdash x : \sigma} \quad \text{if } \Gamma(x) = \sigma$$



# Simple Rules – Arithmetic (Mono)

Primitive Binary operators ( $\oplus \in \{+, -, *, \dots\}$ ):

$$\frac{\Gamma \vdash e_1 : \tau_1 \quad \Gamma \vdash e_2 : \tau_2 \quad (\oplus) : \tau_1 \rightarrow \tau_2 \rightarrow \tau_3}{\Gamma \vdash e_1 \oplus e_2 : \tau_3}$$

Special case: Relations ( $\sim \in \{<, >, =, <=, >=\}$ ):

$$\frac{\Gamma \vdash e_1 : \tau \quad \Gamma \vdash e_2 : \tau \quad (\sim) : \tau \rightarrow \tau \rightarrow \text{bool}}{\Gamma \vdash e_1 \sim e_2 : \text{bool}}$$

For the moment, think  $\tau$  is `int`



Example:  $\{x:\text{int}\} \vdash x + 2 = 3 : \text{bool}$

---

What do we need to show first?

$\{x:\text{int}\} \vdash x + 2 = 3 : \text{bool}$



Example:  $\{x:\text{int}\} \vdash x + 2 = 3 : \text{bool}$

---

What do we need for the left side?

$$\frac{\{x : \text{int}\} \vdash x + 2 : \text{int} \qquad \{x:\text{int}\} \vdash 3 : \text{int}}{\{x:\text{int}\} \vdash x + 2 = 3 : \text{bool}} \text{Bin}$$



Example:  $\{x:\text{int}\} \vdash x + 2 = 3 : \text{bool}$

---

How to finish?

$$\frac{\frac{\{x:\text{int}\} \vdash x:\text{int} \quad \{x:\text{int}\} \vdash 2:\text{int}}{\{x:\text{int}\} \vdash x + 2 : \text{int}} \text{Bin} \quad \{x:\text{int}\} \vdash 3 : \text{int}}{\{x:\text{int}\} \vdash x + 2 = 3 : \text{bool}} \text{Bin}$$



Example:  $\{x:\text{int}\} \vdash x + 2 = 3 : \text{bool}$

---

Complete Proof (type derivation)

$$\frac{\frac{\frac{}{\{x:\text{int}\} \vdash x:\text{int}}{\text{Var}} \quad \frac{}{\{x:\text{int}\} \vdash 2:\text{int}}{\text{Const}}}{\{x:\text{int}\} \vdash x + 2 : \text{int}}{\text{Bin}} \quad \frac{}{\{x:\text{int}\} \vdash 3 : \text{int}}{\text{Const}}}{\{x:\text{int}\} \vdash x + 2 = 3 : \text{bool}}{\text{Bin}}$$



# Simple Rules - Booleans

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## Connectives

$$\frac{\Gamma \vdash e_1 : \text{bool} \quad \Gamma \vdash e_2 : \text{bool}}{\Gamma \vdash e_1 \ \&\& \ e_2 : \text{bool}}$$

$$\frac{\Gamma \vdash e_1 : \text{bool} \quad \Gamma \vdash e_2 : \text{bool}}{\Gamma \vdash e_1 \ || \ e_2 : \text{bool}}$$

# Type Variables in Rules

- If\_then\_else rule:

$$\frac{\Gamma \vdash e_1 : \text{bool} \quad \Gamma \vdash e_2 : \tau \quad \Gamma \vdash e_3 : \tau}{\Gamma \vdash (\text{if } e_1 \text{ then } e_2 \text{ else } e_3) : \tau}$$

- $\tau$  is a type variable (meta-variable)
- Can take any type at all
- All instances in a rule application must get same type
- Then branch, else branch and if\_then\_else must all have same type

# Example derivation: if-then-else-

- $\Gamma = \{x:\text{int}, \text{int\_of\_float}:\text{float} \rightarrow \text{int}, y:\text{float}\}$

$\Gamma \vdash (\text{fun } y \rightarrow$

$y > 3) x \quad \Gamma \vdash x+2 \quad \Gamma \vdash \text{int\_of\_float } y$   
 $: \text{bool} \quad \quad \quad : \text{int} \quad \quad \quad : \text{int}$

---

$\Gamma \vdash \text{if } (\text{fun } y \rightarrow y > 3) x$   
 $\text{then } x + 2$   
 $\text{else } \text{int\_of\_float } y : \text{int}$





# Function Application

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- Application rule:

$$\frac{\Gamma \vdash e_1 : \tau_1 \rightarrow \tau_2 \quad \Gamma \vdash e_2 : \tau_1}{\Gamma \vdash (e_1 e_2) : \tau_2}$$

- If you have a function expression  $e_1$  of type  $\tau_1 \rightarrow \tau_2$  applied to an argument  $e_2$  of type  $\tau_1$ , the resulting expression  $e_1 e_2$  has type  $\tau_2$

750 minutes



# Example: Application

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- $\Gamma = \{x:\text{int}, \text{int\_of\_float}:\text{float} \rightarrow \text{int}, y:\text{float}\}$

$\Gamma \vdash (\text{fun } y \rightarrow y > 3)$

$: \text{int} \rightarrow \text{bool}$

$\Gamma \vdash x : \text{int}$

---

$\Gamma \vdash (\text{fun } y \rightarrow y > 3) x : \text{bool}$



# Fun Rule

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- Rules describe types, but also how the environment  $\Gamma$  may change
- Can only do what rule allows!
- fun rule:

$$\frac{\{x : \tau_1\} + \Gamma \vdash e : \tau_2}{\Gamma \vdash \text{fun } x \rightarrow e : \tau_1 \rightarrow \tau_2}$$



# Fun Examples

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$$\frac{\{y : \text{int}\} + \Gamma \vdash y + 3 : \text{int}}{\Gamma \vdash \text{fun } y \rightarrow y + 3 : \text{int} \rightarrow \text{int}}$$
$$\frac{\{f : \text{int} \rightarrow \text{bool}\} + \Gamma \vdash f \ 2 :: [\text{true}] : \text{bool list}}{\Gamma \vdash (\text{fun } f \rightarrow (f \ 2) :: [\text{true}]) : (\text{int} \rightarrow \text{bool}) \rightarrow \text{bool list}}$$



# (Monomorphic) Let and Let Rec

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- let rule:

$$\frac{\Gamma \vdash e_1 : \tau_1 \quad \{x : \tau_1\} + \Gamma \vdash e_2 : \tau_2}{\Gamma \vdash (\text{let } x = e_1 \text{ in } e_2) : \tau_2}$$

- let rec rule:

$$\frac{\{x : \tau_1\} + \Gamma \vdash e_1 : \tau_1 \quad \{x : \tau_1\} + \Gamma \vdash e_2 : \tau_2}{\Gamma \vdash (\text{let rec } x = e_1 \text{ in } e_2) : \tau_2}$$



# Curry - Howard Isomorphism

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- Type Systems are logics; logics are type systems
- Types are propositions; propositions are types
- Terms are proofs; proofs are terms
  
- Function space arrow corresponds to implication; application corresponds to modus ponens



# Curry - Howard Isomorphism

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- Modus Ponens

$$\frac{A \Rightarrow B \quad A}{B}$$

- Application

$$\frac{\Gamma \vdash e_1 : \alpha \rightarrow \beta \quad \Gamma \vdash e_2 : \alpha}{\Gamma \vdash (e_1 e_2) : \beta}$$