## Algorithms \& Models of Computation

## CS/ECE 374, Fall 2020

# Breadth First Search, Dijkstra's Algorithm for Shortest Paths 

Lecture 17

Tuesday, October 27, 2020

## Algorithms \& Models of Computation

## CS/ECE 374, Fall 2020

17.1

Maps as graphs

## Maps as graphs



## Maps as graphs II

(1) Map was downloaded from https://www.openstreetmap.org
(2) Open source alternative to google map.
(3) Nice app (can download maps) + routing.
(4) Graphs are everywhere, and easy to get and use.

## THE END

(for now)

## Algorithms \& Models of Computation

## CS/ECE 374, Fall 2020

17.2

Breadth First Search

## Breadth First Search (BFS)

## Overview

(A) BFS is obtained from BasicSearch by processing edges using a queue data structure.
(B) It processes the vertices in the graph in the order of their shortest distance from the vertex $s$ (the start vertex).

## As such...

(1) DFS good for exploring graph structure
(2) BFS good for exploring distances

## xkcd take on DFS



## Queue Data Structure

## Queues

A queue is a list of elements which supports the operations:
(1) enqueue: Adds an element to the end of the list
(2) dequeue: Removes an element from the front of the list

Elements are extracted in first-in first-out (FIFO) order, i.e., elements are picked in the order in which they were inserted.

## BFS Algorithm

Given (undirected or directed) graph $\boldsymbol{G}=(\boldsymbol{V}, \boldsymbol{E})$ and node $\boldsymbol{s} \in \boldsymbol{V}$

```
BFS(s)
    Mark all vertices as unvisited
    Initialize search tree T to be empty
    Mark vertex s as visited
    set Q to be the empty queue
    enqueue( }Q,s
        while Q is nonempty do
        u}=\mathrm{ dequeue(Q)
        for each vertex v \in Adj(u)
        if v}\mathrm{ is not visited then
            add edge (u,v) to }\boldsymbol{T
            Mark v as visited and enqueue(v)
```


## Proposition

$\mathrm{BFS}(\boldsymbol{s})$ runs in $O(n+m)$ time.

## BFS: An Example in Undirected Graphs




BFS: An Example in Undirected Graphs

$\begin{array}{lllll}\text { T1. } & {[1]} & \text { T4. } & {[4,5,7,8]} & \text { T7. }\end{array}[8,6]$

BFS: An Example in Undirected Graphs

$\begin{array}{lllll}\text { T1. } & {[1]} & \text { T4. } & {[4,5,7,8]} & \text { T7. }\end{array}[8,6]$

BFS: An Example in Undirected Graphs


T1. [1]
T2. $[2,3]$
T3. $[3,4,5]$

## BFS: An Example in Undirected Graphs


$\begin{array}{ll}\text { T1. } & {[1]} \\ \text { T2. } & {[2,3]} \\ \text { T3. } & {[3,4,5]}\end{array}$

BFS: An Example in Undirected Graphs


## 6

| T1. | $[1]$ | T4. | $[4,5,7,8]$ |
| :--- | :--- | :--- | :--- |
| T2. | $[2,3]$ | T5. | $[5,7,8]$ |
| T3. | $[3,4,5]$ | T6. | $[7,8,6]$ |

## BFS: An Example in Undirected Graphs



T1. [1]
T4. $[4,5,7,8]$
T2. $[2,3]$
T3. $[3,4,5]$
T5. $[5,7,8]$
T6. $[7,8,6]$
T7. $[8,6]$
T8. [6]

## BFS: An Example in Undirected Graphs


$\begin{array}{ll}\text { T1. } & {[1]} \\ \text { T2. } & {[2,3]} \\ \text { T3. } & {[3,4,5]}\end{array}$
T4. $[4,5,7,8]$
T7. $[8,6]$

## BFS: An Example in Undirected Graphs



T1. [1]
T4. $[4,5,7,8]$
T5. $[5,7,8]$
T6. $[7,8,6]$
T7. $[8,6]$
T2. $[2,3]$
T3. $[3,4,5]$

## BFS: An Example in Undirected Graphs


$\begin{array}{ll}\text { T1. } & {[1]} \\ \text { T2. } & {[2,3]} \\ \text { T3. } & {[3,4,5]}\end{array}$
T4. $[4,5,7,8]$
T7. $[8,6]$
T5. $[5,7,8]$
T6. $[7,8,6]$
T8. [6]
T9. []
BFS tree is the set of purple edges.

## BFS: An Example in Undirected Graphs


$\begin{array}{ll}\text { T1. } & {[1]} \\ \text { T2. } & {[2,3]} \\ \text { T3. } & {[3,4,5]}\end{array}$
T4. $[4,5,7,8]$
T7. $[8,6]$
T5. $[5,7,8]$
T6. $[7,8,6]$
T8. [6]
T9. []
BFS tree is the set of purple edges.

## BFS: An Example in Undirected Graphs


$\begin{array}{ll}\text { T1. } & {[1]} \\ \text { T2. } & {[2,3]} \\ \text { T3. } & {[3,4,5]}\end{array}$
T4. $[4,5,7,8]$
T7. $[8,6]$
T5. $[5,7,8]$
T6. $[7,8,6]$
T8. [6]
T9. []
BFS tree is the set of purple edges.

BFS: An Example in Directed Graphs


## THE END

(for now)

## Algorithms \& Models of Computation <br> CS/ECE 374, Fall 2020 <br> 17.2.1 <br> BFS with distances and layers

## BFS with distances

```
BFS(s)
    Mark all vertices as unvisited; for each v set dist(v)=\infty
    Initialize search tree T to be empty
    Mark vertex s as visited and set dist(s)=0
    set Q to be the empty queue
    enqueue(s)
    while Q is nonempty do
        u}=\operatorname{dequeue(\boldsymbol{Q})
        for each vertex v}\in\operatorname{Adj}(\boldsymbol{u})\mathrm{ do
            if v}\mathrm{ is not visited do
                add edge (u,v) to T
                Mark v as visited, enqueue(v)
                and set dist(v)=\operatorname{dist}(\boldsymbol{u})+1
```


## Properties of BFS: Undirected Graphs

## Theorem

The following properties hold upon termination of BFS(s)
(a) Search tree contains exactly the set of vertices in the connected component of $s$.
(8) If $\operatorname{dist}(\boldsymbol{u})<\operatorname{dist}(\boldsymbol{v})$ then $\boldsymbol{u}$ is visited before $\boldsymbol{v}$.
(0) For every vertex $\boldsymbol{u}, \operatorname{dist}(\boldsymbol{u})$ is the length of a shortest path (in terms of number of edges) from $s$ to $u$.
(0) If $\boldsymbol{u}, \boldsymbol{v}$ are in connected component of $s$ and $\boldsymbol{e}=\{\boldsymbol{u}, \boldsymbol{v}\}$ is an edge of $G$, then $|\operatorname{dist}(u)-\operatorname{dist}(v)| \leq 1$.

## Properties of BFS: Directed Graphs

## Theorem

The following properties hold upon termination of BFS(s):
(A The search tree contains exactly the set of vertices reachable from $s$
(B) If $\operatorname{dist}(\boldsymbol{u})<\operatorname{dist}(\boldsymbol{v})$ then $\boldsymbol{u}$ is visited before $\boldsymbol{v}$
(0) For every vertex $\boldsymbol{u}, \operatorname{dist}(\boldsymbol{u})$ is indeed the length of shortest path from $\boldsymbol{s}$ to $\boldsymbol{u}$
(D) If $\boldsymbol{u}$ is reachable from $\boldsymbol{s}$ and $\boldsymbol{e}=(\boldsymbol{u}, \boldsymbol{v})$ is an edge of $\mathbf{G}$, then $\operatorname{dist}(v)-\operatorname{dist}(u) \leq 1$.
Not necessarily the case that $\operatorname{dist}(\boldsymbol{u})-\operatorname{dist}(\boldsymbol{v}) \leq 1$.

