

- 1** Let  $L$  be an arbitrary regular language.
- 1.A. Prove that the language  $palin(L) = \{w \mid ww^R \in L\}$  is also regular.
  - 1.B. Prove that the language  $drome(L) = \{w \mid w^Rw \in L\}$  is also regular.
- 2** Suppose  $F$  is a fooling set for a language  $L$ . Argue that  $F$  cannot contain two distinct string  $x, y$  where both are not prefixes of strings in  $L$ .
- 3** Prove that the language  $\{0^i1^j \mid \gcd(i, j) = 1\}$  is not regular.
- 4** Consider the language  $L = \{w : |w| \equiv 1 \pmod{5}\}$ . We have already seen that this language is regular. Prove that any DFA that accepts this language needs at least 5 states.
- 5** Consider all regular expressions over an alphabet  $\Sigma$ . Each regular expression is a string over a larger alphabet  $\Sigma' = \Sigma \cup \{\emptyset\text{-Symbol}, \epsilon\text{-Symbol}, +, (, )\}$ . We use  $\emptyset$ -Symbol and  $\epsilon$ -Symbol in place of  $\emptyset$  and  $\epsilon$  to avoid confusion with overloading; technically one should do it with  $+$ ,  $(, )$  as well. Let  $R_\Sigma$  be the language of regular expressions over  $\Sigma$ .
- 5.A. Prove that  $R_\Sigma$  is not regular.
  - 5.B. Prove that  $R_\Sigma$  is a CFL by giving a CFG for it.
- 6** Regular languages?
- 6.A. Prove that the following languages are not regular by providing a fooling set. You need to prove an infinite fooling set and also prove that it is a valid fooling set.
    - 6.A.i.  $L = \{0^k1^kww \mid 0 \leq k \leq 3, w \in \{0, 1\}^+\}$ .
    - 6.A.ii. Recall that a block in a string is a maximal non-empty substring of identical symbols. Let  $L$  be the set of all strings in  $\{0, 1\}^*$  that contain two blocks of 0s of equal length. For example,  $L$  contains the strings **01101111** and **01001011100010** but does not contain the strings **000110011011** and **00000000111**.
    - 6.A.iii.  $L = \{0^{n^3} \mid n \geq 0\}$ .
  - 6.B. Suppose  $L$  is not regular. Show that  $L \cup L'$  is not regular for any finite language  $L'$ . Give a simple example to show that  $L \cup L'$  is regular when  $L'$  is infinite.
- 7** Describe a context free grammar for the following languages. Clearly explain how they work and the role of each non-terminal. Unclear grammars will receive little to no credit.
- 7.A.  $\{a^ib^jc^kd^\ell \mid i, j, k, \ell \geq 0 \text{ and } i + \ell = j + k\}$ .
  - 7.B.  $L = \{0, 1\}^* \setminus \{0^n1^n \mid n \geq 0\}$ . In other words the complement of the language  $\{0^n1^n \mid n \geq 0\}$ .
- 8** Let  $L = \{0^i1^j2^k \mid k = 2(i + j)\}$ .
- 8.A. Prove that  $L$  is context free by describing a grammar for  $L$ .

**8.B.** Prove that your grammar is correct. You need to prove that if  $L \subseteq L(G)$  and  $L(G) \subseteq L$  where  $G$  is your grammar from the previous part.

**9** (100 PTS.) Fooling sets revisited [Fall 22].

(You can not use the Myhill-Nerode theorem in solving this exercise, since this exercise is the MN theorem.) Let  $L$  be a regular language over  $\Sigma = \{0, 1\}$ . Let  $F = \{f_1, \dots, f_k\}$  be a maximum cardinality fooling set for  $L$  ( $F$  must be finite, as otherwise  $L$  would *not* be a regular language).

**9.A.** (40 PTS.) Prove that for any string  $x \in \Sigma^*$ , there exists a unique string  $\alpha(x) \in F$ , such that  $x$  and  $\alpha(x)$  are indistinguishable for  $L$ .

