Intro. Algorithms & Models of Computation CS/ECE 374A, Fall 2024

Breadth First Search, Dijkstra's Algorithm for Shortest Paths

Lecture 17 Tuesday, October 29, 2024

^LATEXed: October 29, 2024 09:55

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17.1 Maps as graphs

Maps as graphs

Maps as graphs II

- 1. Map was downloaded from <https://www.openstreetmap.org>
- 2. Open source alternative to google map.
- 3. Nice app (can download maps) $+$ routing.
- 4. Graphs are everywhere, and easy to get and use.

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17.2 Breadth First Search

Breadth First Search (BFS)

Overview

- (A) **BFS** is obtained from **BasicSearch** by processing edges using a queue data structure.
- (B) It processes the vertices in the graph in the order of their shortest distance from the vertex s (the start vertex).

As such...

- 1. DFS good for exploring graph structure
- 2. BFS good for exploring distances

xkcd take on DFS

I REALLY NEED TO STOP I KING DEPTH-EIRST SEARCHES

Queue Data Structure

Queues

A queue is a list of elements which supports the operations:

- 1. enqueue: Adds an element to the end of the list
- 2. dequeue: Removes an element from the front of the list

Elements are extracted in first-in first-out (FIFO) order, i.e., elements are picked in the order in which they were inserted.

BFS Algorithm

Given (undirected or directed) graph $G = (V, E)$ and node $s \in V$

```
BFS(s)Mark all vertices as unvisited
Initialize search tree T to be empty
Mark vertex s as visited
set Q to be the empty queue
enqueue(Q, s)while Q is nonempty do
    u = dequeue(Q)
    for each vertex v \in \text{Adj}(u)if \nu is not visited then
            add edge (u, v) to TMark v as visited and enqueue(v)
```
Proposition 17.1. BFS(s) runs in $O(n + m)$ time.

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17.2.1 BFS with distances and layers

BFS with distances

```
BFS(s)Mark all vertices as unvisited; for each v set dist(v) = \inftyInitialize search tree T to be empty
Mark vertex s as visited and set dist(s) = 0set Q to be the empty queue
enqueue(Q, s) // insert s to Qwhile Q is nonempty do
    u = dequeue(Q)
    for each vertex v \in \text{Adj}(u) do
        if v is not visited do
            add edge (u, v) to TMark v as visited
            enqueue(Q, v)dist(v) \Leftarrow dist(u) + 1
```
Properties of BFS: Undirected Graphs

Theorem 17.2.

The following properties hold upon termination of $BFS(s)$

- (A) Search tree contains exactly the set of vertices in the connected component of s.
- (B) If $dist(u) < dist(v)$ then u is visited before v.
- (C) For every vertex u , dist(u) is the length of a shortest path (in terms of number of edges) from s to **u**.
- (D) If u, v are in connected component of s and $e = \{u, v\}$ is an edge of G, then $|dist(u) - dist(v)| \leq 1.$

Properties of BFS: Directed Graphs

Theorem 17.3.

The following properties hold upon termination of $BFS(s)$:

- (A) The search tree contains exactly the set of vertices reachable from s
- (B) If $dist(u) < dist(v)$ then u is visited before v
- (C) For every vertex u, $dist(u)$ is indeed the length of shortest path from s to u
- (D) If u is reachable from s and $e = (u, v)$ is an edge of G, then $dist(v) - dist(u) \leq 1$.

Not necessarily the case that $dist(u) - dist(v) \leq 1$.

BFS with Layers

```
BFSLayers(s):
Mark all vertices as unvisited and initialize T to be empty
Mark s as visited and set L_0 = \{s\}i = 0while L_i is not empty do
         initialize L_{i+1} to be an empty list
        for each u in L_i do
             for each edge (u, v) \in \text{Adj}(u) do
                 if v is not visited
                      mark v as visited
                      add (u, v) to tree T
                      add v to L_{i+1}i = i + 1
```
Running time: $O(n + m)$

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```
Running time: $O(n + m)$

Example

BFS with Layers: Properties

Proposition 17.4.

The following properties hold on termination of **BFSLayers** (s) .

- 1. **BFSLayers(s)** outputs a **BFS** tree
- $2.$ $\boldsymbol{L_i}$ is the set of vertices at distance exactly \boldsymbol{i} from \boldsymbol{s}
- 3. If G is undirected, each edge $e = \{u, v\}$ is one of three types:
	- 3.1 tree edge between two consecutive layers
	- 3.2 non-tree forward/backward edge between two consecutive layers
	- 3.3 non-tree cross-edge with both u, v in same layer
	- 3.4 \implies Every edge in the graph is either between two vertices that are either (i) in the same layer, or (ii) in two consecutive layers.

