

# Backtracking

Recursion + try all possible sol'n.  
(Reuse)

Prob 1: Maximum Independent set. (MIS)

Given undirect graph

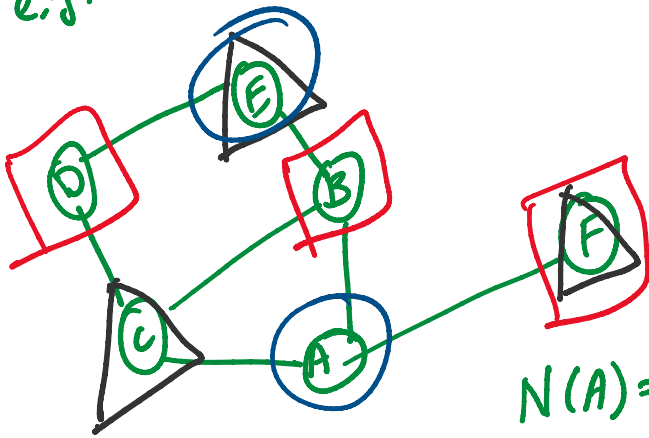
$$n = |V|$$

$$m = |E|$$

$$G = (V, E).$$

Optimization Problems } Find  $S \subseteq V$  maximizes  $|S|$   
s.t.  $\forall u, v \in S, (u, v) \notin E$

e.g.



$$S = \{B, D, F\} \rightarrow \text{opt. sol'n}$$

$$S = \{E, C, F\} \rightarrow$$

$$S = \{A, E\}$$

$$N(A) = \{C, B, F\}$$

Alg'm 0: Brute force

Try all possible subsets  $S \subseteq V$   
& check if  $S$  is I.S.

$$\text{Time: } O(2^n \cdot m)$$

# Alg'm I: Backtracking.

idea: pick  $v \in V$

case I:  $v$  is not in opt. sol'n  
 then remove  $v$  & all its  
 incident edges

recurse on  $(G - v)$

case II:  $v$  is in the opt. sol'n

$S \leftarrow S \cup \{v\}$ . & recurse on

$G - v - N(v)$ , friends of  $v$

where  $N(v) = \{u \mid (u, v) \in E\}$

## MIS(G) {

// return the "size" of the max. ind. set.

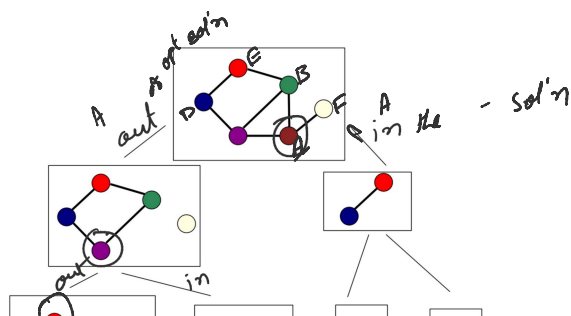
OG)  $\rightarrow$  1. If  $n=0$  return 0.

2. Pick vertex  $v$  in  $G$ .

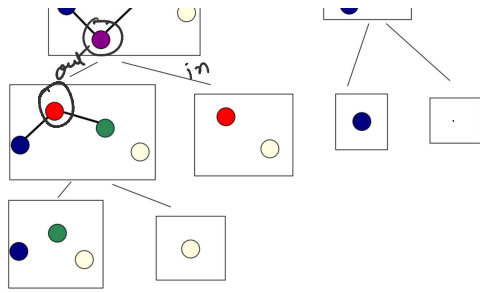
3. return  $\max \{ MIS(G - v),$

$1 + MIS(G - v - N(v)) \}$

}



Recursion will  
 force bottom-up  
 evaluation



evaluation  
 ↓  
 Backtracking.

Time:  $T(n) = T(n-1) + T(n-1 - \text{deg}(v)) + O(n)$

Analysis 0:  $\text{deg}(v) \geq 0$

$$T(n) \leq T(n-1) + T(n-1) + O(n)$$

$$= 2T(n-1) + O(n)$$

$$= 2 \cdot (2T(n-2)) + 3O(n)$$

$$= O(2^n) + O(2^n) \cdot O(n) = O(2^n \cdot n)$$

Analysis I:

can include all  $\text{deg}=0$  vertices <sup>isolated.</sup>  
 in opt sol'n.

So just remove them from the graph. & put them in the sol'n. up to root.

