

# Pre-lecture brain teaser

Find the regular expressions for the following languages:

- All strings that end in 1011
- All strings that contain 101 or 010 as a substring.
- All strings that do **not** contain 111 as a substring.

# CS/ECE-374: Lecture 5 - RegExp-DFA-NFA Equivalence

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Lecturer: Nickvash Kani

Chat moderator: Samir Khan

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University of Illinois at Urbana-Champaign

# Pre-lecture brain teaser

Find the regular expressions for the following languages:

- All strings that end in 1011

$$(0+1)^* 1011$$

- All strings that contain 101 or 010 as a substring.

$$(0+1)^* (010 + 101) (0+1)^*$$

- All strings that do not contain 111 as a substring.   
 *can't be more than 3 ones in a row*

$$0001000011011000$$

$$((\epsilon + 1 + 11) 0^*)^*$$

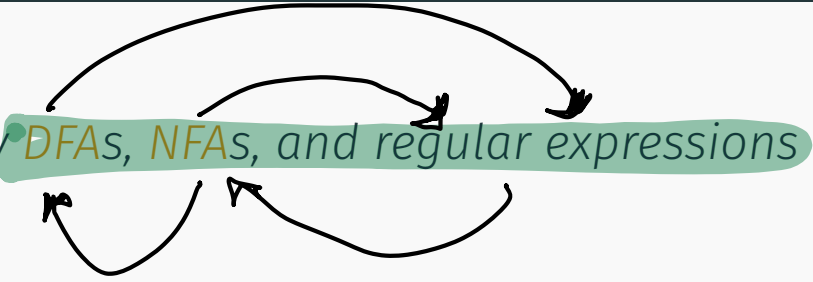
$$(\epsilon + 1 + 11) (0^+ (1 + 11))^*$$

$$0^* ((\epsilon + 1 + 11) 0^+)^*$$

# Regular Languages, DFAs, NFAs

## Theorem

Languages accepted by **DFAs, NFAs, and regular expressions** are the same.



# Regular Languages, DFAs, NFAs

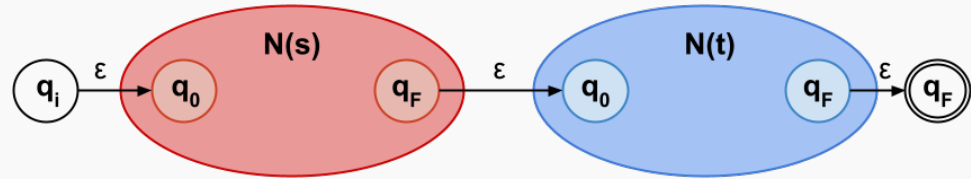
## Theorem

*Languages accepted by **DFAs**, **NFAs**, and regular expressions are the same.*

- **DFAs** are special cases of **NFAs** (easy)
- **NFAs** accept regular expressions (seen)
- **DFAs** accept languages accepted by **NFAs** (shortly)
- Regular expressions for languages accepted by **DFAs** (shown previously)

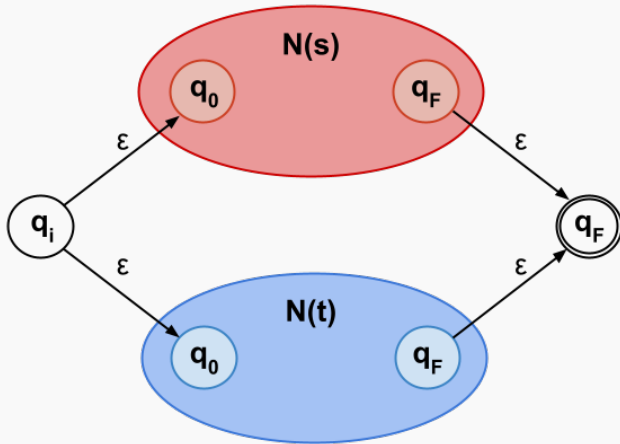
# Thompson's algorithm

Given two NFAs  $s$  and  $t$ :

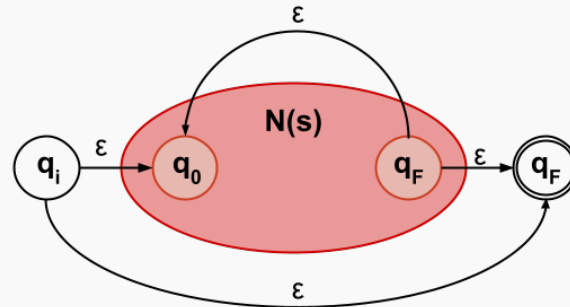


$$L = L_s \circ L_t$$

$$L = L_s \cup L_t$$



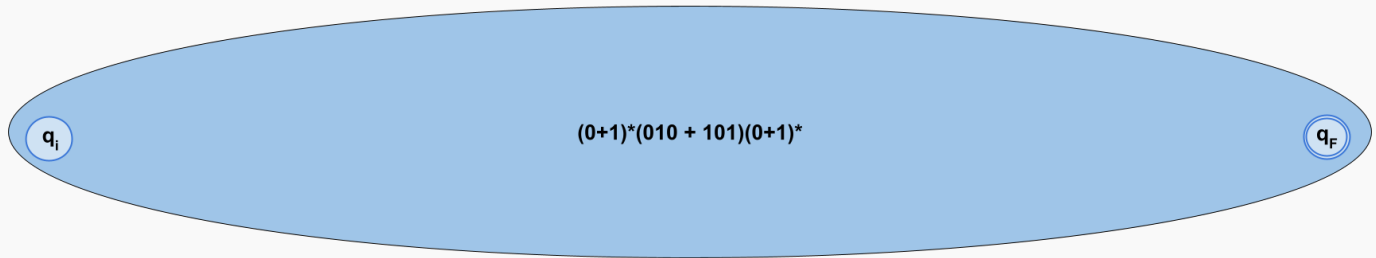
$$L = (L_s)^*$$



# Regular expression to DFA example

Let's take a regular expression and convert it to a DFA.

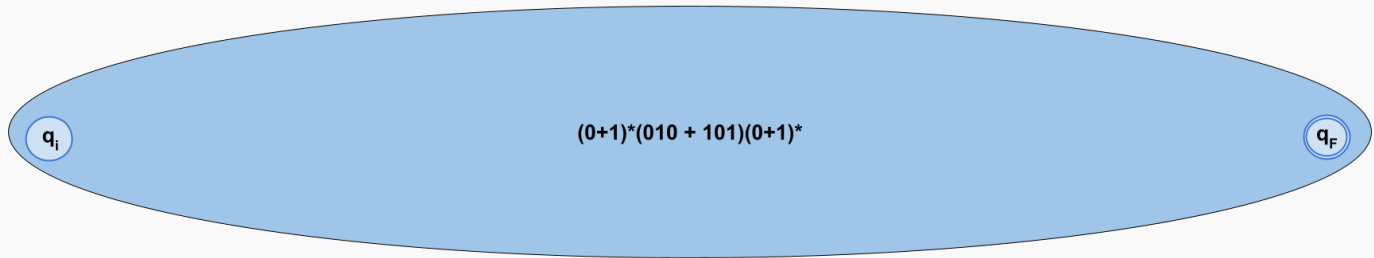
Example:  $(0 + 1)^*(101 + 010)(0 + 1)^*$