BFS with Layers: Properties

For directed graphs

Proposition 17.5.

The following properties hold on termination of **BFSLayers** (s) , if G is directed. For each edge $e = (u, v)$ is one of four types:

- 1. a tree edge between consecutive layers, $u \in L_i$, $v \in L_{i+1}$ for some $i > 0$
- 2. a non-tree forward edge between consecutive layers
- 3. a non-tree backward edge
- 4. a cross-edge with both u, v in same layer

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17.3 Shortest Paths and Dijkstra's Algorithm

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17.3.1 Problem definition

Shortest Path Problems

Shortest Path Problems

Input A (undirected or directed) graph $G = (V, E)$ with edge lengths (or costs). For edge $e = (u, v)$, $\ell(e) = \ell(u, v)$ is its length.

- 1. Given nodes s, t find shortest path from s to t .
- 2. Given node s find shortest path from s to all other nodes.
- 3. Find shortest paths for all pairs of nodes.

Many applications!

Shortest Path Problems

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Many applications!
Single-Source Shortest Paths:

Non-Negative Edge Lengths

- 1. Single-Source Shortest Path Problems
	- 1.1 Input: A (undirected or directed) graph $G = (V, E)$ with non-negative edge lengths. For edge $e = (u, v)$, $\ell(e) = \ell(u, v)$ is its length.
	- 1.2 Given nodes s, t find shortest path from s to t .
	- 1.3 Given node s find shortest path from s to all other nodes.
- 2. 2.1 Restrict attention to directed graphs
	- 2.2 Undirected graph problem can be reduced to directed graph problem how?
		- 2.2.1 Given undirected graph G , create a new directed graph G' by replacing each edge $\{u, v\}$ in G by (u, v) and (v, u) in G'.
		- 2.2.2 set $\ell(u, v) = \ell(v, u) = \ell({u, v})$
		- 2.2.3 Exercise: show reduction works. Relies on non-negativity!

Single-Source Shortest Paths:

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		- 2.2.3 Exercise: show reduction works. Relies on non-negativity!

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17.3.2 Shortest path via continuous Dijkstra

Animation

See animation here: <https://youtu.be/t7UjtzqIXSA> Also: <https://youtu.be/pktZ1QOA67s>

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17.3.3 Shortest path in the weighted case using BFS

Single-Source Shortest Paths via BFS

1. Special case: All edge lengths are 1.

1.1 Run $BFS(s)$ to get shortest path distances from s to all other nodes. 1.2 $O(m + n)$ time algorithm.

2. **Special case:** Suppose $\ell(e)$ is an integer for all e ? Can we use BFS? Reduce to unit edge-length problem by placing $\ell(e) - 1$ dummy nodes on e.

Single-Source Shortest Paths via BFS

1. Special case: All edge lengths are 1.

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2. Special case: Suppose $\ell(e)$ is an integer for all e?

Can we use BFS? Reduce to unit edge-length problem by placing $\ell(e) - 1$ dummy nodes on e.

Example of edge refinement

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Shortest path using BFS

Let $L = \max_e \ell(e)$. New graph has $O(mL)$ edges and $O(mL + n)$ nodes. BFS takes $O(mL + n)$ time. Not efficient if L is large.

Why does BFS kind of works?

Why does **BFS** work? BFS(s) explores nodes in increasing distance from s

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17.3.4 On the hereditary nature of shortest paths

You can not shortcut a shortest path

Lemma 17.1.

G: directed graph with non-negative edge lengths. $dist(s, v)$: shortest path length from s to v. If $s = v_0 \rightarrow v_1 \rightarrow v_2 \rightarrow \ldots \rightarrow v_k$ shortest path from s to v_k then for any $0 < i < j < k$ $v_i \rightarrow v_{i+1} \rightarrow \ldots \rightarrow v_i$ is shortest path from v_i to v_j

Suppose not. Then for some $\mathbf{0} \leq \mathbf{i} < \mathbf{j} \leq \mathbf{k}$ there is a path P' from \mathbf{v}_i to \mathbf{v}_j of length strictly less than that of $s=\mathsf{v}_{i}\to\mathsf{v}_{i+1}\to\ldots\to\mathsf{v}_{j}.$ Then the path

$$
s = v_0 \to v_1 \to \cdots \to v_i \bullet P' \bullet v_j \to v_{j+1} \to \cdots \to v_k
$$

is a strictly shorter path from s to v_k than $s = v_0 \rightarrow v_1 \dots \rightarrow v_k$.

