

SAT: Given a boolean circuit K , can we turn on the light?

Solvable in polynomial time on a nondeterministic machine
 \Leftrightarrow We can verify a YES answer in poly time.

NP = nondeterministic polynomial time

P = deterministic polynomial time



Is $P = NP$?

Clay Math Inst
\$1M

Cook-Levin: IF $3SAT \in P$ then $P = NP$.

X is NP-hard: There is a polytime reduction from 3SAT to X

x variable

x \bar{x} literals

(literal \vee literal \vee literal) clause

clause \wedge clause \wedge ... \wedge clause 3SAT
formula

$$(a \vee b \vee \bar{c}) \wedge (b \vee \bar{d} \vee e) \wedge (\bar{b} \vee \bar{c} \vee \bar{e}) \wedge \dots$$

$\begin{matrix} \text{T} & \text{F} & \text{F} & & \text{F} & \text{T} & \text{F} & & \text{T} & \text{F} & \text{T} \end{matrix}$

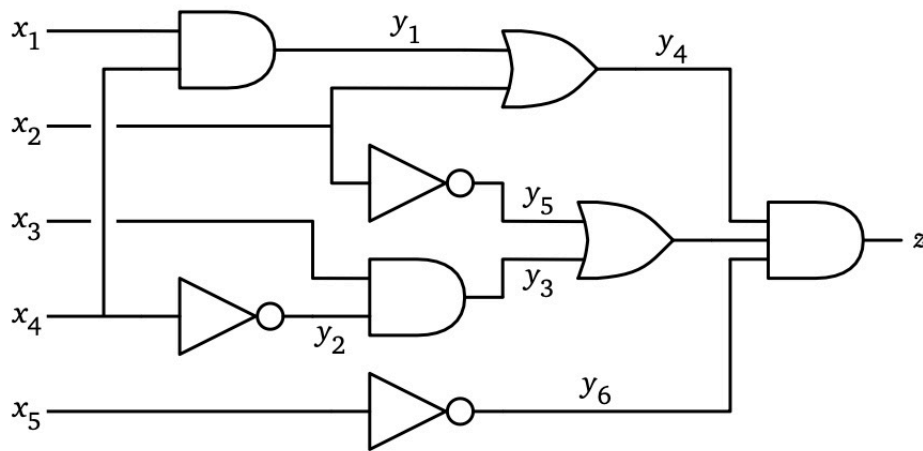
a = True e = False

b = False

c = True

d = False

3SAT: Given 3CNF, can we assign values to vars so that each clause has ≥ 1 true literal?



$$(y_1 = x_1 \wedge x_4) \wedge (y_2 = \overline{x_4}) \wedge (y_3 = x_3 \wedge y_2) \wedge (y_4 = y_1 \vee x_2) \wedge (y_5 = \overline{x_2}) \wedge (y_6 = \overline{x_5}) \wedge (y_7 = y_3 \vee y_5) \wedge (z = y_4 \wedge y_7 \wedge y_6) \wedge z$$

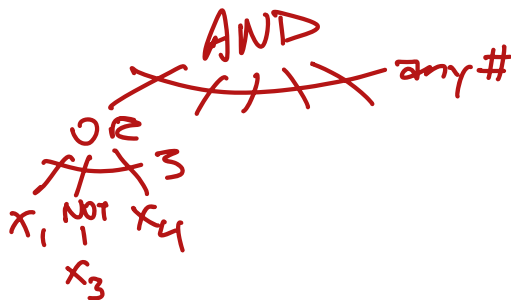
3SAT.