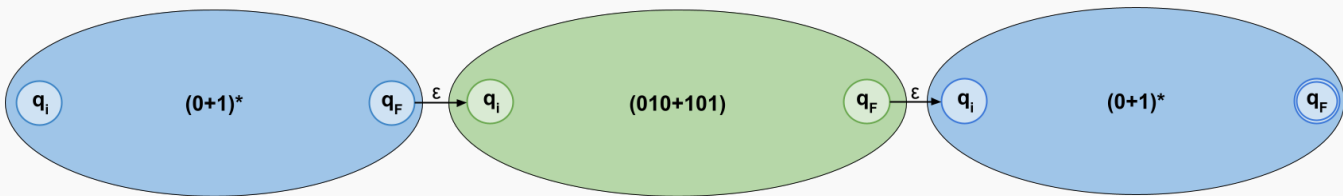
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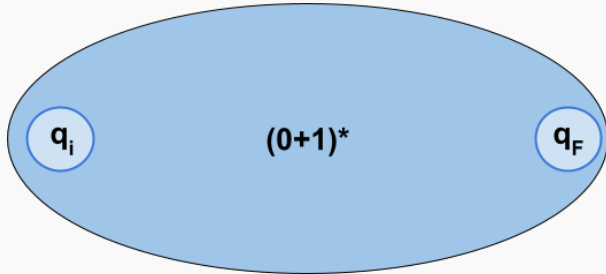
Using the concatenation rule:





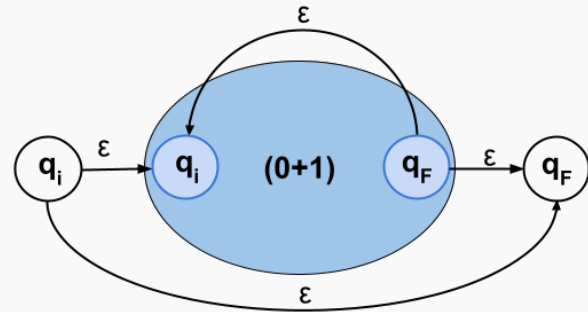
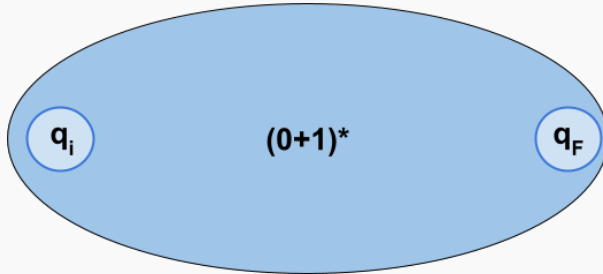
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Find NFA for  $(0 + 1)^*$



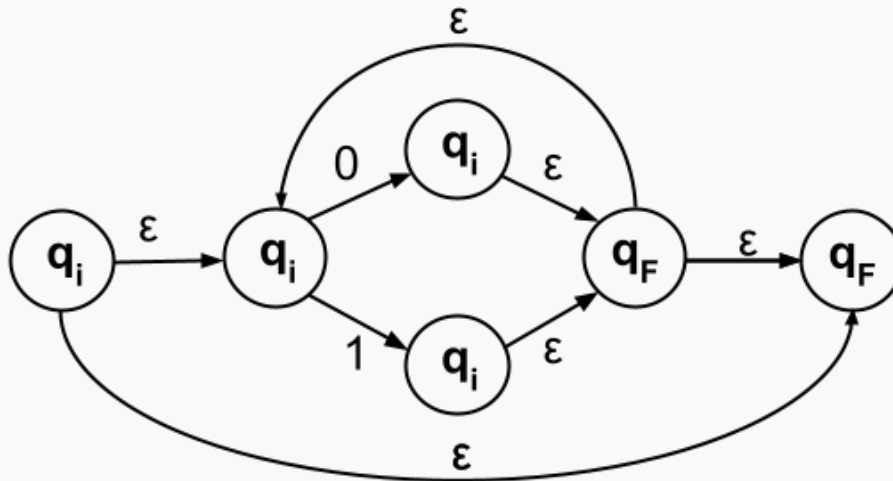
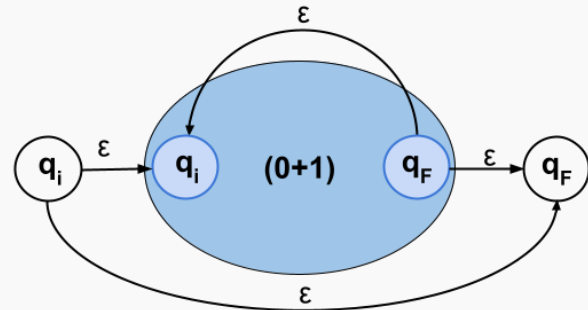
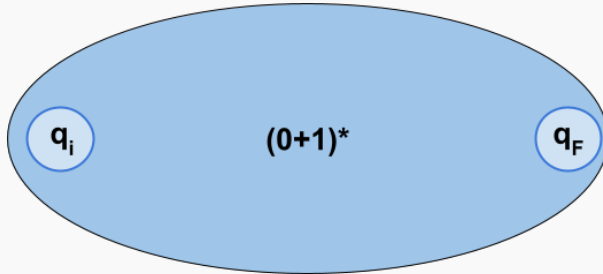
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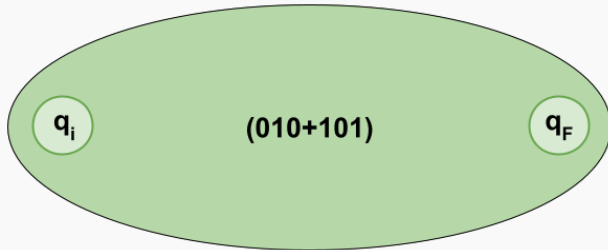
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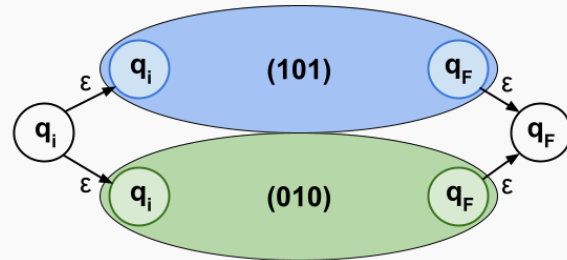
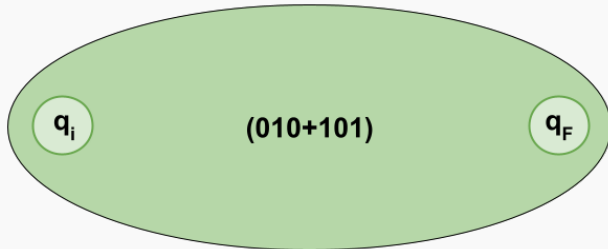
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Find DFA for  $(101 + 010)$



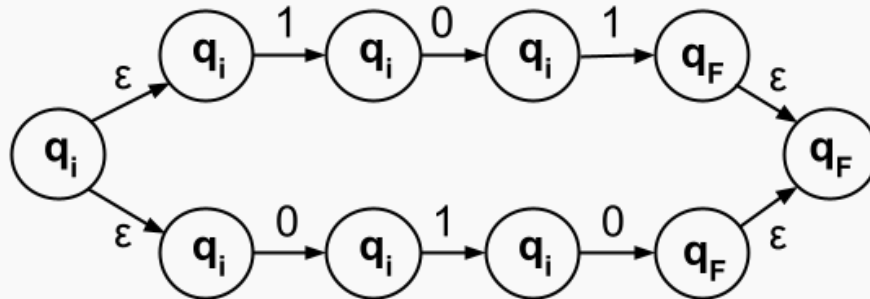
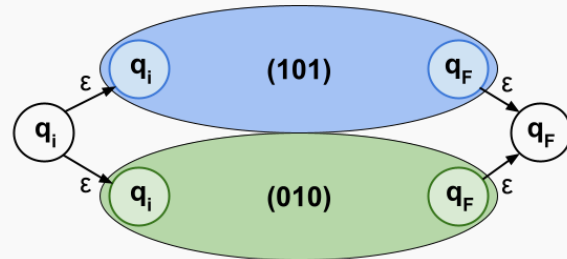
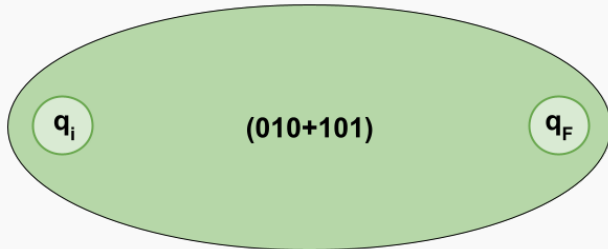
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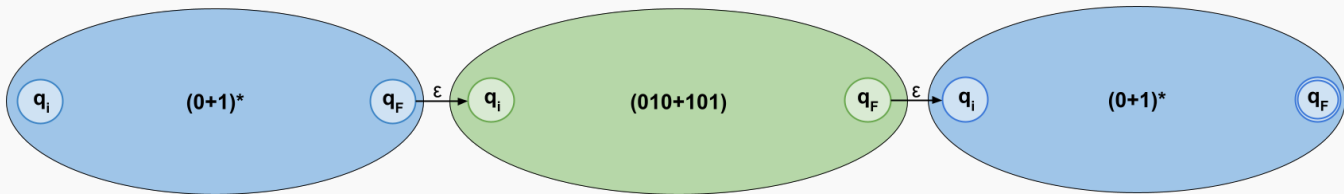
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# Regular expression to DFA example