You can not shortcut a shortest path

Lemma 17.1.

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Proof.

Suppose not. Then for some $\pmb{0}\leq \pmb{i}<\pmb{j}\leq \pmb{k}$ there is a path $\pmb{P'}$ from $\pmb{\nu_i}$ to $\pmb{\nu_j}$ of length strictly less than that of $s = v_i \rightarrow v_{i+1} \rightarrow \ldots \rightarrow v_j$. Then the path

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is a strictly shorter path from s to v_k than $s = v_0 \rightarrow v_1 \ldots \rightarrow v_k$.

A proof by picture

A proof by picture

What we really need...

Corollary 17.2.

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1. $s = v_0 \rightarrow v_1 \rightarrow v_2 \rightarrow \ldots \rightarrow v_i$ is shortest path from s to v_i

2. dist(s, v_i) \le dist(s, v_k). Relies on non-neg edge lengths.

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17.3.5 The basic algorithm: Find the ith closest vertex

A Basic Strategy

Explore vertices in increasing order of distance from s .

(For simplicity assume that nodes are at different distances from s and that no edge has zero length)

```
Initialize for each node v, dist(s, v) = \inftyInitialize X = \{s\},\for i = 2 to |V| do
(* Invariant: X contains the i-1 closest nodes to s *)
Among nodes in V - X, find the node v that is the
        ith closest to s
Update dist(s, v)X = X \cup \{v\}
```
How can we implement the step in the for loop?

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```
How can we implement the step in the for loop?

- 1. X contains the $i 1$ closest nodes to s
- 2. Want to find the *i*th closest node from $V X$.

What do we know about the *i*th closest node?

Claim 17.3.

Let P be a shortest path from s to v where v is the *ith* closest node. Then, all intermediate nodes in P belong to X .

If P had an intermediate node u not in X then u will be closer to s than v. Implies v is not the *i*th closest node to s - recall that X already has the $i - 1$ closest nodes.

- 1. X contains the $i 1$ closest nodes to s
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Proof

If P had an intermediate node u not in X then u will be closer to s than v. Implies v is not the *i*th closest node to s - recall that X already has the $i - 1$ closest nodes.

Corollary 17.4.

The ith closest node is adjacent to X .
Summary

Proved that the basic algorithm is (intuitively) correct... ...but is missing details ...and how to implement efficiently?

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17.3.6 How to compute the ith closest vertex?

- 1. X contains the $i 1$ closest nodes to s
- 2. Want to find the *i*th closest node from $V X$.
- 1. For each $u \in V X$ let $P(s, u, X)$ be a shortest path from s to u using only nodes in X as intermediate vertices.
- 2. Let $d'(s, u)$ be the length of $P(s, u, X)$

Observations: for each $u \in V - X$,

- 1. dist(s, u) $\leq d'(s, u)$ since we are constraining the paths
- 2. $d'(s, u) = \min_{t \in X} (\text{dist}(s, t) + \ell(t, u))$ Why?

Lemma 17.5 (d' has the right value for *i*th vertex).

If **v** is the ith closest node to **s**, then $d'(s, v) = dist(s, v)$.

- 1. X contains the $i 1$ closest nodes to s
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Lemma 17.5 (d' has the right value for *i*th vertex).

If **v** is the ith closest node to s, then $d'(s, v) = dist(s, v)$.

Lemma 17.6 (d' has the right value for *ith* vertex).

Given:

1. X: Set of $i - 1$ closest nodes to s.

2. $d'(s, u) = \min_{t \in X} (\text{dist}(s, t) + \ell(t, u))$

If **v** is an ith closest node to **s**, then $d'(s, v) = dist(s, v)$.

Proof

Let \bf{v} be the *i*th closest node to \bf{s} . Then there is a shortest path \bf{P} from \bf{s} to \bf{v} that contains only nodes in X as intermediate nodes (see previous claim). Therefore $d'(s, v) = \text{dist}(s, v).$

Lemma 17.7 (d' has the right value for *i*th vertex).

If **v** is an ith closest node to s, then $d'(s, v) = dist(s, v)$.

Corollary 17.8.

The ith closest node to s is the node $v \in V - X$ such that $d'(s, v) = \min_{u \in V - X} d'(s, u).$

Proof.