Given boolean formula in conjunctive normal form with 3 literals per clause

clause →

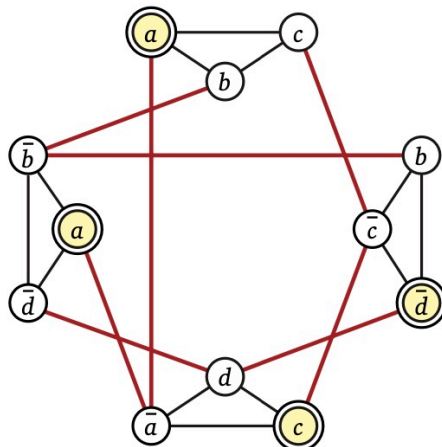
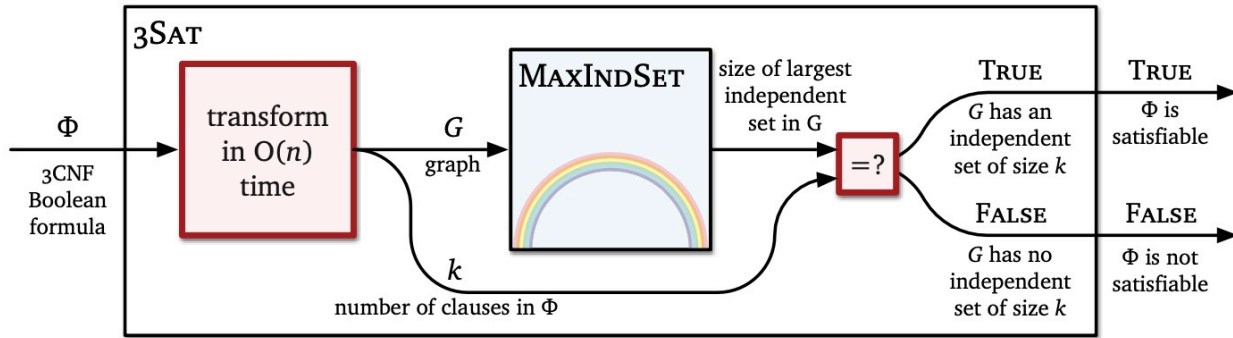
$$\begin{aligned} & (y_1 \vee \overline{x_1} \vee \overline{x_4}) \wedge (\overline{y_1} \vee x_1 \vee z_1) \wedge (\overline{y_1} \vee x_1 \vee \overline{z_1}) \wedge (\overline{y_1} \vee x_4 \vee z_2) \wedge (\overline{y_1} \vee x_4 \vee \overline{z_2}) \\ & \wedge (y_2 \vee x_4 \vee z_3) \wedge (y_2 \vee x_4 \vee \overline{z_3}) \wedge (\overline{y_2} \vee \overline{x_4} \vee z_4) \wedge (\overline{y_2} \vee \overline{x_4} \vee \overline{z_4}) \\ & \wedge (y_3 \vee \overline{x_3} \vee \overline{y_2}) \wedge (\overline{y_3} \vee x_3 \vee z_5) \wedge (\overline{y_3} \vee x_3 \vee \overline{z_5}) \wedge (\overline{y_3} \vee y_2 \vee z_6) \wedge (\overline{y_3} \vee y_2 \vee \overline{z_6}) \\ & \wedge (\overline{y_4} \vee y_1 \vee x_2) \wedge (y_4 \vee \overline{x_2} \vee z_7) \wedge (y_4 \vee \overline{x_2} \vee \overline{z_7}) \wedge (y_4 \vee \overline{y_1} \vee z_8) \wedge (y_4 \vee \overline{y_1} \vee \overline{z_8}) \\ & \wedge (y_5 \vee x_2 \vee z_9) \wedge (y_5 \vee x_2 \vee \overline{z_9}) \wedge (\overline{y_5} \vee \overline{x_2} \vee z_{10}) \wedge (\overline{y_5} \vee \overline{x_2} \vee \overline{z_{10}}) \\ & \wedge (y_6 \vee x_5 \vee z_{11}) \wedge (y_6 \vee x_5 \vee \overline{z_{11}}) \wedge (\overline{y_6} \vee \overline{x_5} \vee z_{12}) \wedge (\overline{y_6} \vee \overline{x_5} \vee \overline{z_{12}}) \\ & \wedge (\overline{y_7} \vee y_3 \vee y_5) \wedge (y_7 \vee \overline{y_3} \vee z_{13}) \wedge (y_7 \vee \overline{y_3} \vee \overline{z_{13}}) \wedge (y_7 \vee \overline{y_5} \vee z_{14}) \wedge (y_7 \vee \overline{y_5} \vee \overline{z_{14}}) \\ & \wedge (y_8 \vee \overline{y_4} \vee \overline{y_7}) \wedge (\overline{y_8} \vee y_4 \vee z_{15}) \wedge (\overline{y_8} \vee y_4 \vee \overline{z_{15}}) \wedge (\overline{y_8} \vee y_7 \vee z_{16}) \wedge (\overline{y_8} \vee y_7 \vee \overline{z_{16}}) \\ & \wedge (y_9 \vee \overline{y_8} \vee \overline{y_6}) \wedge (\overline{y_9} \vee y_8 \vee z_{17}) \wedge (\overline{y_9} \vee y_8 \vee \overline{z_{17}}) \wedge (\overline{y_9} \vee y_6 \vee z_{18}) \wedge (\overline{y_9} \vee y_6 \vee \overline{z_{18}}) \\ & \wedge (y_9 \vee z_{19} \vee z_{20}) \wedge (y_9 \vee \overline{z_{19}} \vee \overline{z_{20}}) \wedge (y_9 \vee z_{19} \vee \overline{z_{20}}) \wedge (y_9 \vee \overline{z_{19}} \vee z_{20}) \end{aligned}$$