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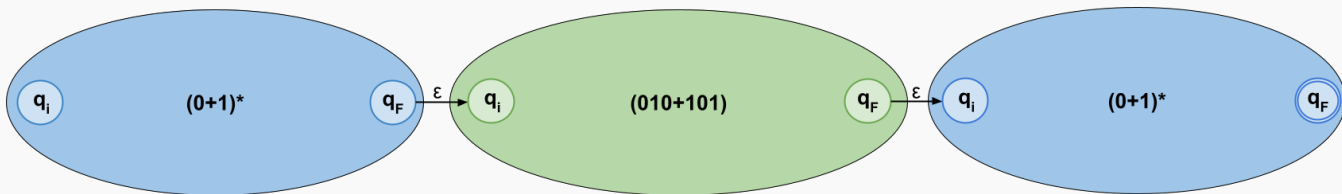
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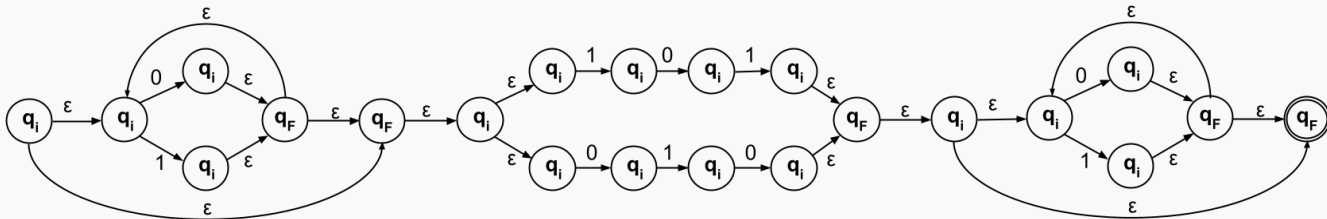
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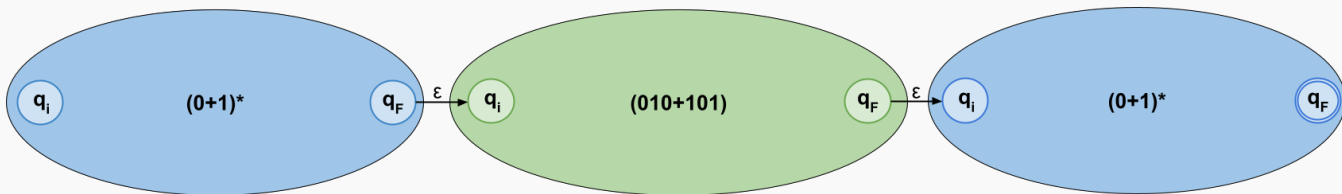




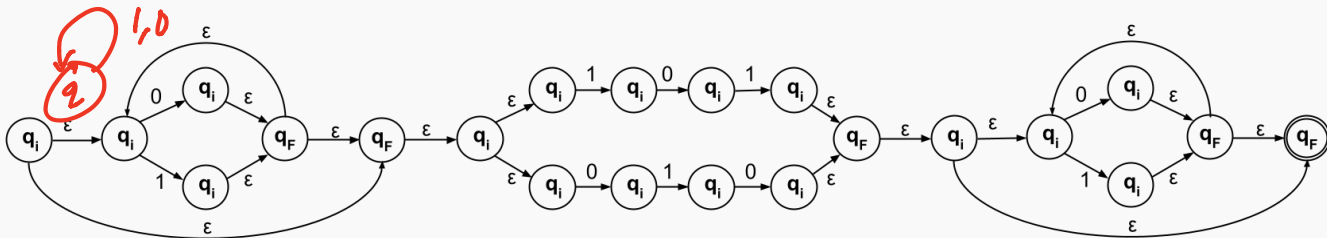
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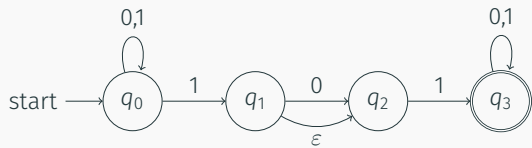
What does Thompson's algorithm mean?!

*Every regular expression has a equivalent NFA*

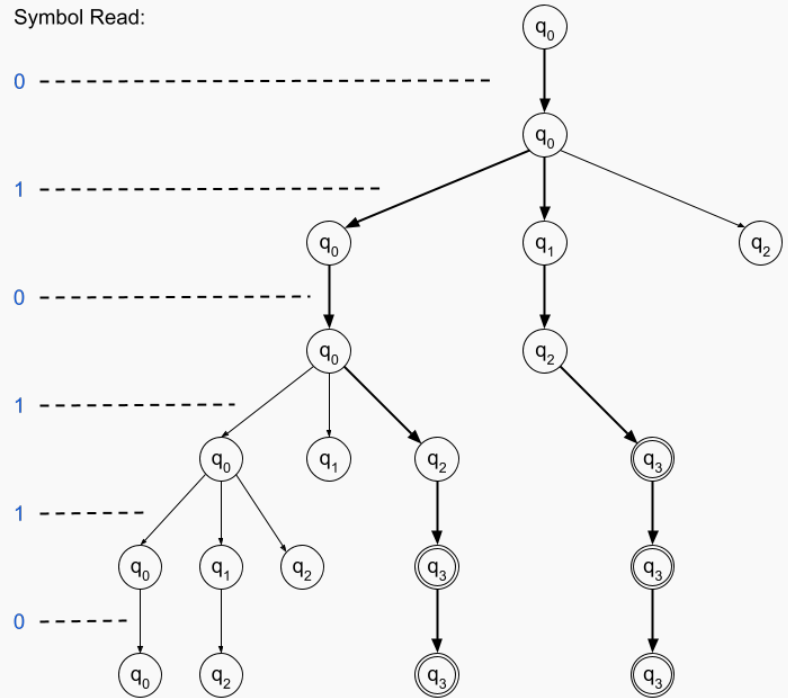
# Equivalence of NFAs and DFAs

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# Another Way to look at NFAs

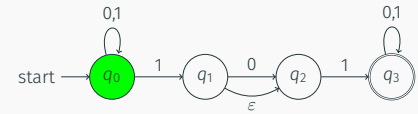


Is **010110** accepted?