**9.B.** (30 PTS.) Prove that for any string  $x \in \Sigma^*$ , and  $c \in \Sigma$ , we have  $\alpha(xc) = \alpha(\alpha(x)c)$ .

**9.C.** (30 PTS.) Consider the following DFA:  $M = (F, \Sigma, \delta, s, A)$ , where

$$\forall f \in F, c \in \Sigma \quad \delta(f, c) = \alpha(fc),$$

$$s = \alpha(\varepsilon), \text{ and } A = F \cap L.$$

Prove that  $L(M) = L$ .

[Hint: First prove that for any  $x \in \Sigma^*$ , we have  $\delta^*(s, x) = \alpha(x)$ .]

**10** (100 PTS.) Context is everything [Fall 22].

Give a context-free grammar (CFG) for each of the following languages. You must provide explanation for how your grammar works, by describing in English what is generated by each non-terminal. (Formal proofs of correctness are not required.)

**10.A.** (30 PTS.)  $L_1 = \{0^i 1^j 0^k \mid i = j + k \text{ and } i, j, k \geq 0\}$ .

**10.B.** (30 PTS.)  $L_2 = \{x(110)^n x(111)^n \mid x \in \{0, 1\}, n \geq 1\}$ .

**10.C.** (40 PTS.)  $L_3 = \{1^i 0^j 1^k 0^\ell \mid i + j = k + \ell, i, j, k, \ell \geq 0\}$ .

## Solved problem

**11** Let  $L$  be the set of all strings over  $\{0, 1\}^*$  with exactly twice as many 0s as 1s.

**11.A.** Describe a CFG for the language  $L$ .

(**Hint:** For any string  $u$  define  $\Delta(u) = \#(0, u) - 2\#(1, u)$ . Introduce intermediate variables that derive strings with  $\Delta(u) = 1$  and  $\Delta(u) = -1$  and use them to define a non-terminal that generates  $L$ .)

**| Solution:**  $S \rightarrow \varepsilon \mid SS \mid 00S1 \mid 0S1S0 \mid 1S00$

**11.B.** Prove that your grammar  $G$  is correct. As usual, you need to prove both  $L \subseteq L(G)$  and  $L(G) \subseteq L$ .

(**Hint:** Let  $u_{\leq i}$  denote the prefix of  $u$  of length  $i$ . If  $\Delta(u) = 1$ , what can you say about the smallest  $i$  for which  $\Delta(u_{\leq i}) = 1$ ? How does  $u$  split up at that position? If  $\Delta(u) = -1$ , what can you say about the smallest  $i$  such that  $\Delta(u_{\leq i}) = -1$ ?)

## Solution:

We separately prove  $L \subseteq L(G)$  and  $L(G) \subseteq L$  as follows:

**Claim 4.1.**  $L(G) \subseteq L$ , that is, every string in  $L(G)$  has exactly twice as many 0s as 1s.

*Proof:* As suggested by the hint, for any string  $u$ , let  $\Delta(u) = \#(0, u) - 2\#(1, u)$ . We need to prove that  $\Delta(w) = 0$  for every string  $w \in L(G)$ .

Let  $w$  be an arbitrary string in  $L(G)$ , and consider an arbitrary derivation of  $w$  of length  $k$ . Assume that  $\Delta(x) = 0$  for every string  $x \in L(G)$  that can be derived with fewer than  $k$  productions.<sup>1</sup> There are five cases to consider, depending on the first production in the derivation of  $w$ .