## BFS with Layers

## BFSLayers(s):

Mark all vertices as unvisited and initialize $\boldsymbol{T}$ to be empty Mark $s$ as visited and set $L_{0}=\{s\}$
$\boldsymbol{i}=0$
while $L_{i}$ is not empty do
initialize $\boldsymbol{L}_{i+1}$ to be an empty list for each $\boldsymbol{u}$ in $L_{i}$ do
for each edge $(\boldsymbol{u}, \boldsymbol{v}) \in \operatorname{Adj}(\boldsymbol{u})$ do
if $v$ is not visited
mark vas visited add $(\boldsymbol{u}, \boldsymbol{v})$ to tree $\boldsymbol{T}$ add $\boldsymbol{v}$ to $\boldsymbol{L}_{\boldsymbol{i + 1}}$

$$
\boldsymbol{i}=\boldsymbol{i}+1
$$

Running time: $O(n+m)$

## BFS with Layers

```
BFSLayers(s):
    Mark all vertices as unvisited and initialize T to be empty
    Mark s as visited and set }\mp@subsup{L}{0}{}={s
    i=0
    while }\mp@subsup{L}{i}{}\mathrm{ is not empty do
        initialize Li+1 to be an empty list
        for each }\boldsymbol{u}\mathrm{ in }\mp@subsup{L}{\boldsymbol{i}}{}\mathrm{ do
            for each edge (u,v) ( }\operatorname{Adj}(\boldsymbol{u})\mathrm{ do
            if v is not visited
                        mark v as visited
                        add (u,v) to tree T
                add v to }\mp@subsup{\boldsymbol{L}}{\boldsymbol{i}+1}{
    i=i+1
```

Running time: $O(n+m)$

Example


## BFS with Layers: Properties

## Proposition

The following properties hold on termination of BFSLayers(s).
(1) BFSLayers(s) outputs a BFS tree
(2) $L_{i}$ is the set of vertices at distance exactly $i$ from $s$
(0. If $G$ is undirected, each edge $e=\{u, v\}$ is one of three types:
(1) tree edge between two consecutive layers
(2) non-tree forward/backward edge between two consecutive layers
(3) non-tree cross-edge with both $\boldsymbol{u}, \boldsymbol{v}$ in same layer
(0) $\Rightarrow$ Every edge in the graph is either between two vertices that are either (i) in the same layer, or (ii) in two consecutive layers.

## Example



## BFS with Layers: Properties

## For directed graphs

## Proposition

The following properties hold on termination of BFSLayers(s), if $G$ is directed. For each edge $\boldsymbol{e}=(\boldsymbol{u}, \boldsymbol{v})$ is one of four types:
(1) a tree edge between consecutive layers, $\boldsymbol{u} \in L_{i}, \boldsymbol{v} \in L_{i+1}$ for some $\boldsymbol{i} \geq 0$
(2) a non-tree forward edge between consecutive layers
(3) a non-tree backward edge
( ( a cross-edge with both $\boldsymbol{u}, \boldsymbol{v}$ in same layer

## THE END

(for now)

## Algorithms \& Models of Computation

## CS/ECE 374, Fall 2020

17.3

Shortest Paths and Dijkstra's Algorithm

## Algorithms \& Models of Computation

## CS/ECE 374, Fall 2020

17.3.1

Problem definition

## Shortest Path Problems

## Shortest Path Problems

Input $A$ (undirected or directed) graph $G=(V, E)$ with edge lengths (or costs). For edge $\boldsymbol{e}=(\boldsymbol{u}, \boldsymbol{v}), \ell(\boldsymbol{e})=\boldsymbol{\ell}(\boldsymbol{u}, \boldsymbol{v})$ is its length.
(1) Given nodes $s, t$ find shortest path from $s$ to $t$.
(2) Given node $s$ find shortest path from $s$ to all other nodes.
(3) Find shortest paths for all pairs of nodes.

Many applications!

## Shortest Path Problems

## Shortest Path Problems

Input $A$ (undirected or directed) graph $G=(V, E)$ with edge lengths (or costs). For edge $\boldsymbol{e}=(\boldsymbol{u}, \boldsymbol{v}), \ell(\boldsymbol{e})=\boldsymbol{\ell}(\boldsymbol{u}, \boldsymbol{v})$ is its length.
(1) Given nodes $s, t$ find shortest path from $s$ to $t$.
(2) Given node $s$ find shortest path from $s$ to all other nodes.
(3) Find shortest paths for all pairs of nodes.

Many applications!

## Single-Source Shortest Paths:

## Non-Negative Edge Lengths

(1) Single-Source Shortest Path Problems
(1) Input: A (undirected or directed) graph $\boldsymbol{G}=(\boldsymbol{V}, \boldsymbol{E})$ with non-negative edge lengths. For edge $\boldsymbol{e}=(\boldsymbol{u}, \boldsymbol{v}), \ell(\boldsymbol{e})=\ell(\boldsymbol{u}, \boldsymbol{v})$ is its length.
(2) Given nodes $\boldsymbol{s}, \boldsymbol{t}$ find shortest path from $\boldsymbol{s}$ to $\boldsymbol{t}$.
(3) Given node $\boldsymbol{s}$ find shortest path from $\boldsymbol{s}$ to all other nodes.

- Restrict attention to directed graphs
(2) Undirected graph problem can be reduced to directed graph problem - how?
(1) Given undirected graph $G$, create a new directed graph $G^{\prime}$ by replacing each edge
(2) set $\ell(\boldsymbol{u}, \boldsymbol{v})=\ell(\boldsymbol{v}, \boldsymbol{u})=\ell(\{\boldsymbol{u}, \boldsymbol{v}\})$
(3) Exercise: show reduction works. Relies on non-negativity!


## Single-Source Shortest Paths:

## Non-Negative Edge Lengths

(1) Single-Source Shortest Path Problems
(1) Input: A (undirected or directed) graph $\boldsymbol{G}=(\boldsymbol{V}, \boldsymbol{E})$ with non-negative edge lengths. For edge $\boldsymbol{e}=(\boldsymbol{u}, \boldsymbol{v}), \ell(\boldsymbol{e})=\ell(\boldsymbol{u}, \boldsymbol{v})$ is its length.
(2) Given nodes $\boldsymbol{s}, \boldsymbol{t}$ find shortest path from $\boldsymbol{s}$ to $\boldsymbol{t}$.
(3) Given node $\boldsymbol{s}$ find shortest path from $\boldsymbol{s}$ to all other nodes.
(2) (1) Restrict attention to directed graphs
(2) Undirected graph problem can be reduced to directed graph problem - how?


## Single-Source Shortest Paths:

## Non-Negative Edge Lengths

(1) Single-Source Shortest Path Problems
(1) Input: A (undirected or directed) graph $\boldsymbol{G}=(\boldsymbol{V}, \boldsymbol{E})$ with non-negative edge lengths. For edge $\boldsymbol{e}=(\boldsymbol{u}, \boldsymbol{v}), \ell(\boldsymbol{e})=\ell(\boldsymbol{u}, \boldsymbol{v})$ is its length.
(2) Given nodes $\boldsymbol{s}, \boldsymbol{t}$ find shortest path from $\boldsymbol{s}$ to $\boldsymbol{t}$.
(3) Given node $\boldsymbol{s}$ find shortest path from $\boldsymbol{s}$ to all other nodes.
(2) (1) Restrict attention to directed graphs
(2) Undirected graph problem can be reduced to directed graph problem - how?
(1) Given undirected graph $\boldsymbol{G}$, create a new directed graph $\boldsymbol{G}^{\prime}$ by replacing each edge $\{\boldsymbol{u}, \boldsymbol{v}\}$ in $\boldsymbol{G}$ by $(\boldsymbol{u}, \boldsymbol{v})$ and $(\boldsymbol{v}, \boldsymbol{u})$ in $\boldsymbol{G}^{\prime}$.
(2) set $\ell(\boldsymbol{u}, \boldsymbol{v})=\ell(\boldsymbol{v}, \boldsymbol{u})=\ell(\{\boldsymbol{u}, \boldsymbol{v}\})$
(3) Exercise: show reduction works. Relies on non-negativity!