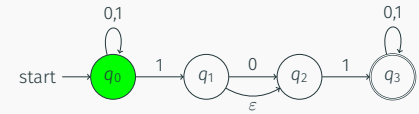
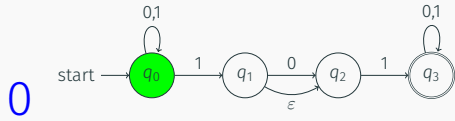
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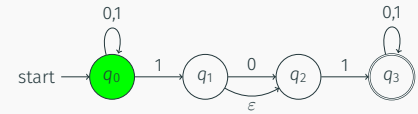
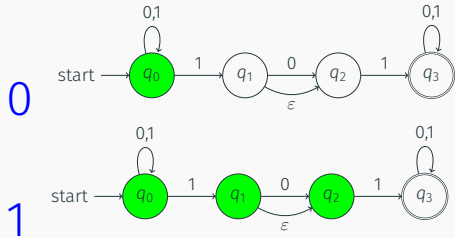
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Is 010110 accepted?



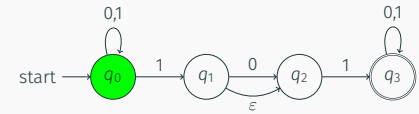
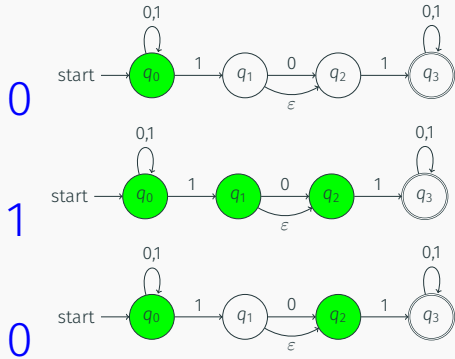
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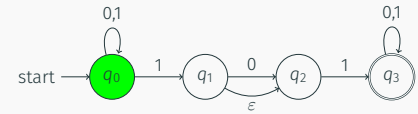
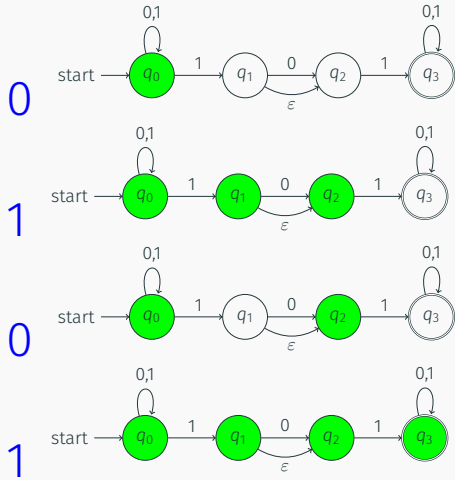
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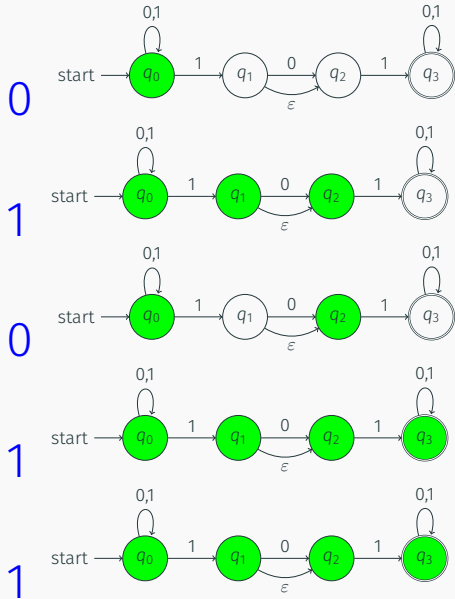
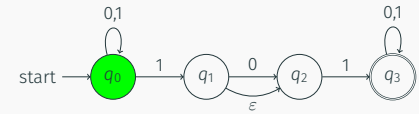
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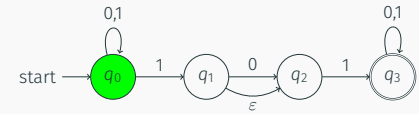
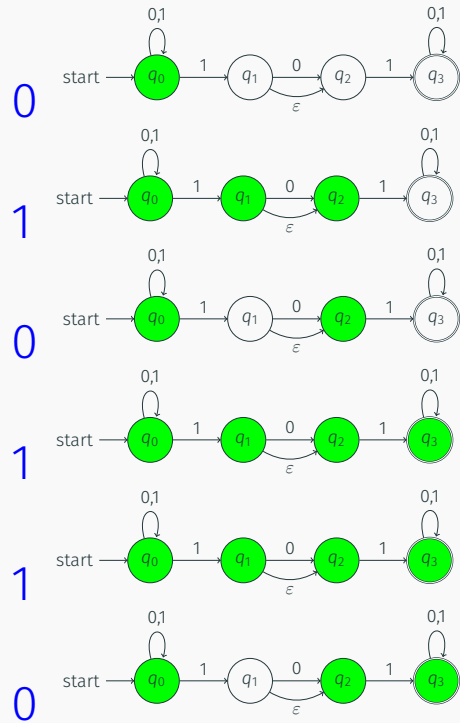
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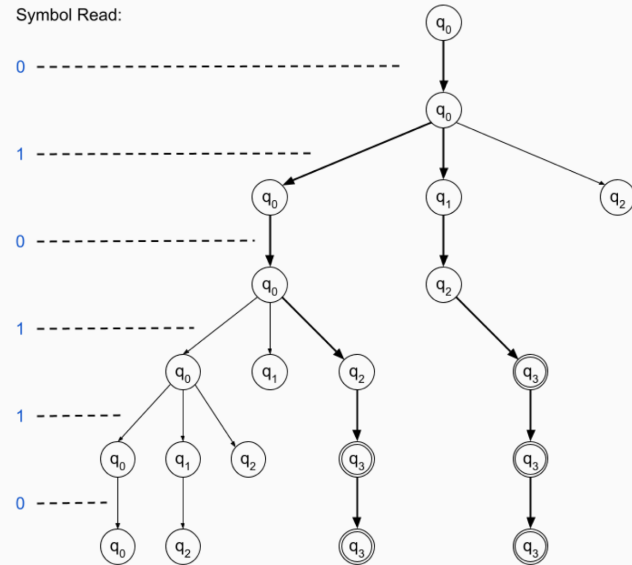


# Another Way to look at NFAs

Is 010110 accepted?



Symbol Read:



# The idea of the conversion of NFA to DFA

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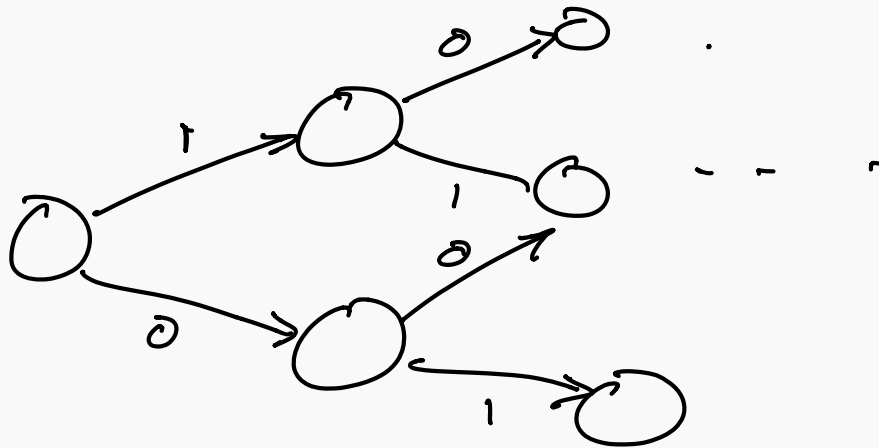
# Equivalence of NFAs and DFAs

## Theorem

For every *NFA*  $N$  there is a *DFA*  $M$  such that  $L(M) = L(N)$ .

