

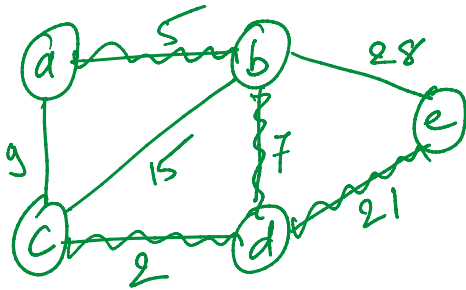
# Minimum Spanning Trees (MST)

Given undirected connected graph

$$G = (V, E), w: E \rightarrow \mathbb{R}^+$$

Find a (connected subgraph that covers all the vertices) minimizes the total weight of the selected edges  
 // spanning all vertices

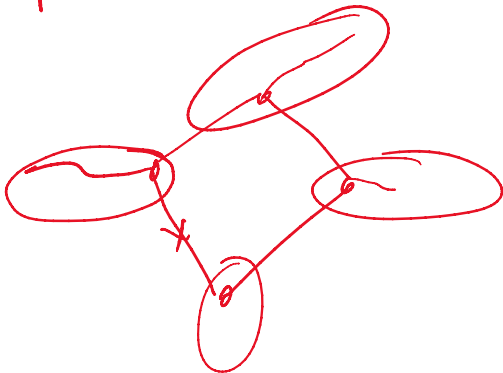
e.g.



Possible sol'n  
 $5 + 15 + 2 + 28 = 50$

Better sol'n  
 $2 + 7 + 5 + 21 = 35$   
 Best

Obs 1: opt sol'n acyclic?



Removing any one edge from the cycle will still keep the graph connected.

⇓  
 opt sol'n is acyclic, undirected

|||  
 Tree

Obs 2: A tree w/ n nodes

Obs 2: A tree w/  $n$  nodes has  $(n-1)$  edges.

Idea 0: Try all possible trees & pick w/ min weight. (exponential!)

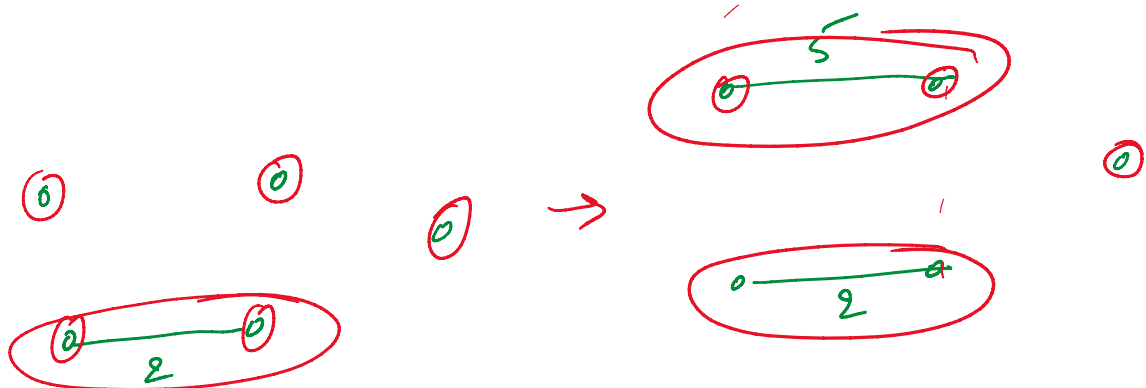
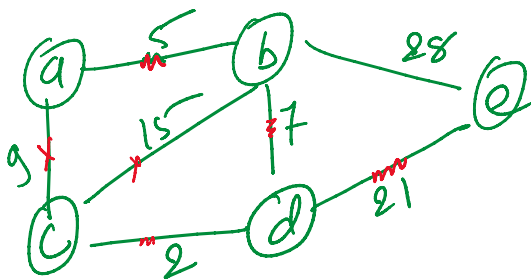
Idea 1: Greedy.

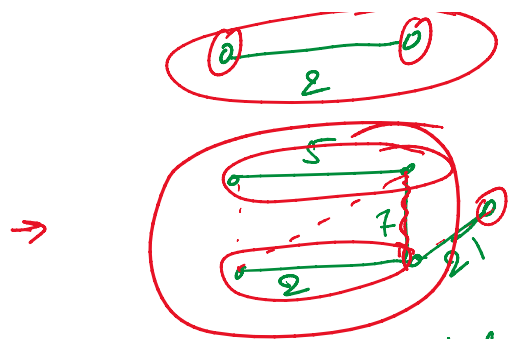
Kruskal's Alg'm (1956):

1.  $T = \emptyset$
2. repeat {
3. pick edge  $e$  w/ smallest weight that hasn't been considered yet.
4. if  $T \cup \{e\}$  does not have a cycle
5. insert  $e$  into  $T$  ( $T = T \cup \{e\}$ )
- } until all vertices are connected.