## THE END

(for now)

## Algorithms \& Models of Computation <br> CS/ECE 374, Fall 2020 <br> 17.3.2 <br> Shortest path via continuous Dijkstra

## Animation

See animation here:
https://youtu.be/t7UjtzqIXSA
Also:
https://youtu.be/pktZ1Q0A67s

## THE END

(for now)

## Algorithms \& Models of Computation <br> CS/ECE 374, Fall 2020 <br> 17.3.3 <br> Shortest path in the weighted case using BFS

## Single-Source Shortest Paths via BFS

(1) Special case: All edge lengths are 1 .
(1) Run BFS(s) to get shortest path distances from $s$ to all other nodes.
(2) $\mathbf{O}(\boldsymbol{m}+\boldsymbol{n})$ time algorithm.

- Special case: Suppose $\boldsymbol{\ell}(\boldsymbol{e})$ is an integer for all e?

Can we use BFS? Reduce to unit edge-length problem by placing $\ell(e)-1$ dummy nodes on $e$.

## Single-Source Shortest Paths via BFS

(1) Special case: All edge lengths are 1.
(1) Run BFS(s) to get shortest path distances from $s$ to all other nodes.
(2) $\boldsymbol{O}(\boldsymbol{m}+\boldsymbol{n})$ time algorithm.
(3) Special case: Suppose $\ell(e)$ is an integer for all $e$ ? Can we use BFS? Reduce to unit edge-length problem by placing $\ell(\boldsymbol{e})-1$ dummy nodes on $e$.

## Single-Source Shortest Paths via BFS

(1) Special case: All edge lengths are 1.
(1) Run BFS(s) to get shortest path distances from $s$ to all other nodes.
(2) $\boldsymbol{O}(\boldsymbol{m}+\boldsymbol{n})$ time algorithm.
(2) Special case: Suppose $\ell(\boldsymbol{e})$ is an integer for all $\boldsymbol{e}$ ? Can we use BFS? Reduce to unit edge-length problem by placing $\ell(e)-1$ dummy nodes on e

## Single-Source Shortest Paths via BFS

(1) Special case: All edge lengths are 1.
(1) Run BFS(s) to get shortest path distances from $s$ to all other nodes.
(2) $\boldsymbol{O}(\boldsymbol{m}+\boldsymbol{n})$ time algorithm.
(2) Special case: Suppose $\ell(\boldsymbol{e})$ is an integer for all $\boldsymbol{e}$ ?

Can we use BFS? Reduce to unit edge-length problem by placing $\ell(\boldsymbol{e})-1$ dummy nodes on $\boldsymbol{e}$.

Example of edge refinement


Example of edge refinement


Example of edge refinement


## Shortest path using BFS

Let $L=\max _{e} \ell(e)$. New graph has $O(m L)$ edges and $O(m L+n)$ nodes. BFS takes $O(m L+n)$ time. Not efficient if $L$ is large.

## Why does BFS kind of works?

Why does BFS work?
BFS(s) explores nodes in increasing distance from $s$

## Why does BFS kind of works?

Why does BFS work?
BFS(s) explores nodes in increasing distance from $s$

## THE END

(for now)

Algorithms \& Models of Computation

## CS/ECE 374, Fall 2020

17.3.4

On the hereditary nature of shortest paths

## You can not shortcut a shortest path

## Lemma

$G$ : directed graph with non-negative edge lengths. $\operatorname{dist}(s, v)$ : shortest path length from $s$ to $v$.
If $\boldsymbol{s}=\boldsymbol{v}_{0} \rightarrow \boldsymbol{v}_{1} \rightarrow \boldsymbol{v}_{2} \rightarrow \ldots \rightarrow \boldsymbol{v}_{\boldsymbol{k}}$ shortest path from $\boldsymbol{s}$ to $\boldsymbol{v}_{\boldsymbol{k}}$ then for any $0 \leq i<j \leq k$ :
$\boldsymbol{v}_{\boldsymbol{i}} \rightarrow \boldsymbol{v}_{\boldsymbol{i}+1} \rightarrow \ldots \rightarrow \boldsymbol{v}_{\boldsymbol{i}}$ is shortest path from $\boldsymbol{v}_{\boldsymbol{i}}$ to $\boldsymbol{v}_{\boldsymbol{j}}$


## You can not shortcut a shortest path

## Lemma

$G$ : directed graph with non-negative edge lengths.
$\operatorname{dist}(s, v)$ : shortest path length from $s$ to $v$.
If $\boldsymbol{s}=\boldsymbol{v}_{0} \rightarrow \boldsymbol{v}_{1} \rightarrow \boldsymbol{v}_{2} \rightarrow \ldots \rightarrow \boldsymbol{v}_{\boldsymbol{k}}$ shortest path from $\boldsymbol{s}$ to $\boldsymbol{v}_{\boldsymbol{k}}$ then for any
$0 \leq i<j \leq k$ :
$\boldsymbol{v}_{\boldsymbol{i}} \rightarrow \boldsymbol{v}_{\boldsymbol{i}+1} \rightarrow \ldots \rightarrow \boldsymbol{v}_{\boldsymbol{i}}$ is shortest path from $\boldsymbol{v}_{\boldsymbol{i}}$ to $\boldsymbol{v}_{\boldsymbol{j}}$

## Proof.

Suppose not. Then for some $0 \leq i<j \leq k$ there is a path $\boldsymbol{P}^{\prime}$ from $\boldsymbol{v}_{\boldsymbol{i}}$ to $\boldsymbol{v}_{\boldsymbol{j}}$ of length strictly less than that of $\boldsymbol{s}=\boldsymbol{v}_{\boldsymbol{i}} \rightarrow \boldsymbol{v}_{\boldsymbol{i}+1} \rightarrow \ldots \rightarrow \boldsymbol{v}_{\boldsymbol{j}}$. Then the path

$$
s=v_{0} \rightarrow v_{1} \rightarrow \cdots \rightarrow v_{i} \bullet P^{\prime} \bullet v_{j} \rightarrow v_{j+1} \rightarrow \cdots \rightarrow v_{k}
$$

is a strictly shorter path from $s$ to $\boldsymbol{v}_{\boldsymbol{k}}$ than $\boldsymbol{s}=\boldsymbol{v}_{0} \rightarrow \boldsymbol{v}_{1} \ldots \rightarrow \boldsymbol{v}_{\boldsymbol{k}}$.

## A proof by picture



## A proof by picture



## A proof by picture

A shorter path from $v_{0}$ to $v_{10}$. A contradiction.


## What we really need...

## Corollary

G: directed graph with non-negative edge lengths. $\operatorname{dist}(s, v)$ : shortest path length from $s$ to $v$.
If $\boldsymbol{s}=\boldsymbol{v}_{0} \rightarrow \boldsymbol{v}_{1} \rightarrow \boldsymbol{v}_{2} \rightarrow \ldots \rightarrow \boldsymbol{v}_{\boldsymbol{k}}$ shortest path from $\boldsymbol{s}$ to $\boldsymbol{v}_{\boldsymbol{k}}$ then for any $0 \leq i \leq k$ :
(1) $\boldsymbol{s}=\boldsymbol{v}_{0} \rightarrow \boldsymbol{v}_{1} \rightarrow \boldsymbol{v}_{2} \rightarrow \ldots \rightarrow \boldsymbol{v}_{\boldsymbol{i}}$ is shortest path from $\boldsymbol{s}$ to $\boldsymbol{v}_{\boldsymbol{i}}$
(2) $\operatorname{dist}\left(s, v_{\boldsymbol{i}}\right) \leq \operatorname{dist}\left(s, v_{\boldsymbol{k}}\right)$. Relies on non-neg edge lengths.

## THE END

(for now)

## Algorithms \& Models of Computation

## CS/ECE 374, Fall 2020

17.3.5

The basic algorithm: Find the $i$ th closest vertex

## A Basic Strategy

Explore vertices in increasing order of distance from $s$ :
(For simplicity assume that nodes are at different distances from $s$ and that no edge has zero length)

```
Initialize for each node \(v, \operatorname{dist}(s, v)=\infty\)
Initialize \(\boldsymbol{X}=\{\boldsymbol{s}\}\),
for \(\boldsymbol{i}=2\) to \(|\boldsymbol{V}|\) do
    (* Invariant: \(\boldsymbol{X}\) contains the \(\boldsymbol{i}-1\) closest nodes to \(\boldsymbol{s}\) *)
    Among nodes in \(\boldsymbol{V}-\boldsymbol{X}\), find the node \(\boldsymbol{v}\) that is the
        ith closest to \(s\)
    Update \(\operatorname{dist}(\boldsymbol{s}, \boldsymbol{v})\)
    \(X=X \cup\{v\}\)
```

How can we implement the step in the for loop?