literal literal



$$T_{\text{MaxIndSet}} \geq T_{\text{3SAT}} - O(n)$$

$$T_{\text{3SAT}}(n) \leq T_{\text{MaxIndSet}}(O(n)) + O(n)$$



$$(a \vee b \vee c) \wedge (b \vee \bar{c} \vee \bar{d}) \wedge (\bar{a} \vee c \vee d) \wedge (a \vee \bar{b} \vee \bar{d})$$

$$\begin{aligned} a &= T \\ b &= F \\ c &= T \\ d &= F \end{aligned}$$

3SAT(Φ):

$k \leftarrow \# \text{clauses in } \Phi$

$G \leftarrow \text{TRANSFORM}(\Phi)$

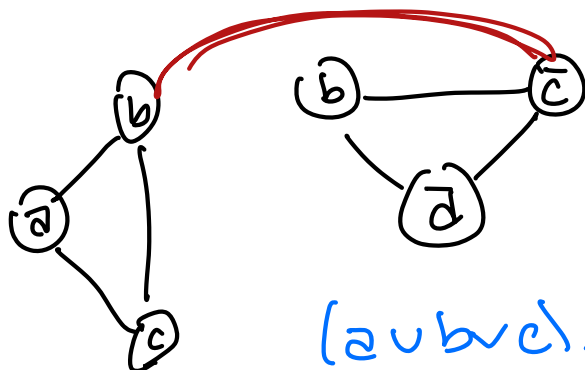
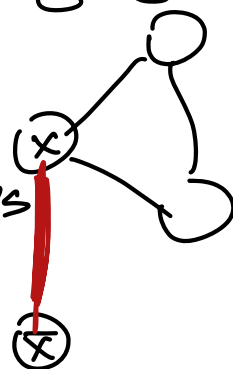
if $\text{MAXINDSET}(G) = k$
return TRUE

els
return FALSE

TRANSFORMATION:

G has $3k$ vertices } "clause gadgets"
3 per clause
1 per literal

Edges between contradicting vertices
"variable gadgets"



$(a \vee b \vee c) \wedge (b \vee \bar{c} \vee d) \wedge \dots$

Claim:

Φ is satisfiable
iff

G has ind. set of size k .

\Rightarrow Suppose Φ is satisfiable

Fix a satisfying assignment

Pick one true literal in each clause

Corresponding vertices in G are indep.

\Leftarrow Suppose G has indep set S of size k

Derive assignment to variables
make literals in S true

\Rightarrow each clause gadget has 1 node in S

\Rightarrow each clause in Φ has ≥ 1 true literal.