# DFAs are memoryless...

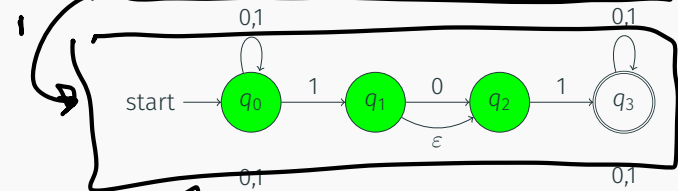
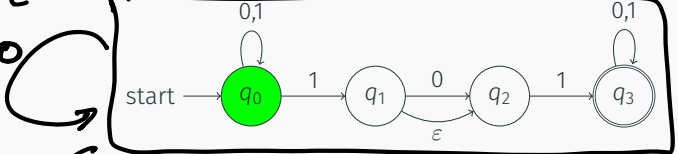
- DFA knows only its current state.
- The state is the memory.
- To design a DFA, answer the question:  
What minimal info needed to solve problem.



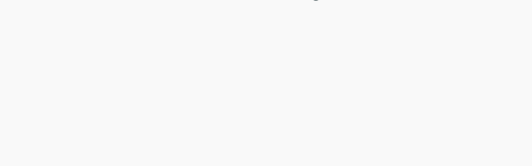
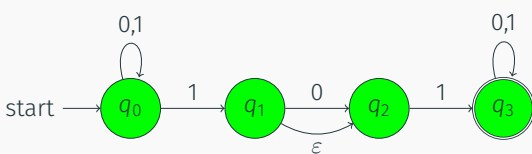
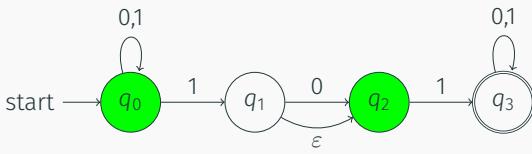
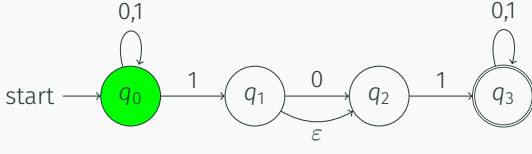
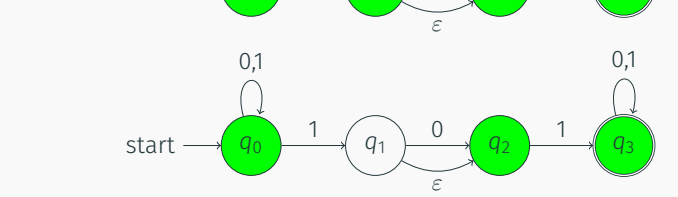
# Simulating NFA

NFAs know many states at once on input 010110.

$[1, 0, 0, 0]$

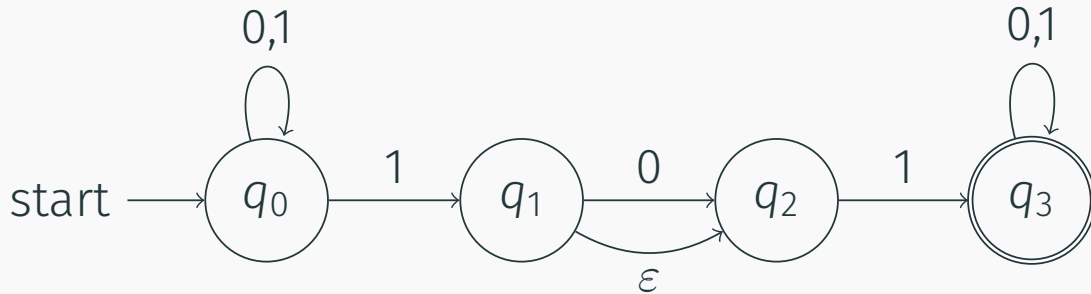


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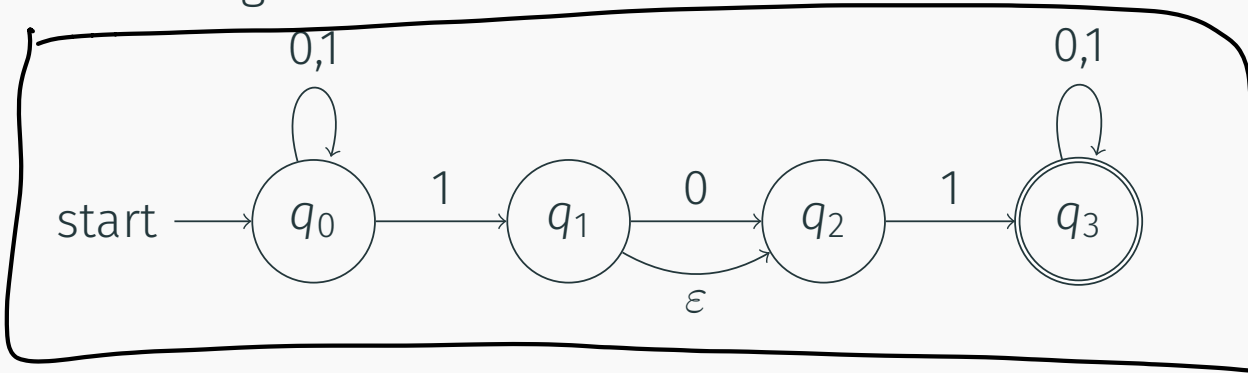
# The state of the NFA

It is easy to state that the state of the automata is the states that it might be situated at.



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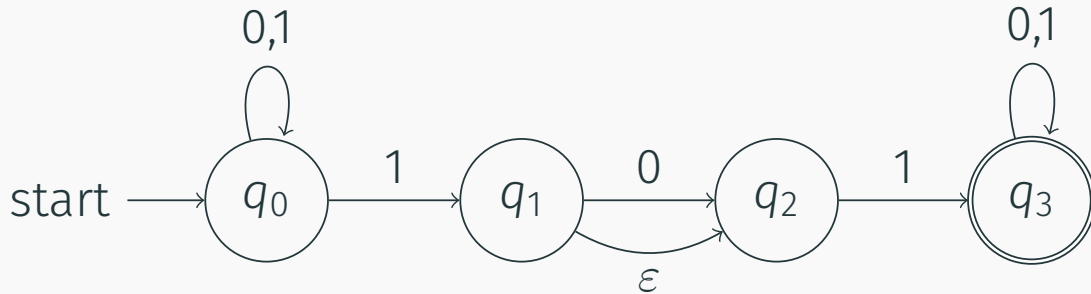


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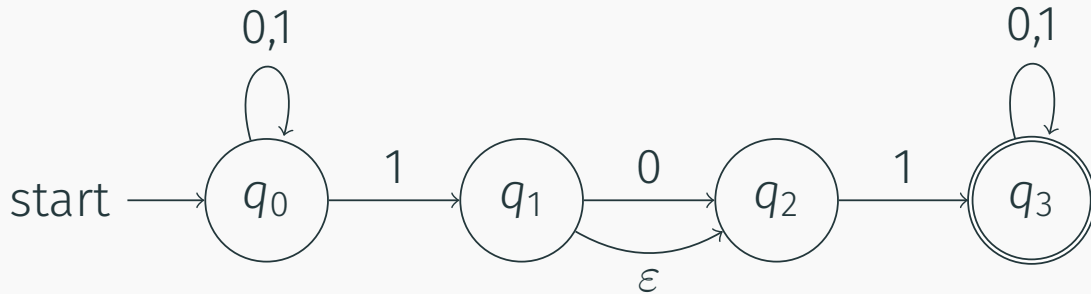
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Possible configurations:  $\mathcal{P}(q) = \emptyset, \{q_0\}, \{q_0, q_1\} \dots$

$$\delta(q, a) = \in \mathcal{P}(q)$$

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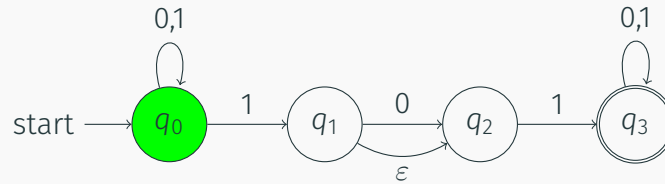


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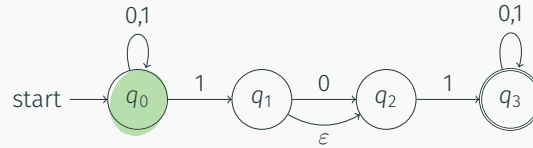
Possible configurations:  $\mathcal{P}(q) = \emptyset, \{q_0\}, \{q_0, q_1\} \dots$

Big idea: Build a **DFA** on the configurations.

