

P, NP, and NP-Completeness

Which decision problems are "easy" / "hard"?

Exs CYCLE

polytime Input: graph G
Output: yes iff \exists cycle in G

LCS:

polytime Input: 2 sequences, number K
Output: yes iff \exists common subseq of length $\geq K$

SHORTEST-CYCLE:

polytime Input: graph G , number K
Output: yes iff \exists cycle in G of length $\leq K$

LONGEST-CYCLE:

hard? Input: graph G , number K
Output: yes iff \exists ^(simple) cycle in G of length $\geq K$

HAMILTONIAN-CYCLE:

hard? Input: graph G
Output: yes iff \exists cycle in G that visits every vertex exactly once

VERTEX-COVER:

hard? Input: undir graph $G = (V, E)$, number K
Output: yes iff \exists subset $S \subseteq V$ of size $\leq K$
st. $\forall uv \in E \Rightarrow u \in S$ or $v \in S$

INDEPENDENT-SET:

hard? Input: undir graph $G = (V, E)$, number K
Output: yes iff \exists subset $S \subseteq V$ of size $\geq K$
st. $\forall u, v \in S \Rightarrow uv \notin E$.

CLIQUE:

hard? Input: undir. graph $G = (V, E)$, number K
Output: yes iff \exists subset $S \subseteq V$ of size $\geq K$
st. $\forall u, v \in S \Rightarrow uv \in E$

SET-COVER:

hard? Input: set U of elements, collection \mathcal{C} of subsets of U ,
number K
Output: yes iff \exists subcollection $\mathcal{A} \subseteq \mathcal{C}$
st. union is U .

EDGE-COVER:

polytime!
(CS473) Input: undir graph $G = (V, E)$, number K
Output: yes iff \exists subset of edges $S \subseteq E$ st.
 $\forall u \in V, \exists v$ with $uv \in S$.

2-COLORING:

polytime Input: undir graph $G = (V, E)$
Output: yes iff $\exists \varphi: V \rightarrow \{\text{red}, \text{blue}\}$ st.
 $\forall uv \in E, \varphi(u) \neq \varphi(v)$

3-COLORING:

hard? Input: undir graph $G = (V, E)$
Output: yes iff $\exists \varphi: V \rightarrow \{\text{red}, \text{green}, \text{blue}\}$ st.
 $\forall uv \in E, \varphi(u) \neq \varphi(v)$

3SUM:

polytime Input: set S of n numbers, value W
Output: yes iff $\exists a, b, c \in S$ st. $a+b+c=W$

SUBSET-SUM:

hard? Input: set S of n numbers, value W
Output: yes iff \exists subset $T \subseteq S$ that sums to W

⋮

Def $P =$ all decision problems that has polytime algms

Def $NP =$ all decision problems that can be expressed in the form

Input: object x
Output: yes iff \exists object y s.t.
some condition $R(x,y)$
is true.

where ① object y has poly size
② condition $R(x,y)$ can be verified
in polytime.

Certificate

Ex

LONGEST-CYCLE $\in NP$:

① certificate: cycle C

② condition to verify:

\leftarrow poly size

$\left. \begin{array}{l} |C| \geq K \ \& \\ C \text{ is simple.} \end{array} \right\}$

checked in polytime.

VERTEX-COV $\in NP$:

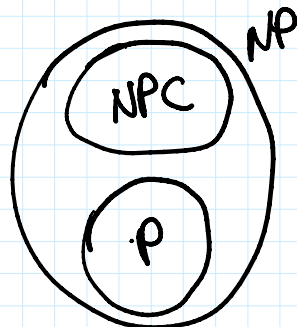
① certificate: subset $S \subseteq V$

② condition to verify: $\forall uv \in E, u \in S \text{ or } v \in S$

$\& |S| \geq k.$

\nearrow polytime $O(m)$

Note: $NP =$ Nondeterministic. Polytime



Fact

$D \subset NP \subset EXPTIME$

\swarrow Solvable
in $2^{P(n)}$ time
for some
monomial

Fact $P \subseteq NP \subseteq EXPTIME$ for some polynomial $p(n)$.

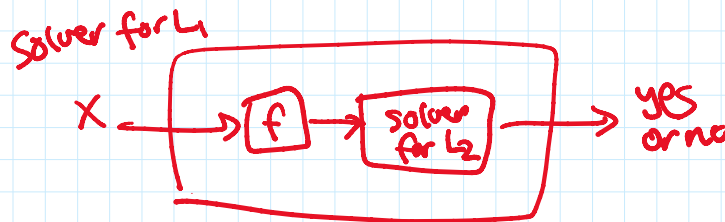
Pf: $(P \subseteq NP)$ ignore certificate
 $(NP \subseteq EXPTIME)$ brute force try all certificates \square

"Million-Dollar" Conjecture $P \neq NP.$

Q: Assuming $P \neq NP$,
 how to identify candidate hard problems?

idea - consider the "hardest" problem in NP

Recall: L_1 poly-time reduces to L_2
 iff there is polytime computable fn f st.
 $\forall x$, output of L_1 on x is yes
 \iff output of L_2 on $f(x)$ is yes



iff $L_1 \leq_p L_2$

Def L is NP-hard iff $\forall L' \in NP, L' \leq_p L.$

Def L is NP-complete iff $\textcircled{1} L \in NP$ and $\textcircled{2} L$ is NP-hard.



Q: Does NPC problem exist?
Yes!

The "First" NPC Problem: Satisfiability (SAT)

Input: Boolean formula in n vars
 $F(x_1, \dots, x_n)$

Output: yes iff \exists assignment of Boolean values
to x_1, \dots, x_n s.t. F evaluates to true

e.g. $F(x_1, x_2, x_3) = (x_1 \vee \overline{x_2} \vee \overline{x_3}) \wedge \overline{x_2}$

yes $(x_1=1, x_2=0, x_3=0)$

$\left(\begin{array}{l} \vee \text{ or} \\ \wedge \text{ and} \end{array} \right)$

Cook-Levin Thm (1971)

SAT is NP-complete.

Pf. Sketch: ① SAT \in NP. ✓

② $\forall L \in$ NP, $L \leq_p$ SAT.

where L is of form:

Input: x

Output: yes iff $\exists y$ s.t. $R(x, y)$
is true

polynomially
verifiable
by algm

idea-

Convert Δ into a Boolean formula

define vars $z_{ij} = i^{\text{th}}$ bit of memory
during step j .

!

□