- If  $w = \varepsilon$ , then  $\#(0, w) = \#(1, w) = 0$  by definition, so  $\Delta(w) = 0$ .
- Suppose the derivation begins  $S \rightarrow SS \rightarrow^* w$ . Then  $w = xy$  for some strings  $x, y \in L(G)$ , each of which can be derived with fewer than  $k$  productions. The inductive hypothesis implies  $\Delta(x) = \Delta(y) = 0$ . It immediately follows that  $\Delta(w) = 0$ .<sup>2</sup>
- Suppose the derivation begins  $S \rightarrow 00S1 \rightarrow^* w$ . Then  $w = 00x1$  for some string  $x \in L(G)$ . The inductive hypothesis implies  $\Delta(x) = 0$ . It immediately follows that  $\Delta(w) = 0$ .
- Suppose the derivation begins  $S \rightarrow 1S00 \rightarrow^* w$ . Then  $w = 1x00$  for some string  $x \in L(G)$ . The inductive hypothesis implies  $\Delta(x) = 0$ . It immediately follows that  $\Delta(w) = 0$ .
- Suppose the derivation begins  $S \rightarrow 0S1S1 \rightarrow^* w$ . Then  $w = 0x1y0$  for some strings  $x, y \in L(G)$ . The inductive hypothesis implies  $\Delta(x) = \Delta(y) = 0$ . It immediately follows that  $\Delta(w) = 0$ .

In all cases, we conclude that  $\Delta(w) = 0$ , as required. ■

**Claim 4.2.**  $L \subseteq L(G)$ ; that is,  $G$  generates every binary string with exactly twice as many 0s as 1s.

*Proof:* As suggested by the hint, for any string  $u$ , let  $\Delta(u) = \#(0, u) - 2\#(1, u)$ . For any string  $u$  and any integer  $0 \leq i \leq |u|$ , let  $\mathbf{u}_i$  denote the  $i$ th symbol in  $u$ , and let  $\mathbf{u}_{\leq i}$  denote the prefix of  $u$  of length  $i$ .

Let  $w$  be an arbitrary binary string with twice as many 0s as 1s. Assume that  $G$  generates every binary string  $x$  that is shorter than  $w$  and has twice as many 0s as 1s. There are two cases to consider:

- If  $w = \varepsilon$ , then  $\varepsilon \in L(G)$  because of the production  $S \rightarrow \varepsilon$ .
- Suppose  $w$  is non-empty. To simplify notation, let  $\Delta_i = \Delta(w_{\leq i})$  for every index  $i$ , and observe that  $\Delta_0 = \Delta_{|w|} = 0$ . There are several subcases to consider:
  - Suppose  $\Delta_i = 0$  for some index  $0 < i < |w|$ . Then we can write  $w = xy$ , where  $x$  and  $y$  are non-empty strings with  $\Delta(x) = \Delta(y) = 0$ . The induction hypothesis implies that  $x, y \in L(G)$ , and thus the production rule  $S \rightarrow SS$  implies that  $w \in L(G)$ .

- Suppose  $\Delta_i > 0$  for all  $0 < i < |w|$ . Then  $w$  must begin with **00**, since otherwise  $\Delta_1 = -2$  or  $\Delta_2 = -1$ , and the last symbol in  $w$  must be **1**, since otherwise  $\Delta_{|w|-1} = -1$ . Thus, we can write  $w = \mathbf{00}x\mathbf{1}$  for some binary string  $x$ . We easily observe that  $\Delta(x) = 0$ , so the induction hypothesis implies  $x \in L(G)$ , and thus the production rule  $S \rightarrow \mathbf{00S1}$  implies  $w \in L(G)$ .
- Suppose  $\Delta_i < 0$  for all  $0 < i < |w|$ . A symmetric argument to the previous case implies  $w = \mathbf{1x00}$  for some binary string  $x$  with  $\Delta(x) = 0$ . The induction hypothesis implies  $x \in L(G)$ , and thus the production rule  $S \rightarrow \mathbf{1S00}$  implies  $w \in L(G)$ .
- Finally, suppose none of the previous cases applies:  $\Delta_i < 0$  and  $\Delta_j > 0$  for some indices  $i$  and  $j$ , but  $\Delta_i \neq 0$  for all  $0 < i < |w|$ .  
 Let  $i$  be the smallest index such that  $\Delta_i < 0$ . Because  $\Delta_j$  either increases by 1 or decreases by 2 when we increment  $j$ , for all indices  $0 < j < |w|$ , we must have  $\Delta_j > 0$  if  $j < i$  and  $\Delta_j < 0$  if  $j \geq i$ .  
 In other words, there is a *unique* index  $i$  such that  $\Delta_{i-1} > 0$  and  $\Delta_i < 0$ . In particular, we have  $\Delta_1 > 0$  and  $\Delta_{|w|-1} < 0$ . Thus, we can write  $w = \mathbf{0x1y0}$  for some binary strings  $x$  and  $y$ , where  $|0x1| = i$ .  
 We easily observe that  $\Delta(x) = \Delta(y) = 0$ , so the inductive hypothesis implies  $x, y \in L(G)$ , and thus the production rule  $S \rightarrow \mathbf{0S1S0}$  implies  $w \in L(G)$ .