# Example

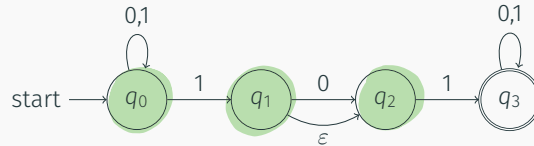


If receives 0 :



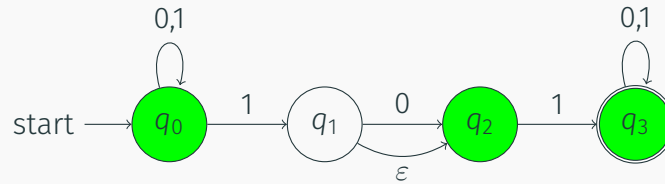
$[1,0,0,0]$

If receives 1 :

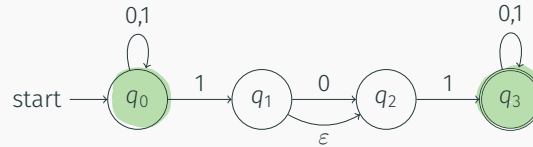


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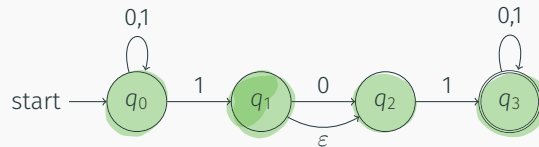


If receives 0 :



[1, 0, 0, 1]

If receives 1 :



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# Simulating an NFA by a DFA

DFA

- Think of a ~~program~~ with fixed memory that needs to simulate NFA  $N$  on input  $w$ .
- What does it need to store after seeing a prefix  $x$  of  $w$ ?

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- Is it sufficient?

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- When should the program accept a string  $w$ ?

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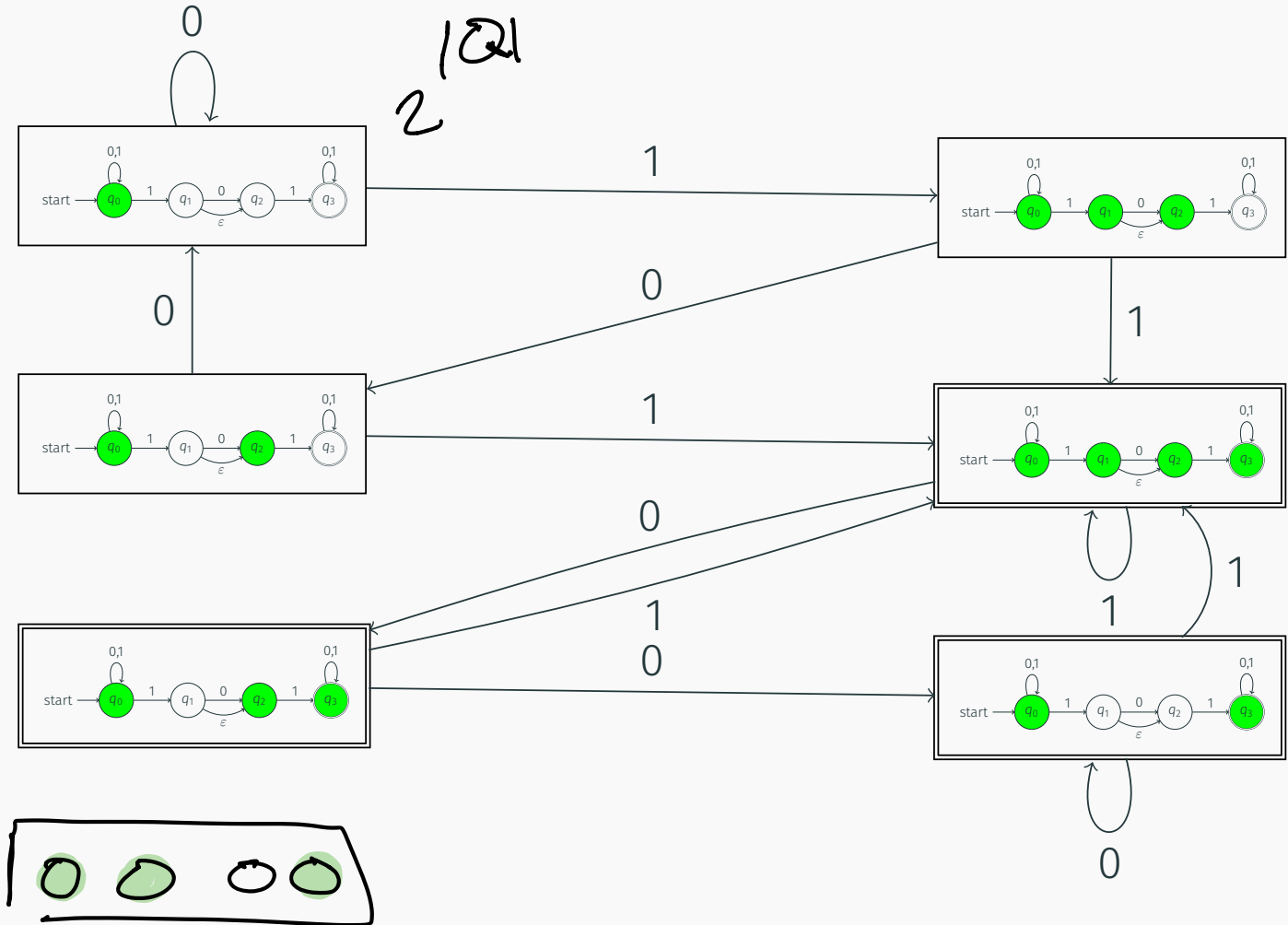
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- When should the program accept a string  $w$ ? If  $\delta^*(s, w) \cap A \neq \emptyset$ .

**Key Observation:** **DFA**  $M$  simulating  $N$  should know current configuration of  $N$ .

State space of the **DFA** is  $\mathcal{P}(Q)$ .



# DFA from NFA



# Formal Tuple Notation for NFA

## Definition

A **non-deterministic finite automata (NFA)**  $N = (Q, \Sigma, \delta, s, A)$  is a five tuple where

- $Q$  is a finite set whose elements are called **states**,
- $\Sigma$  is a finite set called the **input alphabet**,
- $\delta : Q \times \Sigma \cup \{\epsilon\} \rightarrow \mathcal{P}(Q)$  is the **transition function** (here  $\mathcal{P}(Q)$  is the power set of  $Q$ ),
- $s \in Q$  is the **start state**,
- $A \subseteq Q$  is the set of **accepting/final** states.

$\delta(q, a)$  for  $a \in \Sigma \cup \{\epsilon\}$  is a subset of  $Q$  — a set of states.

# Algorithm for converting NFA to DFA

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# Recall I

Extending the transition function to strings

## Definition

For NFA  $N = (Q, \Sigma, \delta, s, A)$  and  $q \in Q$  the  $\epsilon\text{reach}(q)$  is the set of all states that  $q$  can reach using only  $\epsilon$ -transitions.