## A Basic Strategy

Explore vertices in increasing order of distance from $s$ :
(For simplicity assume that nodes are at different distances from $s$ and that no edge has zero length)

```
Initialize for each node \(v, \operatorname{dist}(\boldsymbol{s}, \boldsymbol{v})=\infty\)
Initialize \(X=\{s\}\),
for \(\boldsymbol{i}=2\) to \(|\boldsymbol{V}|\) do
    (* Invariant: \(\boldsymbol{X}\) contains the \(\boldsymbol{i}-1\) closest nodes to \(\boldsymbol{s}\) *)
    Among nodes in \(\boldsymbol{V}-\boldsymbol{X}\), find the node \(\boldsymbol{v}\) that is the
        \(i\) th closest to \(s\)
    Update \(\operatorname{dist}(\boldsymbol{s}, \boldsymbol{v})\)
    \(X=X \cup\{v\}\)
```

How can we implement the step in the for loop?

## Finding the ith closest node

(1) $\boldsymbol{X}$ contains the $\boldsymbol{i}-1$ closest nodes to $s$
(2) Want to find the $\boldsymbol{i}$ th closest node from $\boldsymbol{V}-\boldsymbol{X}$.

What do we know about the $i$ th closest node?


Let $P$ be a shortest path from $s$ to $v$ where $v$ is the $i$ th closest node. Then, all intermediate nodes in $P$ belong to $X$

## Finding the ith closest node

(1) $\boldsymbol{X}$ contains the $\boldsymbol{i}-1$ closest nodes to $s$
(2) Want to find the $\boldsymbol{i}$ th closest node from $\boldsymbol{V}-\boldsymbol{X}$.

What do we know about the $i$ th closest node?

## Claim

Let $\boldsymbol{P}$ be a shortest path from $\boldsymbol{s}$ to $\boldsymbol{v}$ where $\boldsymbol{v}$ is the $i$ th closest node. Then, all intermediate nodes in $\boldsymbol{P}$ belong to $\boldsymbol{X}$.

```
Proof
If P}\mathrm{ had an intermediate node }u\mathrm{ not in X then u}\mathrm{ will be closer to s than v. Implies v
is not the ith closest node to s - recall that X already has the i-1 closest nodes.
```


## Finding the ith closest node

(1) $\boldsymbol{X}$ contains the $\boldsymbol{i}-1$ closest nodes to $s$
(2) Want to find the $\boldsymbol{i t h}$ closest node from $\boldsymbol{V}-\boldsymbol{X}$.

What do we know about the $i$ th closest node?

## Claim

Let $\boldsymbol{P}$ be a shortest path from $\boldsymbol{s}$ to $\boldsymbol{v}$ where $\boldsymbol{v}$ is the $i$ th closest node. Then, all intermediate nodes in $\mathbf{P}$ belong to $\boldsymbol{X}$.

## Proof.

If $\boldsymbol{P}$ had an intermediate node $\boldsymbol{u}$ not in $\boldsymbol{X}$ then $\boldsymbol{u}$ will be closer to $\boldsymbol{s}$ than $\boldsymbol{v}$. Implies $\boldsymbol{v}$ is not the $\boldsymbol{i}$ th closest node to $s$ - recall that $\boldsymbol{X}$ already has the $\boldsymbol{i}-1$ closest nodes.

Finding the ith closest node repeatedly An example


Finding the ith closest node repeatedly An example


Finding the ith closest node repeatedly An example


Finding the ith closest node repeatedly An example


Finding the ith closest node repeatedly An example


Finding the ith closest node repeatedly
An example


Finding the ith closest node repeatedly
An example


Finding the ith closest node repeatedly
An example


Finding the ith closest node repeatedly
An example


Finding the ith closest node


## Corollary

The ith closest node is adjacent to $\boldsymbol{X}$.

## Summary

Proved that the basic algorithm is (intuitively) correct...
...but is missing details
...and how to implement efficiently?

## THE END

(for now)

## Algorithms \& Models of Computation <br> CS/ECE 374, Fall 2020 <br> 17.3.6 <br> How to compute the $i$ th closest vertex?

## Finding the ith closest node

(1) $\boldsymbol{X}$ contains the $\boldsymbol{i}-1$ closest nodes to $s$
(2) Want to find the $\boldsymbol{i}$ th closest node from $\boldsymbol{V}-\boldsymbol{X}$.
(1) For each $\boldsymbol{u} \in \boldsymbol{V}-\boldsymbol{X}$ let $P(s, \boldsymbol{u}, \boldsymbol{X})$ be a shortest path from $s$ to $\boldsymbol{u}$ using only nodes in $\boldsymbol{X}$ as intermediate vertices.
(2) Let $d^{\prime}(s, u)$ be the length of $P(s, u, X)$


## Finding the ith closest node

(1) $\boldsymbol{X}$ contains the $\boldsymbol{i}-1$ closest nodes to $s$
(2) Want to find the $i$ th closest node from $\boldsymbol{V}-\boldsymbol{X}$.
(1) For each $\boldsymbol{u} \in \boldsymbol{V}-\boldsymbol{X}$ let $P(s, u, X)$ be a shortest path from $s$ to $u$ using only nodes in $X$ as intermediate vertices.
(2) Let $d^{\prime}(s, u)$ be the length of $P(s, u, X)$

Observations: for each $u \in V-X$,
(1) $\operatorname{dist}(s, u) \leq d^{\prime}(s, u)$ since we are constraining the paths
(2) $d^{\prime}(s, u)=\min _{t \in X}(\operatorname{dist}(s, t)+\ell(t, u))-$ Why?

Lemma ( $d^{\prime}$ has the right value for $i$ th vertex)
If $v$ is the ith closest node to $s$, then $d^{\prime}(s, v)=\operatorname{dist}(s, v)$.

## Finding the ith closest node

(1) $\boldsymbol{X}$ contains the $\boldsymbol{i}-1$ closest nodes to $s$
(2) Want to find the $\boldsymbol{i}$ th closest node from $\boldsymbol{V}-\boldsymbol{X}$.
(1) For each $\boldsymbol{u} \in \boldsymbol{V}-\boldsymbol{X}$ let $P(s, \boldsymbol{u}, \boldsymbol{X})$ be a shortest path from $s$ to $\boldsymbol{u}$ using only nodes in $\boldsymbol{X}$ as intermediate vertices.
(2) Let $d^{\prime}(s, u)$ be the length of $P(s, u, X)$

Observations: for each $\boldsymbol{u} \in \boldsymbol{V}-\boldsymbol{X}$,
(1) $\operatorname{dist}(s, u) \leq d^{\prime}(s, u)$ since we are constraining the paths
(2) $d^{\prime}(s, u)=\min _{t \in X}(\operatorname{dist}(s, t)+\ell(t, u))-$ Why?

Lemma ( $\boldsymbol{d}^{\prime}$ has the right value for $\boldsymbol{i}$ th vertex)
If $v$ is the ith closest node to $s$, then $d^{\prime}(s, v)=\operatorname{dist}(s, v)$.

## Finding the ith closest node

## Lemma ( $\boldsymbol{d}^{\prime}$ has the right value for $\boldsymbol{i}$ th vertex)

Given:
(1) $X$ : Set of $i-1$ closest nodes to $s$.
(2) $d^{\prime}(s, u)=\min _{t \in X}(\operatorname{dist}(s, t)+\ell(t, u))$

If $v$ is an ith closest node to $s$, then $d^{\prime}(s, v)=\operatorname{dist}(s, v)$.

## Proof.

Let $\boldsymbol{v}$ be the $\boldsymbol{i}$ th closest node to $\boldsymbol{s}$. Then there is a shortest path $P$ from $s$ to $\boldsymbol{v}$ that contains only nodes in $\boldsymbol{X}$ as intermediate nodes (see previous claim). Therefore $d^{\prime}(s, v)=\operatorname{dist}(s, v)$.