In all cases, we conclude that  $G$  generates  $w$ . ■

Together, Claim 1 and Claim 2 imply  $L = L(G)$ .

*Rubric:* 10 points:

- part (a) = 4 points. As usual, this is not the only correct grammar.
- part (b) = 6 points = 3 points for  $\subseteq$  + 3 points for  $\supseteq$ , each using the standard induction template (scaled).

**12** Prove that the following language is not regular. Recall that a *run* in a string is a maximal non-empty substring of identical symbols. Let  $L$  be the set of all strings in  $\Sigma^*$  that contains exactly one run that its length is the number of runs in the string. A few examples about  $L$ :

- $L$  contains any string of the form  $1^4 0^5 0^+ 1^6 1^+ 0^7$  (such a string contains 4 runs).
- $L$  contains any string of  $\{1^i 0001^i \mid i \geq 4\}$ .
- $L$  contains the string **011000**.
- $L$  does not contain the string **01100011110**.
- $L$  contains the string **011000111100000**.
- $L$  does not contain strings of the form  $0^i 10^i 1$ .

## Solution:

As suggested by the example strings, consider the string  $w_1 = \mathbf{011}$ , and  $w_i = w_{i-1} 0^{2i-1} 1^{2i}$ , for  $i > 1$ . Indeed  $w_i$  contains  $2i$  runs all of distinct lengths (i.q.,  $1, 2, \dots, 2i$ ). As such  $w_i \in L$ , for all  $i$ .

Now, let

$$F = \{w_i \mid i \geq 1\} \subseteq L.$$

Observe that  $w_i$  ends with a **1**. As such,  $w_i 0^{2i+1} \in L$ , but  $w_j 0^{2i+1} \notin L$ , for any  $j \neq i$ . We conclude that  $F$  is an infinite fooling set for  $L$ .

## Solution:

Intuitively, it is clear this language is not regular because there is unbounded counting going on.

The idea with such languages is to find some subset of the language that should be regular (if the original language is regular), and find a fooling set for this subset – hopefully this subset would work for the original language. In our case, consider the language

$$K = L \cap (01)^+ 0^i = \{(01)^i 0^{2i+1} \mid i \geq 1\}.$$

If  $L$  was regular, then  $K$  would be regular (because regular languages are closed under intersection). But  $K$  is not regular, but of the following fooling set:

$$F = \{w_i = (01)^i \mid i \geq 1\}.$$

Observe that for any  $i \neq j$ , we have that

$$w_i 0^{2i+1} \in K \subseteq L \text{ but } w_j 0^{2i+1} \notin L.$$

Indeed,  $w_j 0^{2i+1}$  has  $2j + 1$  runs, but no run of length  $2j + 1$ . Thus  $F$  is a fooling set for  $L$  (and  $K$ ), proving that both languages are not regular.