## Definition

Inductive definition of  $\delta^* : Q \times \Sigma^* \rightarrow \mathcal{P}(Q)$ :

- if  $w = \epsilon$ ,  $\delta^*(q, w) = \epsilon\text{reach}(q)$

- if  $w = a$  where  $a \in \Sigma$ :

$$\delta^*(q, a) = \epsilon\text{reach}\left(\bigcup_{p \in \epsilon\text{reach}(q)} \delta(p, a)\right)$$

- if  $w = ax$ :

$$\delta^*(q, w) = \epsilon\text{reach}\left(\bigcup_{p \in \epsilon\text{reach}(q)} \bigcup_{r \in \delta^*(p, a)} \delta^*(r, x)\right)$$

## Recall II

Formal definition of language accepted by **N**

### Definition

A string  $w$  is accepted by **NFA**  $N$  if  $\delta_N^*(s, w) \cap A \neq \emptyset$ .

### Definition

The language  $L(N)$  accepted by a **NFA**  $N = (Q, \Sigma, \delta, s, A)$  is

$$\{w \in \Sigma^* \mid \delta^*(s, w) \cap A \neq \emptyset\}.$$

# Subset Construction

**NFA**  $N = (Q, \Sigma, s, \delta, A)$ . We create a **DFA**  $D = (Q', \Sigma, \delta', s', A')$  as follows:

- $Q' = \mathcal{P}(Q)$
- $s' = \text{εreach}(s) = \delta^*(s, \epsilon)$
- $A' = \{X \subseteq Q \mid X \cap A \neq \emptyset\}$
- $\delta'(X, a) = \bigcup_{q \in X} \delta^*(q, a)$

regular exp  $\rightarrow$  NFA  $\rightarrow$  DFA

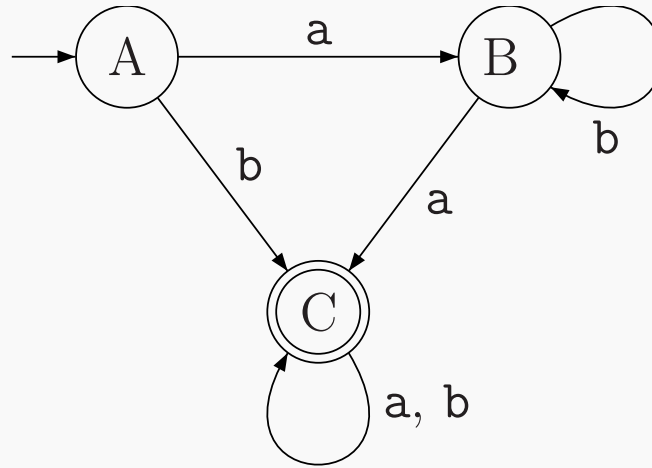
DFA  $\rightarrow$  regular exp

DFA  $\rightarrow$  NFA  
 $\equiv$

Algorithm for converting NFA into  
regular expression

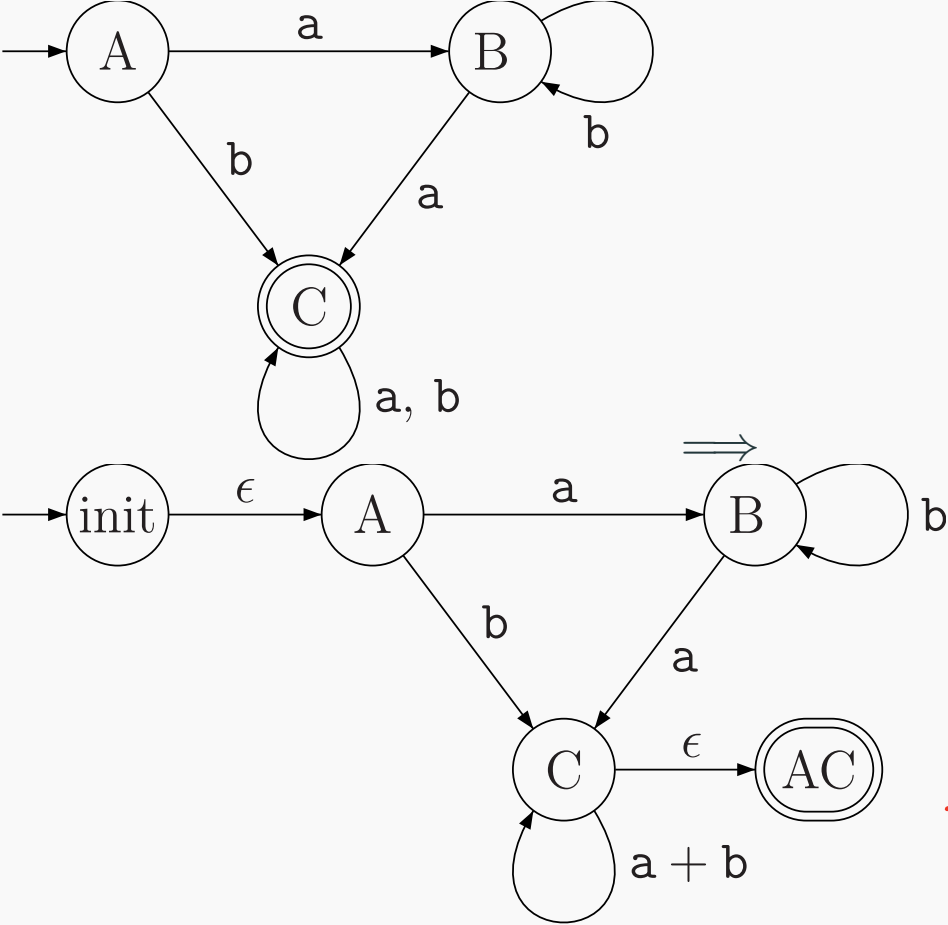
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# Stage 0: Input