Runtime  
 $O(m^2)$

e.g.

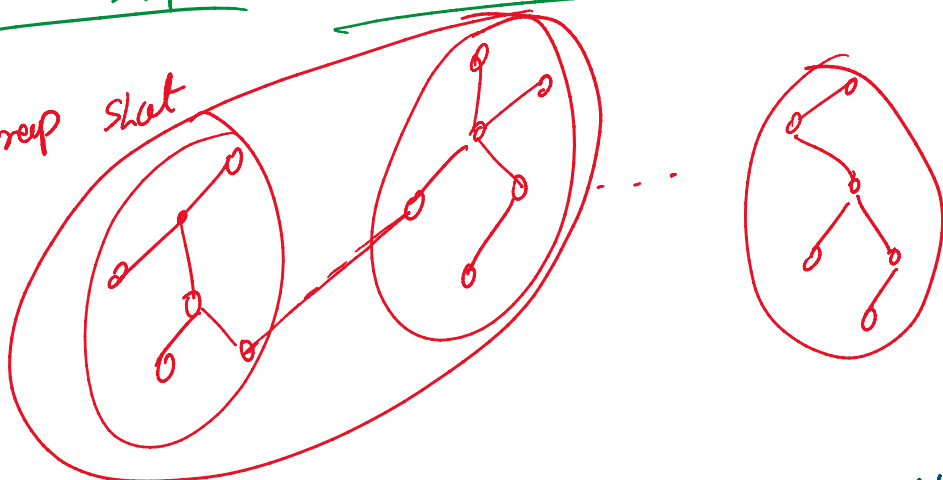




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★ Better Implementation using Union Find Data Structure

Step 1



1. Sort edges in decreasing order of weights.

2. Create  $\{v\}$ ,  $v \in V$

3. for each edge  $(u, v)$  in the order. {

→ 4. if  $u$  &  $v$  are in two disjoint "sets"

→ 5. output  $(u, v)$ . Union the sets of  $u$  &  $v$ .  
or add  $(u, v)$  to tree

}

Runtime:

line 4:  $O(\alpha(n))$  inverse Ackermann Func

$\alpha(n) \ll \log \log \log \dots \log n$

line 5:  $O(1)$

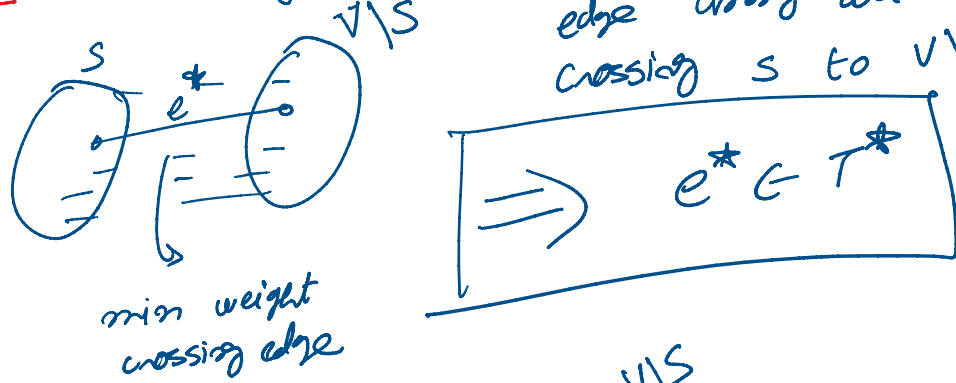
Total time:  $O(m \log m + m \alpha(n)) = \frac{O(m \log m)}{(m \leq n^2)} = O(m \log m)$