## Finding the ith closest node

Lemma ( $\boldsymbol{d}^{\prime}$ has the right value for $\boldsymbol{i}$ th vertex)
If $v$ is an ith closest node to $s$, then $d^{\prime}(s, v)=\operatorname{dist}(s, v)$.

## Corollary

The $i$ ith closest node to $s$ is the node $\mathbf{v} \in \boldsymbol{V}-\boldsymbol{X}$ such that $d^{\prime}(s, v)=\min _{u \in \boldsymbol{v}-\boldsymbol{x}} d^{\prime}(s, u)$.

## Proof.

For every node $\boldsymbol{u} \in \boldsymbol{V}-\boldsymbol{X}, \operatorname{dist}(\boldsymbol{s}, \boldsymbol{u}) \leq \boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{u})$ and for the $\boldsymbol{i}$ th closest node $\boldsymbol{v}$, $\operatorname{dist}(s, v)=d^{\prime}(s, v)$. Moreover, $\operatorname{dist}(s, u) \geq \operatorname{dist}(s, v)$ for each $u \in V-X$.

## Algorithm

```
Initialize for each node \(v\) : \(\operatorname{dist}(s, v)=\infty\)
Initialize \(\boldsymbol{X}=\emptyset, \boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{s})=0\)
for \(\boldsymbol{i}=1\) to \(|\boldsymbol{V}|\) do
    (* Invariant: \(\boldsymbol{X}\) contains the \(\boldsymbol{i}-1\) closest nodes to \(\boldsymbol{s}\) *)
    (* Invariant: \(\boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{u})\) is shortest path distance from \(\boldsymbol{u}\) to \(\boldsymbol{s}\)
        using only \(\boldsymbol{X}\) as intermediate nodes*)
    Let \(\boldsymbol{v}\) be such that \(\boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{v})=\min _{\boldsymbol{u} \in \boldsymbol{V}-\boldsymbol{x}} \boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{u})\)
        \(\operatorname{dist}(s, v)=d^{\prime}(s, v)\)
        \(X=X \cup\{v\}\)
        for each node \(\boldsymbol{u}\) in \(\boldsymbol{V}-\boldsymbol{X}\) do
        \(d^{\prime}(s, u)=\min _{t \in X}(\operatorname{dist}(s, t)+\ell(t, u))\)
```

Correctness: By induction on $i$ using previous lemmas.
$O(n \cdot(n+m))$ time.
(1) $n$ outer iterations. In each iteration, $d^{\prime}(s, u)$ for each $u$ by scanning all edges out of nodes in $X ; O(m+n)$ time/iteration.

## Algorithm

```
Initialize for each node \(v\) : \(\operatorname{dist}(s, v)=\infty\)
Initialize \(\boldsymbol{X}=\emptyset, \quad \boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{s})=0\)
for \(\boldsymbol{i}=1\) to \(|\boldsymbol{V}|\) do
    (* Invariant: \(\boldsymbol{X}\) contains the \(\boldsymbol{i}-1\) closest nodes to \(\boldsymbol{s}\) *)
    (* Invariant: \(\boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{u})\) is shortest path distance from \(\boldsymbol{u}\) to \(\boldsymbol{s}\)
        using only \(\boldsymbol{X}\) as intermediate nodes*)
    Let \(\boldsymbol{v}\) be such that \(\boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{v})=\min _{\boldsymbol{u} \in \boldsymbol{V}-\boldsymbol{x}} \boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{u})\)
    \(\operatorname{dist}(s, v)=d^{\prime}(s, v)\)
    \(X=X \cup\{v\}\)
    for each node \(\boldsymbol{u}\) in \(\boldsymbol{V}-\boldsymbol{X}\) do
        \(d^{\prime}(\boldsymbol{s}, \boldsymbol{u})=\min _{t \in X}(\operatorname{dist}(s, t)+\ell(t, u))\)
```

Correctness: By induction on $\boldsymbol{i}$ using previous lemmas.

## Running time: $O(n \cdot(n+m))$ time.

(1) $\boldsymbol{n}$ outer iterations. In each iteration, $\boldsymbol{d}^{\prime}(s, u)$ for each $\boldsymbol{u}$ by scanning all edges out of nodes in $X ; \boldsymbol{O}(\boldsymbol{m}+\boldsymbol{n})$ time/iteration.

## Algorithm

```
Initialize for each node \(v\) : \(\operatorname{dist}(s, v)=\infty\)
Initialize \(\boldsymbol{X}=\emptyset, \quad \boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{s})=0\)
for \(\boldsymbol{i}=1\) to \(|\boldsymbol{V}|\) do
    (* Invariant: \(\boldsymbol{X}\) contains the \(\boldsymbol{i}-1\) closest nodes to \(\boldsymbol{s}\) *)
    (* Invariant: \(\boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{u})\) is shortest path distance from \(\boldsymbol{u}\) to \(\boldsymbol{s}\)
        using only \(\boldsymbol{X}\) as intermediate nodes*)
    Let \(\boldsymbol{v}\) be such that \(\boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{v})=\min _{\boldsymbol{u} \in \boldsymbol{V}-\boldsymbol{x}} \boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{u})\)
    \(\operatorname{dist}(s, v)=d^{\prime}(s, v)\)
    \(X=X \cup\{v\}\)
    for each node \(\boldsymbol{u}\) in \(\boldsymbol{V}-\boldsymbol{X}\) do
        \(d^{\prime}(\boldsymbol{s}, \boldsymbol{u})=\min _{t \in X}(\operatorname{dist}(s, t)+\ell(t, u))\)
```

Correctness: By induction on $\boldsymbol{i}$ using previous lemmas.
Running time: $O(n \cdot(n+m))$ time.
(1) $n$ outer iterations. In each iteration, $d^{\prime}(s, u)$ for each $u$ by scanning all edges out of nodes in $X ; \boldsymbol{O}(\boldsymbol{m}+\boldsymbol{n})$ time/iteration.

## Algorithm

```
Initialize for each node v: }\operatorname{dist}(\boldsymbol{s},v)=
Initialize X = \emptyset, d'(s,s)=0
for i=1 to |V| do
    (* Invariant: X contains the i - 1 closest nodes to s *)
    (* Invariant: }\mp@subsup{\boldsymbol{d}}{}{\prime}(\boldsymbol{s},\boldsymbol{u})\mathrm{ is shortest path distance from u}\mathrm{ to s
        using only }\boldsymbol{X}\mathrm{ as intermediate nodes*)
    Let v}\mathrm{ be such that }\mp@subsup{\boldsymbol{d}}{}{\prime}(\boldsymbol{s},\boldsymbol{v})=\mp@subsup{\operatorname{min}}{\boldsymbol{u}\in\boldsymbol{V}-\boldsymbol{x}}{}\mp@subsup{\boldsymbol{d}}{}{\prime}(\boldsymbol{s},\boldsymbol{u}
    dist(s,v)=\mp@subsup{d}{}{\prime}(s,v)
    X=X\cup{v}
    for each node u}\mathrm{ in }\boldsymbol{V}-\boldsymbol{X}\mathrm{ do
        d}(\boldsymbol{s},\boldsymbol{u})=\mp@subsup{\operatorname{min}}{t\inX}{}(\operatorname{dist}(\boldsymbol{s},\boldsymbol{t})+\ell(t,u)
```

Correctness: By induction on $i$ using previous lemmas.
Running time: $O(\boldsymbol{n} \cdot(\boldsymbol{n}+\boldsymbol{m}))$ time.
(1) $\boldsymbol{n}$ outer iterations. In each iteration, $\boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{u})$ for each $\boldsymbol{u}$ by scanning all edges out of nodes in $\boldsymbol{X} ; \boldsymbol{O}(\boldsymbol{m}+\boldsymbol{n})$ time/iteration.