$$\text{deg}(v) \geq 1 \Rightarrow T(n) \leq T(n-1) + T(n-1-1) + O(n)$$

$$= T(n-1) + T(n-2) + O(n)$$

$$= O(2^n \cdot n)$$

$$= O(\underline{x^n} \cdot n)$$

[ Fibonacci #s:

$$\underline{F_n} = F_{n-1} + F_{n-2} \quad \text{if } n > 2$$

= base case if  $n = 1, 2$

guess  $F_n \leq x^n$

$$(x^n = x^{n-1} + x^{n-2}) \div \frac{1}{x^{n-2}}$$

$$\Rightarrow x^2 = x + 1 \quad \leftarrow$$

$$\Rightarrow \left[ x = \frac{1 + \sqrt{5}}{2} \right] \sim 1.618 \dots$$

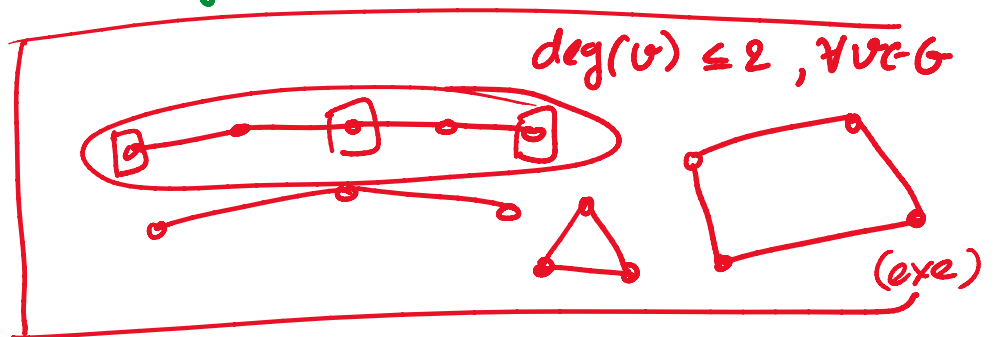
Golden ratio

$$O((1.618)^n \cdot n)$$

Analysis:

idea: 2. Pick vertex  $v$  with maximum deg.

Base case: if  $\deg(v) \leq 2$  then solve directly.



$$\deg(v) \geq 3.$$

$$\Rightarrow T(n) \leq T(n-1) + T(n-4) + O(n)$$

$$\Rightarrow T(n) \leq T(n-1) + T(n-4) + O(n)$$

$$= O((1.381)^n \cdot n)$$

$x^4 = x + 1$

Best known?  $O(1.1991^n)$

[xiao & Nagorochi '17]

## Problem 2: Longest Increasing Subsequence

Given a sequence of #s.

$a_1, a_2, \dots, a_n$

Find a subseq  $a_{i_1}, a_{i_2}, \dots, a_{i_k}$  maximal

s.t.  $i_1 < i_2 < \dots < i_k$

$a_{i_1} < a_{i_2} < \dots < a_{i_k}$

eg.: 8, 2, 3, 1, 10, 5, 17, 3, 9, 7, 12.

$\uparrow \uparrow \quad \uparrow \quad \uparrow \uparrow \leftarrow \text{opt. sol'n}$

Alg'm 0: Brute force

Try all subseq. & check if increasing

$O(2^n \cdot n)$

Alg'm 1: Backtracking

care I:  $a_n$  is not in opt. sol'n.

... ,  $a_{n-1}$

care I:  $a_n$  is not in  
recurse on  $\langle a_1, \dots, a_{n-1} \rangle$

care II:  $a_n$  is in opt. sol'n.

recurse on  $\langle a_1, \dots, a_{n-1} \rangle$

but only consider ele  $< a_n$ .

$LIS(\langle a_1, \dots, a_n \rangle, X)$  {  
// return "size" LIS s.t. every  
ele. in the subseq  $< X$   
value of last ele taken

0(1)  $\rightarrow$  1. if  $n=0$  return 0.

0(1)  $\rightarrow$  2. if  $a_n < X$

return  $\max \{ LIS(\langle a_1, \dots, a_{n-1} \rangle, X)$

$\underline{1 + LIS(\langle a_1, \dots, a_{n-1} \rangle, a_n)} \}$

3. else return  $LIS(\langle a_1, \dots, a_{n-1} \rangle, X)$

}

$LIS\_main(\langle a_1, \dots, a_n \rangle)$

{  $LIS(\langle a_1, \dots, a_n \rangle, 0)$  }

Analysis 0:

$$T(n) = 2T(n-1) + O(1)$$

$$= \boxed{O(2^n)}$$

Alternate View:

What is # of distinct subproblems the algo solves?

$$n \cdot (n+1) = n^2 + n$$

↑  
# prefixes of the seq.  
" parameter 1

→ # possible values of  $x$  including ↪

We are solving the same problems over & over again!

idea: Store & Reuse.  
Memoization

$$\boxed{a_{n+1} = \infty}$$

$L[i,j]$  stores sol'n for i/p para.  $(\langle a_1, \dots, a_i \rangle, X = a_j)$

$$\boxed{L[i,j] = \text{un-det}}$$

$$a_{n+1} = \infty$$

... .. memoization:

Alg'm 2 w/ memorization:

$$L[1,1] = 1$$
$$a_{n+1} = \infty$$

$LIS(i, j)$  {  
// returns size of LIS in  $\langle a_1, \dots, a_i \rangle$   
where  $a_i \leq a_j$

1. if  $i=0$  then return  $L[i, j]=0$

2. if  $L[i, j] \neq \text{undef}$  return  $L[i, j]$

3. if  $a_i \leq a_j$  then

$$\text{return } L[i, j] = \max \left\{ \begin{array}{l} LIS(i-1, j), \\ 1 + LIS(i-1, i) \end{array} \right\}$$

4. else return  $L[i, j] = LIS(i-1, j)$

}