Total time:  $O(n^2)$

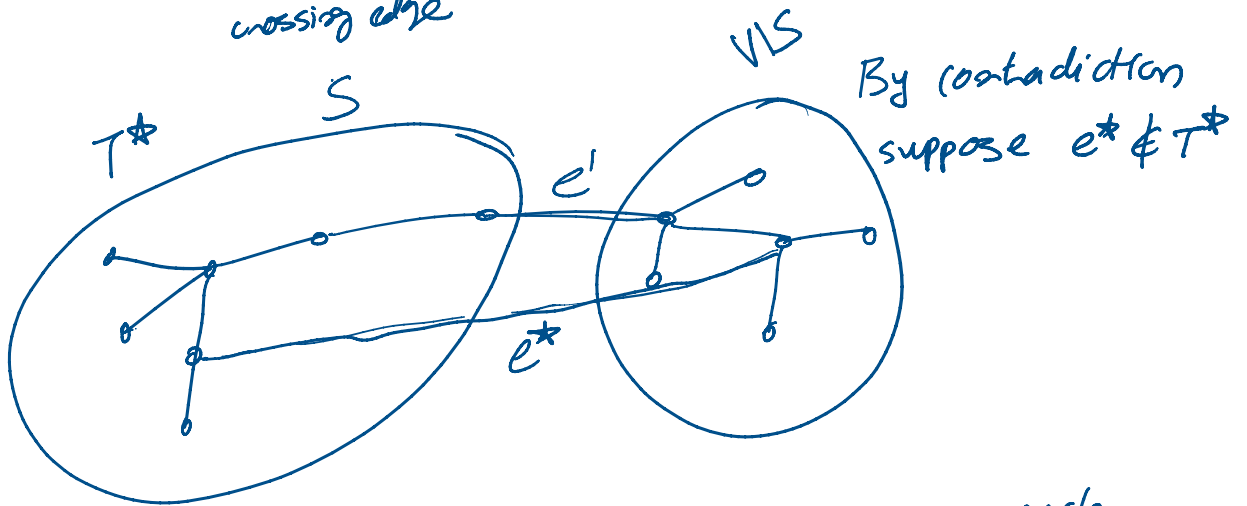
( $m \leq n^2$ )

★ Connectness Pf:  $T^*$  is MST, (Assume all weights are different)

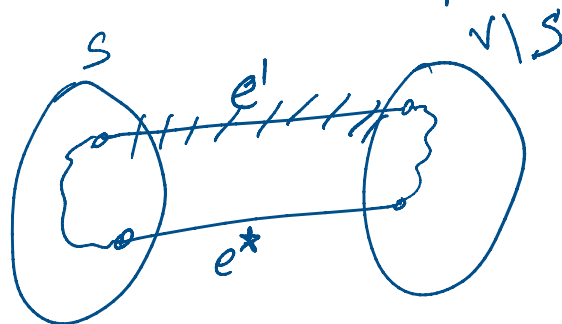
Key Lemma: For any  $S \subseteq V$ ,  $e^*$  be min weight edge among all edges crossing  $S$  to  $V \setminus S$



Pf:



(consider  $T^* \cup \{e^*\}$ . It must have a cycle passing through  $e^*$ .)



$w(e^*) < w(e')$

(exchange argument)

$\tilde{T} = T^* \cup \{e^*\} \setminus \{e'\}$  is also a spanning tree

...  $T^* \setminus \{e'\} \cup \{e^*\}$

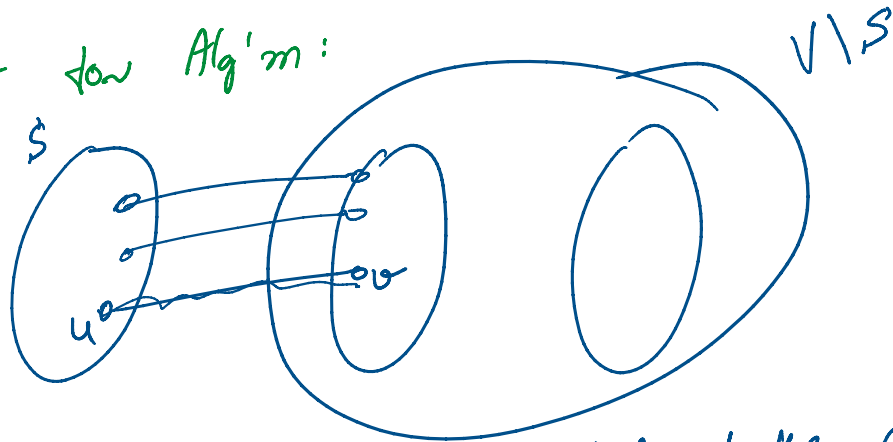


$$\tilde{T} = T^* \cup \{e^*\} - \{e'\}$$

$$w(\tilde{T}) = w(T^*) + w(e^*) - w(e') < w(T^*) \quad (\because w(e^*) - w(e') < 0)$$

(contradiction to  $T^*$  being MST.  $\blacksquare$ )

Connected PF for Alg'm:



Let  $(u, v)$  be an edge added at the current iteration.