## THE END

(for now)

## Algorithms \& Models of Computation

## CS/ECE 374, Fall 2020

17.3.7

Dijkstra's algorithm

Example: Dijkstra algorithm in action


## Example: Dijkstra algorithm in action



## Example: Dijkstra algorithm in action



## Example: Dijkstra algorithm in action



Example: Dijkstra algorithm in action


Example: Dijkstra algorithm in action


Example: Dijkstra algorithm in action


Example: Dijkstra algorithm in action


Example: Dijkstra algorithm in action


Example: Dijkstra algorithm in action


Example: Dijkstra algorithm in action


Example: Dijkstra algorithm in action


Example: Dijkstra algorithm in action


Example: Dijkstra algorithm in action


Example: Dijkstra algorithm in action


Example: Dijkstra algorithm in action


Example: Dijkstra algorithm in action


Example: Dijkstra algorithm in action


## Improved Algorithm

(1) Main work is to compute the $\boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{u})$ values in each iteration
(2) $\boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{u})$ changes from iteration $\boldsymbol{i}$ to $\boldsymbol{i}+1$ only because of the node $\boldsymbol{v}$ that is added to $X$ in iteration $i$.


Running time: $O\left(m+n^{2}\right)$ time.
(1) $\boldsymbol{n}$ outer iterations and in each iteration following steps
updating $d^{\prime}(s, u)$ after $v$ is added takes $O(\operatorname{deg}(v))$ time so total work is $O(m) 58 / 1$

## Improved Algorithm

(1) Main work is to compute the $d^{\prime}(s, u)$ values in each iteration
(2) $\boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{u})$ changes from iteration $\boldsymbol{i}$ to $\boldsymbol{i}+1$ only because of the node $\boldsymbol{v}$ that is added to $X$ in iteration $i$.

```
Initialize for each node \(v, \operatorname{dist}(s, v)=d^{\prime}(s, v)=\infty\)
Initialize \(\boldsymbol{X}=\emptyset, \boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{s})=0\)
for \(\boldsymbol{i}=1\) to \(|\boldsymbol{V}|\) do
    // \(\boldsymbol{X}\) contains the \(\boldsymbol{i}-1\) closest nodes to \(\boldsymbol{s}\),
    // and the values of \(\boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{u})\) are current
    Let \(\boldsymbol{v}\) be node realizing \(\boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{v})=\min _{\boldsymbol{u} \in \boldsymbol{v}-\boldsymbol{x}} \boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{u})\)
    \(\operatorname{dist}(s, v)=d^{\prime}(s, v)\)
    \(\boldsymbol{X}=\boldsymbol{X} \cup\{v\}\)
    Update \(\boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{u})\) for each \(\boldsymbol{u}\) in \(\boldsymbol{V}-\boldsymbol{X}\) as follows:
    \(d^{\prime}(s, u)=\min \left(d^{\prime}(s, u), \operatorname{dist}(s, v)+\ell(v, u)\right)\)
```

Running time:
$O\left(m+n^{2}\right)$ time
(1) $n$ outer iterations and in each iteration following steps
updating $d^{\prime}(s, u)$ after $\boldsymbol{v}$ is added takes

## Improved Algorithm

$$
\begin{aligned}
& \text { Initialize for each node } \boldsymbol{v}, \operatorname{dist}(\boldsymbol{s}, \boldsymbol{v})=\boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{v})=\infty \\
& \text { Initialize } \boldsymbol{X}=\emptyset, \boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{s})=0 \\
& \text { for } \boldsymbol{i}=1 \text { to }|\boldsymbol{V}| \text { do } \\
& \text { // } \boldsymbol{X} \text { contains the } \boldsymbol{i}-1 \text { closest nodes to } \boldsymbol{s} \text {, } \\
& \text { // and the values of } \boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{u}) \text { are current } \\
& \text { Let } \boldsymbol{v} \text { be node realizing } \boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{v})=\min _{\boldsymbol{u} \in \boldsymbol{v}-\boldsymbol{x}} \boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{u}) \\
& \operatorname{dist}(\boldsymbol{s}, \boldsymbol{v})=\boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{v}) \\
& \boldsymbol{X}=\boldsymbol{X} \cup\{\boldsymbol{v}\} \\
& \text { Update } \boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{u}) \text { for each } \boldsymbol{u} \text { in } \boldsymbol{V}-\boldsymbol{X} \text { as follows: } \\
& \quad \boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{u})=\boldsymbol{\operatorname { m i n }}\left(\boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{u}), \operatorname{dist}(\boldsymbol{s}, \boldsymbol{v})+\boldsymbol{\ell}(\boldsymbol{v}, \boldsymbol{u})\right)
\end{aligned}
$$

Running time: $\boldsymbol{O}\left(\boldsymbol{m}+\boldsymbol{n}^{2}\right)$ time.
(1) $n$ outer iterations and in each iteration following steps
(2) updating $d^{\prime}(s, u)$ after $v$ is added takes $O(\operatorname{deg}(v))$ time so total work is $O(m)$ since a node enters $X$ only once
(3) Finding $v$ from $d^{\prime}(s, u)$ values is $O(n)$ time

## Dijkstra's Algorithm

(1) eliminate $\boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{u})$ and let $\operatorname{dist}(\boldsymbol{s}, \boldsymbol{u})$ maintain it
(2) update dist values after adding $v$ by scanning edges out of $v$

$$
\begin{aligned}
& \text { Initialize for each node } v, \operatorname{dist}(\boldsymbol{s}, \boldsymbol{v})=\infty \\
& \text { Initialize } \boldsymbol{X}=\emptyset, \operatorname{dist}(\boldsymbol{s}, \boldsymbol{s})=0 \\
& \text { for } \boldsymbol{i}=1 \text { to }|\boldsymbol{V}| \text { do } \\
& \text { Let } \boldsymbol{v} \text { be such that } \operatorname{dist}(\boldsymbol{s}, \boldsymbol{v})=\min _{\boldsymbol{u} \in \boldsymbol{v}-\boldsymbol{x}} \operatorname{dist}(\boldsymbol{s}, \boldsymbol{u}) \\
& \boldsymbol{X}=\boldsymbol{X} \cup\{\boldsymbol{v}\} \\
& \text { for each } \boldsymbol{u} \text { in } \operatorname{Adj}(\boldsymbol{v}) \text { do } \\
& \quad \operatorname{dist}(\boldsymbol{s}, \boldsymbol{u})=\boldsymbol{m i n}(\operatorname{dist}(\boldsymbol{s}, \boldsymbol{u}), \operatorname{dist}(\boldsymbol{s}, \boldsymbol{v})+\ell(\boldsymbol{v}, \boldsymbol{u}))
\end{aligned}
$$

Priority Queues to maintain dist values for faster running time
(1) Using heaps and standard priority queues: $O((m+n) \log n)$
(2) Using Fibonacci heaps: $O(m+n \log n)$.

## Dijkstra's Algorithm

(1) eliminate $\boldsymbol{d}^{\prime}(\boldsymbol{s}, \boldsymbol{u})$ and let $\operatorname{dist}(\boldsymbol{s}, \boldsymbol{u})$ maintain it
(2) update dist values after adding $v$ by scanning edges out of $v$

$$
\begin{aligned}
& \text { Initialize for each node } v, \operatorname{dist}(\boldsymbol{s}, \boldsymbol{v})=\infty \\
& \text { Initialize } \boldsymbol{X}=\emptyset, \operatorname{dist}(\boldsymbol{s}, \boldsymbol{s})=0 \\
& \text { for } \boldsymbol{i}=1 \text { to }|\boldsymbol{V}| \text { do } \\
& \text { Let } \boldsymbol{v} \text { be } \operatorname{such} \text { that } \operatorname{dist}(\boldsymbol{s}, \boldsymbol{v})=\min _{\boldsymbol{u} \in \boldsymbol{v}-\boldsymbol{x}} \operatorname{dist}(\boldsymbol{s}, \boldsymbol{u}) \\
& \boldsymbol{X}=\boldsymbol{X} \cup\{\boldsymbol{v}\} \\
& \mathbf{f o r} \operatorname{each} \boldsymbol{u} \text { in } \operatorname{Adj}(\boldsymbol{v}) \text { do } \\
& \quad \operatorname{dist}(\boldsymbol{s}, \boldsymbol{u})=\boldsymbol{\operatorname { m i n }}(\operatorname{dist}(\boldsymbol{s}, \boldsymbol{u}), \operatorname{dist}(\boldsymbol{s}, \boldsymbol{v})+\ell(\boldsymbol{v}, \boldsymbol{u})) \\
& \hline
\end{aligned}
$$

Priority Queues to maintain dist values for faster running time
(1) Using heaps and standard priority queues: $\boldsymbol{O}((\boldsymbol{m}+n) \log n)$
(2) Using Fibonacci heaps: $O(m+n \log n)$.