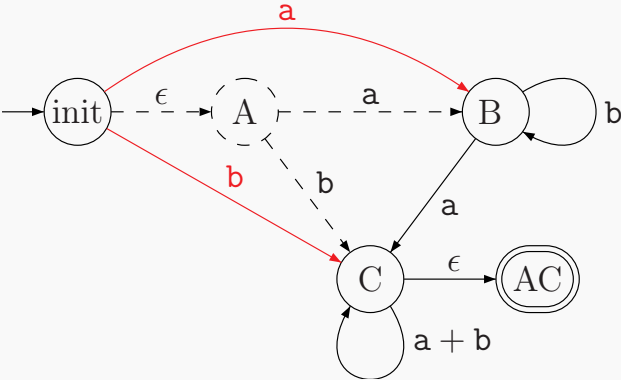
# Stage 1: Normalizing



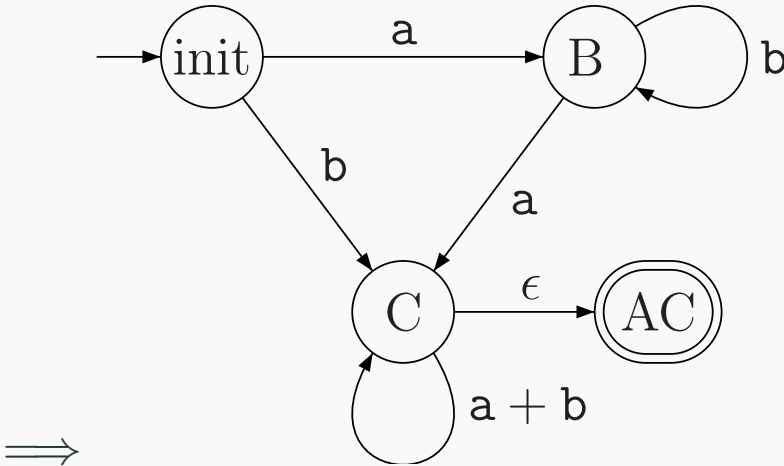
*N*  
wants  
regular expression

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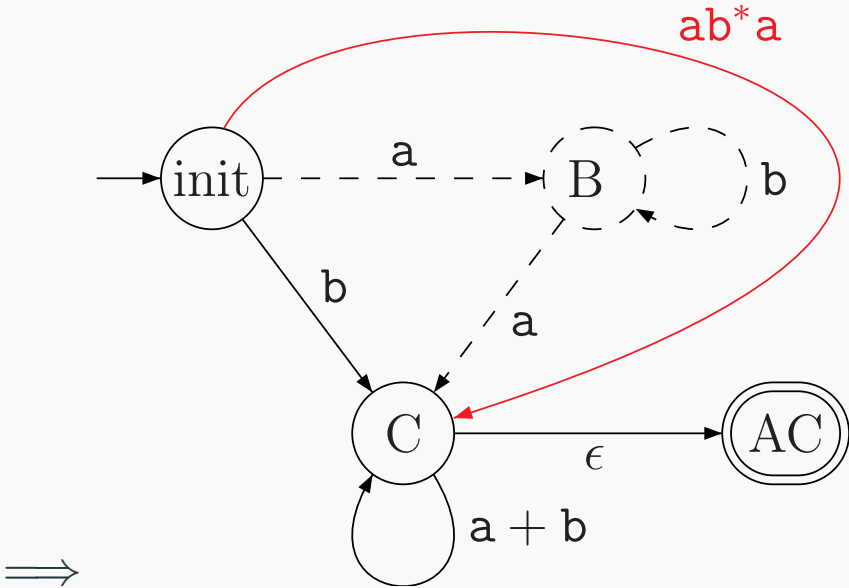
# Stage 2: Remove state A



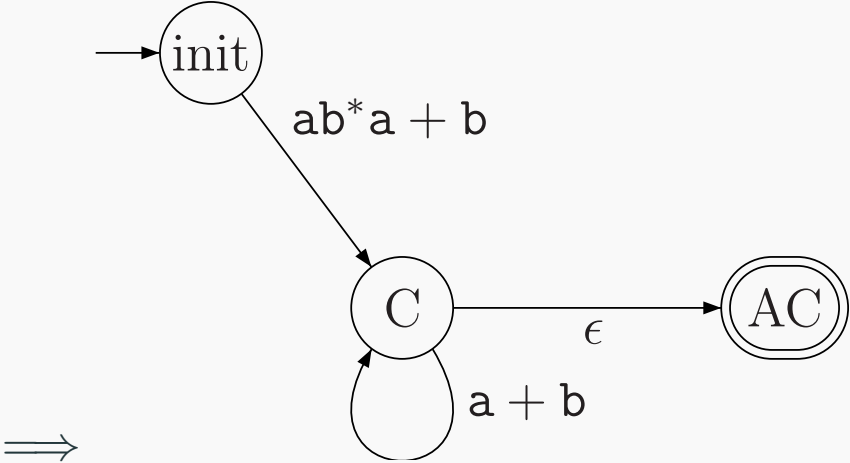
# Stage 4: Redrawn without old edges



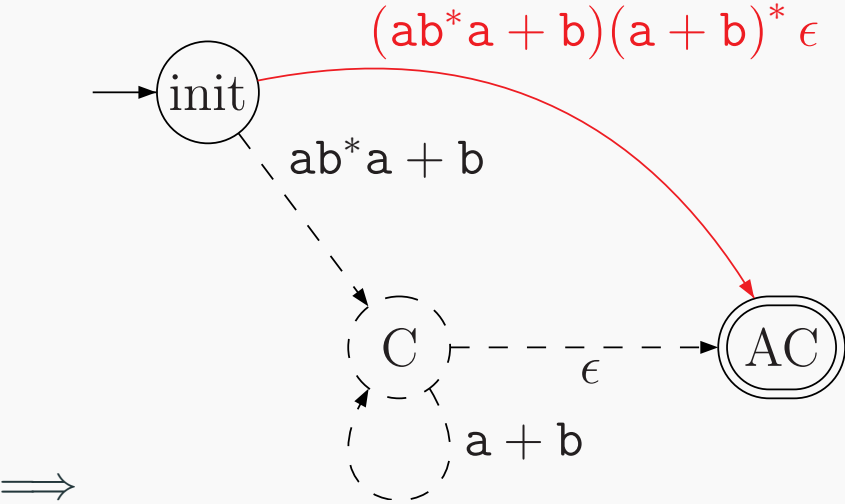
# Stage 4: Removing B



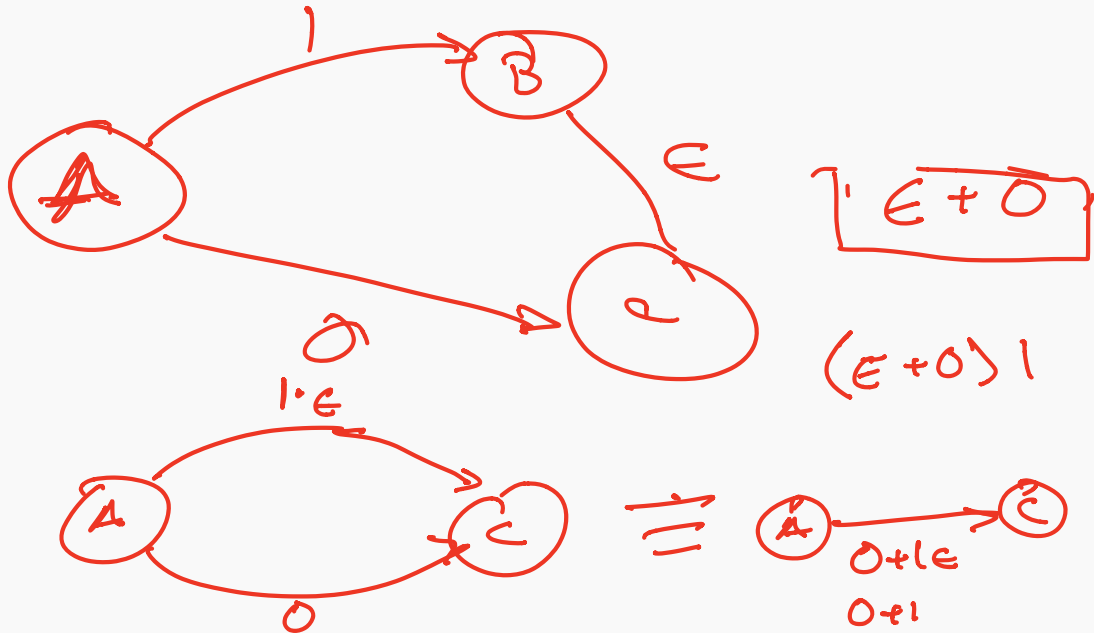
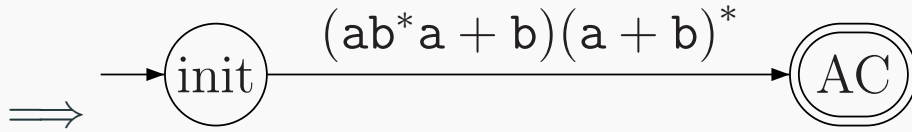
# Stage 5: Redraw



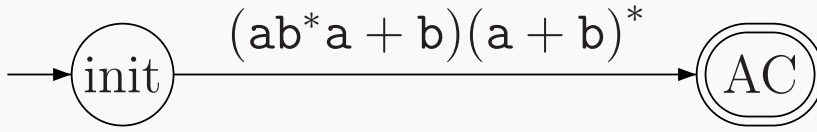
# Stage 6: Removing C



# Stage 7: Redraw



# Stage 8: Extract regular expression



Thus, this automata is equivalent to the regular expression

$(ab^*a + b)(a + b)^*$ .