For every node $u \in V - X$, $\mathrm{dist}(s, u) \leq d'(s, u)$ and for the i th closest node v , $dist(s, v) = d'(s, v)$. Moreover, $dist(s, u) \geq dist(s, v)$ for each $u \in V - X$.

Initialize for each node **v**: $dist(s, v) = \infty$ Initialize $X = \emptyset$, $d'(s, s) = 0$ for $i = 1$ to $|V|$ do (* Invariant: X contains the $i-1$ closest nodes to s *) (* Invariant: $d'(s, u)$ is shortest path distance from u to s using only X as intermediate nodes*) Let v be such that $d'(s, v) = \min_{u \in V-X} d'(s, u)$ $dist(s, v) = d'(s, v)$ $X = X \cup \{v\}$ for each node μ in $V - X$ do $d'(s, u) = \min_{t \in X} (\text{dist}(s, t) + \ell(t, u))$

Correctness: By induction on *i* using previous lemmas. Running time: $O(n \cdot (n + m))$ time.

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17.3.7 Dijkstra's algorithm

Improved Algorithm

1. Main work is to compute the $d'(s, u)$ values in each iteration

2. $d'(s, u)$ changes from iteration i to $i + 1$ only because of the node v that is added to X in iteration i .

> Initialize for each node v , $dist(s, v) = d'(s, v) = \infty$ Initialize $X = \emptyset$, $d'(s, s) = 0$ for $i = 1$ to $|V|$ do // X contains the $i-1$ closest nodes to s , // and the values of $d'(s, u)$ are current Let **v** be node realizing $d'(s, v) = min_{u \in V-X} d'(s, u)$ $dist(s, v) = d'(s, v)$ $X = X \cup \{v\}$ Update $d'(s, u)$ for each u in $V - X$ as follows: $d'(s, u) = min(d'(s, u), \text{ dist}(s, v) + \ell(v, u))$

Running time: $O(m + n^2)$ time.

- 1. *n* outer iterations and in each iteration following steps
- 2. updating $d'(s, u)$ after v is added takes $O(deg(v))$ time so total work is $O(m)$ since a node enters \boldsymbol{X} only once
- 3. Finding v from $d'(s, u)$ values is $O(n)$ time

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Dijkstra's Algorithm

1. eliminate $d'(s, u)$ and let $dist(s, u)$ maintain it

2. update *dist* values after adding v by scanning edges out of v

Initialize for each node v, $dist(s, v) = \infty$ Initialize $X = \emptyset$, dist $(s, s) = 0$ for $i = 1$ to $|V|$ do Let v be such that dist(s, v) = $\min_{u \in V-X} \text{dist}(s, u)$ $X = X \cup \{v\}$ for each u in Adj(v) do $dist(s, u) = min \Big(dist(s, u), \ dist(s, v) + \ell(v, u) \Big)$

Priority Queues to maintain *dist* values for faster running time

1. Using heaps and standard priority queues: $O((m + n) \log n)$

2. Using Fibonacci heaps: $O(m + n \log n)$.

Dijkstra's Algorithm

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17.3.8 Dijkstra using priority queues
Priority Queues

Data structure to store a set S of n elements where each element $v \in S$ has an associated real/integer key $k(v)$ such that the following operations:

- 1. makePQ: create an empty queue.
- 2. **findMin**: find the minimum key in S .
- 3. extractMin: Remove $v \in S$ with smallest key and return it.
- 4. insert($v, k(v)$): Add new element v with key $k(v)$ to S.
- 5. delete(v): Remove element v from S .
- 6. decreaseKey(v, $k'(v)$): decrease key of v from $k(v)$ (current key) to $k'(v)$ (new key). Assumption: $k'(v) \leq k(v)$.

7. meld: merge two separate priority queues into one.

All operations can be performed in $O(\log n)$ time. decreaseKey is implemented via delete and insert.

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All operations can be performed in $O(\log n)$ time. decreaseKey is implemented via delete and insert.

Dijkstra's Algorithm using Priority Queues

```
Q \leftarrow \text{makePQ}()insert(Q, (s, 0))for each node u \neq s do
     insert(Q, (u, \infty))X \leftarrow \emptysetfor i = 1 to |V| do
     (v, dist(s, v)) = extractMin(Q)X = X \cup \{v\}for each u in Adj(v) do
              \mathsf{decreaseKey}\big(\textit{\textbf{Q}}, \, (\textit{\textbf{u}}, \textsf{min}(\text{dist}(\textit{\textbf{s}}, \textit{\textbf{u}}), \; \text{dist}(\textit{\textbf{s}}, \textit{\textbf{v}}) + \ell(\textit{\textbf{v}}, \textit{\textbf{u}})))\big).
```
Priority Queue operations:

- 1. $O(n)$ insert operations
- 2. $O(n)$ extractMin operations
- 3. $O(m)$ decreaseKey operations

Implementing Priority Queues via Heaps

Using Heaps

Store elements in a heap based on the key value

1. All operations can be done in $O(\log n)$ time

Dijkstra's algorithm can be implemented in $O((n + m) \log n)$ time.