## THE END

(for now)

## Algorithms \& Models of Computation <br> CS/ECE 374, Fall 2020 <br> 17.3.8 <br> Dijkstra using priority queues

## Priority Queues

Data structure to store a set $\boldsymbol{S}$ of $\boldsymbol{n}$ elements where each element $\boldsymbol{v} \in S$ has an associated real/integer key $k(v)$ such that the following operations:
(1) makePQ: create an empty queue.
(2) findMin: find the minimum key in $S$.
(3) extractMin: Remove $v \in S$ with smallest key and return it.
(3) insert $(\boldsymbol{v}, \boldsymbol{k}(\boldsymbol{v}))$ : Add new element $\boldsymbol{v}$ with key $\boldsymbol{k}(\boldsymbol{v})$ to $S$.
(5) delete $(v)$ : Remove element $v$ from $S$.
(6) decreaseKey $\left(v, k^{\prime}(v)\right)$ decrease key of $v$ from $k(v)$ (current key) to $k^{\prime}(v)$ (new key). Assumption: $k^{\prime}(v) \leq k(v)$.
(7) meld: merge two separate priority queues into one

All operations can be performed in $O(\log n)$ time
decreaseKey is implemented via delete and insert.

## Priority Queues

Data structure to store a set $\boldsymbol{S}$ of $\boldsymbol{n}$ elements where each element $\boldsymbol{v} \in S$ has an associated real/integer key $k(v)$ such that the following operations:
(1) makePQ: create an empty queue.
(2) findMin: find the minimum key in $S$.
(0) extractMin: Remove $v \in S$ with smallest key and return it.
(O) insert $(v, k(v))$ : Add new element $v$ with key $k(v)$ to $S$.
(0) delete( $v$ ): Remove element $v$ from $S$.
(0) decrease $\operatorname{Key}\left(v, k^{\prime}(v)\right)$ : decrease key of $v$ from $k(v)$ (current key) to $k^{\prime}(v)$ (new key). Assumption: $k^{\prime}(v) \leq k(v)$.
(1) meld: merge two separate priority queues into one.

$$
\begin{aligned}
& \text { All operations can be performed in } O(\log n) \text { time. } \\
& \text { decreaseKey is implemented via delete and insert. }
\end{aligned}
$$

## Priority Queues

Data structure to store a set $\boldsymbol{S}$ of $\boldsymbol{n}$ elements where each element $\boldsymbol{v} \in S$ has an associated real/integer key $k(v)$ such that the following operations:
(1) makePQ: create an empty queue.
(2) findMin: find the minimum key in $S$.
(3) extractMin: Remove $v \in S$ with smallest key and return it.
(O) insert $(v, k(v))$ : Add new element $v$ with key $k(v)$ to $S$.
(0) delete( $v$ ): Remove element $v$ from $S$.
(0) decrease $\operatorname{Key}\left(v, k^{\prime}(v)\right)$ : decrease key of $v$ from $k(v)$ (current key) to $k^{\prime}(v)$ (new key). Assumption: $k^{\prime}(v) \leq k(v)$.
(3) meld: merge two separate priority queues into one.

All operations can be performed in $O(\log n)$ time. decreaseKey is implemented via delete and insert.

## Dijkstra's Algorithm using Priority Queues

```
\(Q \leftarrow\) makePQ()
insert ( \(Q,(s, 0)\) )
for each node \(u \neq s\) do
    insert \((\boldsymbol{Q},(\boldsymbol{u}, \infty))\)
\(\boldsymbol{X} \leftarrow \emptyset\)
for \(\boldsymbol{i}=1\) to \(|\boldsymbol{V}|\) do
    \((v, \operatorname{dist}(s, v))=\) extractMin(Q)
    \(\boldsymbol{X}=\boldsymbol{X} \cup\{v\}\)
    for each \(u\) in \(\operatorname{Adj}(v)\) do
        \(\operatorname{decreaseKey}(\boldsymbol{Q},(\boldsymbol{u}, \min (\operatorname{dist}(\boldsymbol{s}, \boldsymbol{u}), \operatorname{dist}(\boldsymbol{s}, \boldsymbol{v})+\ell(\boldsymbol{v}, \boldsymbol{u}))))\).
```

Priority Queue operations:
(1) $O(n)$ insert operations
(2) $O(n)$ extractMin operations
(3) $O(m)$ decreaseKey operations

## Implementing Priority Queues via Heaps

## Using Heaps

Store elements in a heap based on the key value
(1) All operations can be done in $O(\log n)$ time

Dijkstra's algorithm can be implemented in $O((n+m) \log n)$ time.

## Implementing Priority Queues via Heaps

## Using Heaps

Store elements in a heap based on the key value
(1) All operations can be done in $O(\log n)$ time

Dijkstra's algorithm can be implemented in $\boldsymbol{O}((n+m) \log n)$ time.

## Priority Queues: Fibonacci Heaps/Relaxed Heaps

## Fibonacci Heaps

(1) extractMin, insert, delete, meld in $O(\log n)$ time
(2) decreaseKey in $O(1)$ amortized time: $\ell$ decreaseKey operations for $\ell \geq n$ take together $O(\ell)$ time
(3) Relaxed Heaps: decreaseKey in $O(1)$ worst case time but at the expense of meld (not necessary for Dijkstra's algorithm)
(1) Dijkstra's algorithm can be implemented in $O(n \log n+m)$ time. If $\boldsymbol{m}=\Omega(\boldsymbol{n} \log \boldsymbol{n})$, running time is linear in input size
(2) Data structures are complicated to analyze/implement. Recent work has obtained data structures that are easier to analyze and implement, and perform well in practice. Rank-Pairing Heaps, for example
(0) Boost library implements both Fibonacci heaps and rank-pairing heaps.

## Priority Queues: Fibonacci Heaps/Relaxed Heaps

## Fibonacci Heaps

(1) extractMin, insert, delete, meld in $O(\log n)$ time
(2) decreaseKey in $O(1)$ amortized time: $\ell$ decreaseKey operations for $\ell \geq \boldsymbol{n}$ take together $O(\ell)$ time

- Relaxed Heaps: decreaseKey in $O(1)$ worst case time but at the expense of meld (not necessary for Dijkstra's algorithm)
(3) Dijkstra's algorithm can be implemented in $O(n \log n+m)$ time. If $m=\Omega(n \log n)$, running time is linear in input size.
(2) Data structures are complicated to analyze/implement. Recent work has obtained data structures that are easier to analyze and implement, and perform well in practice. Rank-Pairing Heaps, for example.
- Boost library implements both Fibonacci heaps and rank-pairing heaps.


## Priority Queues: Fibonacci Heaps/Relaxed Heaps

## Fibonacci Heaps

(1) extractMin, insert, delete, meld in $O(\log n)$ time
(2) decreaseKey in $O(1)$ amortized time: $\ell$ decreaseKey operations for $\ell \geq n$ take together $\boldsymbol{O}(\ell)$ time
(3) Relaxed Heaps: decreaseKey in $\boldsymbol{O}(1)$ worst case time but at the expense of meld (not necessary for Dijkstra's algorithm)
(1) Dijkstra's algorithm can be implemented in $O(n \log n+m)$ time. If $\boldsymbol{m}=\Omega(\boldsymbol{n} \log \boldsymbol{n})$, running time is linear in input size.
(2) Data structures are complicated to analyze/implement. Recent work has obtained data structures that are easier to analyze and implement, and perform well in practice. Rank-Pairing Heaps, for example.
(3) Boost library implements both Fibonacci heaps and rank-pairing heaps.