$S$  = be the component / set of  $u$ .

then  $(u, v)$  is the min weight crossing edge for set  $S$ .

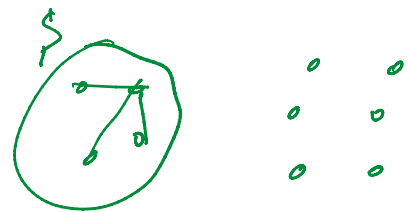
$\Rightarrow (u, v) \in T^*$  (in MST) by the Key Lemma  $\blacksquare$

Prim's Alg'm (1957): (Dijkstra like)

1.  $S = \{s\}, T = \emptyset$

2. while  $S \neq V$  {

n.l. an edge  $(u, v) \in E, \underline{u \in S, v \notin S}$



2. while  $T \neq L$

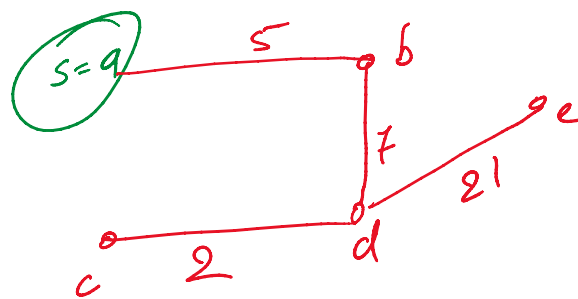
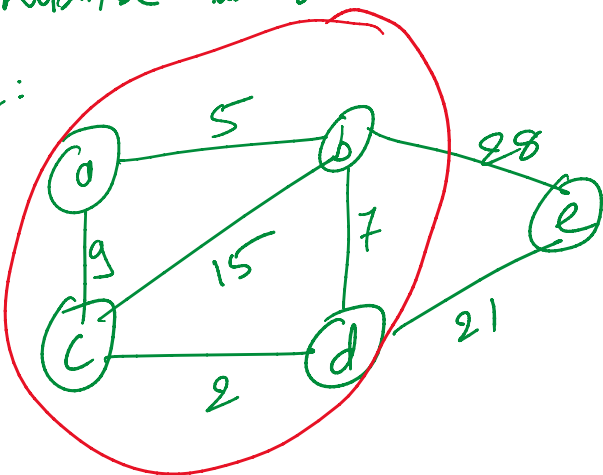
3. Pick an edge  $(u, v) \in E, u \in S, v \notin S$   
with minimum weight.

4. Add  $(u, v)$  to  $T$  &  $v \in S'$   
( $T = T \cup \{(u, v)\}$ ) ( $S' = S' \cup \{v\}$ )

}

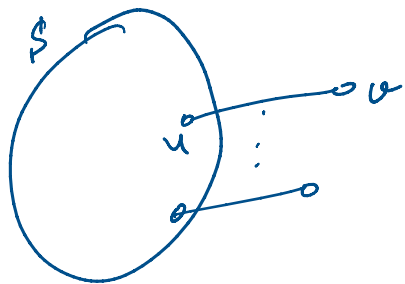
Runtime using Fibonacci heap is  $O(n \log n + m)$

eg:



\* correctness pf: (Just by the key lemma).

In any iteration of the Alg'm. let  $S$  be the current set of connected vertices.



We picked  $(u, v), u \in S, v \notin S$  w/ min weight

$\Rightarrow (u, v)$  is the min weight crossing edge for set  $S$ .

$\Rightarrow (u, v) \in \text{MST}$  by the key lemma  $\blacksquare$

## History:

Boruvka (1926)  $O(m \log n)$   
K: (1956)  $O(m \log n)$  ( $m \sim O(n^2)$ )  
P: (1957)  $O(n \log n)$

Yao (1975)  $O(m \log \log n)$

Fredman, Tamjan (1985)  $O(m \log^* n)$

Karger, Klein, Tamjan (1994)  $O(m)$  Randomized.

Chazelle (1997)  $O(m \alpha(m))$  Det.

Pattie & Ramachandram (2001)  $O(\tau^*(m, m))$  Det.  
 $\leq O(m \alpha(m))$

$O(m)$  OPEN! det