Implementing Priority Queues via Heaps

Using Heaps

Store elements in a heap based on the key value

1. All operations can be done in $O(\log n)$ time

Dijkstra's algorithm can be implemented in $O((n + m) \log n)$ time.

- 1. extractMin, insert, delete, meld in $O(\log n)$ time
- 2. decreaseKey in $O(1)$ amortized time: ℓ decreaseKey operations for $\ell \geq n$ take together $O(\ell)$ time
- 3. Relaxed Heaps: **decreaseKey** in $O(1)$ worst case time but at the expense of meld (not necessary for Dijkstra's algorithm)
- 1. Dijkstra's algorithm can be implemented in $O(n \log n + m)$ time. If $m = \Omega(n \log n)$, running time is linear in input size.
- 2. Data structures are complicated to analyze/implement. Recent work has obtained data structures that are easier to analyze and implement, and perform well in practice. Rank-Pairing Heaps, for example.
- 3. Boost library implements both Fibonacci heaps and rank-pairing heaps.

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17.4 Shortest path trees and variants

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17.4.1 Shortest Path Tree

Shortest Path Tree

Dijkstra's algorithm finds the shortest path distances from s to V . Question: How do we find the paths themselves?

```
Q = \text{makePO}()insert(Q, (s, 0))prev(s) \leftarrow nullfor each node u \neq s do
insert(Q, (u, \infty))
 prev(u) \leftarrow nullX = \emptysetfor i = 1 to |V| do
(v, dist(s, v)) = extractMin(Q)X = X \cup \{v\}for each u in Adj(v) do
      if (\text{dist}(s, v) + \ell(v, u) < \text{dist}(s, u)) then
           decreaseKey(Q, (u, dist(s, v) + \ell(v, u)))prev(u) = v
```
Shortest Path Tree

Dijkstra's algorithm finds the shortest path distances from s to V . Question: How do we find the paths themselves?

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Q = \text{makePO}()insert(Q, (s, 0))prev(s) \leftarrow nullfor each node u \neq s do
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           decreaseKey(Q, (u, dist(s, v) + \ell(v, u)))prev(u) = v
```
Shortest Path Tree

Lemma 17.1.

The edge set $(u, \text{prev}(u))$ is the reverse of a shortest path tree rooted at s. For each u, the reverse of the path from \bf{u} to \bf{s} in the tree is a shortest path from \bf{s} to \bf{u} .

Proof Sketch.

- 1. The edge set $\{(u, \text{prev}(u)) \mid u \in V\}$ induces a directed in-tree rooted at s (Why?)
- 2. Use induction on $|X|$ to argue that the tree is a shortest path tree for nodes in V.

Shortest paths to s

Dijkstra's algorithm gives shortest paths from s to all nodes in V . How do we find shortest paths from all of V to s ?

- 1. In undirected graphs shortest path from s to u is a shortest path from u to s so there is no need to distinguish.
- 2. In directed graphs, use Dijkstra's algorithm in G^{rev} !

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17.4.2 Variants on the shortest path problem

Shortest paths between sets of nodes

Suppose we are given $S \subset V$ and $T \subset V$. Want to find shortest path from S to T defined as:

$$
\text{dist}(\mathsf{S},\mathsf{T})=\min_{s\in\mathsf{S},t\in\mathsf{T}}\text{dist}(s,t)
$$

How do we find $dist(S, T)$?

You want to go from your house to a friend's house. Need to pick up some dessert along the way and hence need to stop at one of the many potential stores along the way. How do you calculate the "shortest" trip if you include this stop?

Given $G = (V, E)$ and edge lengths $\ell(e)$, $e \in E$. Want to go from s to t. A subset $X \subset V$ that corresponds to stores. Want to find $\min_{x \in X} d(s, x) + d(x, t)$.

Basic solution: Compute for each $x \in X$, $d(s, x)$ and $d(x, t)$ and take minimum. $2|X|$ shortest path computations. $O(|X|(m + n \log n))$.

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