## Priority Queues: Fibonacci Heaps/Relaxed Heaps

## Fibonacci Heaps

(1) extractMin, insert, delete, meld in $O(\log n)$ time
(2) decreaseKey in $\boldsymbol{O}(1)$ amortized time: $\boldsymbol{\ell}$ decreaseKey operations for $\boldsymbol{\ell} \geq \boldsymbol{n}$ take together $O(\ell)$ time

- Relaxed Heaps: decreaseKey in $O(1)$ worst case time but at the expense of meld (not necessary for Dijkstra's algorithm)
(1) Dijkstra's algorithm can be implemented in $O(n \log \boldsymbol{n}+\boldsymbol{m})$ time. If $\boldsymbol{m}=\Omega(\boldsymbol{n} \log \boldsymbol{n})$, running time is linear in input size.
(2) Data structures are complicated to analyze/implement. Recent work has obtained data structures that are easier to analyze and implement, and perform well in practice. Rank-Pairing Heaps, for example.
(0) Boost library implements both Fibonacci heaps and rank-pairing heaps.


## THE END

(for now)

## Algorithms \& Models of Computation <br> CS/ECE 374, Fall 2020 <br> 17.4 <br> Shortest path trees and variants

## Algorithms \& Models of Computation

## CS/ECE 374, Fall 2020

17.4.1

Shortest Path Tree

## Shortest Path Tree

Dijkstra's algorithm finds the shortest path distances from sto $V$. Question: How do we find the paths themselves?


## Shortest Path Tree

Dijkstra's algorithm finds the shortest path distances from sto $\boldsymbol{V}$. Question: How do we find the paths themselves?

```
\(Q=\) makePQ()
insert ( \(Q,(s, 0)\) )
\(\operatorname{prev}(s) \leftarrow\) null
for each node \(\boldsymbol{u} \neq \boldsymbol{s}\) do
    insert \((\boldsymbol{Q},(\boldsymbol{u}, \infty))\)
    \(\operatorname{prev}(\boldsymbol{u}) \leftarrow\) null
\(\boldsymbol{X}=\emptyset\)
for \(\boldsymbol{i}=1\) to \(|\boldsymbol{V}|\) do
    \((v, \operatorname{dist}(s, v))=\) extractMin \((Q)\)
    \(\boldsymbol{X}=\boldsymbol{X} \cup\{v\}\)
    for each \(u\) in \(\operatorname{Adj}(v)\) do
        if \((\operatorname{dist}(s, v)+\ell(v, u)<\operatorname{dist}(s, u))\) then
                \(\operatorname{decreaseKey}(Q,(u, \operatorname{dist}(s, v)+\ell(v, u)))\)
                \(\operatorname{prev}(u)=\boldsymbol{v}\)
```


## Shortest Path Tree

## Lemma

The edge set $(\boldsymbol{u}, \operatorname{prev}(\boldsymbol{u}))$ is the reverse of a shortest path tree rooted at $\boldsymbol{s}$. For each $\boldsymbol{u}$, the reverse of the path from $\boldsymbol{u}$ to $\boldsymbol{s}$ in the tree is a shortest path from $\boldsymbol{s}$ to $\boldsymbol{u}$.

## Proof Sketch.

(1) The edge set $\{(\boldsymbol{u}, \operatorname{prev}(\boldsymbol{u})) \mid \boldsymbol{u} \in \boldsymbol{V}\}$ induces a directed in-tree rooted at $s$ (Why?)
(2) Use induction on $|X|$ to argue that the tree is a shortest path tree for nodes in $V$.

## Shortest paths to s

Dijkstra's algorithm gives shortest paths from $s$ to all nodes in $V$. How do we find shortest paths from all of $V$ to $s$ ?
(C) In undirected graphs shortest path from $s$ to $u$ is a shortest path from $u$ to $s$ so
there is no need to distinguish.
© In directed graphs, use Dijkstra's algorithm in $G^{\text {rev }}$

## Shortest paths to s

Dijkstra's algorithm gives shortest paths from $s$ to all nodes in $V$. How do we find shortest paths from all of $V$ to $s$ ?
(1) In undirected graphs shortest path from $\boldsymbol{s}$ to $\boldsymbol{u}$ is a shortest path from $\boldsymbol{u}$ to $s$ so there is no need to distinguish.
(2) In directed graphs, use Dijkstra's algorithm in $G^{\text {rev }}$ !

## THE END

(for now)

Algorithms \& Models of Computation

## CS/ECE 374, Fall 2020

17.4.2

Variants on the shortest path problem

## Shortest paths between sets of nodes

Suppose we are given $S \subset V$ and $T \subset V$. Want to find shortest path from $S$ to $T$ defined as:

$$
\operatorname{dist}(S, T)=\min _{s \in S, t \in T} \operatorname{dist}(s, t)
$$

How do we find $\operatorname{dist}(S, T)$ ?

## Example Problem

You want to go from your house to a friend's house. Need to pick up some dessert along the way and hence need to stop at one of the many potential stores along the way. How do you calculate the "shortest" trip if you include this stop?
Given $G=(V, E)$ and edge lengths $\ell(e), e \in E$. Want to go from $s$ to $t$. A subset
$X \subset V$ that corresponds to stores. Want to find $\min _{x \in X} d(s, x)+d(x, t)$.

Basic solution: Compute for each $x \in X, d(s, x)$ and $d(x, t)$ and take minimum. $2|X|$ shortest path computations. $O(|X|(m+n \log n))$.

Better solution: Compute shortest path distances from $s$ to every node $v \in V$ with one Dijkstra. Compute from every node $v \in V$ shortest path distance to $t$ with one Dijkstra.

## Example Problem

You want to go from your house to a friend's house. Need to pick up some dessert along the way and hence need to stop at one of the many potential stores along the way. How do you calculate the "shortest" trip if you include this stop? Given $G=(V, E)$ and edge lengths $\ell(e), e \in E$. Want to go from $s$ to $t$. A subset $X \subset V$ that corresponds to stores. Want to find $\min _{x \in X} d(s, x)+d(x, t)$.

Basic solution: Compute for each $x \in X, d(s, x)$ and $d(x, t)$ and take minimum. $2|X|$ shortest path computations. $O(|X|(m+n \log n))$.

Better solution: Compute shortest path distances from s to every node $v \in V$ with one Dijkstra. Compute from every node $v \in V$ shortest path distance to $t$ with one Dijkstra.

## Example Problem

You want to go from your house to a friend's house. Need to pick up some dessert along the way and hence need to stop at one of the many potential stores along the way. How do you calculate the "shortest" trip if you include this stop? Given $G=(V, E)$ and edge lengths $\ell(e), e \in E$. Want to go from $s$ to $t$. A subset $X \subset V$ that corresponds to stores. Want to find $\min _{x \in X} d(s, x)+d(x, t)$.

Basic solution: Compute for each $x \in X, \boldsymbol{d}(s, x)$ and $\boldsymbol{d}(x, t)$ and take minimum. $2|\boldsymbol{X}|$ shortest path computations. $\boldsymbol{O}(|\boldsymbol{X}|(\boldsymbol{m}+\boldsymbol{n} \log \boldsymbol{n}))$.

Better solution: Compute shortest path distances from $s$ to every node $v \in V$ with one Dijkstra. Compute from every node $v \in V$ shortest path distance to $t$ with one Dijkstra.

## Example Problem

You want to go from your house to a friend's house. Need to pick up some dessert along the way and hence need to stop at one of the many potential stores along the way. How do you calculate the "shortest" trip if you include this stop? Given $G=(V, E)$ and edge lengths $\ell(e), e \in E$. Want to go from $s$ to $t$. A subset $X \subset V$ that corresponds to stores. Want to find $\min _{x \in X} d(s, x)+d(x, t)$.

Basic solution: Compute for each $x \in X, \boldsymbol{d}(s, x)$ and $\boldsymbol{d}(x, t)$ and take minimum. $2|X|$ shortest path computations. $O(|X|(m+n \log n))$.

Better solution: Compute shortest path distances from $s$ to every node $v \in V$ with one Dijkstra. Compute from every node $v \in V$ shortest path distance to $t$ with one Dijkstra.

## THE END

(for now)

